Assessing the Ducting Phenomenon and its <u>Potential</u> Impact on GNSS Radio Occultation Refractivity Retrievals over the Northeast Pacific Ocean using Radiosondes and Global

4 Reanalysis

9

5 Thomas E. Winning Jr.¹, Feiqin Xie¹ and Kevin J. Nelson^{1.a}

6 ¹ Texas A&M University – Corpus Christi, Corpus Christi, 78412, USA

7 ^anow at: Jet Propulsion Laboratory, California Institute of Technology, Pasadena, 91109, USA

8 Correspondence to: Thomas E. Winning Jr. (twinning@islander.tamucc.edu)

Abstract. In this study, high-resolution radiosondes from the MAGIC field campaign and ERA5 10 global reanalysis data are used to assess the elevated ducting layer characteristics along the 11 transect over the northeastern Pacific Ocean from Los Angeles, California to Honolulu, Hawaii. 12 The height of the planetary boundary layer height (PBLH) increases as the strength of the 13 14 refractivity gradient and resultant ducting decrease from east to west across the analysis 15 decreases westward along the transect. The thickness of the prevailing ducting layer remains remarkably consistent (~110 m) in the radiosonde data. On the other hand, the ERA5 generally 16 17 resolves the ducting features well but underestimates the ducting height and strength especially over the trade cumulus region near Hawaii. A simple two-step end-to-end simulation is used to 18 evaluate the impact of the elevated ducting layer on RO refractivity retrievals. A systematic 19 20 negative refractivity bias (N-bias) below the ducting layer is observed throughout the transect, peaking (-5.42%) approximately $\frac{7080}{100}$ meters below the PBL height (5.42%), and gradually 21 decreasing towards the surface (-0.5%). Further, the underestimation of the The N-bias in the 22 ERA5 data increases in magnitude westward and while theshows strong positive correlation 23 of with the ducting strength. The ERA5 data underestimate the N-bias with the minimum gradient 24 and sharpness are all strong; there is no evidence of zonal dependence.magnitude of the 25 underestimation increasing westward along the transect. 26

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

- 27
- 28 29
- 30

33 1 Introduction

34 The troposphere, where most weather occurs, consists of two main layers: the planetary 35 boundary layer (PBL) and the free atmosphere (FA) (Garratt, 19921994). The PBL characteristics change frequently on both spatial and temporal scales and the PBL height (PBLH) 36 can impact the exchange of heat, momentum, and particulate matter with the FA, making it a 37 critical factor in global energy balances and water cycling (Stull 1988; Ramanathan et al. 1989; 38 Klein and Hartmann 1993). Regular PBL observations are mainly limited to in situ 39 measurements from surface stations and radiosondes. However, spatially and temporally dense in 40 41 situ PBL observations are only available from field campaigns such as the Boundary Layer Experiment 1996 (BLX96, Stull et al. 1997), the VAMOSVariability of the American Monsoon 42 Systems (VAMOS) Ocean-Cloud-Atmosphere-Land Study Regional Experiment (VOCALS-43 REx, Wood et al. 2011), and the Marine Atmospheric Radiation Measurement (ARM) Global 44 Energy and Water Experiment (GEWEX) Cloud System Studies (GCSS) Pacific Cross Section 45 Intercomparison (GPCI) Investigation of Clouds (MAGIC, Zhou et al. 2015), etc.). Satellite 46 observations of the PBL are also limited due to signal attenuation of the conventional infrared 47 sounder in the lower troposphere and the low vertical resolution of microwave sounding 48 instruments. Additionally, while the depth of the PBLH can vary from a couple hundred meters 49 to a few kilometers (von Engeln and Teixeira 2013; Ao et al. 2012), the transition layer from the 50 51 PBL to the FA is typically on the order of tens to hundreds of meters thick (Maddy and Barnet 2008), rendering ineffective PBL sensing from the low vertical resolution passive infrared and 52 microwave sounders. 53

On the other hand, Global Navigation Satellite System (GNSS) radio occultation (RO) provides global atmospheric soundings with a vertical resolution of approximately 100 m in the lower troposphere under all weather conditions (Gorbunov et al., 2004; Kursinski et al., 2000, 1997; 2000). One of the major GNSS RO missions is the Formosat-3/Constellation Observing System for Meteorology, Ionosphere, and Climate (COSMIC), later referred to as COSMIC-1 (Anthes et al. 2008), and its follow-on mission COSMIC-2 (Schreiner et al. 2020). Numerous studies have documented the high value of GNSS RO for profiling the PBL and determining the PBLH 61 (Nelson et al. 2021; Winning et al. 2017; Ho et al. 2015; Ao et al. 2012; ; Guo et al. 2011; Basha
62 and Ratnam 2009; Ao et al. 2008; Xie et al. 2008).

The advancement of the GNSS RO technique with open-loop tracking (Sokolovskiy et al., 2006; 63 Beyerle et al., 2003; Ao et al., 2003) along with the implementation of the radio-holographic 64 retrieval algorithm (Jensen et al., 2004; Jensen et al., 2003; Gorbunov, 2002) have led to much 65 improved PBL sounding quality. However, probing the marine PBL remains challenging as 66 systematic negative biases are frequently seen in RO refractivity retrievals (Feng et al. 2020; Xie 67 et al. 2010). One major cause of the refractivity bias (hereafter N-bias) is the RO retrieval error 68 69 due to elevated atmospheric ducting often seen near the PBLH (Ao et al., 2007; Xie et al., 2006; 70 Ao et al. 2003; Sokolovskiy 2003–). This elevated ducting prevails over the subtropical eastern 71 oceans (Feng et al., 2020; Lopez, 2009; von Englen et al., 2003; Lopez, 2009, Feng et al., 2020), 72 and the horizontal extent of ducting in these regions can be on the order of thousands of 73 kilometers (Winning et al. 2017; Xie et al. 2010). In the presence of ducting, the vertical refractivity gradient exceeds the critical refraction threshold for L-band frequencies (i.e., $dN/dz \le$ 74 -157 N-units km⁻¹). The steep negative refractivity gradient is often observed in the vicinity of 75 the PBLH, which is typically caused by an atmospheric temperature inversion, a sharp decrease 76 in moisture-lapse, or a combination of both. When ducting is present, the Abel inversion (e.g., 77 Fieldbo et al., 1971) in the standard retrieval process encounters a non-unique inversion problem 78 due to a singularity in the bending angle, resulting in large, systematic underestimation of 79 refractivity (N) below the ducting layer (Xie et al. 2006; Ao et al., 2003; Sokolovskiy, 2003; Ao 80 et al., 2003; Xie et al. 2006). The large uncertainty in RO refractivity coupled with the 81 singularity in bending angle hinders assimilation of RO observations into numerical weather 82 83 models, resulting in discarding of a significant percentage of RO measurements inside the PBL 84 (Healy, 2001).

In order to thoroughly evaluate the N bias attributed to ducting, the issue must be examined from
the ground up by using a dense collection of observations where the occurrence of ducting in the
lower troposphere is present in the daily climatology of the region. Section 2 provides details of
the two data sets used for this study: high resolution radiosondes over the northeastern Pacific
Ocean and ERA5 reanalysis profiles colocated to the radiosondes. Additionally, we discuss the
method used for colocation between the radiosondes and ERA5 profiles, as well as detection of
the ducting layer and the corresponding PBLH. Section 3 presents the ducting climatology for

key variables, such as ducting height, PBLH, minimum N gradient, and gradient sharpness. The
characteristics of ducting including the thickness and strength along the cross section are also
shown. Furthermore, we evaluate the ducting induced N bias in GNSS RO refractivity retrievals
by carrying out a two step end to end simulation. Section 4 summarizes the findings and
discusses the direction of future research.

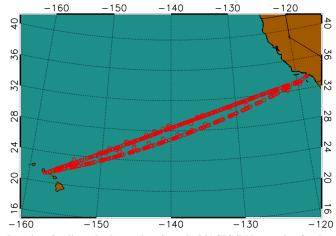
97 2 Data and methods

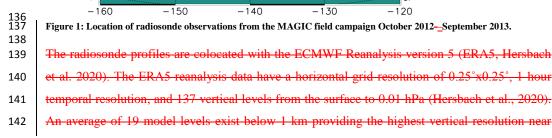
2.1 MAGIC radiosonde and colocated ERA5 data sets To comprehensively assess the 98 potential impact of ducting on GNSS RO retrievals, we begin by constructing a detailed ground 99 truth of PBL ducting statistics. This is derived from an extensive set of high-resolution 100 radiosonde data over the northeastern Pacific Ocean, a region known for prevailing ducting 101 conditions. Subsequently, we conduct a simulation study using the radiosonde data to evaluate 102 the N-biases caused by varying ducting characteristics. Section 2 provides details of the two data 103 sets used for this study; high-resolution radiosondes over the northeastern Pacific Ocean and the 104 colocated ECMWF Reanalysis version 5 (ERA5, Hersbach et al. 2020) profiles. Additionally, we 105 discuss the co-location criteria and the detection method for ducting layer and the corresponding 106 PBLH. Section 3 presents the ducting statistics for key variables, such as ducting height, PBLH, 107 108 minimum refractivity gradient, and sharpness parameter. The characteristics of ducting including the thickness and strength along the cross-section are also shown. Furthermore, we evaluate the 109 ducting-induced N-bias in GNSS RO refractivity retrievals by carrying out a two-step end-to-end 110 111 simulation. Section 4 summarizes the findings and discusses the direction of future research.

