Merging TEMPEST Microwave and GOES-16 Geostationary IR soundings for improved water vapor profiles

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Abstract. The Temporal Experiment for Storms and Tropical Systems Demonstration (TEMPEST-D) 9 demonstrated the capability of CubeSat satellites to provide high-quality, stable microwave signals for 10 estimating water vapor, clouds, and precipitation from space. Unlike the operational NOAA and MetOp 11 12 series satellites, which combine microwave and hyperspectral infrared sensors on the same platforms 13 to optimize retrievals, CubeSat radiometers such as TEMPEST do not carry additional sensors. In such 14 cases, the high temporal and spatial resolution and multi-channel measurements from the Advanced 15 Baseline Imager (ABI) on the next-generation series of Geostationary Operational Environmental 16 Satellites (GOES-R) are ideal for assisting these smaller, stand-alone radiometers. Based on sensitivity 17 tests, the water vapor retrievals from TEMPEST are improved by adding water-vapor-sounding 18 channels at 6.2, 6.9, and 7.3 mm from ABI, which help to increase the vertical resolution of soundings 19 and reduce retrieval errors. Under clear sky conditions, retrieval biases and root-mean-square errors 20 improve by approximately 10 %, while under cloudy skies, biases remain unchanged, but root-mean-21 square errors still decrease by 5 %. Humidity soundings are also validated using coastal radiosonde 22 data from the Integrated Global Radiosonde Archive (IGRA) from 2019 to 2020. When ABI indicates 23 clear skies, water vapor retrievals improve somewhat by decreasing the overall bias in the microwave 24 only estimate by roughly 10 %, although layer root-mean-square errors remain roughly unchanged at 1 25 g/kg when three ABI channels are added. When ABI indicates cloudy conditions, there is little change in 26 the results. The small number of matched radiosondes may limit the observed improvement. 27 28 1. Introduction

30 The Temporal Experiment for Storms and Tropical Systems Demonstration (TEMPEST-D; Reising et al., 31 2018) mission was designed to demonstrate the capability of a small radiometer on board a 6U 32 CubeSat satellite for deriving clouds, water vapor, and precipitation. The CubeSat, including the flight 33 system and the TEMPEST-D radiometer, is 10 cm x 20 cm x 34 cm and weighs 11.2 kg. Although the size 34 of the TEMPEST-D is much smaller than instruments such as the operational Microwave Humidity 35 Sounders (MHS on NOAA-18/19 and MetOp-A/B/C), which weigh about 63 kg, the TEMPEST-D 36 radiometer demonstrated the capability to provide comparable well-calibrated microwave (MW) 37 measurements (Berg et al., 2021; Brown et al., 2023). In addition, Schulte et al. (2020) introduced the 38 bias correction of Earth incidence angle (EIA) (Schulte and Kummerow, 2019) in the Optimal Estimation 39 (OE; Rodgers, 2000) framework with TEMPEST-D and demonstrated the potential of getting consistent 40 retrievals from a fleet of TEMPEST sensors observing the same spot with different EIAs. Radhakrishnan 41 et al. (2022) estimated surface rainfall by machine-learning methods and showed that retrieved rainfall 42 using TEMPEST-D channels was consistent with the multi-radar/multi-sensor system (MRMS) rainfall 43 products over the Continental United States. The success of TEMPEST-D led to flying a second TEMPEST 44 unit in conjunction with the Compact Ocean Wind Vector Radiometer (COWVR;

<u>https://podaac.jpl.nasa.gov/COWVR-TEMPEST</u>) currently in orbit aboard the International Space
 Station.

