



# Optimal estimation retrieval of tropospheric ammonia from the Geostationary Interferometric Infrared Sounder onboard FengYun-4B

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**Abstract.** Atmospheric ammonia (NH<sub>3</sub>) is a reactive nitrogen compound that pollutes our environment and threatens public health. Monitoring the spatial and temporal variations is important for quantifying its emissions and depositions and evaluating the strategies for managing anthropogenic sources of NH<sub>3</sub>. In this study, we present an NH<sub>3</sub> retrieval algorithm based on the optimal estimation method for the Geostationary Interferometric Infrared Sounder (GIIRS) onboard China's FengYun-4B satellite (FY-4B/GIIRS). In particular, we examine the information content based on the degree of freedom for signal (DOFS) in retrieving the diurnal NH<sub>3</sub> in East Asia, with a focus on two source regions including North China Plain and North India. Different from previous studies, our retrievals are implemented using two different absorption micro-windows (920-940 cm<sup>-1</sup> and 955-975 cm<sup>-1</sup>), and their difference in retrievals is used to imply the impact of spectral noise on the retrieval uncertainty. Retrieval results using FY-4B/GIIRS spectra from July to December 2022 show that the two retrieval sets using different micro-windows are highly consistent, with a correlation coefficient of 0.81 and a root-mean-square-error of  $3.2 \times 10^{15}$  molec/cm<sup>2</sup> when DOFS > 0.5. no large systematic bias is observed. The DOFS for the majority ranges from 0 to 1.0, mainly depending on the thermal contrast (TC) defined as the temperature difference between the surface and the lower atmosphere. Consistent with retrievals from low-earth-orbit (LEO) infrared sounders, the detection sensitivity, as quantified by the averaging kernel (AK) matrix, peaks in the lowest 2 km atmospheric layers. The DOFS and TC are highly correlated, resulting in a typical "butterfly" shape. That is, the DOFS increases when TC becomes either more positive or more negative. The NH<sub>3</sub> columns from FY-4B/GIIRS show a significant diurnal cycle in summer (July-August) in North China Plain, in good agreement with the day-night gradient from the collocating IASI retrievals. The weak and moderate diurnal cycles in September-October and November-December, respectively, are also presented from both FY-4B/GIIRS and IASI retrievals. This study demonstrates the capability of FY-4B/GIIRS in capturing the diurnal NH<sub>3</sub> changes in East Asia, which will have the potential to improve regional and global air quality and climate research.

## 1. Introduction

Atmospheric ammonia (NH<sub>3</sub>) is a reactive nitrogen compound that plays an important role in the global nitrogen cycle (Galloway et al. 2004). Its emissions to the atmosphere are destined to increase in the coming decades primarily driven by agriculture emissions coming from the excess use of nitrogen fertilizers (Fowler et al., 2013). Changes in NH<sub>3</sub> emissions have many negative environmental impacts, including the loss of biodiversity (Erisman et al., 2013), the eutrophication of water bodies and the acidification of terrestrial ecosystems (Paerl et al., 2014), the degradation of air quality caused by the production of ammonium containing aerosols (Seinfeld and Pandis, 2006), and the change in radiative forcing that affect global and local climate (Abbatt et al., 2006; Isaksen et al., 2009). Monitoring the spatial distribution and temporal variations of atmospheric NH<sub>3</sub> is therefore important for quantifying NH<sub>3</sub>'s emissions and depositions and evaluating the strategies for managing anthropogenic sources of NH<sub>3</sub>.



Over the past decade, space-borne observations of NH<sub>3</sub> have provided measurements with daily global coverage that greatly improve our understanding of the sources, depositions, and variabilities of NH<sub>3</sub> (e.g., **Zhu et al., 2015**; **Warner et al., 2017**; **Van Damme et al., 2021**). The capability of using an infrared hyperspectral sounder to detect NH<sub>3</sub> was first demonstrated using observations from the Tropospheric Emission Spectrometer (TES; **Beer et al., 2008**; **Shephard et al., 2011**) and the Infrared Atmospheric Sounding Interferometer (IASI; **Clarisse et al., 2009, 2010**; **Coheur et al., 2009**). Currently, long-term measurements of NH<sub>3</sub> are available from IASI (**Van Damme et al., 2021**), the Cross-track Infrared Sounder (CrIS; **Shephard et al., 2020**), the Atmospheric Infrared Sounder (AIRS; **Warner et al., 2016**), and the Thermal and Near-infrared Spectrometer for Observation-Fourier Transform Spectrometer (TANSO-FTS; **Someya et al., 2020**). However, the above-mentioned polar-orbiting satellites can only make up to two overpass measurements each day over the same location. The diurnal cycle of NH<sub>3</sub> is therefore under-constrained from polar-orbit observations. Since NH<sub>3</sub> is a highly reactive compound, it has a relatively short lifetime ranging from a few hours to days (**Aneja et al., 2001**). As a result, NH<sub>3</sub> presents a large spatial heterogeneity and temporal variability. The important information on the diurnal cycle is therefore critical to constrain the emission, deposition, and transport processes of NH<sub>3</sub> and the role of meteorological conditions in driving these processes.

The Geostationary Interferometric Infrared Sounder (GIIRS) onboard the FengYun-4B satellite was launched in 2021 with improved sensitivity over its predecessor FY-4A/GIIRS launched in 2016 (**Yang et al., 2017**). GIIRS was designed to probe the three-dimension water vapor and temperature profiles for weather forecast purposes. With its high spectral resolution (0.625 cm<sup>-1</sup>) and sensitivity comparable to current low-earth-orbit (LEO) satellites, FY-4B/GIIRS is suited for detecting the changes of various atmospheric trace gases (e.g., **Zeng et al., 2022**). The strength of using FY-4B/GIIRS lies in its capability to scan East Asia every two hours with a spatial resolution of 12 km, offering a unique opportunity to constrain the diurnal cycles of critical atmospheric composition at high spatial resolution. The application of GIIRS in detecting NH<sub>3</sub> has been successfully demonstrated using spectra collected by FY-4A based on an IASI retrieval method (**Clarisse et al., 2021**). The study showed that the unprecedented temporal sampling of GIIRS enables the measurement of diurnal and nocturnal variations of NH<sub>3</sub>. Since the retrieval method used in **Clarisse et al. (2021)** is based on hyperspectral radiance index (HRI) and a trained neural network that relates HRI to NH<sub>3</sub> columns (**Whitburn et al., 2016**), information content analysis for the GIIRS spectra was not fully investigated.

Optimal estimation method (**Rodgers, 2020**) that enables information content analysis has been applied in previous studies on retrieving NH<sub>3</sub> from space (**Clarisse et al., 2009, 2010**; **Shephard et al., 2011**; **Warner et al., 2016**, **Shephard et al., 2011, 2015**; **Someya et al., 2020**). It was found that the information available from the infrared sounder spectra for quantifying NH<sub>3</sub>, especially its abundance in the PBL, strongly depends on the thermal contrast (TC), which is defined as the temperature difference between the surface skin and the lower atmosphere. Because of its short lifetime, NH<sub>3</sub> is mostly concentrated in the planetary boundary layer (PBL) and the peak sensitivity of NH<sub>3</sub> detection from an infrared sounder is also found to be close to the surface. Previous studies (e.g., **Clarisse et al., 2010**) concluded that the TC and its abundance are two important factors that determine the information content of NH<sub>3</sub> detection from the infrared spectra. In general, higher NH<sub>3</sub> concentrations and larger TC result in a more accurate estimate of NH<sub>3</sub> from space-borne measurements.

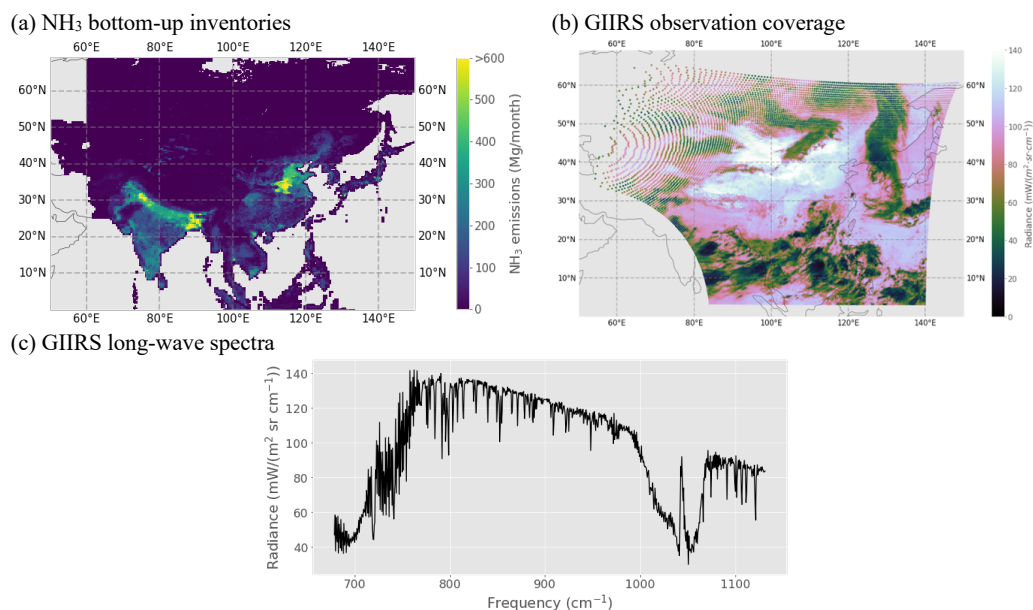
In this study, we applied the FY-GeoAIR retrieval algorithm developed by the group (**Zeng et al., 2022**) based on the optical estimation theory to retrieve NH<sub>3</sub> from FY-4B/GIIRS. The retrieval algorithm uses the absorption feature of NH<sub>3</sub>'s ν<sub>2</sub> rotational-vibrational band centered around 10.5 μm (~950 cm<sup>-1</sup>). The primary goals are to quantify the information content of FY-4B/GIIRS observations in constraining the diurnal cycle of NH<sub>3</sub> columns and the impact of TC on the retrieval accuracy. Different from previous studies, our retrievals are implemented using two different absorption micro-windows (920-940 cm<sup>-1</sup> and 955-975 cm<sup>-1</sup>) that contain strong NH<sub>3</sub> absorption feature, and their difference in retrievals is used to imply the impact of spectral noise on the retrieval uncertainty.