112 **<u>2</u>** Data and methods

113 2.1 MAGIC radiosonde and colocated ERA5 data

A collection of high-resolution radiosondes from the Marine Atmospheric Radiation Measurement (ARM) GCSS Pacific Cross Section Intercomparison (GPCI) Investigation of Clouds (MAGIC) are utilized as the primary data set in this analysis (Lewis 2016; Zhou et al. 2015). The MAGIC field campaign took place from 26 September 2012 to 2 October 2013 as part of the U.S Department of Energy ARM Program Mobile Facility 2 (AMF2) aboard the Horizon Lines container ship, *Spirit*, which completed 20 round trip passes between Los 120 Angeles, California and Honolulu, Hawaii during the yearlong data collection period (Painemal 121 et al., 2015; Zhou, 2015). During each transit, radiosondes were launched at 6-hour intervals from the beginning of the program through the end of June 2013; the observation frequency 122 increased to every 3 hours from July 2013 through the end of the campaign (Zhou et al., 2015). 123 A total of 583 MAGIC radiosonde profiles were collected during the field campaign (Zhou et al., 124 2015), all with a vertical sampling frequency of 0.5 Hz (2 seconds), which provides an average 125 vertical sampling interval resolution of ~8 m below 3 km, but varies due to local vertical motion. 126 The number of observations and location (Fig. 1)Use of this data set serves multiple benefits. 127 128 First, the northeast Pacific transitions from a shallow stratocumulus-topped PBL to a higher, 129 trade-cumulus boundary layer regime along the GPCI transect (Garratt, 1992); this unique transition zone provides an ideal natural laboratory for studying the horizontal variation of the 130 marine PBL,1994). Second, the large number of observations over a 12-month time frame 131 132 provides high temporal (diurnal and seasonal) and spatial profiling of the PBL along the GPCI 133 transect, seen in Fig.1. Finally, ducting is prevalent throughout the domain over which the observations were captured which creates an opportunity to perform an analysis over a natural 134 cross-section of refractivity field in X (zonal) and Z (vertical) dimensions. 135





L43	the surface; vertical density of the model decreases with height to 8 levels within the 1 km 2 km
L44	layer and further decreasing to 5 levels within the 2 km 3 km. Each MAGIC radiosonde profile
L45	was colocated with the nearest ERA5 grid point that is within 1.5 hours of the closest 3 hourly
L46	model reanalysis profile.

147 2.2-PBL height detection with the minimum gradient method

At GNSS L band frequencies, the atmospheric refractivity (N in N units) is derived from the 148 149 refractive index n, where N = (n - n)The radiosonde profiles are colocated with ERA5 model reanalysis profiles. The ERA5 150 reanalysis data have a horizontal grid resolution of 0.25°x0.25°, 1-hour temporal resolution, and 151 137 uneven vertical model levels from the surface to 0.01 hPa. The model level density 152 decreases with height: on average, there are 19 model levels below 1 km (10-100 m resolution), 153 which reduces to 8 levels between 1 and 2 km (100 - 160 m resolution), and further reduces to 5 154 levels between 2 and 3 km (160-200 m resolution). Each MAGIC radiosonde profile was 155 colocated with the nearest ERA5 grid point that is within 1.5 hours of the closest 3-hourly model 156 reanalysis profile. 157

158 2.2 PBL height detection with the minimum gradient method

159 At GNSS L-band frequencies, the atmospheric refractivity (*N* in N-units) is derived from the 160 refractive index *n*, where $N = (n - 1) \ge 10^6$ and, in the neutral atmosphere (Kursinski et al., 161 1997), is a function of the atmospheric pressure (*P* in mb), temperature (*T* in K), and partial 162 pressure of water vapor (P_w in mb) as seen in Eq. (1) from Smith and Weintraub (1953).

163 $N = 77.6 \frac{P}{T} + 3.73 \times 10^5 \frac{P_W}{T^2},$

(1)

- Atmospheric refractivity decreases exponentially with height which, all else being equal yields a
 negative value vertical gradient. As such, the minimum refractivity describes the largest
 magnitude value.
- Over the subtropical eastern oceans, a sharp decrease in moisture is often associated with a strong temperature inversion marking a clear transition from the PBL to the FA. Both the <u>distinct</u> <u>decrease in moisture lapse</u> and the temperature inversion lead to a sharp negative refractivity gradient which can be precisely detected from GNSS RO. Numerous studies have implemented the simple <u>minimum</u> gradient method to detect the PBLH, <u>which is i.e.</u> the <u>locationheight</u> of the

minimum refractivity gradient (Ao et al., 2012; Seidal et al., 2010; Xie et al., 2006). When the vertical refractivity gradient is less than the critical refraction (dN/dz \sim 157.0 N units km⁻¹), ducting occurs (Sokolovskiy, 2003). To better<u>To</u> assess the strength of the refractivity gradient for more robustrobustness of PBLH detection with gradient method, Ao et al. (2012) introduced the sharpness parameter, which is defined (\tilde{N}') to measure the relative magnitude of the minimum gradient from surface to 5 km as the ratio of the minimum vertical refractivity gradient to the root mean square error of the refractivity gradient profile (eq. 2).follows:

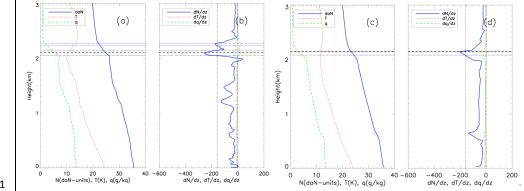
179
$$\begin{vmatrix} \tilde{X}^{t} \equiv -\frac{x_{min}^{t}}{x_{mMS}^{t}} \tilde{N}' \equiv -\frac{N_{min}'}{N_{RMS}'},\\ 180 \qquad (2) \end{cases}$$

181 Each refractivity gradient profile can then be filtered to identify the PBLH values with sharpness 182 parameter exceeding certaina specific threshold, thus increasing the robustness of PBLH detection. In this study, the MAGIC radiosonde refractivity profiles were first interpolated to a 183 uniform 10 m vertical grid and then smoothed by a 100 m boxcar window to reduce the noise in 184 the N-gradient profile that is a result of resulting from the high sampling rate. Moreover, the 100 185 m smoothed radiosonde will be more consistent with the vertical resolution of GNSS RO 186 measurements (e.g. Gorbunov et al., 2004). Colocated ERA5 data were also vertically 187 interpolated to the same 10 m grid but not smoothed as these data do not contain the inherent 188 noise as the radiosonde observations. In addition, as the elevated ducting layer is the focus of this 189 study, the lowest 0.3 km above mean-sea-level of the N-profile near surface are excluded (e.g., 190 Xie et al., 2012). Subsequently, the height of the minimum refractivity gradient (within 0.3 km 191 and 5 km) will be identified as the PBLH. 192

193 2.3 Ducting layers

194 When the vertical refractivity gradient is less than the critical refraction $(dN/dz \approx -157.0 N$ -units 195 km^{-1} , ducting occurs (Sokolovskiy, 2003) A ducting layer is identified as any interval of 196 continuous points with a vertical refractivity gradient equal to or less than -157 N-units km^{-1} . 197 Instances of multiple ducting layers occurring within a profile are present foinfor both the 198 MAGIC (31.5%) and ERA5 (6.7%) data sets. A ducting layers is identified as any interval of 199 continuous points with refractivity gradient equal to or less than -157 N units km^{-1} . Note, 199 howeverIn this study, we only refer to the "ducting layer" of each profile as therecognize one 201 dominant "ducting layer-corresponding to the layer in which" in each profile where the minimum 202 vertical gradient is located $(\underline{Fig-2a d})$. The ducting layer thickness (Δh) is defined as the interval between the top and bottom of the ducting layer where the N-refractivity gradients reach critical 203 refraction. Similarly, the strength of each ducting layer (ΔN) is defined as the refractivity 204 difference between the bottom and top of the ducting layer. The ducting layer height is in 205 reference to the top of the ducting layer (Ao, 2007), which is generally slightly above the PBLH. 206 Figure 2 illustrates two ducting layers in a representative MAGIC radiosonde case near 150°, 207 but only one in the colocated ERA5 profile. Profiles of radiosonde shows vertical profiles of 208 209 refractivity (deca N-units, daN x 1/10, N/10), temperature (T), and specific humidity (q) 210 and along with their respective vertical gradients (dN/dz, dT/dz and dq/dz) are shown in from a 211 representative MAGIC radiosonde (Fig. 2a and b) case located at (23.69°N, -150.02°E), and its colocated ERA5 (Fig. 2b, respectively. Similar plots for the collocated ERA5 profiles are shown 212 213 in Fig. 2c and Fig. 2d.,d) profile at (23.75°N, -150.00°E). The PBLH of the radiosonde (2.10 214 km) is almost identical to the colocated ERA5 (2.14 km) and the "dominant" ducting layer near 215 the PBLH demonstrates similar thickness. However, a second, weaker ducting layer seen in the radiosonde above the PBLH was not captured by the ERA5. This is likely due to the lower 216 vertical resolution in ERA5 as can be seen in the gradient plots (Fig. 2b and Fig. 2d). 217

It is also worth noting that the residual layer between 1.2 1.5 km with gradient close to critical
 refraction is seen in the radiosonde is also seen in the ERA5 profile, but at a much lower altitude
 (-0.7 km).



Formatted: Font: Italic

221

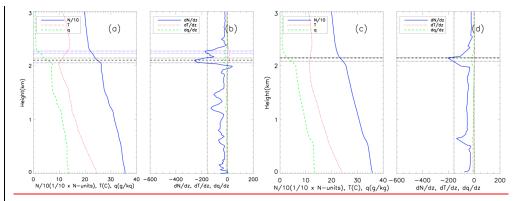


Figure 2: (a) MAGIC radiosonde (150.00°) and (c) colocated ERA5 (150.00°)Vertical profiles of refractivity ($daN1/10 \ge N$ in N-units, N/10, solid blue), temperature (T in $K^{\circ}C$, dotted red) and specific humidity (q in g kg⁻¹, dashed green); (b) the) for (a) radiosonde at ($23.69^{\circ}N$, $-150.02^{\circ}E$) launched at 2012-10-22, 05:30 UTC, and (c) colocated ERA5 at ($23.75^{\circ}N$, $-150.00^{\circ}E$); and associated radiosonde and (d) ERA5-gradient profiles-for radiosonde (b) and ERA5 (d). The horizontal dashed line highlights the height of the minimum gradient, i.e., PBLH. The paired horizontal dotted lines represent the bottom and top of the two ducting layers in the radiosonde profile; (a and b) but only one in the ERA5 profile-(c and d).