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48 Several studies have shown the capability of retrieving surface and atmospheric variables over the ocean under non-raining conditions using Optimal Estimation (OE) techniques. Elsaesser and 49 50 Kummerow (2008) retrieved total precipitable water (TPW), surface wind, and cloud liquid water path 51 (CLWP) using observations from the Advanced Microwave Scanning Radiometer-Earth Observing 52 System (AMSR-E), the Special Sensor Microwave/Imager (SSM/I), and the Tropical Rainfall Measuring 53 Mission (TRMM) Microwave Imager (TMI) using the same OE configurations. This was later expanded 54 to the Global Precipitation Measurement (GPM) Microwave Imager (GMI) (Duncan and Kummerow, 55 2016). The Colorado State University 1 D variational inversion algorithm (CSU 1DVAR) has been 56 validated by comparing results with other independent products, showing that CSU 1DVAR can provide consistent results across a broad spectrum of sensors (Elsaesser and Kummerow, 2008; Duncan and 57 58 Kummerow, 2016; Schulte and Kummerow, 2019; Schulte et al., 2020). A conceptually similar OE 59 method is employed in the Microwave Integrated Retrieval System (MiRS; Boukabara et al., 2011, 60 2013, 2018) designed to provide various atmospheric and surface parameters (skin temperature, 61 surface emissivity, and profiles of temperature, water vapor, non-precipitating clouds, and 62 precipitations) under all sky conditions over ocean and land surfaces. Due to its flexible structure, MiRS 63 is used operationally at NOAA and supports measurements from multiple MW instruments, including 64 the TMI, GMI, MHS, Atmospheric Microwave Sounding Unit (AMSU), SSM/I, Special Sensor Microwave 65 Imager/Sounder (SSMI/S), and Advanced Technology Microwave Sounder (ATMS). 66 67 Infrared (IR) sounders, and especially hyperspectral IR sounders, while limited to clear sky conditions, 68 have distinct advantages for deriving temperature and moisture profiles due to their sharper weighting 69 functions, particularly in the upper troposphere when no clouds are present. Using MW measurements 70 from AMSU-A and MHS plus IR observations from the Infrared Atmospheric Sounding Interferometer 71 (IASI) on board the MetOp platforms, Aires (2011) and Aires et al. (2011, 2012) significantly reduced

the errors of retrieving temperature and water vapor profiles under clear sky conditions over the ocean by comparing with retrievals using individual MW or IR instruments alone. Under the European

Space Agency Water Vapour Climate Change Initiative project (Siddans et al., 2015; Siddans, 2019),

75 Trent et al. (2023) validated 9.5 years of atmospheric profiles retrieved from MetOp MW and IR

observations and showed that global biases of temperature and water vapor are within 0.5 K and 10 %,
 respectively, making the retrieval products an important climate data record.

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In addition to MW and IR measurements on the MetOp platforms, Milstein and Blackwell (2016) also
 showed the advantages of using MW and IR spectral bands from the Atmospheric Infrared Sounder

81 (AIRS) and AMSU on the Aqua satellite as well as from the Cross-Track Infrared Sounder (CrIS) and

ATMS on the Suomi National Polar-orbiting Partnership satellite (Suomi NPP) for temperature and

water vapor retrievals. The NOAA Unique CrIS/ATMS Processing System (NUCAPS; Gambacorta et al.,
 2012) was built specifically to retrieve global atmospheric profiles using MW sensors (<u>AMSU</u>, ATMS,

and MHS) and hyperspectral IR instruments (<u>AIRS, CrIS, or JASI</u>) under non-precipitating conditions with

86 up to 80 % effective cloud fraction. Sun et al. (2017) used radiosonde data to assess the sounding

87 products from NUCAPS, indicating small biases in the lower atmosphere for temperature profiles of

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92 less than 0.5 K and less than 20 % for water vapor profiles. These profiles have been further improved by Ma et al. (2021), who applied a neural network technique to enhance the retrieved atmospheric 93 94 profiles in NUCAPS products by using IR channels on the next-generation series of Geostationary 95 Operational Environmental Satellites (GOES-R; Schmit et al., 2008). The root-mean-square error of 96 retrieved temperature and humidity profiles in that study decreased by more than 30 % from the 97 surface up to 700 hPa. Thus, while it seems clear from these previous studies that merging IR and MW 98 soundings from the same platforms is beneficial, CubeSat sounders such as TEMPEST or the Time-99 Resolved Observations of Precipitation structure and storm Intensity with a Constellation of Smallsats 100 (TROPICS; Blackwell et al., 2018) do not generally fly in tandem with hyperspectral IR sounders. In this 101 case, it is useful to examine if there are benefits to merging the stand-alone passive MW sensors with 102 geostationary IR-sounding channels.

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104 The Advanced Baseline Imager (ABI), on board the GOES-R satellite series, observes the full disk of the Earth every 10 minutes (15 minutes prior to April 2019), measuring in the visible (VIS), near-IR, and IR 105 106 spectral bands with spatial resolutions from 0.5 to 2 km. Three water vapor channels at (6.2, 6.9, and 107 7.3 mm) make ABI suitable for deriving water vapor profiles with similar vertical resolution to the 108 operational MW sensors (Schmit et al., 2008; Li et al., 2019). Due to the high spatial and temporal 109 resolutions from GOES-R ABI observations over large regions, the ABI sensor can always be matched 110 with stand-alone MW radiometers over the sensed hemisphere, as illustrated by Ma et al. (2021). This 111 study thus focuses on the enhancement in water vapor retrievals that may be achieved when ABI IR 112 water vapor sounding channels are added to the TEMPEST-D MW channels.