This remaining paper is organized as follows. The FY-4B/GIIRS observation mode and the collected spectra are introduced in **Section 2**; In **Section 3**, the FY-GeoAIR algorithm for NH<sub>3</sub> retrieval is described; The retrieval results and information content analysis are presented in **Section 4**, followed by discussions in **Section 5** and conclusions in **Section 6**.

## 80 2. GIIRS onboard FengYun-4B

FY-4B/GIIRS is an infrared Fourier transform spectrometer based on a Michelson interferometer located at an altitude of 35,786 km above the equator at 133°E. The primary goal is to probe the three-dimensional atmospheric structure of temperature and water vapor over east Asia for improving numerical weather forecast. **Figure 1(b)** shows the coverage of FY-4B/GIIRS over East Asia and part of South Asia and Southeast Asia in one measurement cycle which lasts 2-hour. In each cycle, GIIRS makes 12 horizontal scans from north to south. Each scan sequence consists of 27 fields-of-regards (FORs) from west to east that collects upwelling infrared radiation of Earth scenes (ESs), followed by one deep space (DS) and one internal calibration target (ICT) measurement for ES radiometric calibration. For each FOR, a 2-dimension infrared plane array detector, containing 16×8 pixels with a sparse arrangement, conducts the measurement over the target region. The starting hours for the 12 measurement cycles in a day were 0, 2, 4, ..., 22h UTC, respectively, and have been changed to 1, 3, 5, ..., 23h UTC, respectively, after September 06, 2022. The observation domain, as shown in **Figure 1(a)**, covers the two important NH<sub>3</sub> emission source regions in Asia: The North China Plain and North India. The FY-4B/GIIRS observed spectra include a long-wave infrared band from 680 to 1130 cm<sup>-1</sup> and a mid-wave infrared band from 1650 to 2250 cm<sup>-1</sup> with a uniform spectral resolution of 0.625 cm<sup>-1</sup>. With low instrument noise and a high spectral resolution similar to current LEO infrared sounders, GIIRS is in principle capable of measuring trace gases, including NH<sub>3</sub>, and providing full day-night diurnal cycle observations. The spatial footprint size of each pixel on the Earth's surface is about 12 km at Nadir, which is an improvement over FY-4A/GIIRS (16 km). **Fig. 1(c)** shows an example of GIIRS spectra in the long-wave band. Post-launch assessment of the radiometric performances of FY-4B/GIIRS using a series of blackbody calibration experiments showed that the noise equivalent differential radiance (NedR) on average in the long-wave infrared 900-1000 cm<sup>-1</sup> bands, covering the NH<sub>3</sub> absorption channel, is about 0.1 mW/(m<sup>2</sup>·sr·cm<sup>-1</sup>). The corresponding noise equivalent differential temperature (NedT) on average is about 0.1 K@280K blackbody. The low instrument noise for FY-4B/GIIRS, comparable to existing infrared sounders, makes it possible to provide strong constrain on measuring the diurnal change of NH<sub>3</sub> column.



**Figure 1.** (a) Bottom-up NH<sub>3</sub> emissions averaged from July to December of 2010. These are the total amount combining emissions from agricultural, industrial, power plant, residential, and transportation sectors. This emission inventory is adopted from MIX dataset (Li et al., 2017); (b) The GIIRS observation coverage is color-shaded using the observed radiance at 900 cm<sup>-1</sup> from FY-4B/GIIRS. This example is adopted from the measurement cycle (hours 12-13 in Beijing Time) on July 07, 2022; (c) Example of FY-4B/GIIRS measured long-wave spectra, which covers the NH<sub>3</sub> absorption band centered around 950 cm<sup>-1</sup>.

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### 3. The FY-GeoAIR retrieval algorithm for NH<sub>3</sub>

The FengYun Geostationary satellite Atmospheric Infrared Retrieval (FY-GeoAIR) algorithm was originally developed for retrieving carbon monoxide from FY-4B/GIIRS spectra. The algorithm combines a forward radiative transfer model to simulate upwelling thermal radiation and an optimal estimation-based inverse model to retrieve trace gases and auxiliary parameters from the observed spectra. Here, a brief introduction to FY-GeoAIR is given with descriptions of changes needed to adapt it to NH<sub>3</sub> retrieval. More details about the FY-GeoAIR retrieval algorithm can be referred to Zeng et al. (2022).

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#### 3.1 The Forward radiative transfer model for simulating observed spectra

An accurate radiative transfer (RT) model is an important component in the inversion system for simulating upwelling thermal radiation that would be observed by FY-4B/GIIRS when given the relevant atmospheric, surface, and instrumental parameters as inputs. The upwelling spectral radiance is computed following the radiative transfer theory for thermal radiation that has been described in Clough et al. (2006) and Hurtmans et al. (2012). Under clear sky conditions, scattering by clouds and aerosols can be ignored. The upwelling radiance received by FY-4B/GIIRS can be accurately approximated by the sum of four main components, including the upwelling surface emission, the upwelling atmospheric emission integrated from the bottom- to the top of the atmosphere, the surface-reflected downwelling atmospheric emission, and the surface-reflected solar radiation. Although the last component is very small in the long-wave band, it is added here for completeness. The two different absorption micro-windows

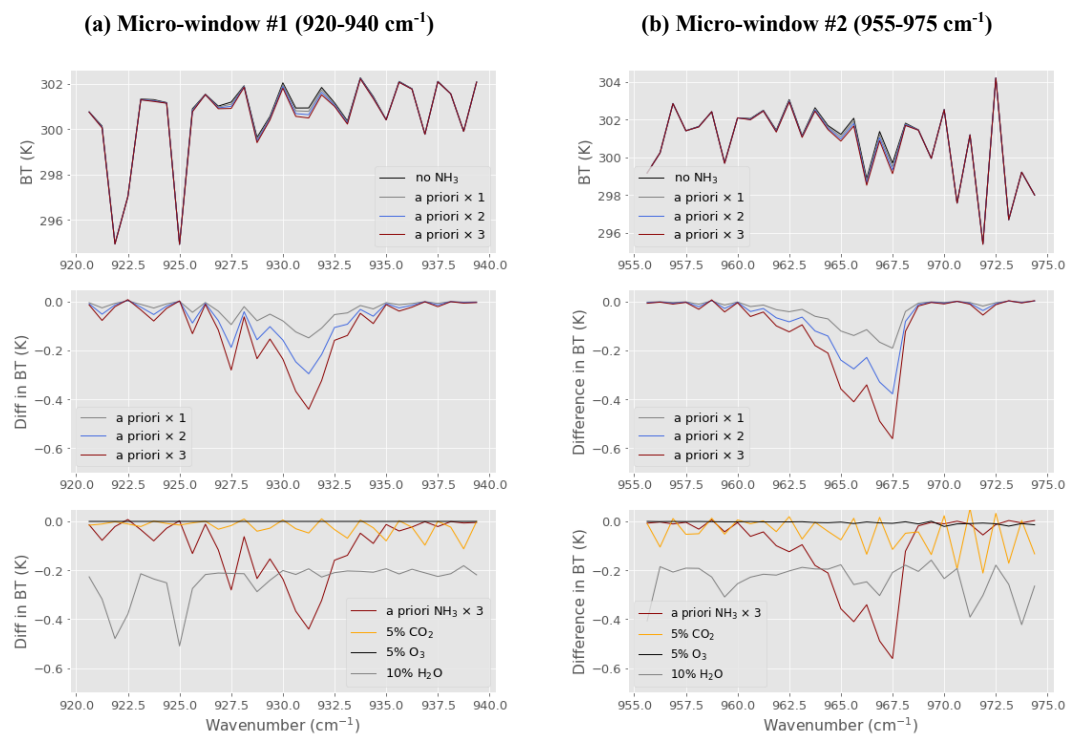
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(920-940  $\text{cm}^{-1}$  and 955-975  $\text{cm}^{-1}$ ) used for retrieval are shown in **Figure 2**. The micro-windows contain strong  $\text{NH}_3$  absorption features that are distinguishable from interference gases.