229 2.4 Evaluation of GNSS RO *N*-bias resulting from ducting

222 223 224

225

226

227

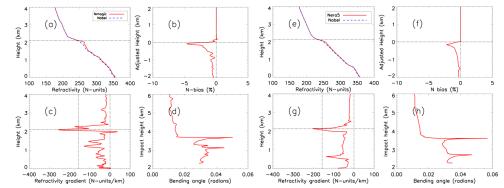
228

In order to estimate the systematic negative N-bias in GNSS RO observations in the presence of 230 ducting, we use an end-to-end simulation on the radiosonde and ERA5 refractivity profiles. The 231 232 simulation consists of a two-step process adapted from Xie et al. (2006). The first step is to 233 simulate the 1-dimensional GNSS RO bending angle as a function of impact parameter (i.e., the product of refractive index and the radius of the Earth's curvature) by 234 235 forward Abel integration of an input refractivity profile assuming a spherically symmetric atmosphere- (Sokolovskiy, 2001; Eshleman, 1973, Fjeldbo and Eshleman, 1968). The second 236 step is to simulate the GNSS RO refractivity retrieval by applying the Abel inversion on the 237 simulated bending angle from step one. In the absence of ducting, the impact parameter (i.e., the 238 239 product of refractive index and the radius of the curvature) decreases increases monotonically with height, allowing a unique solution to the inverse Abel retrieval that is the same as the 240 original refractivity profile input. However, in the presence of an elevated ducting layer, the Abel 241 242 retrieval systematically underestimates the refractivity profile due to the non-unique Abel inversion problem resulting from the singularity in bending angle across the ducting layer (Xie et 243 al., 2006; Sokolovskiy 2003;). Xie et al., 2006). It should be noted that after the 100 m vertical 244 smoothing on radiosonde (no smoothing on ERA5) profiles as described in section 2.2, an 245 additional 50 m vertical smoothing has been applied to the simulated bending angle profiles of 246

Formatted: Font: Italic

both radiosonde and ERA5 data sets to alleviate the challenge of integration through the very
sharp bending angle resulting from ducting in the inverse Abel integration procedure (Feng et al.,
2020).

Figure 3 shows the end-to-end simulation results for the same radiosonde (a-d) and the 250 colocated ERA5 (e-h) cases from Fig. 2. Figures 3a and 3e show the input refractivity 251 profile profiles from the radiosonde (N_{rds}) and the colocated ERA5 (N_{ERA5}) and data as well as 252 their corresponding Abel refractivity retrievalretrievals (N_{Abel}), respectively.). The PBLH is 253 254 marked by a horizontal dotted line. The peak bending angle is consistent with the sharp refractivity gradient. Figure 3b shows the fractional N-bias between the simulated Abel retrieved 255 256 RO refractivity profile and the observation, i.e., $((N_{Abel} - N_{Obs})/N_{Obs})^* 100\%$. Considering the 257 significant spatial and temporal variations of ducting height along the transect, each N-bias 258 profile is normalized to its PBLH for the purposes of comparison. For example, the zero-adjusted 259 height refers to the PBLH for each individual profile. The systematic negative N-bias is clearly shown below the ducting layer marked by the PBLH in both cases, with the biases decreasing at 260 lower altitude, the largest magnitude bias (-(-5%) for radiosonde; -2.5% for ERA5) close to the 261 ducting height and a minimum magnitude approaching zero near the surface. 262





268

269

Figure 3: Four panel comparison of individual profiles of N_{Obs} vs. N_{Aber} that are reconstructed through the endEnd-to-end simulation. Four panels data for MAGIC of radiosonde launched at 0530 UTC on 20121002 showing: (a) N_{Obs} (solid red) and N_{Abel} (blue dashed) from surface to 104 km; (b) <u>PBLH</u> adjusted *N*-bias ($(N_{Abel} - N_{Obs})/N_{Obs})/N_{Obs}/N_{Obs}$ (c) minimum refractivity gradient and (d) bending angle vs. impact parameter. Colocated ERA5 profiles are The same is shown in panels e-h, respectively for the colocated ERA5 profile.

3 3 Analysis

270 Out of a total of 583 MAGICQuality control for radiosonde (and eo locatedcolocated ERA5)
271 profiles, quality control has been implemented was based on five key criteria. First, a total of 19

10

Formatted: Font: Italic

Formatted: Normal, No bullets or numbering

radiosonde and 24 ERA5 profiles near the southern California coast were removed due to a zonal 272 positiontheir positions east of $\frac{120^{\circ}}{120^{\circ}}$ or anomalously high PBL-heights (PBLH > 3.0 km) 273 with no distinct minimum gradient. The remaining profiles in the easternmost portion of the 274 domain were too few in number to calculate meaningful statistics. Second, any profile lacking 275 critical refraction (i.e. dN/dz < -157 N-units km⁻¹) points was excluded from the analysis 276 which resulted in the removal of 47 radiosonde and 176 ERA5 profiles. Third, the noisy bending 277 278 angle could result in errors in Abel refractivity retrieval and cause positive N-bias. Therefore, the profiles with N-bias greater than +0.5% are excluded resulting in the removal of 61 MAGIC 279 280 profiles and 16 ERA5 profiles. Fourth, the profiles with only surface ducting-are discarded when the only refractivity gradient less than <u>157 N units km⁻¹-occurs</u>, i.e., below the 300 m threshold, 281 282 are discarded. Finally, 25 radiosonde profiles and 2 ERA5 profiles were removed due to the Abel 283 retrieval failure. After implementing all quality control measures, the number of radiosonde and 284 ERA5 profiles used for the *N*-bias analysis is reduced to 396 and 319 profiles, respectively.

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

285 3.1 PBL climatologyanalysis

To evaluate the ducting elimatologyproperties along the transect from the coast of southern 286 287 California to Hawaii, we group the MAGIC radiosonde and the colocated ERA5 profiles into 288 eight 5° longitude bins between 160.0° and 120.0°. The equally spaced bins are centered at 157.5°, 152.5°, 147.5°, 142.5°, 137.5°, 132.5°, 127.5° and 122.5° -160.0° and -120.0°, 289 which allows for the spatial variation of the PBL, ducting layer and the associated properties 290 291 along the transect to be easily illustrated. Figure 4 shows the median value of PBLH (a), sharpness (b) and minimum gradient (b) and sharpness parameter (c) along the transect. The 292 293 median-absolute-deviation (MAD) for each parameter is also shown.

294 In Fig. 4a, the MAGIC radiosondes clearly show the gradual increase of the PBLH along the 295 transect from the shallow stratocumulus-topped PBL (~800 m) near the southern California coast 296 westward to the much deeper trade-cumulus regime (~1.8 km) near Hawaii. A similar structure is seen in the colocated ERA5 data but with an average low bias of 165 m below the radiosonde. 297 298 However, a nearly 800 m underestimation in PBLH over the two westernmost bins near Hawaii is also seen, this is consistent with what is found over the equivalent trade cumulus region of the 299 subtropical southeast Pacific Ocean (Xie et al., 2012). Such a discrepancy could be due to the 300 sensitivity of gradient method to the vertical resolution of the data. Over the western segment of 301

302 the transect (near Hawaii), two major gradient layers (one at ~ 1 km and the other at ~ 2 km) with 303 comparable refractivity gradients are often observed (e.g., Fig. Such a discrepancy could be due to the decreasing vertical resolution with height in the ERA5 profiles. This results in a sharper 304 refractivity gradient caused by the frequent residual layer (below 1 km) as compared to the actual 305 PBLH near 2 km.2). The gradient layer at around 2 km is well-known as the trade-wind 306 307 inversion. While the lower-level gradient layer at ~1 km, is generally called a mixing layer. Note 308 the radiosonde data exhibit consistent vertical sampling (~8 m resolution) below 3 km, and 309 resolve both layers well. However, the ERA5 data have an uneven vertical sampling intervals 310 increasing with height, with 10 - 100 m resolution below 1 km, 100 - 160 m within 1-2 km, and 311 160 – 200 m within 2-3 km. Therefore, the ERA5 data are more likely to resolve the sharp 312 gradient structure below 1 km than the one at higher altitude. This could result in resolving the mixing layer (below 1 km) with the sharpest refractivity gradient, instead of the trade-wind 313 314 inversion near 2 km in the ERA5 data. Note that the larger median absolute deviation for the 315 westernmost bins compared to the rest of the transect illustrates the existence of greater PBLH 316 variability closer to the trade-cumulus-topped boundary layer-

317 regime. The westward decreasing magnitude of the minimum refractivity gradient (Fig. 4b) and
318 sharpness parameter (Fig. 4c) indicates the westward weakening of moisture lapse rate and/or
319 temperature inversion across the PBL top, which is consistent with the decreasing synoptic-scale
320 subsidence from the California coast to Hawaii- (Riehl, 1979).

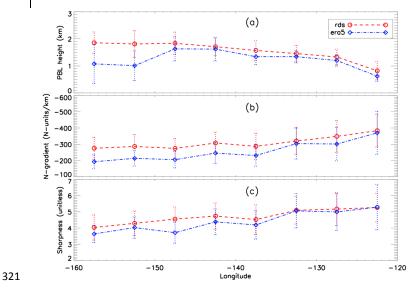


Figure 4: Zonal transect of 5° bin MAGIC and ERA5 (a) PBLH, (b) sharpness parameter and (c) (a), minimum refractivity gradient (b) and sharpness parameter (c) for MAGIC (median in red circle and dashed line, MAD in dashedred dotted error bars) and ERA5 (median in blue diamond, MAD in and dot-dashed line, MAD in blue dotted error bars).