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114 **2. Data** 115

116 The TEMPEST-D satellite (Reising et al., 2018) was deployed from the International Space Station on 117 July 13, 2018, into the Low Earth Orbit. The initial orbit height was 400 km with a 51.6° inclination, 118 observing an 825 km wide swath from the initial height. The mission successfully demonstrated both 119 the maneuverability of CubeSats to fly in closely maintained formations as well as the calibration 120 stability of the MW radiometer (Berg et al., 2021). The TEMPEST-D passive MW radiometer scanned 121 Earth in a cross-track mode and measured five channels at 87, 164, 174, 178, and 181 GHz with quasi-122 horizontal polarization, except for 87 GHz, which measured quasi-vertical polarization. The spatial 123 resolutions of TEMPEST-D at the nadir were 14 km at 164 to 181 GHz and 28 km at 87 GHz. While the 124 data is not complete due to difficulties with the data receiving station at Wallops Island, Virginia, USA, 125 all available TEMPEST-D datasets can be requested through the website https://tempest.colostate.edu. 126 TEMPEST-D was deorbited on June 22, 2021. A second copy of TEMPEST was launched on Dec. 21, 127 2021, and is operating on the International Space Station in conjunction with COWVR. Data is available 128 from the National Aeronautics and Space Administration (NASA) Physical Oceanography Distributed 129 Active Archive Center (PODAAC) housed at NASA's Jet Propulsion Laboratory. Because the instruments 130 and orbits are identical, the results presented here apply to both sensors. 131

The GOES-16 (Schmit et al., 2008; Li et al., 2019) is the first of the GOES-R series satellites and was
launched on November 19, 2016, carrying several instruments, including ABI. GOES-16 replaces GOES-

134 13 and is located at longitude 75.2°W in a geostationary orbit (35786 km altitude), observing from

latitude 81.32°N to 81.32°S and from longitude 156.30°W to 6.30°E. This covers North and South

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137 America, the eastern Pacific Ocean, and the Atlantic Ocean to the west coast of Africa. The ABI sensor 138 measures 16 spectral channels from VIS to IR bands (0.47 to 13.3 µm) with spatial resolutions ranging 139 from 0.5 km at 0.64 μ m to 2.0 km in the IR. <u>Only the</u> three ABI-sounding channels at 6.2, 6.9, and 7.3 140 μm are used to enhance the TEMPEST-D retrieved water vapor profiles. While the ABI window and CO2 141 channels add information, these channels have information that is largely redundant with the 142 TEMPEST window channels. To ensure spatial consistency between TEMPEST-D and the GOES-16, ABI 143 full disk products, all Radiances (RadF), Clear Sky Masks (ACMF), Cloud Top Phase (ACTPF), and Cloud 144 Top Pressure (CTPF) products from ABI, are averaged to match the 28 km TEMPEST-D horizontal 145 resolution and appended to TEMPEST-D observation locations and times. The GOES-16 products can be 146 downloaded through the Comprehensive Large Array Data Stewardship System (CLASS). Although 147 GOES-17 also covers parts of the TEMPEST-D operational period, its products are not used to avoid all 148 issues related to the cooling system, as described in https://www.goes-r.gov/users/GOES-17-ABI-149 Performance.html.

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151 Except for satellite observations and products mentioned above, auxiliary data, including surface wind 152 speed and direction, surface pressure, surface skin temperature, and temperature profiles, are also 153 used to constrain the retrievals. These are taken from the ERA5 (Hersbach et al., 2020), accessed 154 through the website https://www.ecmwf.int/en/forecasts/dataset/ecmwf-reanalysis-v5. The hourly 155 ERA5 data used in the study are $0.5^\circ \times 0.5^\circ$ with 27 pressure levels from 1000 to 100 hPa. The vertical resolution (in pressure coordinates) consists of 25 hPa intervals from 1000 to 750 hPa, 50 hPa intervals 156 157 from 750 to 250 hPa, and 25 hPa intervals from 250 to 100 hPa. One hour temporal resolution and 0.5° 158 spatial resolution from ERA5 is used to define unobserved surface conditions as well as the 159 temperature profiles. The auxiliary surface parameters and temperature profiles are linearly 160 interpolated in space and time to match the TEMPEST-D observations. The interpolated ERA5 auxiliary 161 data may not reflect the actual conditions at the satellite overpass location and time, so when 162 compared with in situ measurements, retrievals may be degraded by using the non-representative 163 auxiliary data.