125 The atmospheric, surface and instrumental parameters to drive the forward model are adopted from various sources. These parameters are the atmospheric state such as the profiles of temperature, water vapor, and atmospheric composition, the surface parameters such as the surface emissivity, and the instrumental specifications such as instrument spectral response function and observing geometries. Specifically, the atmospheric temperature data are extracted from ECMWF ERA5 reanalysis (**Hersbach et al., 2020**); For the interference gases,  $\text{H}_2\text{O}$  and  $\text{O}_3$  are extracted from ECMWF ERA5 reanalysis (**Hersbach et al., 2020**);  $\text{N}_2\text{O}$  and  $\text{CO}_2$  are extracted from ECMWF CAMS global inversion-optimized greenhouse gas fluxes and concentrations (**ECMWF, 2022**);  
130 For the surface land data, we used the global infrared land surface emissivity database from the University of Wisconsin-Madison (UOW-M) (**Seemann et al., 2007**); Surface skin temperature and surface pressure are extracted from ERA5 hourly data on single level (**Hersbach et al., 2020**). The absorption coefficient look-up tables for calculating gas absorption are built using the extensively validated Line-By-Line Radiative Transfer Model (LBLRTM v12.11; **Clough et al., 2005**). Since the  $\text{NH}_3$  is more  
135 concentrated in the PBL compared to carbon monoxide, we therefore only retrieve the layers below 200 hPa by equally separating them into layers with 1 km thickness, except the bottom layer which is also determined by the surface pressure. The number of layers for retrieval ranges from 7 (at high altitude) to 11 layers (at low altitude).



140 **Figure 2.** The characterizations of the two micro-windows used for FY-4B/GIIRS  $\text{NH}_3$  retrieval (a) 920-940  $\text{cm}^{-1}$  and (b) 955-975  $\text{cm}^{-1}$ . The micro-windows cover two strong absorption features of the  $\text{NH}_3$   $\nu_2$  vibrational-rotational band centered around 950  $\text{cm}^{-1}$ . The upper panels show the comparison of simulated spectra using the forward model with three different  $\text{NH}_3$  concentrations based on the a priori  $\text{NH}_3$  profiles shown in Figure 3; The middle panels show their differences relative to the baseline without  $\text{NH}_3$ ; The lower panels show the absorption difference between  $\text{NH}_3$  and the interference gases.



### 3.2 The Retrieval algorithm in FY-GeoAIR based on optimal estimation theory

145 The goal of the retrieval algorithm for retrieving  $\text{NH}_3$  from FY-4B/GIIRS based on optimal estimation theory is to find a solution  
for the state vector, which consists of  $\text{NH}_3$  profile and auxiliary parameters, such that the simulated spectra from the RT forward  
model best fit the measured spectra. The auxiliary parameters include  $\text{H}_2\text{O}$  profile, scale factors for the columns of the remaining  
interference gases, surface skin temperature, and scale factor for the atmospheric temperature profile. The solution from the  
retrieval algorithm is the state vector which maximize the a posteriori probability given the FY-4B/GIIRS spectra. The Levenberg-  
150 Marquardt modification of the Gauss-Newton method is used to search the solution. The optimal estimation method has been  
described thoroughly in **Rodgers (2000)** and applied in several previous studies by the group (**Zeng et al., 2017; Zeng et al., 2021;**  
**Natraj et al., 2022; Zeng et al., 2022**).

Two important metrics from optical estimation method for interpreting the retrieval results are the DOFS and Averaging Kernel  
(AK) matrix. AK matrix is a metric that quantifies the sensitivity of the retrieval to the true state by the observing system. The full  
155 AK matrix ( $m \times m$ ) is given by:

$$\mathbf{A} = (\mathbf{K}^T \mathbf{S}_\varepsilon^{-1} \mathbf{K} + \mathbf{S}_a^{-1})^{-1} \mathbf{K}^T \mathbf{S}_\varepsilon^{-1} \mathbf{K} \quad (1)$$

where  $A_{ij}$  represents the derivative of the  $\text{NH}_3$  retrieval at level  $i$  with respect to the  $\text{NH}_3$  truth at level  $j$ ; The matrix dimension  $m$   
is the number of atmospheric layers;  $\mathbf{K}$  is the Jacobian matrix, which is the first derivative of the forward model with respect to the  
state vector;  $\mathbf{S}_a$  is the *a priori* covariance matrix for the state vector;  $\mathbf{S}_\varepsilon$  is the measurement error covariance matrix. Noted that  
160 similar to the CO retrieval algorithm (**Zeng et al., 2022**), we have enlarged the spectra noise by 2.0 times such that the averaged  
reduced  $\chi^2$  value from the optimal estimation  $\text{NH}_3$  retrieval is close to 1.0. This extra noise represents the unaccounted uncertainty  
from the forward model and absorption spectroscopy by the original instrument noise alone.

A “perfect” observing system, which has sufficiently good sensitivity to each element in the retrieval vector, would have an AK  
matrix close to an identity matrix by theory. In reality, the detectivity is limited by various factors including the spectra noise, a  
165 priori uncertainty, and the sensitivity of the spectra to the geophysical variables in the state vector. As a result, the AK can be very  
different from an identity matrix. In general, the information from the true state is smoothed vertically over different layers by the  
retrieval algorithm. In this case, the rows of AK represent the smoothing functions. As described in **Rodgers (2020)**, the trace of  
the AK matrix is defined as the DOFS, which represents the number of independent elements of information extracted from the  
spectra by the retrieval algorithm for constraining  $\text{NH}_3$ . DOFS is an important metric that quantify the vertical resolution of the  
170 retrieval profile. For example, a DOFS of 1.0 means that at least one independent piece of information can be retrieved from the  
spectral measurement to constrain the vertical distribution of  $\text{NH}_3$ .

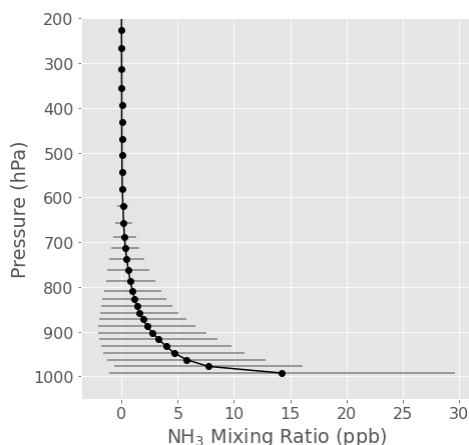
### 3.3 The a priori $\text{NH}_3$ profile and covariance matrix

A fixed a priori is preferred for the purpose of this study for two reasons: (1) a fixed a priori makes the interpretation of the results  
easier compared to a time varying a priori. Any changes seen in the spatial and temporal patterns in  $\text{NH}_3$  relative to the a priori  
175 reflects the information gained from the FY-4B/GIIRS spectra; (2) it is not applicable to get a reasonable a priori estimate for all  
hours in a day from just the spectra (e.g., using channel brightness temperature difference as in **Shephard et al. (2011)** and **Warner**  
**et al. (2016)**) without relying on model simulations, because the diurnal change of TC that affects GEO satellite observation is  
much more complex than that in the two overpasses for LEO satellite each day. The  $\text{NH}_3$  profile, as shown in **Figure 3**, for the  
retrieval algorithm is derived from  $\text{NH}_3$  simulations from the Goddard Earth Observing System composition forecast (GEOS-CF;  
180 **Keller et al., 2021**) model developed by NASA's Global Modeling and Assimilation Office (GMAO). One year of measurements  
in 2022 is used to get the mean and standard deviation of  $\text{NH}_3$  vertical distribution. To avoid over sampling of the background





regions, only simulations in the representative land regions in east Asia (20°-60°N and 110°-120°E) and south Asia (20°-40°N and 70°-100°E) are used.



185 **Figure 3.** The a priori NH<sub>3</sub> profile used for retrievals of NH<sub>3</sub> in the FY-GeoAIR algorithm for FY-4B/GIIRS. This profile is computed from the GEOS-CF NH<sub>3</sub> simulations (Keller et al., 2021) in 2022 in representative land regions in east Asia (20°-60°N and 110°-120°E) and south Asia (20°-40°N and 70°-100°E). The error bars represent one standard deviation for different layers from all NH<sub>3</sub> profiles.

### 190 3.4 Post-filtering of NH<sub>3</sub> retrievals

All cloud-screened FY-4B/GIIRS spectra acquired over land with a solar zenith angle less than 70° are used in the retrieval. To filter out cloudy pixels, we use the higher-resolution (4 km) level-2 cloud mask (CLM) data product from the Advanced Geostationary Radiation Imager (AGRI) onboard FY-4B. After cloud screening, there are in total of 11.7 million clear-sky data points for the 6 months from July to December of 2022. In the post-processing, multiple filters are applied to ensure good retrieval quality. First, retrievals that fail to converge after 10 iterations are excluded. Second, retrievals with the goodness of fit, quantified by reduced  $\chi^2$ , less than 1.5 are excluded. Lastly, retrievals with RMSE of fitting residual larger than 0.25 K are excluded. After post-filtering, about 9.5 million data points pass the filters. The histograms of the reduced  $\chi^2$  and spectral fitting residual are shown in **Supplementary Figure S1**. The average reduced  $\chi^2$  after post-filtering is 0.86 for micro-window #1 and 0.76 for micro-window #2, suggesting satisfying goodness of fit. In the following analysis, two extra filters may apply, including the DOFS used to exclude data that do not have enough constrain from the observed spectra and the difference of retrieval using the two different micro-windows to exclude data with large uncertainty that are caused by high spectra noise.