It is also notable that the ERA5 systemically systematically underestimates not only the PBLH_{τ} 327 but also the magnitude of the minimum N-gradient across the entire transect; this, This can also 328 be seen in the sharpness parameter west of -132.5° . This discrepancy could again be partially 329 330 attributed to the decrease in vertical sampling in ERA5 profiles as compared to the radiosondes, 331 the result of which leads to a weaker PBL N-refractivity gradient and coincides with an increasing PBLH. Therefore, the underestimation of the ERA5 minimum N-refractivity gradient 332 333 increases in magnitude from east to west and becomes most prominent near Hawaii where the 334 PBLH reaches the maximum height over the region.

335 **3.2 Ducting** elimatologycharacteristics

322 323 324

325

326

As introduced in Sect. 2.3, the key characteristics of the ducting layer along the transect will be investigated, these include the ducting layer height, thickness (Δh), and strength (ΔN), as well as the average refractivity gradient within the ducting layer ($\Delta N/\Delta h$). All parameters are interpolated to a 10 m vertical grid.

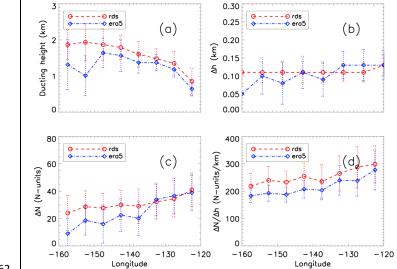
The ducting layer heights from both radiosonde and ERA5 show a westward increase along the transect (Fig. 5a), which is similar to the PBLHseen in Fig. 4a5a. Note again that the ERA5 shows a systematic ~100-_200 m low bias when compared to the radiosondes between -_122.5° and -_147.5°, with the difference increasing to more than 500 m near Hawaii.

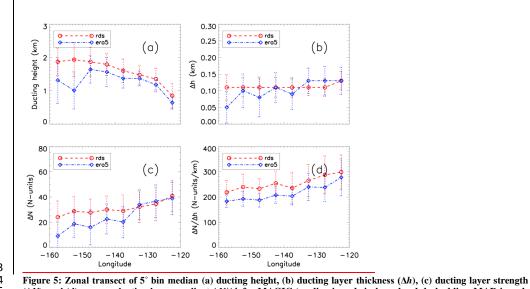
The ducting layer thickness is the median height from the bottom of the ducting layer to the top and is expressed in km (Fig. 5b). Ducting thickness (Δh) for MAGIC shows a near constant value of 110 m across the entire transect with only a slight increase to 130 m at -<u>122.5°; this is</u>, consistent with findings from Ao et al. (2003). Conversely, the ERA5 shows a constant but slightly thicker ducting layer to the east of -<u>137.5°</u> and then a decreasing thickness to the west of -<u>137.5°</u> (Fig. 5b).

The ducting layer strength is the decrease in refractivity from the bottom of the ducting layer to the top (Fig. 5c) and the ratio $\Delta N/\Delta h$ reflects the average gradient of the ducting layer (Fig. 5d). The ducting strength (ΔN) for the radiosondes <u>generally</u> ranges from 25 N-units near Hawaii to 40 N-units near the coast of California. Both ΔN and $\Delta N/\Delta h$ show an overall westward

Formatted: Font: 12 pt, Not Bold Formatted: Line spacing: 1.5 lines

decreasing trend along the transect which is consistent with the decrease in magnitude of the N-354 refractivity gradient (Fig. 4b). Note that MAGIC and ERA5 show similar ducting strength in the 355 eastern part of the region but diverge near -_137.5° with ERA5 10 to 20 N-units weaker than the 356 MAGIC profiles. On the other hand, ERA5 shows a systematic lower average refractivity 357 gradient $(\Delta N/\Delta h)$ than MAGIC throughout the transect, indicating the challenge in ERA5 to 358 consistently resolve the sharp vertical structure in refractivity, and likewise in temperature and 359 360 moisture profiles, across such a thin ducting layer. The problem becomes acutely clear near the trade cumulus region. 361

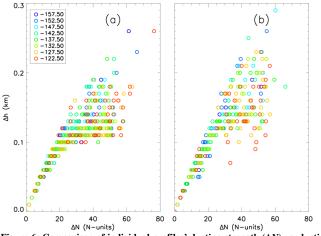


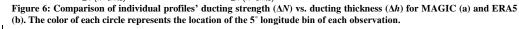


 (ΔN) , and (d) average ducting layer gradient $\Delta N/\Delta h$ for MAGIC (median in red circle and red-dashed line, MAD in reddotted error bars) and ERA5 (median in blue diamond and dot-dashed error barsline, MAD in blue-dotted error bar). Figure 6 shows ducting layer thickness as a function of ducting layer strength, with each data point colored by its respective longitude bin. The relationship between Δh and ΔN is not longitude-dependent for either data set, but a linear trend is evident for thinner ducting layers (Δh < 0.1 km) with weaker ducting strength ($\Delta N < \sim 25$ N-units). However, for the ducting layers 371 372 thicker than the median value of 0.1 km, such a trend becomes less identifiable, and the ducting

374

373 strength ΔN begins to show more variability toward larger values. Formatted: Font: 9 pt, Bold Formatted: Justified, Line spacing: single





378 **3.3 Ducting-induced GNSS RO** *N*-bias statistics

375 376 377

To estimate the systematic negative *N*-bias in GNSS RO observations due to ducting, we have applied the end-to-end simulation <u>described in sect. 2.4</u> to all radiosonde and ERA5 refractivity profiles with at least one elevated ducting layer detected <u>(details in Sect. 2.5),</u> The *N*-bias elimatology along the transect as well as its relationship to the ducting properties are presented below.

384 3.3.1 Assessing ducting-induced N-bias-climatology

385	Figure 7 shows a composite of both MAGIC (396 profiles) and ERA5 (319 profiles) N-bias
386	profiles which have been normalized to their PBLH, with the median <i>N</i> -bias and MAD overlaid.
387	The comparison reveals a number of occurrences of multiple ducting layers above the minimum
388	gradient identified PBL in the MAGIC data while there are significantly less occurrences in the
389	ERA5 data. Figure 7 illustrates the systematicallysystematic negative N-bias peaks at
390	nearlyapproximately 100 m below the PBLH (ducting height) and decreases at lower altitudes.
391	Many radiosonde profiles show smaller negative N biases above the PBLH (e.g., zero adjusted
392	height), but only a few in ERA5 which is a result of the secondary ducting layers above the
393	major ducting layer near PBLH. altitude. The peak median value of the N-bias for radiosondes is
394	5.42% (MAD, 2.92%), nearly twice the ERA5 value of2.96% (MAD, 2.59%). It is worth

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic
Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

395	noting that %), indicating the significant underestimation of ducting strength in ERA5 data.
396	However, the variabilities (MAD) betweenof the radiosonde and ERA5 data are very close to
397	within 0.33% of each other, indicating that ERA5 data successfully capture the variations of
398	ducting features seen in the radiosondes. It is worth noting that many radiosonde profiles show
399	small negative N-biases above the PBLH (i.e., zero-adjusted height), which is the result of a
400	secondary ducting layer above the major ducting layer near the PBLH. Conversely, few ERA5
401	profiles show the presence of the secondary ducting layer above PBLH.
402	A closer look at each data set reveals that the difference between the 5° median PBLH and height
403	of the maximum N bias (hPBL-hN bias) is positive for all bins. The maximum difference of 100 m
404	is located in bin 137.5° and a minimum difference of ~15 m at bin 152.5°. Comparatively, the

405 ERA5 PBL height greater than the N bias height each reflects hin with mov11 406 difference of 230 m located at 142.5° and a minimum of 45 m at The ERA5 data show 407 a larger average height difference between the PBL and N bias (120 m) than the radiosonde data 408 (70 m).

The N bias comparison of the 5° bin median values of the two data sets favors the radiosonde
data with smallest magnitude difference located at bin -147.5° (-4.37%) and largest magnitude
difference of -7.86% located at bin -122.5°. Comparatively, the ERA5 minimum N bias
difference of -0.77% (-157.5°) is much lower than the radiosonde while the maximum difference
is similar in both magnitude (-5.92%) and location (-122.5°).