165 3. Methods

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In satellite remote sensing, OE is a widely utilized technique to retrieve atmospheric components
(Rodgers, 2000; Elsaesser and Kummerow, 2008; Boukabara et al., 2011; Siddans et al., 2015; Duncan
and Kummerow, 2016; Schulte and Kummerow, 2019; Schulte et al., 2020). In OE, the state parameters
and measurement errors are all assumed to follow a Gaussian distribution, and the atmospheric states
being retrieved, *x*, are optimally estimated by minimizing the cost function *J*,

 $J = (x - x_a)^T S_a^{-1} (x - x_a) + [y - f(x)]^T S_y^{-1} [y - f(x)],$

(1)

where x_a is the a priori information about the state vector x, y is the measurement vector, f(x) is a forward model simulating measurements for a given state x, S_a is the covariance matrix of a priori, and S_y is the covariance matrix of measurement errors (Rodgers, 2000). The minimization of J is achieved by iteratively solving for the state vector x using the Gauss-Newton method. Following Eq. 5.29 in Rodgers (2000), the convergence criteria are achieved when Deleted: In this study,

Deleted: Since five TEMPEST-D and three ABI-sounding channels are more sensitive to water vapor, retrievals are not particularly sensitive to the variability in ancillary parameters. Therefore, the

186	$d_i^2 = (x_i - x_{i+1})^T S^{-1} (x_i - x_{i+1}) \ll n,$
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188 where d measures the change in the state vector between ith and ith + 1 iteration, and n is the 189 number of retrieved variables (levels of water vapor and/or layers of clouds in this study). The solution 190 is said to have converged when the residual is one tenth the number of the retrieved variables in the 191 study. This is consistent with the definition from Eq. (2) that the error weighted increment is much less 192 than the number of the retrieved variables. The a priori state vector x_a is used as the initial guess at 193 the beginning of the iteration. The a priori information x_a and its uncertainty S_a are derived from 194 monthly ERA5 humidity and cloud profiles over the ocean; x_a describes the mean state of the profiles, 195 and S_{α} accounts for the variation of the states. If sky conditions are known from GOES-16 cloud masks, 196 x_a and S_a obtained from clear or cloudy conditions will be used in the retrievals, or otherwise, a priori 197 values computed from all-sky conditions will be used.

198 199 The state vector x comprises the water vapor mixing ratio at different pressure levels and/or clouds. 200 The number of selected water vapor levels depends on the number of channels and the assumptions of 201 clouds. The selected water vapor levels are evenly distributed in pressure levels at 1000, 900, 800, 600, 202 and 400 hPa for TEMPEST only, and 1000, 950, 875, 800, 700, 600, 450, and 350 hPa when both 203 TEMPEST and ABI channels are used. The remaining water vapor levels are linearly interpolated. 204 Following previous studies (Schulte and Kummerow, 2019; Schulte et al., 2020), clouds are inserted 205 into single layers containing liquid and/or ice clouds in the profiles. Since passive MW sensors do not 206 have information about cloud top height, if clouds are assumed to be present, the state vector will 207 contain one layer of liquid and one layer of ice clouds with liquid cloud top at 900 hPa and ice cloud top 208 at 300 hPa. If cloud information is available from GOES-16 products, liquid clouds and/or ice clouds can 209 also be inserted following GOES-16 cloud information as listed in Table 1. The table allows for 210 experiments where the GOES-16 is used simply to determine if there are clouds in the field of view 211 (FOV) or the actual cloud properties. If GOES-16 is only used to make the clear or cloudy 212 determination, then the cloud fraction is set to 0 or 1, respectively. TEMPEST-D, by itself, has no ability 213 to retrieve the cloud fraction. If details of the cloud field are used, the cloud fraction is set accordingly.

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Table 1. The retrieval configurations under clear and cloudy conditions with and without GOES-16
 cloud information. CF, CH, and CP represent cloud fraction, cloud height, and cloud phase, respectively.

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Sensors	Using GOES-16 cloud products	
Sensors	Clear sky	Cloudy sky
TEMPEST+ABI (8 channels)	1. No, set CF to 1	1. No, set CF to 1
or		2. Yes, set CF from GOES-16
TEMPEST (5 channels)		3. Yes, set CF, CH, and CP from GOES-16