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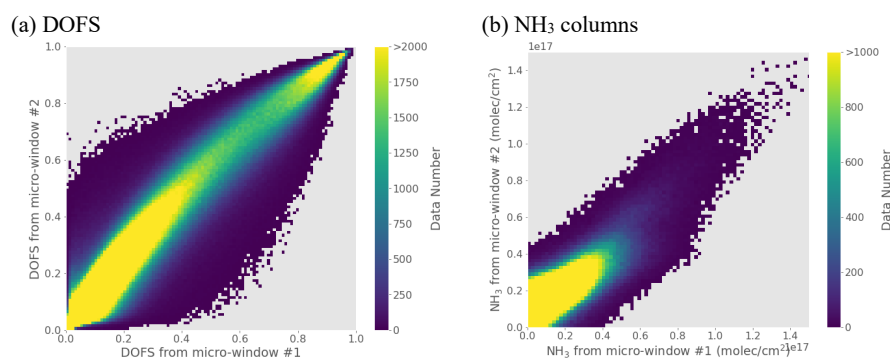
#### 4. Results of NH<sub>3</sub> retrievals from FY-4B/GIIRS

##### 4.1 Comparison of NH<sub>3</sub> retrievals using the two different micro-windows

205 As shown in **Figure 2**, the two micro-windows (920-940 cm<sup>-1</sup> and 955-975 cm<sup>-1</sup>) originated from the NH<sub>3</sub> ν<sub>2</sub> rotational-vibrational transitions have similar absorption characteristics, including the absorption structure and sensitivity. Theoretically, applying the FY-GeoAIR algorithm using these two micro-windows should produce similar NH<sub>3</sub> retrievals. However, due to the impact of spectral noise, which is assumed to be close to random, the retrieval results are expected to show a discrepancy. In addition, the slight difference in their absorption structures and sensitivities, with micro-window #2 presenting a stronger absorption and therefore higher sensitivity than micro-window #1, may contribute to the discrepancy. As shown in **Figure 4(a)**, the DOFSs show high consistencies, with a correlation coefficient of 0.97, suggesting the two micro-windows contain similar information in capturing the NH<sub>3</sub> variabilities. We do observe a slightly higher DOFS for micro-window #2 resulting from its stronger absorption and sensitivity. In **Figure 4(b)**, the retrieved NH<sub>3</sub> columns are compared for retrievals with DOFS larger than 0.5, a threshold used to remove retrievals that gain weak constrain from the FY-4B/GIIRS spectra. The correlation coefficient between the two datasets is 0.81 with a root-mean-square-error of 3.2×10<sup>15</sup> molec/cm<sup>2</sup>. Fortunately, no large systematic bias is observed, indicating the small difference in absorption structure and sensitivity in the micro-windows are not causing bias in the retrievals. The difference is primarily caused by spectral noise. Therefore, the difference serves as a good indicator of the impact of spectra noise on the retrieval and can be used as a post-filtering criterion to obtain retrievals with high accuracy.

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220 **Figure 4. The comparison of NH<sub>3</sub> retrievals using micro-window #1 (920-940 cm<sup>-1</sup>) and micro-window #2 (955-975 cm<sup>-1</sup>): (a) the DOFS, which shows a correlation coefficient of 0.97 for a total of 11.7 million data points, and (b) the retrieved NH<sub>3</sub> columns. For the comparison of columns, retrievals have been filtered by DOFS>0.5. In total, 1.6 million data points pass the filter. The correlation coefficient between the two column datasets is 0.81 with a root-mean-square-error of 3.2×10<sup>15</sup> molec/cm<sup>2</sup>.**

##### 225 4.2 Information content analysis based on DOFS and AK matrix

This section conducted information content analysis by investigating the spatial and diurnal changes of DOFS from NH<sub>3</sub> retrievals, and how the DOFS changes are related to TC. In addition, we examine the diurnal changes of the vertical sensitivity as quantified by AK matrix. In particular, we focus on three representative regions including source regions in North China Plain and North India and non-source region in Mongolia.

230 The spatial maps of DOFS, as shown in **Figure 5**, for different times in a day clearly show the spatial gradient and diurnal change. In July and October, the DOFSs in the afternoon is usually the highest and those in the evening the lowest. In December, night-time DOFS are significantly higher than other seasons. Previous studies by **Clarisse et al. (2010)** and **Bauduin et al. (2017)**

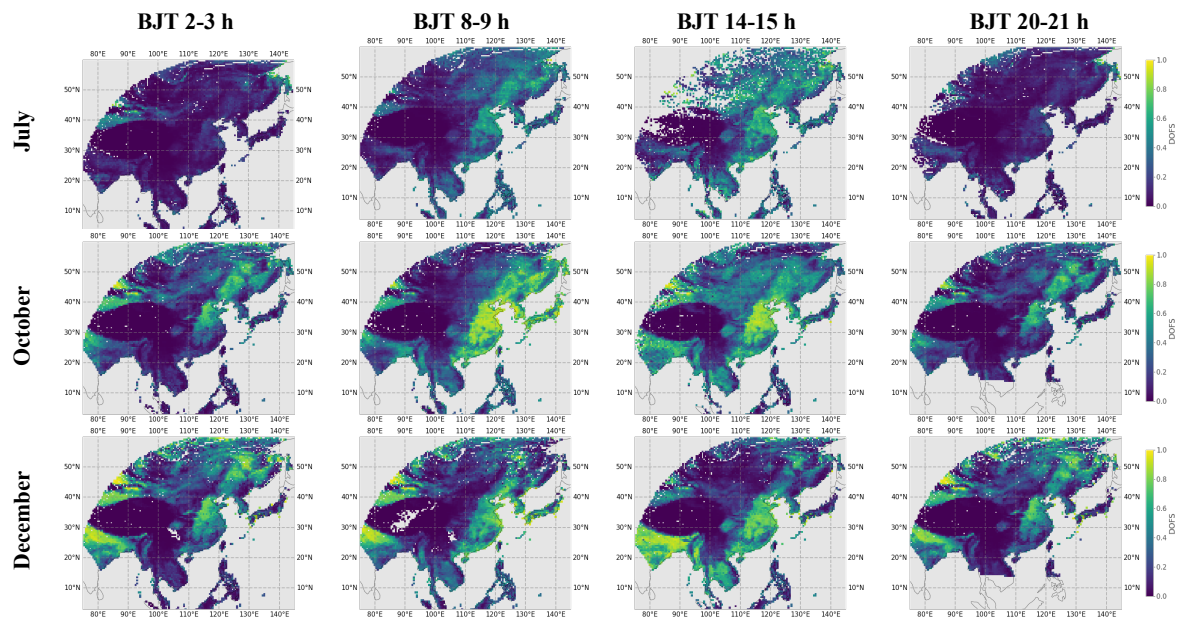




using IASI observations have shown that the detectivity quantified by DOFS is primarily driven by the change of TC. When TC is more positive, more thermal infrared radiation are emitting from the surface, the observed spectra will show more robust absorption features and therefore higher detectivity. On the other hand, when TC is more negative, suggesting the atmosphere is warmer than the surface and therefore can be distinguished more easily, the observed spectra will show more robust emission features that increases detectivity. In addition, higher concentration of target gases provides stronger detectivity than lower concentration. The relationships between TC and DOFS are illustrated in **Figure 6** for the three representative regions: North China Plain, North India and Mongolia. A typical “butterfly” shape can be seen in almost all cases. In general, the DOFS increases when the TC becomes either more positive or more negative, consistent with results from **Clarisse et al. (2010)** and **Bauduin et al. (2017)** based on polar-orbiting satellite. In  $\text{NH}_3$  source regions (North China Plain and North India), the DOFSs are higher for the same TC compared with non-source region (Mongolia), suggesting the contribution from higher  $\text{NH}_3$  concentration to the detectivity.

This strong correlations between DOFS and TC or concentration is also reflected in the spatial maps of DOFSs in **Figure 5** when analyzed with the corresponding TC maps in the **Supplementary Figure S2**. The source regions in North China Plain and North India have higher DOFSs than other non-source regions. For the same region (e.g., North China Plain), the higher DOFS is driven by the more positive TC in the afternoon in July, while in the nighttime the TC is closer to zero that leads to much lower DOFS. As it approaches the winter season, from October to December, the diurnal cycle of TC gradually become stronger, which shifts from positive in the daytime to negative in the nighttime. The changes are basically driven by the faster warming or cooling properties of the land compared to the atmosphere. Fortunately, both situations favor the detection of  $\text{NH}_3$  using thermal infrared and lead to the high DOFSs in both the daytime and nighttime in both October and December.