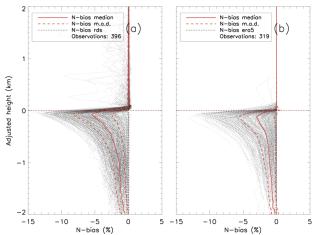


Figure 7: Fractional refractivity difference (*N*-bias) in %) between the simulated Abel-retrieved refractivity profile and the original observation profile ($(N_{Abel^-} - N_{Obs})/N_{Obs}$)*100%), for all individual observations (dotted gray): (a) MAGIC

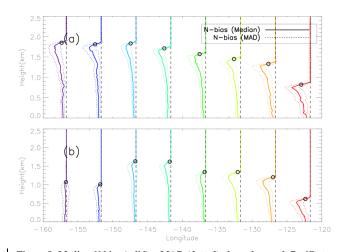
417 418	radiosondes (396 total profiles) and (b) ERA5 (319 total profiles) with population median (solid red) \pm MAD (dashed red). Note the zero value in the adjusted height refers to the PBLH for each individual <i>N</i> -bias profile.
419	3.3.2 Zonal variation of the <i>N</i> -bias along the transect
420	To illustrate the large variation in the N-bias vertical structure resulting from the spatial
421	variationvariations of ducting height and strength, we separately presentFig. 8 presents the N-
422	bias profiles (median \pm MAD) for each 5° bin, replacing the zero adjusted height with the median
423	PBLH for each bin (Fig. 8). The radiosonde composite (Fig. 8a) illustrates the westward
424	transition of the median <i>N</i> -bias heightprofiles from 1.8 kmthe largest peak <i>N</i> -bias at Honolulu,
425	HI to ~0.8 km near the coast of Los Angeles, CA.California, to a much reduced peak N-bias but
426	higher altitude of ~1.8 km at Honolulu, Hawaii. Table 1 provides supplemental lists detailed
427	statistics of the peak N-bias values at each bin for the Fig. 8 illustration of the both radiosonde
428	and ERA5 statistical climatology. data. Although the vertical structure of the N-bias profiles
429	along the transect are consistent as seen in Fig. 7, significant changes of the N-bias magnitude
430	and its peak N-bias occurring height along the transect are clearly seen.
431	The maximum peak N-bias (-7.86%) in the radiosonde N bias variation shows a data is located
432	at the easternmost of the transect near California (-122.5°E). Whereas the minimum magnitude
433	ofpeak N-bias (-4.37%) is located near the center of the transect and two of the largest
434	magnitude(-147.5°E). Similarly, the ERA5 also show the maximum peak N-bias (-5.92%) near
435	California (-122.5°E). However, the minimum peak N-bias (-0.77%) is found near Hawaii
436	(-157.5°E). Overall, the N-bias in ERA5 are smaller than radiosonde in all bins. However, a
437	noticeable difference values of as the bookends while the ERA5 N bias values have a larger
438	range but peak values (5.41% to 6.23%) in the three bins closest to California; note the
439	significantly reduced peak N bias to the west of 137.5° (3.10% to 0.71%). Moreover, a
440	discontinuity exists inbetween the ERA5 and radiosonde profiles for the two westernmost
441	longitude bins $(-(-157.5^{\circ}5^{\circ}E)$ and $-(-152.5^{\circ})$ which show a markedly $5^{\circ}E$) where the ERA5 reveals
442	a much lower and weaker N-bias-than the MAGIC data.
443	Note that the PBLH is above the height of the peak N-bias, with a maximum difference of 100 m
444	(-137.5°E) and a minimum difference of ~15 m (-152.5°E). Comparatively, the ERA5 PBL
445	height shows greater difference than the height of peak N-bias with a maximum difference of
446	230 m (-142.5°E) and a minimum of ~45 m (-157.5°E).
447	

Formatted: Font: Italic
Formatted: Font: Italic

Formatted: Font: Italic	
Formatted: Font: Italic	

Formatted: Font: Italic

Formatted: Font: Italic



450 451 452

Figure 8: Median N-bias (solid) \pm MAD (dotted) along the north Pacific transect for MAGIC radiosondes (a) and ERA5 (b). Open circles represent the median <u>PBL heightPBLH</u> for each 5° bin.

Table 1: 5° bin medianMedian and MAD peak V-bias values for MAGIC radiosondes (RDS) and ERA5 for each 5° bin.

Peak N-bias				
Longitude	RDS	RDS	ERA5	ERA5
	median	MAD	median	MAD
-157.5°	-6.11	± 2.85	-0.71	± 1.80
-152.5°	-5.24	± 2.91	-2.23	± 1.68
-147.5°	-4.85	± 2.18	-2.03	±2.25
-142.5°	-5.78	±2.44	-3.10	±2.24
-137.5°	-5.34	±2.95	-2.60	±2.21
-132.5°	-5.92	±3.14	-5.41	±2.79
-127.5°	-6.42	± 3.38	-5.60	±2.74
-122.5°	-8.10	±3.27	-6.23	± 2.98

Peak N-bias				
Longitude	RDS	RDS	ERA5	ERA5
	median	MAD	median	MAD
-157.5°	-5.12	±2.61	-0.77	±1.73
-152.5°	-5.10	±2.97	-1.76	±1.61
-147.5°	-4.37	±2.14	-1.83	±2.10
-142.5°	-5.36	±2.53	-2.95	±2.17
-137.5°	-4.82	±2.96	-2.31	±2.14
-132.5°	-5.90	±3.03	-5.31	±2.68
-127.5°	-6.55	±3.40	-5.45	±2.88
-122.5°	-7.86	±3.15	-5.92	±3.04

 Formatted: Font: Italic

459	Figure 9 further illustrates the peak <i>N</i> -bias, median PBL <i>N</i> -bias (0.3 km to PBLH), and the near
460	surface <i>N</i> -bias (at 0.3 km) at each bin along the transect. Note-that the quality control process
461	removes the refractivity profiles below 0.3 km. Therefore, the median N bias is the median PBL
462	<u>N-bias refer to the median value from the near surface (0.3 km) to the PBLH.</u>
463	Contrary to the general trend of westward decrease in magnitude of the minimum N-refractivity
464	gradient (Fig. 4b) and ducting strength (Fig. 5c), the radiosonde peak N-bias shows the
465	maximum (median: – <u>–</u> 8.10%, MAD: 3.26%) near California (–(<u>–</u> 122. <u>5°5°E</u>) and the minimum
466	(median: -4.85% , MAD: 2.18%) over the transition region $(-(-147.5^{\circ}5^{\circ}E))$ as well as a slight
467	increase to a secondary maximum (median: - <u>6.11%</u> , MAD: 2.85%) near Hawaii (<u>(-</u> 157. 5°5°E).
468	The median PBL N-bias and the near surface N-bias also show a similar pattern. However, the
469	median N-bias demonstrates a sharp decrease in the eastern half of the domain from5.25%
470	(MAD: 2.71%) at - <u>122.5°5°E</u> to - <u>1.71%</u> (MAD: 1.26%) at - <u>137.5°5°E</u> , and then remains
471	relatively constant over the western half of the domain. Similarly, the near surface <i>N</i> -bias reaches
472	a maximum magnitude of - <u>-</u> 3.54% (MAD: 2.11%) and %), sharply decreases to - <u>-</u> 1.06% (MAD:
473	0.85%) at $137.5^{\circ}5^{\circ}E$, and then remains relatively constant over the western half of the domain.
474	It is important to point outNote that the much higher ducting height and larger variation near
475	Hawaii as compared to California leads to smoothed and much smaller median N gradient values
476	(Fig. 4b), which also results in a smallernormalizing each N-bias without being
477	normalized profile to the PBLH- preserves the magnitude of the N-bias with various heights.
478	Therefore, the relatively large normalized <i>N</i> -bias observed near Hawaii indicates the presence of
479	strongmore persistent ducting over the trade-cumulus boundary layer regime (Fig. 8a), which
480	will lead to comparable N bias to that over compared to the stratocumulus topped PBL.transition
481	region in the middle of the transect at -147.5°E (Fig. 8a).
482	On the other hand, the ERA5 data show a westward decrease of all three <i>N</i> -biases, systematically
483	underestimating all three as compared to the radiosondes. This is expected as the decrease of
484	ERA5 vertical resolution at higher altitude leads to a weaker PBL <i>N</i> -gradient observation (Fig.
485	4b), and thus weaker ducting and a smaller ducting-induced <i>N</i> -bias. Such underestimation of the
486	N-bias in the ERA5 is at a minimumminimizes near California where the PBLH is lowest but
487	becomes more severe westward with an increase in height, reaching a maximum magnitude N-
488	bias difference near Hawaii. In this case, the peak N-bias is merely -0.71% (MAD: 1.80%) as
489	compared to -6.23% (MAD: 2.98%) at $-122.5^{\circ}5^{\circ}E$ (Fig. 9a and Table 1). The large difference

Formatted: Font: Italic
Formatted: Font: Italic
Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic Formatted: Font: Italic Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

 Formatted: Font: Italic

 Formatted: Font: Italic

 Formatted: Font: Italic

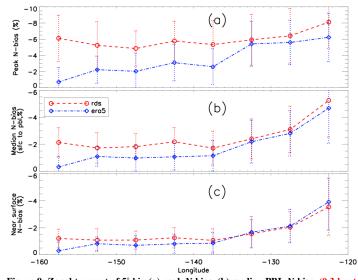
 Formatted: Font: Italic

 Formatted: Font: Italic

 Formatted: Font: Italic

 Formatted: Font: Italic

490	seen in the N-bias along the transect strongly indicates the challenges of the ERA5 data to Formatted: Font: Italic
491	resolve the sharp gradient across the ducting layer, resulting in a large variation in PBLH of the
492	ERA5 data in the western segment of the region. The increasing difference between the
493	radiosonde and ERA5 data from east to west is most pronounced in the peak <i>N</i> -bias cross-section Formatted: Font: Italic
494	(Fig. 9a) but is also clearly evident in both the median <i>N</i> -bias (Fig. 9b) as well as the near surface Formatted: Font: Italic
495	N-bias (Fig. 9c).



496
497 | Figure 9: Zonal transect of 5° bin (a) peak N-bias, (b) median PBL N-bias, (0.3 km to PBLH), and (c) near surface N-bias
498 at 0.3 km for MAGIC (median in red circle and red-dashed line, MAD in red-dotted error bar) and ERA5 (median in blue diamond and dot-dashed line, MAD in blue-dotted error bar)

500 3.3.3 The relationship between *N*-bias climatology and key variable analysisyariables

501 Figure 10 shows a scatter plot of the PBLH vs. height of maximum peak N-bias along the transect 502 with each data point colored by the center longitude of the bin to which it belongs. The PBLH 503 and the height of maximum peak N-bias show a clear linear relationship with high correlation for 504 both the MAGIC (0.89) and ERA5 (0.98) data. The majority of the radiosonde data show the heights of the maximumpeak N-bias alignsalign well with the PBLH but with a very small low 505 bias (less than 7080 m). The reason for the lower correlation value when compared to the 506 ERA5in MAGIC data is attributed to outlier cases when the radiosonde N-bias profiles with a 507 double peak at which the larger magnitude bias is located (Fig. 7a). On the other hand, the ERA5 508 maximum ducting heights show little difference from the PBLH near California (e.g., -509

Formatted: Font: Italic Formatted: Font: Italic Formatted: Font: Italic Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

Formatted: Font: Italic

510 <u>–</u> 511 di

 $[-122.5^{\circ}5^{\circ}E)$, but become lower moving westward, which is illustrated by the increasing difference between the linear regression line and the 1:1 line.