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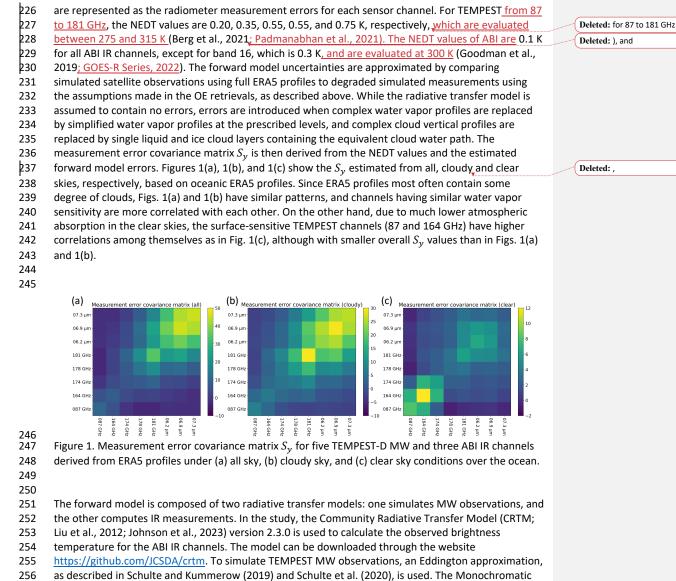
The measurement error covariance matrix S_y is derived from two uncertainty sources: the radiometer and the forward model (Elsaesser and Kummerow, 2008; Duncan and Kummerow, 2016; Schulte and

223 Kummerow, 2019; Schulte et al., 2020). The noise equivalent differential temperature (NEDT) values

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- 258 used to generate the atmospheric absorption while the ocean surface MW emissivity is computed
- 259 using the FAST microwave Emissivity Model version 6 (FASTEM-6; Kazumori and English, 2015). Clouds

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264 are assumed to be homogeneously distributed in single layers. The cloud top pressure is 900 hPa for 265 liquid clouds and 300 hPa for ice clouds if no cloud top heights are assigned from GOES-16 products, as 266 described earlier. The MW optical properties of liquid clouds are generated by Lorenz-Mie theory (van de Hulst, 1957; Bohren and Huffman, 1998), assuming the droplet is spherical with a radius of 12 µm 267 268 and is monodisperse in particle size distribution (PSD). The radiative properties of ice clouds in the MW 269 spectrum are computed using the single-scattering property databases for non-spherical ice particles 270 from Liu (2008) and Nowell et al. (2013) following the analysis of Schulte and Kummerow (2019). The 271 databases are derived by the discrete-dipole approximation method (Draine and Flatau, 1994). The 272 microphysical properties of ice clouds used to derive the scattering properties are assumed to have the 273 PSD from Field et al. (2007) with a constant density of 100 g/cm³ and have ice habits: 6 bullet rosettes 274 (crystal size $< 800 \ \mu\text{m}$) and aggregates of 400 μm rosettes (crystal size $\geq 800 \ \mu\text{m}$). Ice clouds can be 275 one of the major error sources in radiative transfer simulations (Kulie et al., 2010; Ringerud et al., 276 2019; Schulte and Kummerow, 2019), but are not considered here.

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The monthly means and variability of water vapor mixing ratios from ERA5 above 200 hPa are
extremely small, as shown in Fig. 2. The sensor responses to these small amounts of stratospheric
water vapor are less than the noise of 0.2 to 0.75 K for TEMPEST and 0.1 to 0.3 K for ABI. Therefore,
the water vapor mixing ratio was set to the monthly mean climatology above 200 hPa and is not

282 retrieved explicitly with the available channels.

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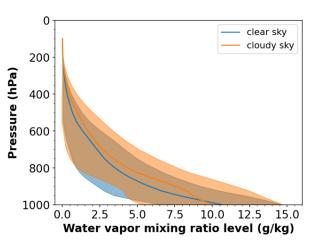
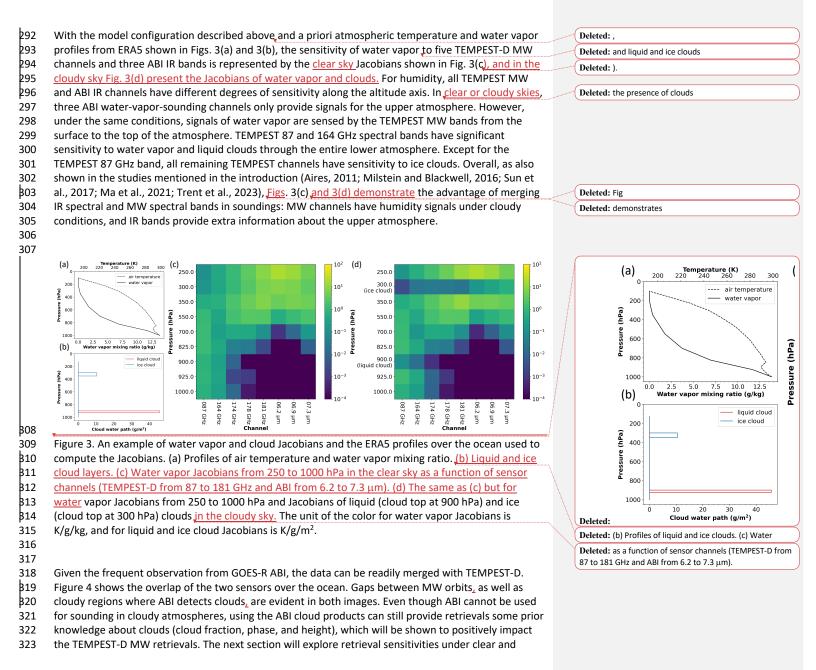