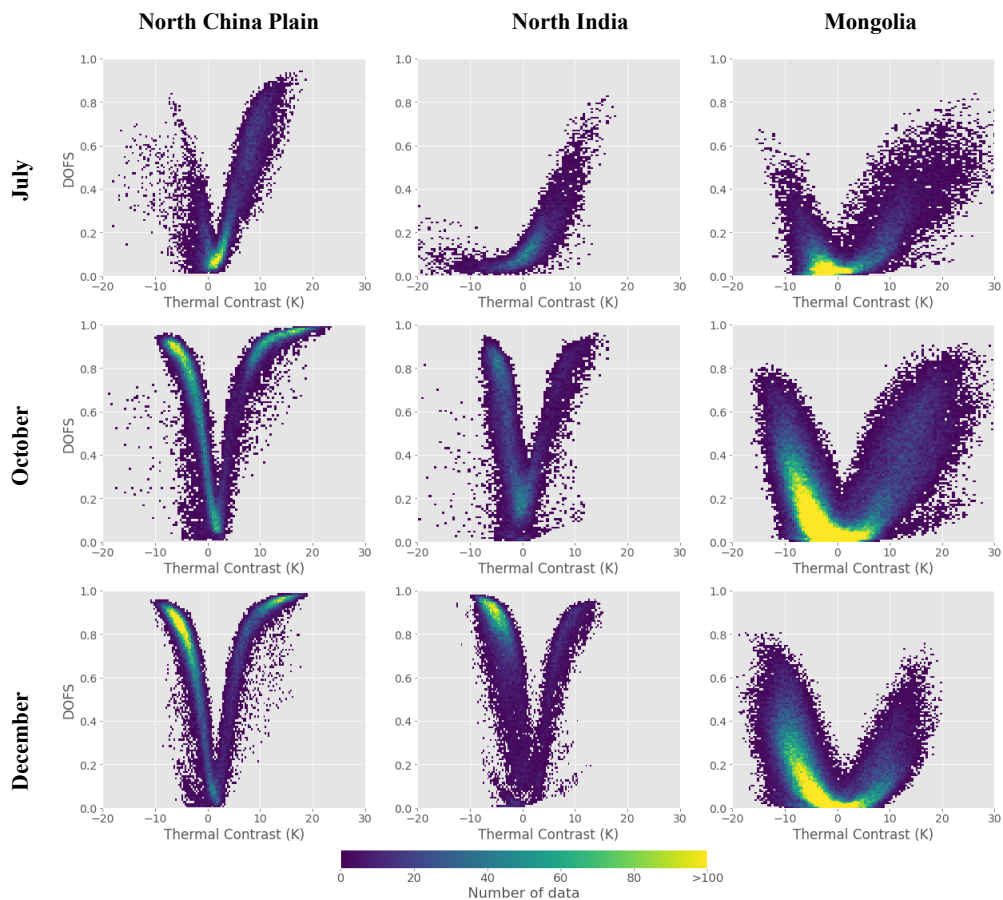
The short lifetime of  $\text{NH}_3$  of hours to days means that the  $\text{NH}_3$  concentration is highly concentrated in the planetary boundary layer (PBL) around the source region. This is also illustrated by the significant higher concentration below 800 hPa in the a priori  $\text{NH}_3$  profiles (**Figure 3**). As a result, observation of  $\text{NH}_3$  from thermal infrared has high sensitivity closer to the surface compared to relatively longer-lived air pollutant such as carbon monoxide. The vertical sensitivities of FY-GeoAIR  $\text{NH}_3$  retrievals are shown in **Figure 7** for the three representative regions. We can see the diurnal AK values peak at the surface layer for North China Plain for all months, especially for October and December, due to the high  $\text{NH}_3$  concentration and favorable TC changes. In July, the nighttime sensitivity is significantly reduced in the surface layers. In North India, the bottom layers sensitivity gets to the highest at mid-night of October and December due to highly negative TC. In July, the TC closing to zeros leads to much lower AK values in North India. In Mongolia, the low background  $\text{NH}_3$  concentration means the AK values are low over all vertical layers. The small changes in DOFS are primarily driven by the diurnal change of TC in this case. Overall, the  $\text{NH}_3$  retrievals using FY-GeoAIR algorithm from FY-4B/GIIRS observations show high detectivity in the surface layer, especially for source regions in North China Plain and North India.



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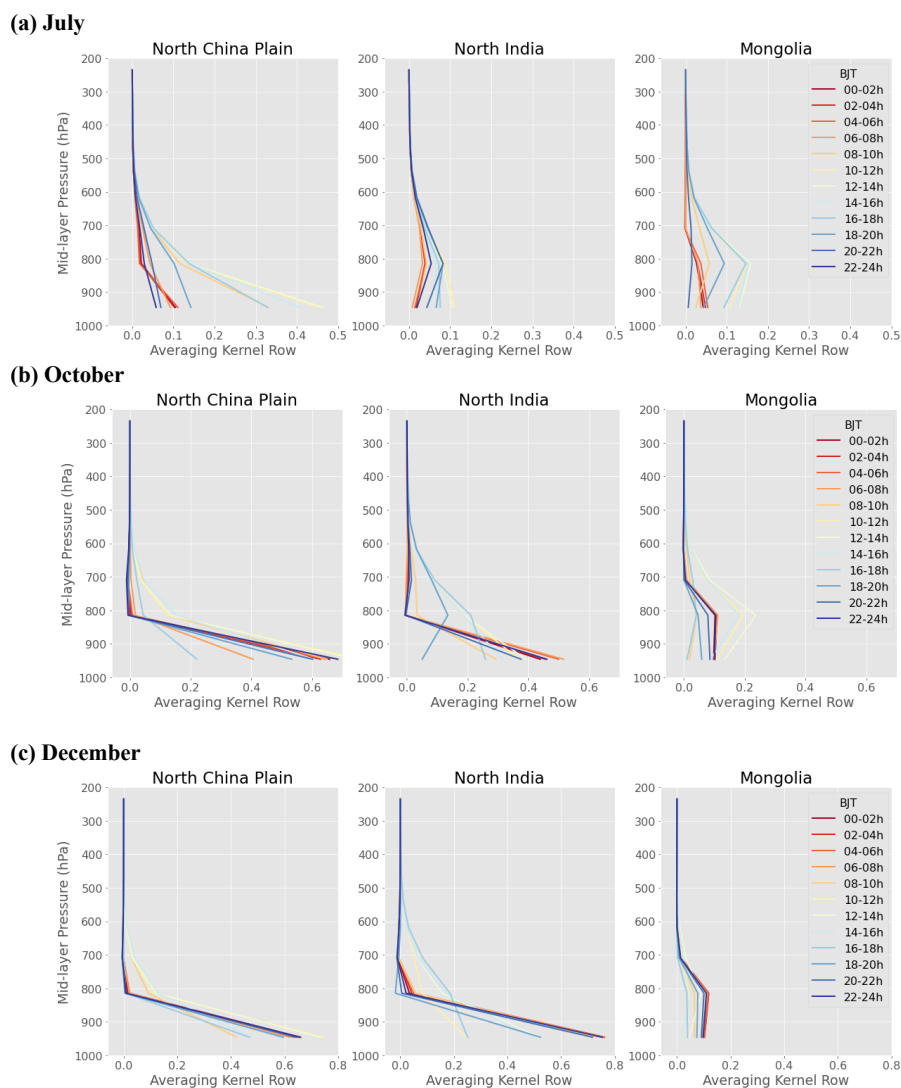
**Figure 5.** Spatial maps of DOFS averaged for July (summer), October (autumn), and December (winter), respectively, in 2-3h, 8-9h, 14-15h, and 20-21h in Beijing Time (BJT) to represent mid-night, early morning, afternoon, and early evening, respectively. Retrieval results using micro-window #2 (955-975 cm<sup>-1</sup>) are shown. The corresponding maps for TC are presented in Supplementary Figure S2.

270



**Figure 6.** Scatter plots between TC and DOFS from  $\text{NH}_3$  retrievals in North China Plain, North India, and Mongolia, respectively, for July (summer), October (autumn), and December (winter). The coverages of the three representative regions are  $32^\circ\text{--}40^\circ\text{N}$  and  $115^\circ\text{--}120^\circ\text{E}$  for North China Plain,  $22^\circ\text{--}27^\circ\text{N}$  and  $77^\circ\text{--}87^\circ\text{E}$  for North India, and  $40^\circ\text{--}50^\circ\text{N}$  and  $100^\circ\text{--}110^\circ\text{E}$  for Mongolia.