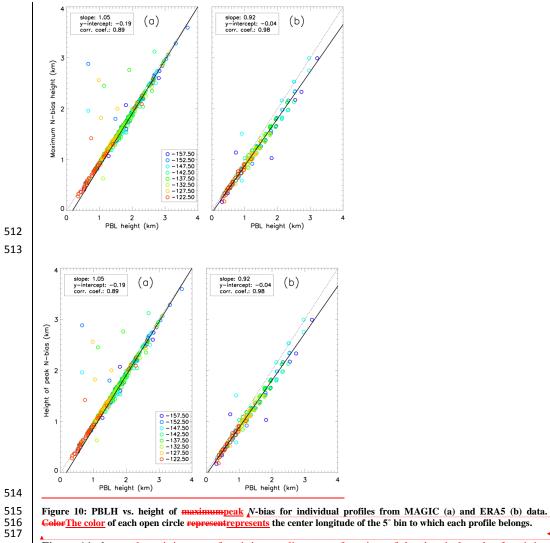
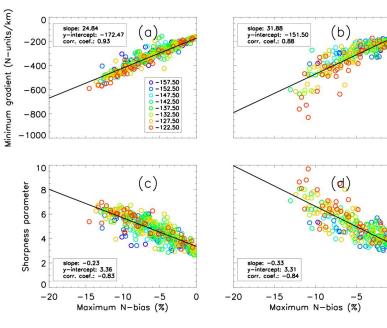


Figure 10: PBLH vs. height of maximumpeak N-bias for individual profiles from MAGIC (a) and ERA5 (b) data.	 Formatted: Font: Italic
Color The color of each open circle representation represents the center longitude of the 5° bin to which each profile belongs.	
▲	 Formatted: Font: 9 pt, Bold
Figure 11 shows the minimum refractivity gradient as a function of ducting induced refractivity	Formatted: Justified, Line spacing: single
bias for MAGIC radiosondes (a) and ERA5 (b) and the corresponding sharpness parameters (c)	
and (d), respectively. Aa near-linear relationship between the minimum refractivity gradients and	
the maximumpeak N-biases is evident for both MAGIC radiosondes and ERA5 profiles; in other	 Formatted: Font: Italic
words, i.e., the sharper the N-refractivity gradient, the larger the N-bias. The linear fit function	 Formatted: Font: Italic

along with the The correlation coefficient for both MAGIC radiosondes (0.93) and the ERA5 523 profiles (0.88) are also presented. 524 The sharpness parameter (Fig. 11c, 11d) also shows a linear relationship with the maximum N-525 bias which is a result of its dependence on the minimum N-gradient. While a similar conclusion 526 527 interesting to note that the difference in the correlation of the radiosonde (can be reached. 528 0.83(0.84) does not lie in the magnitude peak N and the ERA5 observations with the larger bias, but in those closer to zero as the radiosonde data clearly centers below the regression line 529 above while the ERA5 with peak N bias less than 5% are centered around the 530 and trends 531 regression line. In the case of both key variablesrefractivity gradient. Interestingly, their 532 relationship with the peak N-bias exhibits no indication of zonal dependence.

Formatted: Font: Italic

Formatted: Font: Italic



533

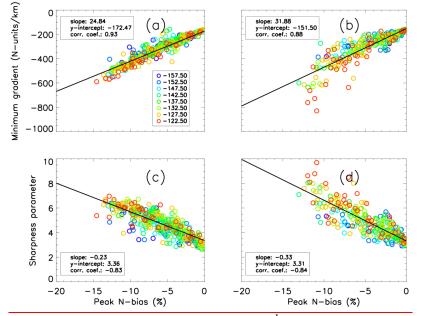


Figure 11: (a, b) Minimum refractivity gradient (N-units km⁻¹) and (c, d) sharpness parameter, as a function of the maximumpeak N-bias (%) for MAGIC (a, c) and ERA5 (b, d) data with the line of linear regression in solid black. Color of each open circle represents the center longitude of the 5° bin to which each profile belongs.

Formatted: Normal, No bullets or numbering Formatted: Font: Times New Roman, 12 pt, Bold

Formatted: Font: Italic

4 4 Summary and Conclusions

In this study, radiosonde profiles from the MAGIC field campaign have been analyzed to
investigate the ducting elimatologycharacteristics and the impact of associated induced
systematic refractivity biases that occurin GNSS RO retrievals over the eastern
NorthNortheastern Pacific Ocean between Hawaii and California. Colocated ERA5 model
reanalysis data were used as a secondary comparison to the radiosonde observations.

The nearly 1-year high-resolution MAGIC radiosonde dataset reveals the frequent presence of ducting at a well defined PBL throughout the transect marked by a sharp refractivity gradient resulting from the large moisture lapse_rate across a strong temperature inversion layer. The PBLH increases by more than 1 km along the transect from CACalifornia to HIHawaii while the magnitude of the N-refractivity gradient decreases by 100 N-units km⁻¹.- The zonal gradient of both variables illustrates the transition of the PBL from shallow stratocumulus adjacent to the California coast to deeper trade-wind cumulus that are prevalent near the Hawaiian Islands. ToEnd-to-end simulation on all radiosonde and ERA5 refractivity profiles has been conducted to
estimate the systematic negative *N*-bias in GNSS RO observations-due to ducting, we applied an
end to end simulation on all radiosonde refractivity profiles that contained at least one elevated
ducting layer. The ducting layer thickness remainedmaintains remarkably consistent thickness
(110 m) acrossalong the transect with westward decreasing strength and increasing height. The
ERA5 slightly underestimates both the height and strength of the ducting layer and soas well as
the PBLH.

558 The maximum N-A systematic negative refractivity bias occurs just(*N*-bias) below the PBLH, 559 where the refractivity gradient ducting layer is strongest observed throughout the transect, 560 peaking (-5.42%) approximately 80 meters below the PBL height, and gradually decreasing 561 towards the surface (-0.5%). The height of the maximumpeak *N*-bias and the PBLH show a 562 highly positive correlation. The meanmedian difference between the two is about 7080 meters in 563 the radiosonde but increasing to about 120 meters in the colocated ERA5 data. The correlation 564 between the PBLH and the height of the maximum N bias is highly positive.

565 MAGIC radiosondes indicated indicate larger values of both ducting strength (ΔN) and thickness (Δh) than from ERA5 in the western half of the transect. The reverse opposite is true in the 566 eastern portion of the domain, and is likely associated with the transition of the cloud layer from 567 open-cell cumulus in the west to stratocumulus and stratus in the east (Wood et al., 2015; 568 Bretherton et al., 2019). While this segment of the transect also coincides with a better sampling 569 rate for the; Wood et al., 2011). The ERA5 data (~40 m vertical resolution), the ERA5 continues 570 to-systematically underestimate underestimates the average ducting layer gradient elimatology 571 $(\Delta N/\Delta h)$ when compared comparing to the radiosondes. The largest N-bias is located infound 572 over the region of with strongest ducting which also corresponds to the and largest sharpness 573 parameter. It is worth noting that the PBL over the western portion of the transect near Hawaii 574 575 frequently shows two major gradient layers (a mixing layer at ~1 km and the trade-inversion at ~ 2 km), with comparable N-gradients (e.g., Fig. The limited 2). The much lower PBLH seen in 576 577 ERA5 in this region is likely due, in part, to the decreasing number of model levels in ERA5 near 578 2 km causes ducting to be underrepresented near the trade wind inversion which is evident in at 579 higher altitude, which could lead to higher possibility of identifying the discrepancy 580 between lower gradient layer as the radiosonde and ERA5 PBLH cross sections PBLH. However, Formatted: Font: Italic

Formatted: Font: Italic

581	the impact of the vertical resolution on the performance of gradient method for PBLH detection	
582	has not been performed in this study and warrants more comprehensive study in the future.	
583	Future work will include a comprehensive simulation study to explore the regional difference in	
584	horizontal inhomogeneity and its impact on GNSS RO soundings. This research will improve	
585	RO data quality, enhance understanding of PBL inhomogeneity, and advances weather and	
586	climate prediction capabilities.	
587		
588		
589		
590		
591		
592		
593		
594		
595		
596		
597		
598		
599		
600		
601		
602		
		Formatted: Normal, No bullets or numbering
603	5 <u>5</u> Data availability	
604	Data for the Marine Atmospheric Radiation Measurement (ARM) GCSS Pacific Cross Section	
605	Intercomparison (GPCI) Investigation of Clouds (MAGIC, Zhou et al., 2015) can be accessed	
606	through the U.S. Department of Energy's Office of Science	
607	https://www.arm.gov/research/campaigns/amf2012magic.	
608	Data for the ECMWF Reanalysis version 5 (ERA5, Hersbach et al., 2020) can be accessed at	
609	https://www.ecmwf.int/en/forecasts/dataset/ecmwf-reanalysis-v5.	

6 Author contribution

Author Thomas Winning is responsible for all original text and, data analysis and production of graphics. Author Kevin Nelson contributed by providing updated data processing code, colocation of ERA5 data with MAGIC observations and first and second round edits. Author Feiqin Xie is the academic advisor for the primary author and also provided draft edits and paper organization and writing guidance.