Figure 2. Monthly mean and standard deviation (σ) of water vapor profiles under clear and cloudy conditions over the ocean between \pm 60° latitudes from ERA5 in May 2020. Blue color represents

water vapor in clear skies, while orange color shows water vapor in cloudy skies. Solid lines are mean water vapor profiles, and shaded areas are standard deviations.



cloudy conditions using synthetic TEMPEST-D and ABI observations simulated from ERA5 profiles.

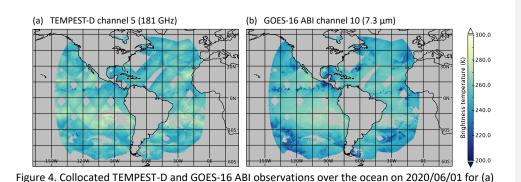
335 Retrieved water vapor profiles are then validated against in situ radiosonde humidity measurements

under different retrieval assumptions, as listed in Table 1.

TEMPEST-D channel 5 (181 GHz) and for (b) ABI channel 10 (7.3 µm).

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344 4. Results

4.1. Sensitivity Tests

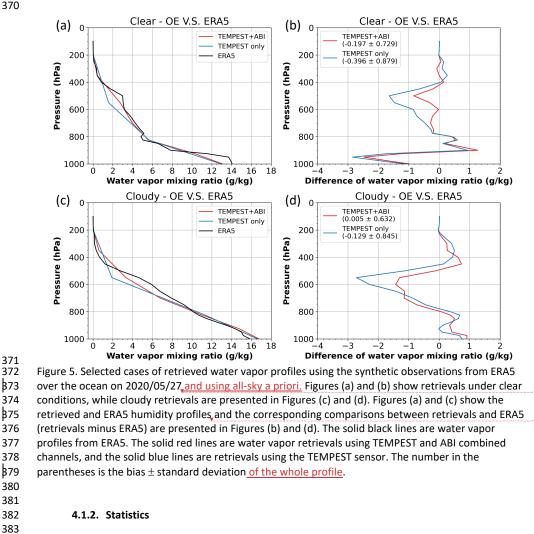
Observations for the TEMPEST five (87, 164, 174, 178, and 181 GHz) and ABI three (6.2, 6.9, and 7.3
µm) channels are simulated using temperature, humidity, cloud profiles, surface temperature, and
surface wind speed and direction from ERA5 over the ocean with viewing angles corresponding to
TEMPEST and ABI instruments respectively. All data corresponds to May 27, 2020. Since the true states
from the ERA5 data are known, the retrieval accuracy can be evaluated using the computed observed
brightness temperature under different scenarios.

4.1.1. Case studies

356 Two cases are used to illustrate the humidity retrievals first using only the TEMPEST sensor and then 357 358 adding three ABI channels in clear and cloudy sky scenes. These are shown in Fig. 5. While the 359 retrieved profiles do not change dramatically, the additional ABI water-vapor-sounding channels can B60 be seen to improve the mid-tropospheric biases, as shown in Figs. 5(b) and 5(d) respectively. Although 361 the retrieved water vapor profiles are over- and under-estimated along the height when compared to 362 the true ERA5 values, Fig. 5 reveals that the retrievals using three extra ABI IR channels improve significantly with respect to both bias and standard deviation above the 800 hPa level where the ABI 363 channels are expected to add the most information. While overall biases and standard deviations also 364 865 decrease for both examples, it is apparent that ABI has little influence over the low-level water vapor. 366 and that most of the improvement actually comes from the mid to upper troposphere. 367

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384 Comparisons of humidity retrievals using merged five TEMPEST MW bands and three ABI-sounding channels (6.2, 6.9, and 7.3 μ m) versus using only the TEMPEST sensor are performed for 1000 385 B86 randomly selected clear or cloudy sky cases. Since in the observations on 2020/05/27, all clear sky 887 pixels are about 1200 samples and about 8400 cases are cloudy pixels according to the GOES-16 cloud 888 mask, randomly selecting 1000 pixels over clear and cloudy cases are for fair comparisons between 889 clear and cloudy statistics, which are about the same no matter how we randomly selected the 1000