275



280 **Figure 7. Averaged averaging kernel rows for the three representative regions in North China Plain, Mongolia, and North**  
**India for July (summer), October (autumn), and December (winter), respectively. The averaging kernel rows are averaged**  
**for the 2-hour duration in each measurement cycle. The coverages of the three representative regions are the same as in**  
**Figure 6.**

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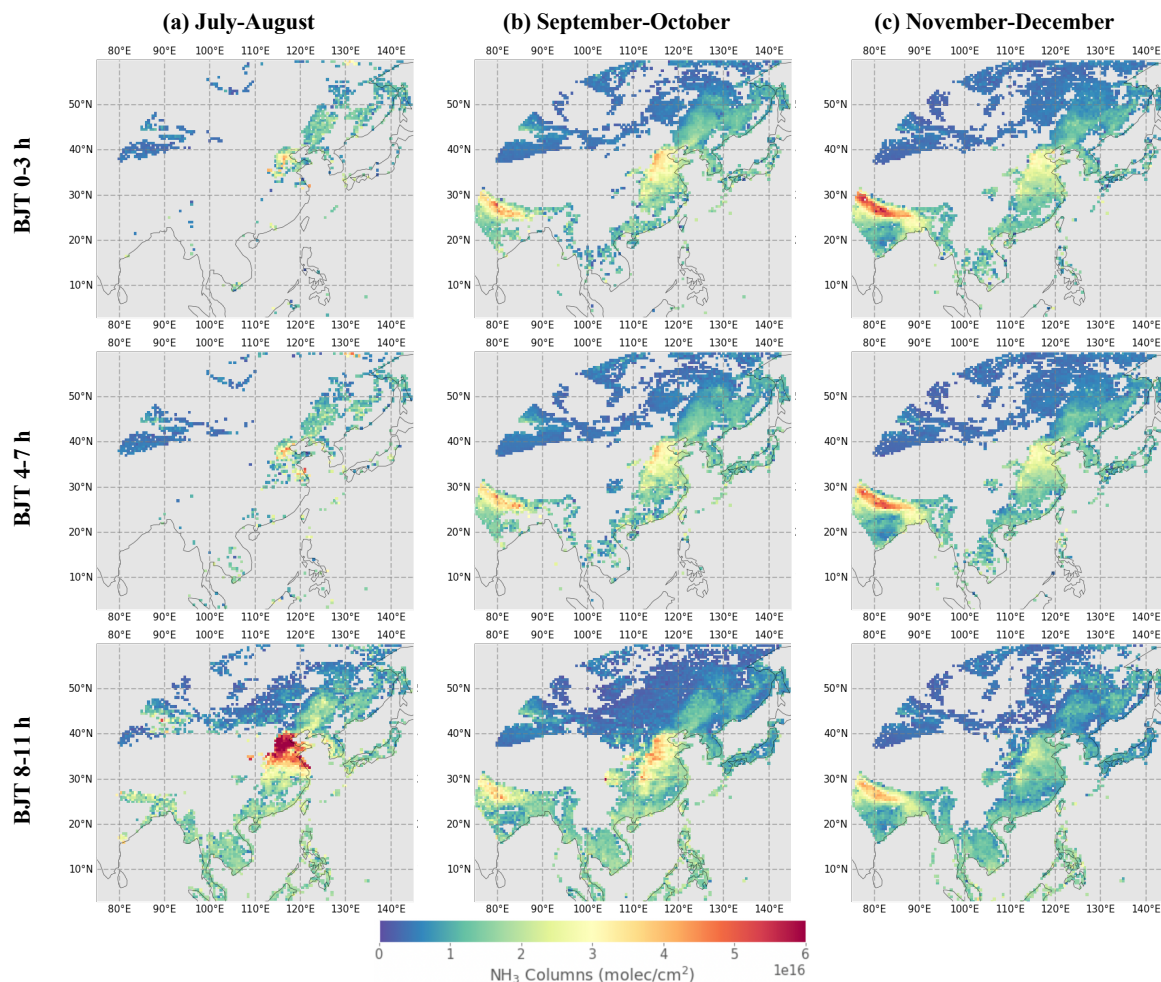


### 4.3 Spatial distribution and diurnal change of NH<sub>3</sub> column from FY-4B/GIIRS retrievals

290 Spatial distribution maps of NH<sub>3</sub> columns are averaged for every 4-hour in a day and re-gridded into 0.5 by 0.5 degrees for every  
two months: July-August, September-October, and November-December. Before the aggregation, the post-filtered NH<sub>3</sub> retrievals  
are further screened by two criteria (1) DOFS>0.5 and (2) the difference between the two micro-windows is less than  $2 \times 10^{16}$   
molec/cm<sup>2</sup> in order to retain retrieved columns with low uncertainty. The screened NH<sub>3</sub> retrievals from the two micro-windows  
are further averaged for the mapping. The results are shown in **Figures 8 and 9**. The data gaps, especially in the Tibet Plateau, are  
295 as a result low DOFS. The nighttime data in summer have been mostly filtered due to their low TC and  
as a result low DOFS. From these maps, obvious diurnal cycle of NH<sub>3</sub> columns can be seen from all months. North China Plain  
and North India, which are two agricultural intensive regions with irrigated crops and a high density of livestock, show the highest  
values over the region. Interestingly, the NH<sub>3</sub> columns in North India are higher than North China Plain although the bottom-up  
inventory in **Figure 1(a)** shows the opposite, this may be explained by the weak chemical loss and weak horizontal diffusion in  
North India (**Wang et al., 2020**). A more quantitative analysis of the diurnal cycle is shown in **Figure 10** for North China Plain  
300 and North India. The day-night contrast of NH<sub>3</sub> columns from IASI onboard MetopB are also indicated to check if the day-night  
gradients between IASI and GIIRS are consistent. Since IASI retrievals for 2022 are not available at this moment, IASI data for  
the past three years (2019-2021) are used.

A summary in **Clarisse et al. (2021)** concluded that the general diurnal cycle of NH<sub>3</sub> columns can be primarily explained by  
three possible driving factors, including the day-night difference in agriculture activities as a major source of NH<sub>3</sub>, the temperature  
305 dependence of NH<sub>3</sub> emissions driven by diurnal and seasonal temperature changes, and the conversion between NH<sub>3</sub> gas and  
particulate driven by the day-night change of meteorological conditions. Specifically, the more significant diurnal cycle in summer  
(July-August) in North China Plain, capture by both GIIRS and IASI retrievals, can be explained by the higher temperature related  
emissions from plants and soils, and stronger daytime emissions from agricultural activities (**Meng et al., 2008**). In addition, the  
relatively low temperature and higher humidity contribute to the conversion from NH<sub>3</sub> to particulates that leads to a lower NH<sub>3</sub>  
310 concentration in the nighttime. In North India, unfortunately, not sufficient data are available in July-August after post-filtering.  
In September-October and November-December, the diurnal cycles become less significant compared to the summer months as  
the main driving factors become less important. Interestingly, the winter diurnal cycle in North China Plain and North India show  
opposite pattern to the diurnal cycle in summer. The slightly increase of NH<sub>3</sub> columns in the nighttime, captured by both GIIRS  
and IASI retrievals, may be due to the shallower nocturnal boundary layer that traps the surface emitted emissions (**Tevlin et al.,**  
315 **2017; Clarisse et al. 2021**). To ensure the diurnal change is not affected greatly by data quality, we also compare the GIIRS results  
using data with DOFS larger than 0.5 and 0.7, respectively, two thresholds that are high enough to ensure the quality of the  
retrievals. We can see that the difference in the diurnal cycle of NH<sub>3</sub> columns from FY-4B/GIIRS retrievals is not significant and  
therefore different data filters do not affect the general patterns of NH<sub>3</sub> diurnal cycle.

320



325 **Figure 8.** The monthly maps of  $\text{NH}_3$  columns averaged for every four-hour (0-3 h, 4-7 h, and 8-11 h in Beijing Time) and two-month for July-August, September-October, and November-December of 2022, respectively. The  $\text{NH}_3$  columns are averaged from retrievals using the two micro-windows.  $\text{NH}_3$  retrievals are filtered if the difference between the two micro-window datasets is larger than  $2.0 \times 10^{16}$  molec/ $\text{cm}^2$ . These  $\text{NH}_3$  retrievals are further filtered by  $\text{DOFS} > 0.5$  and then re-gridded into 0.5 by 0.5 degrees.