616

610

6

617 **<u>7</u>** Competing interests

618 The authors declare no competing interests, see Acknowledgements for current affiliation.

Formatted: Normal, No bullets or numbering

Formatted: Pattern: Clear (White)

Formatted: Normal, No bullets or numbering

619 **7** <u>8</u> Acknowledgements

The authors acknowledge funding support of earlier work from NASA grant (NNX15AQ17G). 620 Authors T. Winning and K. Nelson were also partially supported by research assistantship from 621 622 Coastal Marine System Science Program at Texas A&M University - Corpus Christi. The highresolution ERA5 reanalysis data were acquired from ECMWF- and the Climate Data Service 623 (CDS). The MAGIC radiosonde data were provided by the Atmospheric Radiation Measurement 624 program (ARM) Climate Research Facility sponsored by the U.S. Department of Energy (DOE). 625 626 Author T. Winning's current affiliation: Ventura County Air Pollution Control District, Ventura, 627 CA, 93003, USA. Author T. Winning acknowledges this work was done as a private venture an academic pursuit in association with Texas A&M University - Corpus Christi and not in the 628 629 author's capacity as an employee of the Ventura County Air Pollution Control District. 630 Author K. Nelson's current affiliation: Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, 91109, USA. Author K. Nelson acknowledges this work was done 631 632 as a private venture and not in the authors' author's capacity as an employee of the Jet Propulsion 633 Laboratory, California Institute of Technology. 634 635

637

636

640	Anthes, R. A., and Coauthors: The COSMIC/FORMOSAT-3 Mission: Early Results, BAMS, 89, 313-334,
641	doi.org/10.1175/bams-89-3-313, 2008.
642	
643	Ao, C. O., Meehan T. K., Hajj, G. A., Mannucci, A. J., and Beyerle, G.: Lower Troposphere Refractivity Bias in
644	GPS Occultation Retrievals, J. Geophys. Res., 108, 4577, doi:10.1029/2002JD003216, 2003.
645	
646	Ao, C. O.: Effect of Ducting on Radio Occultation Measurements: An Assessment Based on High-resolution
647	Radiosonde Soundings, Radio Sci., 42, RS2008, doi.org/10.1029/2006RS003485, 2007.
648	
649	Ao, C. O., Chan, T. K., Iijima, A., Li, JL., Mannucci, A. J., Teixeira, J., Tian, B., and Waliser, D. E.: Planetary
650	Boundary Layer Information from GPS Radio Occultation Measurements, in: Proceedings of the GRAS SAF
651	Workshop on Applications of GPSRO Measurements, Vol. 5 of, GRAS SAF Workshop on Applications of GPSRO
652	Measurements, Reading, United Kingdom, ECMWF and EUMETSAT, 123-131,
653	https://www.ecmwf.int/sites/default/files/elibrary/2008/7459-planetary-boundary-layer-information-gps-radio-
654	occultation-measurements.pdf, 16-18 June, 2008.
655	
656	Ao, C. O., Waliser, D. E., Chan, S. K., Li, JL., Tian, B., Xie, F., and Mannucci, A. J.: Planetary boundary layer
657	heights from GPS radio occultation refractivity and humidity profiles, J. Geophys. Res., 117, D16117,
658	doi:10.1029/2012JD017598, 2012.
659	
660	Basha, G., and Ratnam, M. V.: Identification of atmospheric boundary layer height over a tropical station using
661	high-resolution radiosonde refractivity profiles: Comparison with GPS radio occultation measurements, J. Geophys.
662	Res., 114, doi.org/10.1029/2008jd011692, 2009.
663	
664	Beyerle, G., Gorbunov, M. E., and Ao, C.O.: Simulation studies of GPS radio occultation measurements, Radio Sci.,
665	38, 1084, doi:10.1029/2002RS002800, 2003.
666	
667	Bretherton, C.S., and Coauthors: Cloud, Aerosol, and Boundary Layer Structure across the Northeast Pacific
668	StratocumulusCumulus Transition as Observed during CSET, Mon.Wea. Rev., 147, 20832102. DOI:
669	10.1175/MWR-D-18-0281, 2019
670	
671	Eshleman, V.R.: The radio occultation method for the study of planetary atmospheres, Planet. Space Sci., 21, 1521-
672	<u>1531, doi.org/10.1016/0032-0633(73)90059-7, 1973.</u>
673	

674	Feng, X., Xie, F., Ao, C.O., and Anthes, R.A.: Ducting and Biases of GPS Radio Occultation Bending Angle and	
675	Refractivity in the Moist Lower Troposphere, J. Atmos. Oceanic Technol., 37, 1013-1025,	
676	doi.org/10.1175/HTECHJTECH-D-19-0206.1, 2020.	
677		
678	Fjeldbo, G., and Eshleman, V.R.: The Atmosphere of Mars Analyzed by Integral Inversion of the Mariner IV	
679	Occultation Data, Planet. Space Sci., 16, 1035-1059, doi.org/10.1016/0032-0633(68)90020-2, 1968.	
680		
681	Fjeldbo, G., Kliore, A.J., and Eshleman, V.R.: The Neutral Atmosphere of Venus as Studied with the Mariner V	
682	Radio Occultation Experiment, Astron. J., 76, 123-140, doi.org/10.1086/111096, 1971.	
683		
684	Garratt, J. R.: Review: the atmospheric boundary layer, Earth-Sci. Rev., 37, 89-134, 1994	
685		
686	Guo, P., Kuo, Y. H., Sokolovskiy, S. V., and Lenschow, D. H.: Estimating Atmospheric Boundary Layer Depth	
687	Using COSMIC Radio Occultation Data, J. Atmos. Sci., 68, 1703–1713, doi.org/10.1175/2011jas3612.1, 2011.	
688		
689	Gorbunov, M. E.: Canonical transform method for processing radio occultation data in the lower troposphere, Radio	
690	Sci., 37(5), 1076, doi:10.1029/2000RS002592, 2002.	
691		Formatted: Normal (Web), Left, Line spacing:
692	Gorbunov, M. E., Benzon, H. H., Jensen, A.S, Lohmann, M.S., and Nielsen, A.S.: Comparative analysis of radio	single
693	occultation processing approaches based on Fourier integral operators. Radio Sci., 39, RS6004,	
694	https://doi.org/10.1029/2003RS002916, 2004	
695		
696	Healy, S. B.: Radio occultation bending angle and impact parameter errors caused by horizontal refractive index	
697	gradients in the troposphere: A simulation study, J. Geophys. Res, 106, D11, 11875–11889,	
698 600	doi:10.1029/2001JD900050, 2001.	
699 700	Hersbach, H., Bell, B., Berrisford, P., Hirahara, S., Horányi, A., Muñoz-Sabater, J., Nicolas, J., Peubey, C., Radu,	
700	R., Schepers, D., Simmons, A., Soci, C., Abdalla, S., Abellan, X., Balsamo, G., Bechtold, P., Biavati, G., Bidlot, J.,	
701	Bonavita, M., De Chiara, G., Dahlgren, P., Dee, D., Diamantakis, M., Dragani, R., Flemming, J., Forbes, R.,	
702	Fuentes, M., Geer, A., Haimberger, L., Healy, S., Hogan, R. J., Hólm, E., Janisková, M., Keeley, S.,	
704	Laloyaux, P., Lopez, P., Lupu, C., Radnoti, G., de Rosnay, P., Rozum, I., Vamborg, F., Villaume, S., and	Formatted: Don't adjust space between Latin
705	Coauthors Thépaut, JN.: The ERA5 Global Reanalysis, QuartQ. J. Roy. Meteor. Soc., 146, 1999–2049, https://doi+	and Asian text, Don't adjust space between
706	<u>.org</u> /10.1002/qj.3803, 2020.	Asian text and numbers
707	<u></u>	
708	Ho, SP., Peng, L., Anthes, R. A., Kuo, YH., and Lin, HC.: Marine boundary layer heights and their longitudinal,	
709	diurnal and inter-seasonal variability in the southeast Pacific using COSMIC, CALIOP, and radiosonde data. J.	
710	Climate, 28, 2856–2872, https://doi.org/10.1175/JCLI-D-14-00238.1, 2015.	Formatted: Left, Line spacing: single, Don't
711	•	adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