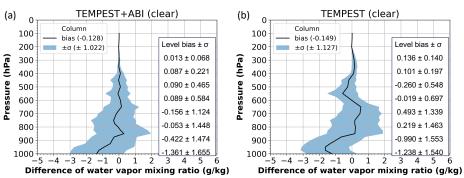
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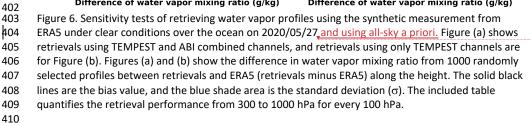
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clear or cloudy samples. Results in clear skies are shown in Fig. 6. As with the case studies, adding three
 ABI channels clearly reduces layer biases and random errors in the retrieved water vapor profiles.
 Errors in the retrieved water vapor above 800 hPa are significantly smaller when using the five MW
 bands from TEMPEST in combination with the three ABI channels. While the overall water vapor biases
 and standard deviations under clear conditions are reduced only slightly from (-0.149 ± 1.127 g/kg) for
 TEMPEST only to (-0.128 ± 1.022 g/kg) for TEMPEST+ABI, much larger reductions can be seen in the
 layer values shown in Fig. 6 – starting at 900 hPa and extending all the way to 300 hPa.

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412 Similarly, the accuracy of humidity retrievals from 1000 randomly selected cloudy cases using two 413 different sensor configurations is shown in Figs. 7(a) and 7(b). Consistent with the case study and clear 414 sky cases shown in Fig. 6, adding three ABI IR channels to the retrievals also reduces biases in the mid-415 tropospheric layers for cloudy scenes. Due to the lack of sensitivity of three ABI-sounding channels to 416 the lower atmosphere, as shown in Figs. 3(c) and 3(d), the performance of water vapor retrievals 417 around the surface shows only a negligible improvement in both clear and cloudy skies. While the 418 column metrics show unbiased results with or without ABI, the standard deviation of retrieval errors is 419 larger when using TEMPEST-only retrievals (1.022 g/kg) than using merged TEMPEST and ABI channels (0.949 g/kg). Quantitative comparisons of the vertical profiles in Figs. 7(a) and 7(b) again reveal that 420 421 the layer biases are significantly reduced in the TEMPEST+ABI retrievals relative to TEMPEST alone, 422 reducing the individual layer biases by approximately 50 % (although not uniformly in all layers). The 423 overall biases are smaller than in the clear case. The latter is explained by the fact that the all-sky a 424 priori guess comes from the climatology of ERA5 profiles for the month, and these profiles

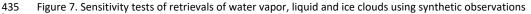
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- overwhelmingly contain clouds. The cloudy retrieval is thus less biased in the initial iteration, while the
 clear retrievals must adjust the first guess to correspond to drier conditions when the atmosphere is
 cloud-free. Standard deviations are slightly larger for cloudy scenes, as should be expected from a
- 431 more complex retrieval.
- 432 433
- TEMPEST+ABI (cloudy) TEMPEST (cloudy) 0 0 (a) (b) Column bias (-0.047) Column bias (0.016) 100 100 200 Level bias ± σ 200 Level bias ± σ ±σ (± 0.949) $\pm \sigma$ (± 1.022) -0.007 ± 0.117 0.179 ± 0.207 300 Pressure (hPa) 300 Pressure (hPa) 0.085 ± 0.342 -0.033 ± 0.353 400 400 -0.074 ± 0.628 -0.335 ± 0.618 500 500 -0.163 ± 0.810 -0.313 ± 0.911 600 600 700 -0.165 ± 1.051 700 0.179 ± 1.183 800 0.039 ± 1.346 800 0.371 ± 1.387 900 0.038 ± 1.506 900 -0.039 ± 1.586 1000 0.124 ± 1.862 1000 0.368 ± 1.890 -4 -3 5 -4 -3 -2 -1 0 1 -2 -1 0 1 2 Difference of water vapor mixing ratio (g/kg) Difference of water vapor mixing ratio (g/kg) (c) (d) TEMPEST+ABI (liquid cloud) TEMPEST (liquid cloud) 800 800 (g/m²) Retrieved total CLWP (g/m²) R²: 0.833 R²: 0.837 600 600 10² 10² CLWP 400 400 total 10¹ 10¹ Retrieved 200 200 100 100 0 0 800 600 200 600 200 400 800 400 ERA5 total CLWP (g/m²) ERA5 total CLWP (g/m²) (e) (f) TEMPEST+ABI (ice cloud) TEMPEST (ice cloud) 800 800 R²: 0.902 (g/m²) R²: 0.782 10³ total CIWP (g/m²) 10³ 600 600 CIWP 10² 102 400 total 400 Retrieved 10¹ Retrieved 10¹ 200 200 10⁰ 10⁰ 0 0 200 400 600 800 200 400 600 800 ERA5 total CIWP (g/m²) ERA5 total CIWP (g/m²)





from ERA5 under cloudy conditions over the ocean on 2020/05/27_and using all-sky a priori. Figures (a),