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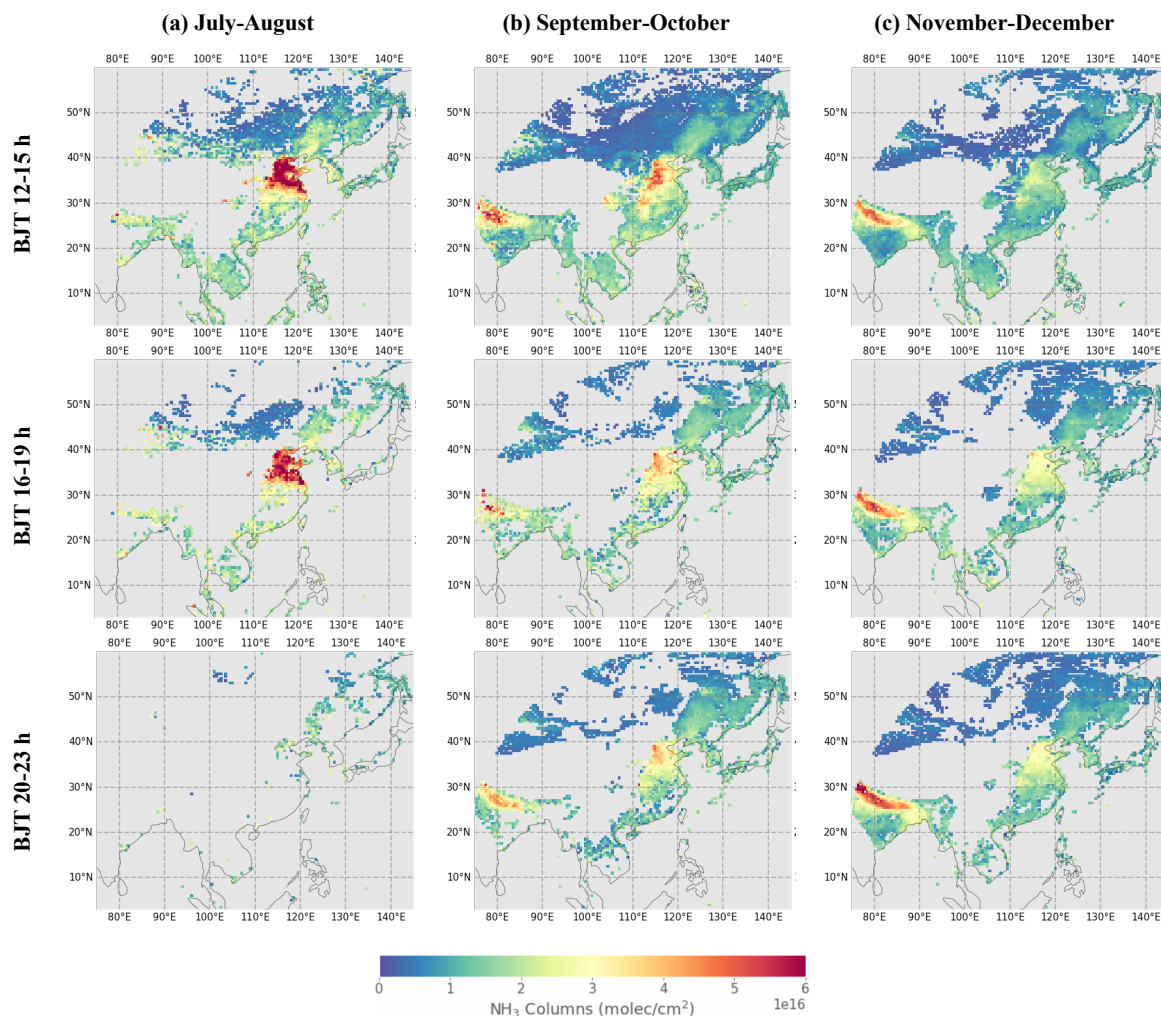
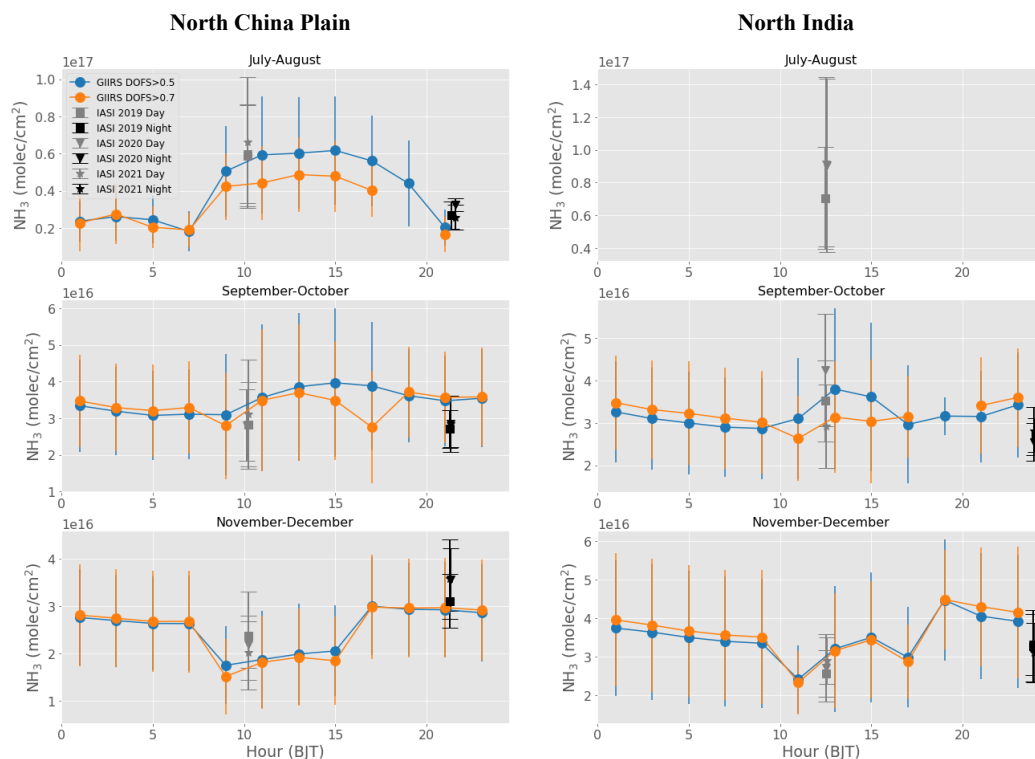


Figure 9. The same as in Figure 8 but for 12-15 h, 16-19 h, and 20-23 h in Beijing Time.



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**Figure 10.** The diurnal cycle of  $\text{NH}_3$  columns from FY-4B/GIIRS retrievals. The  $\text{NH}_3$  columns are averaged from retrievals using the two micro-windows ( $920\text{--}940\text{ cm}^{-1}$  and  $955\text{--}975\text{ cm}^{-1}$ ) for the 2-hour duration in each measurement cycle when at least 30 data points are available. The error bar represents one standard deviation. Retrievals are filtered if the difference between the two micro-window datasets are larger than  $2.0 \times 10^{16}\text{ molec/cm}^2$  to reduce the impact due to spectral noise. Diurnal cycles based on two different filtering criteria (DOFS $>0.5$  and DOFS $>0.7$ ) are shown simultaneously. The IASI  $\text{NH}_3$  column retrievals (two over-passing times in a day) are averaged values in the corresponding months for the past three years (2019–2021). IASI  $\text{NH}_3$  retrievals with uncertainty larger than 50% are not used. Data are averaged for daily mean, when at least 10 data points are available, and then averaged for every two-month. The coverages of North China Plain and North India are the same as Figure 6.

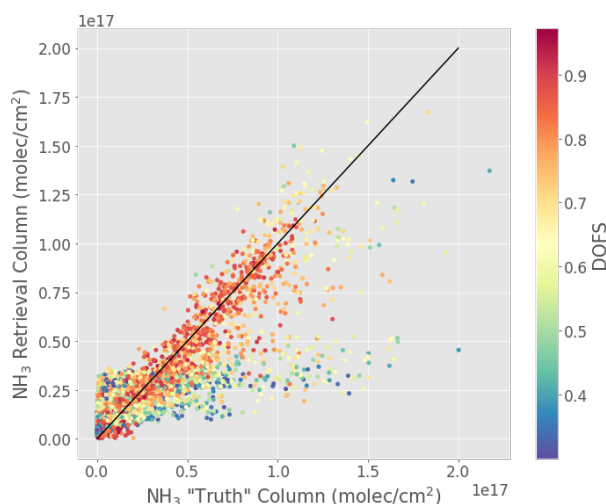


## 5. Discussions

### 5.1 Synthetic experiments for quantifying retrieval error

A synthetic experiment is carried out by (1) generating simulated synthetic spectra based on pre-determined  $\text{NH}_3$  profiles which can be regarded as the “truth”, (2) adding assumed noise according to the spectra noise of FY-4B/GIIRS, and (3) applying the FY-GeoAIR algorithm to retrieve  $\text{NH}_3$ . By comparing the retrieval to the “truth”, we can evaluate the performance of the retrieval algorithm and its relationship with relevant driving factors. In this experiment, the “truth”  $\text{NH}_3$  profiles are extracted from the GEOS-CF model simulations on six representative days: July 07, August 05, September 06, October 10, November 15, and December 18 of 2022, when the available number of clear-sky observations is among the largest in the specific month. By randomly sampling 500 data points for each observation cycle from the FY-4B/GIIRS clear-sky observations, we carried out 36000 simulations in total. The retrieved  $\text{NH}_3$  columns are finally compared with the “truth” and correlated with DOFS, as shown in **Figure 11**. Simulations with DOFS less than 0.3 are not shown due to their high uncertainty and low information content extracted from the spectra measurement. The scatter plot suggests that the consistency between the retrieval and the “truth” increases when the DOFS becomes larger. For  $\text{DOFS} > 0.5$ , the RMSE of the retrieval is about  $1.67 \times 10^{16}$  molec/cm<sup>2</sup>, while for  $\text{DOFS} > 0.7$ , the RMSE reduces to  $1.37 \times 10^{16}$  molec/cm<sup>2</sup>, representing error for a single retrieval. Since the retrieved  $\text{NH}_3$  columns have a monthly mean between  $2.0 \times 10^{16}$  and  $6.0 \times 10^{16}$  molec/cm<sup>2</sup> as shown in **Figure 10**, this synthetic experiment results indicate that the retrieval error is on average between 23% and 68% for a single retrieval when  $\text{DOFS} > 0.7$ . On the other hand, when an ensemble mean (e.g., monthly mean) of  $\text{NH}_3$  columns is derived, the resulting error for the mean can be much smaller (reduced by a factor of  $\sqrt{N}$ ) where  $N$  is the number of observations) when a large number of observations is available.