29

712	Jensen, A. S., Lohmann, M.S., Nielsen, A.S. and Benzon, HH.: Geometrical optics phase matching of radio	
713	occultation signals, Radio Sci., 39, RS3009, doi:10.1029/2003RS002899, 2004.	
714		
715	Jensen, A. S., Lohmann, M.S., Benzon, HH, and Nielsen, A.S.: Full spectrum inversioninversion of radio	
716	occultation signals, Radio Sci., 38(3), 1040, doi:10.1029/2002RS002763, 2003.	
717		
718	Johnston, B. R., Xie, F., and Liu, C.: The effects of deep convection on regional temperature structure in the tropical	
719	upper troposphere and lower stratosphere, J. Geophys. Res.: Atmos., 123, 15851603,	
720	doi.org/10.1002/2017JD027120, 2018.	
721		
722	Klein, S. A., and Hartmann, D. L.: The seasonal cycle of low stratiform clouds. Journal of Climate, 6, 1587-1606,	Formatted: Fo
723	doi:10.1175/1520-0442(1993)006<1587:TSCOLS>2.0.CO;2, 1993.	Formatted: Fo
724		Formatted: Fo
725	Kursinski, E. R., Hajj, G. A., Schofield, J. T., Linfield, R. P., and Hardy, K. R.: Observing Earth's atmosphere with	
726	radio occultation measurements using the Global Positioning System, J. Geophys. Res.: Atmos., 102, 23429-	
727	<u>-</u> 23465, doi.org/10.1029/97jd01569, 1997.	
728		
729	Kursinski, E. R., G. A. Hajj, Leroy, S. S., and Herman, B.: The GPS Radio Occultation Technique. Terr. Atmos.	
730	Ocean. Sci. (TAO), 11, 53– <u>–</u> 114, 2000.	
731		
732	Lewis, E. R.: Marine ARM GPCI Investigation of Clouds (MAGIC) Field Campaign Report. U.S. Department of	
733	Energy, https://doi.org/10.2172/1343577, 2016.	
734		
735	Maddy, E. S. and Barnet, C. D.: Vertical resolution estimates in version 5 of AIRS operational retrievals. IEEE	
736	Transactions on Geoscience and Remote Sensing, 46, 2375-2384, doi:10.1109/TGRS.2008.917498, 2008.	Formatted: Fo
737		
738	Nelson, K. J., Xie, F., Ao, C. O., and Oyola-Merced, M. I.: Diurnal Variation of the Planetary Boundary Layer	
739	Height Observed from GNSS Radio Occultation and Radiosonde Soundings over the Southern Great Plains. J.	
740	Atmos. Oceanic Tech., 38, 20812093, https://doi.org/10.1175/jtech-d-20-0196.1, 2021.	
741		
742	Nelson, K. J., Xie, F., Chan, B. C., Goel, A., Kosh, J., Reid, T. G. R., Snyder, C. R., and Tarantino, P. M.: GNSS	
743	Radio Occultation Soundings from Commercial Off-the-Shelf Receivers Onboard Balloon Platforms, Atmos. Meas.	
744	Tech., https://doi.org/10.5194/amt-2022-198, 2022.	
745		
746	Painemal, D., Minnis, P., and Nordeen, M.: Aerosol variability, synoptic-scale processes, and their link to the cloud	
747	microphysics over the northeast Pacific during MAGIC, J. Geophys. Res. Atmos., 120, 5122-5139,	
748	doi:10.1002/2015JD023175, 2015.	

Formatted: Font: Not Italic Formatted: Font: Not Bold Formatted: Font: +Body (Calibri), 11 pt

rmatted: Font: +Body (Calibri), 11 pt

750	Patterson, W. L.: Climatology of Marine Atmospheric Refractive Effects: A Compendium of the Integrated		
751	Refractive Effects Prediction System (IREPS) Historical Summaries. Naval Ocean Systems Center,		
752	https://apps.dtic.mil/sti/pdfs/ADA155241.pdf, 1982.		
753			
754	Ramanathan, V., Cess, R. D., Harrison, E. F., Minnis, P., Barkstrom, B. R., Ahmad, E., and Hartmann, D.: Cloud-		
755	radiative forcing and climate: Results from the Earth Radiation Budget Experiment, Science, 243, 57-63,		
756	DOI:10.1126/science.243.4887.57, 1989.	Foi	matted: Font: +
757	+		matted: Heading
758	Riehl, H.: Climate and weather in the tropics. London: Academic Press. 611 pp. ISBN 0.12.588180.0	(WI	nite)
759			
760	Rocken, C., Anthes, R., Exner, M., Hunt, D., Sokolovskiy, S., Ware, R., Gorbunov, M., Schreiner, W., Feng		
761	D., Herman B., Kuo, YH., Zou, X.: Analysis and validation of GPS/MET data in the neutral atmosphere. J.		
762	Geophys. Res., 102, 2984929866, https://doi.org/10.1029/97JD02400, 1997.		
763			
764	Schreiner, W. S., Weiss, J.P., Anthes, R.A., Braun, J., Chu, V., Fong, J., Hunt, D., Kuo, YH., Meehan, T.,		
765	Serafino, W., Sjoberg, J., Sokolovskiy, C., Talaat, E., Wee, T.K., Zeng, Z.: COSMIC-2 Radio Occultation		
766	Constellation: First Results. Geophys. Res. Lett., 47, https://doi.org/10.1029/2019gl086841, 2020.		
767			
768	Seidel, D. J., Ao, C.O. and Li, K.: Estimating climatological planetary boundary layer heights from radiosonde		
769	observations: Comparison of methods and uncertainty analysis, J. Geophys. Res., 115, D16114,		
770	doi:10.1029/2009JD013680, 2010.		
771			
772	Smith, E. K. and Weintraub, S.: The Constants in the Equation for Atmospheric Refractivity Index at Radio		
773	Frequencies. Proc. IRE, 41, 10351037, doi:10.1109/JRPROC.1953.274297, 1953.	Foi	matted: Font: +
774			
775	Sokolovskiy, S. V.: Modeling and Inverting Radio Occultation Signals in the Moist Troposphere. Radio Sci., 36,		
776	441458, https://doi.org/10.1029/1999RS002273, 2001.		
777			
778	Sokolovskiy, S. V.: Effect of super refraction on inversions of radio occultation signals in the lower troposphere.		
779	Radio Sci., 38 (3), https://doi.org/10.1029/2002RS002728, 2003.		
780			
781	Sokolovskiy, S. V., Kuo, YH., Rocken, C., Schreiner, W. S., Hunt, D. and Anthes, R. A., 2006: Monitoring the		
782	atmospheric boundary layer by GPS radio occultation signals recorded in the open-loop mode. Geophys. Res. Lett.,		
783	33, L12813, doi:10.1029/2006GL025955, 2006.		
784			

749

Formatted: Font: +Body (Calibri), 11 pt Formatted: Heading 2, Left, Pattern: Clear

rmatted: Font: +Body (Calibri), 11 pt

785	Stull, R., Santoso, E., Berg, L. K., and Hacker, J.: Boundary Layer Experiment 1996 (BLX96), BAMS, 78, 1149-			
786	<u>-</u> 1158, doi: 10.1175/1520-0477(1997)078<1149:BLEB>2.0.CO;2, 1997.			
787				
788	Stull, R. B.: An Introduction to Boundary Layer Meteorology. Kluwer Academic Publishers, 666 pp., ISBN 90-277-			
789	2768-6, 1988.			
790				
791	von Engeln, A. and Teixeira, J.: A Planetary Boundary Layer Height Climatology Derived from ECMWF			
792	Reanalysis Data, J. Climate, 26, 6575– <u>6590</u> , https://doi.org/10.1175/jcli-d-12-00385.1, 2013.			
793				
794	Winning, T. E., Chen, YL., and Xie, F.: Estimation of the marine boundary layer height over the central North			
795	Pacific using GPS radio occultation, Atmospheric Research, 183, 362–370,			
796	https://doi.org/10.1016/j.atmosres.2016.08.005, 2017.			
797	Word D. Markers C. D. Deutenter C. C. Weller, D. A. Herbert D. Sterrer, F. Alberght D. A. Darrer			
798 700	Wood, R., Mechoso, C. R., Bretherton, C. S., Weller, R. A., Huebert, B., Straneo, F., Albrecht, B. A.,, Bower,			
799 800	K-Coe, H., Allen, G., Vaughan, G., Daum, P., Fairall, C., Chand, D., Gallardo Klenner, L., Garreaud, R., Grados, C.,			
800 801	Covert, D. S., Bates, T. S., Krejci, R., Russell, L. M., de Szoeke, S., Brewer, A., Yuter, S. E., Springston, S. R., Chaigneau, A., Toniazzo, T., Minnis, P., Palikonda, R., Abel, S. J., Brown, W. O. J., Williams, S., Fochesatto, J.,			
801	Brioude, J., and Bower, K. N.: The VAMOS Ocean-Cloud-Atmosphere-Land Study Regional Experiment			
802	(VOCALS-REx): goals, platforms, and field operations, Atmos. Chem. Phys., 11, 627–654,			
804	https://doi.org/10.5194/acp-11-627-2011, 2011.			
805	mps.//doi.org/10.5174/acp/11/02//2011, 2011.			
806	Wood, R., Wyant, M., Bretherton, C. S., Rémillard, J., Kollias, P., Fletcher, J., Lin, Y.: Clouds, aerosols, and			
807	precipitation in the Marine Boundary Layer: An ARM Mobile Facility deployment. BAMS, 96, 419 440.			
808	doi:10.1175/BAMS D 13 00180.1, 2015.			
809			Formatted: Font: 8 pt, Font color: A	uto
810	Xie, F., Syndergaard, S., Kursinski, E. R., and Herman, B.M.: An Approach for Retrieving Marine Boundary Layer			
811	Refractivity from GPS Occultation Data in the Presence of Super-refraction. J. Atmos. Oceanic Technol., 23, 1629-		Formatted: Font: Not Italic	
812	<u>-</u> 1644, https://doi.org/10.1175/JTECH1996.1, 2006.	\neg	Formatted: Font: Not Bold	_
813				
814	Xie, F., Haase, J. S., and Syndergaard, S.: Profiling the Atmosphere Using the Airborne GPS Radio Occultation			
815	Technique: A Sensitivity Study. IEEE Transactions on Geoscience and Remote Sensing, 46, 34243435,			
816	https://doi.org/10.1109/tgrs.2008.2004713, 2008.			
817				
818	Xie, F., Wu, D. L., Ao, C. O., Kursinski, E. R., Mannucci, A. J., and Syndergaard, S.: Super-refraction effects on			
819	GPS radio occultation refractivity in marine boundary layers, Geophys. Res. Lett., 37,			
820	https://doi.org/10.1029/2010gl043299,_2010.			
821				

- Xie, F., Wu, D. L., Ao, C. O., Mannucci, A. J., and Kursinski, E. R.: Advances and limitations of atmospheric
 boundary layer observations with GPS occultation over southeast Pacific Ocean, Atmos. Chem. Phys., 12, 903<u>-</u>918, doi:10.5194/acp-12-903-2012, 2012.
- 825
- 826 Zhou, X., Kollias, P., and Lewis, E.: Clouds, precipitation and marine boundary layer structure during MAGIC. J.
- 827 Climate, 28, 2420-_2442, https://doi.org/10.1175/JCLI-D-14-00320.1, 2015.