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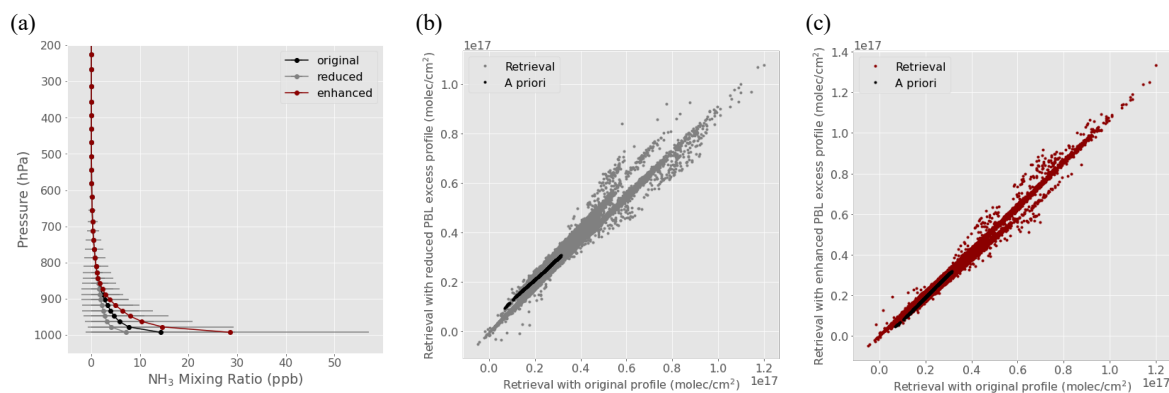
**Figure 11.** Comparison of retrieval and the “truth”  $\text{NH}_3$  columns from the synthetic experiment. The retrieved  $\text{NH}_3$  columns are based on simulated spectra generated based on pre-determined  $\text{NH}_3$  profiles (“truth”). The data points have been filtered by  $\text{DOFS} > 0.3$  and are color-coded by the corresponding DOFS value. The RMSE of the retrieved  $\text{NH}_3$  columns relative to the “truth” is about  $1.67 \times 10^{16}$  molec/cm<sup>2</sup> for  $\text{DOFS} > 0.5$  and  $1.37 \times 10^{16}$  molec/cm<sup>2</sup> for  $\text{DOFS} > 0.7$ .

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## 375 5.2 The impact of vertical shape on NH<sub>3</sub> retrievals

Since the observation of infrared sounder is not equally sensitive to NH<sub>3</sub> abundance in every layer, setting an appropriate a priori NH<sub>3</sub> in the retrieval algorithm is important. Extra retrieval error may be introduced if the profile is far from the truth. This is because the perturbation by the observed spectra on the sensitive layers may propagate into other layers with less sensitivity. Previous study by Whitburn et al. (2016) has shown that the difference in the a priori NH<sub>3</sub> profile is not causing significant bias in retrievals using polar orbiting satellite retrievals. Here we carried out a simple experiment to examine the impact of different vertical shape of a priori NH<sub>3</sub> on the retrieved columns. The a priori profiles used in the retrieval algorithm are generated by perturbing the PBL portions of the a priori, where NH<sub>3</sub> is concentrated, used in FY-4B/GIIRS by 2 and 0.5 times, respectively, as shown in Figure 12(a). These perturbed profiles are uniformly scaled so that the total columns equal to the original a priori column. We used these profiles for the retrieval on the six representative days, as in the synthetic experiment described in Section 6.1, in the North China Plain. The retrievals based on perturbed profiles are then compared with original retrievals, shown in Figure 12(b) and (c). The results show that the mean and standard deviation of the fractional error are 1.0% and 9.65%, respectively, for the reduced PBL excess profile, and 0.9% and 7.6%, respectively, for the enhanced PBL excess profile. Fortunately, there are no large systematic bias and the averaged error is within 10% in our cases of profiles differ by a factor of 2.



390 **Figure 12. Comparison of retrieval results of NH<sub>3</sub> columns using different a priori NH<sub>3</sub> profiles. (a) The NH<sub>3</sub> a priori profile with reduced PBL excess by 50% and enhanced PBL excess by 2 times; (b) The comparison of the retrieved NH<sub>3</sub> column using the reduced PBL excess profile. The mean of the fractional error is 1.0% while the standard deviation is 9.6%; (c) The comparison of retrieved NH<sub>3</sub> column with enhanced PBL excess profile. The mean of the fractional error is 0.9% and the standard deviation is 7.6%.**

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## 6. Conclusions

In this study, we present an NH<sub>3</sub> retrieval algorithm based on the optimal estimation method for FY-4B/GIIRS. The DOFS and AK matrix produced from the retrieval algorithm are examined to evaluate the information content and vertical sensitivities in constraining the diurnal cycle of NH<sub>3</sub> in East Asia. Our retrievals are carried out using two different NH<sub>3</sub> absorption micro-windows (920-940 cm<sup>-1</sup> and 955-975 cm<sup>-1</sup>), and their retrieval difference infers the impact of spectral noise on the NH<sub>3</sub> retrieval. Retrieval results using FY-4B/GIIRS spectra from July to December 2022 show that (1) the two NH<sub>3</sub> retrieval datasets using different micro-windows are highly consistent, with a correlation coefficient of 0.81 and root-mean-square-error of  $3.2 \times 10^{15}$  molec/cm<sup>2</sup> when DOFS > 0.5, and no large systematic bias is observed, suggesting that the difference in the NH<sub>3</sub> retrievals is mainly originated from the impact of spectral random noise; (2) the detection sensitivity, as quantified by the AK matrix, peaks in the lowest 2 km atmospheric layers, which facilitates the observation of emission sources in the PBL; (3) the DOFS and TC are highly correlated, resulting in a typical “butterfly” shape, showing that the DOFS increases when the TC becomes either more positive or more negative; (4) the diurnal cycle of NH<sub>3</sub> columns from FY-4B/GIIRS show significant diurnal cycle in summer (July-August) in North China Plain, in good agreement with the day-night gradient from the collocating IASI retrievals. The weak and moderate diurnal cycles in two important source regions of North China Plain and North India in September-October and November-December are also presented from both FY-4B/GIIRS and IASI retrievals. This study demonstrates the capability of GIIRS in observing the diurnal NH<sub>3</sub> changes in East Asia, making it a unique dataset for quantifying NH<sub>3</sub> emissions and depositions and evaluating strategies for managing anthropogenic sources of NH<sub>3</sub> in Asia.

As the world’s first geostationary infrared sounder, GIIRS instruments onboard FY-4A and FY-4B provide unique hyperspectral thermal infrared observations to quantify the diurnal change of atmospheric composition in East Asia. Other existing and in planning geostationary missions include South Korea’s Geostationary Environment Monitoring Spectrometer (GEMS) launched in Feb. 2020 to measure air quality in Asia using ultraviolet and visible bands (Kim et al., 2020), ESA’s Sentinel-4 mission onboard the Meteosat Third Generation Sounder platform that consists of the thermal InfaRed Sounder (IRS) that will measure profiles of temperature, humidity, and atmospheric composition, and the Ultraviolet Visible Near-infrared (UVN) spectrometer that will monitor air quality trace gases and aerosols in Europe (Ingmann et al., 2012; Holmlund et al., 2021), and NASA’s Tropospheric Emissions: Monitoring of Pollution (TEMPO) that will observe air quality in North America (Zoogman et al., 2017). These GEO missions form a global network that enables diurnal observation to cover global important emission sources, which will significantly enhance local and global air quality and climate research.

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#### Data availability

The sample NH<sub>3</sub> retrieval data from FY-4B/GIIRS in this study are publicly available from the Peking University Open Research Data Platform at <https://opendata.pku.edu.cn/dataverse/FYGEOAIR>; The full retrieval dataset (total data size larger than 150G) is available from the corresponding author upon request. FY-4B/GIIRS Level 1 data are publicly available from the FengYun Satellite Data Center at <http://satellite.nsmc.org.cn/portalsite/default.aspx>; The surface emissivity datasets are downloaded from the Global Infrared Land Surface Emissivity: UW-Madison Baseline Fit Emissivity Database at <https://cimss.ssec.wisc.edu/iremisi/>; The ECMWF ERA5 reanalysis datasets are available from the Copernicus Climate Data Store at <https://cds.climate.copernicus.eu/>; The ECMWF atmospheric composition datasets are available from the Copernicus Atmosphere Data Store at <https://ads.atmosphere.copernicus.eu/>. NH<sub>3</sub> simulation data from GEOS-CF is downloaded from [https://gmao.gsfc.nasa.gov/weather\\_prediction/GEOS-CF/data\\_access/](https://gmao.gsfc.nasa.gov/weather_prediction/GEOS-CF/data_access/). IASI is a joint mission of EUMETSAT and the Centre National d'Etudes Spatiales (CNES, France). The authors acknowledge the AERIS data infrastructure for providing access to the IASI data in this study, ULB-LATMOS for the development of the retrieval algorithms. IASI NH<sub>3</sub> retrievals are available from <https://iasi.aeris-data.fr/nh3/>.

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#### Author contribution

Z.Z. designed the study, developed the forward model and retrieval codes, carried out the experiments and results analysis, and prepared the manuscript. L.L. and C.Q. provided guidance on using the FY-4B/GIIRS L1 spectra data and carried out experiments related to spectra uncertainty analysis. All authors reviewed the manuscript.

#### Competing interest

The authors declare that they have no conflict of interest.





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