437 (c), and (e) show retrievals using TEMPEST and ABI combined channels, and retrievals using only

438 TEMPEST channels are for Figures (b), (d), and (f). Figures (a) and (b) show the difference in water

439 vapor mixing ratio from 1000 randomly selected profiles between retrievals and ERA5 (retrievals minus

440 ERA5) along the height. The solid black lines are the bias value, and the blue shade area is the standard

441 deviation (σ). The included table quantifies the retrieval performance from 300 to 1000 hPa for every

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100 hPa. Figures (c) and (d) are two-dimensional histograms of retrieved and ERA5 total cloud liquid water path from 8000 randomly selected cases (total number of cloudy pixels is about 8400). R² is the coefficient of determination. Color means the number of samples; the solid black lines are the one-toone lines. Figures (e) and (f) are the same as Figures (c) and (d) but for the total cloud ice water path.

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449 The performance of liquid and ice cloud retrievals is shown in Figs. 7(c) to 7(f). Compared with the 450 cloud liquid water path from ERA5, the liquid cloud retrievals do not improve after incorporating three 451 more ABI-sounding channels, shown in Figs. 7(c) and 7(d), as the cloud liquid water path signal is 452 confined almost entirely to the 87 and 164 GHz channels of TEMPEST-D. The sensitivity to liquid clouds 453 with and without ABI channels is similar, with R² values about 0.83. Since ice clouds are at a higher 454 altitude and interact with the water-vapor-sounding channels, the 164 to 181 GHz TEMPEST and 6.2 to 455 7.3 µm ABI channels have different degrees of sensitivity, as shown in Fig. 3(d). Adding three ABIsounding channels has larger impacts on the retrieved ice clouds, as the R² values increase from 0.782 456 457 using only TEMPEST bands to about 0.9 using eight combined channels from TEMPEST and ABI. Overall, 458 the retrieved liquid and ice clouds are all underestimated compared with the ERA5 profiles. For liquid 459 clouds, this is simply due to the saturation of the cloud water emission signal at roughly 300 to 400 460 g/m² with the available channels. For ice clouds, the primary signal is a brightness temperature 461 depression due to scattering. While this signal does not saturate, thicker ice clouds (> 300 to 400 g/m²) 462 are often found in conjunction with liquid clouds in ERA5, leading to brightness temperature signatures 463 that are more difficult to untangle.

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4.2. Independent Validation

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467 While the preceding section focused on synthetic brightness temperatures generated from ERA5 profiles, this section uses radiosonde data to validate retrievals from actual observations. The 468 469 Integrated Global Radiosonde Archive (IGRA) has collected and guality-controlled in situ observations 470 from over 2,800 global stations since 1905, providing vertical profiles of pressure, temperature, 471 humidity, and wind speed and direction. The IGRA dataset can be accessed at 472 https://www.ncei.noaa.gov/products/weather-balloon/integrated-global-radiosonde-archive. The 473 IGRA dataset used in the study is version 2.2 and is collocated with TEMPEST-D and GOES-16 ABI 474 observations from 2019 to 2020. To ensure consistency in collocated cases, the observations from 475 these three datasets are all within 1 hour and 1 degree latitude/longitude. Because the OE retrieval 476 discussed here is limited to oceans, the radiosondes used in this study are limited to coastal regions. To 477 avoid surface contaminations, the collocated TEMPEST-D measurements are moved over the ocean to 478 ensure that ~30 km (the sensor FOV) in all directions of the TEMPEST-D pixel is free of land. The 479 displaced footprints must have the same cloud conditions (clear sky or cloudy) as determined by GOES-480 16 cloud products at the radiosonde location to ensure these locations are under similar atmospheric 481 conditions. There are 19 collocated coastal IGRA stations in the GOES-16 FOV, as shown in Fig. 8. The collocated IGRA sites are around North America and the Caribbean Sea. Given GOES-16 cloud 482 483 information, there are 104 collocated cases, of which 10 cases are cloud-free, and 94 cases are under 484 different degrees of cloudy skies, as shown in Fig. 9. The limited number of coincident samples is due to infrequent TEMPEST-D overpasses coupled with infrequent (twice daily) radiosonde launches and 485

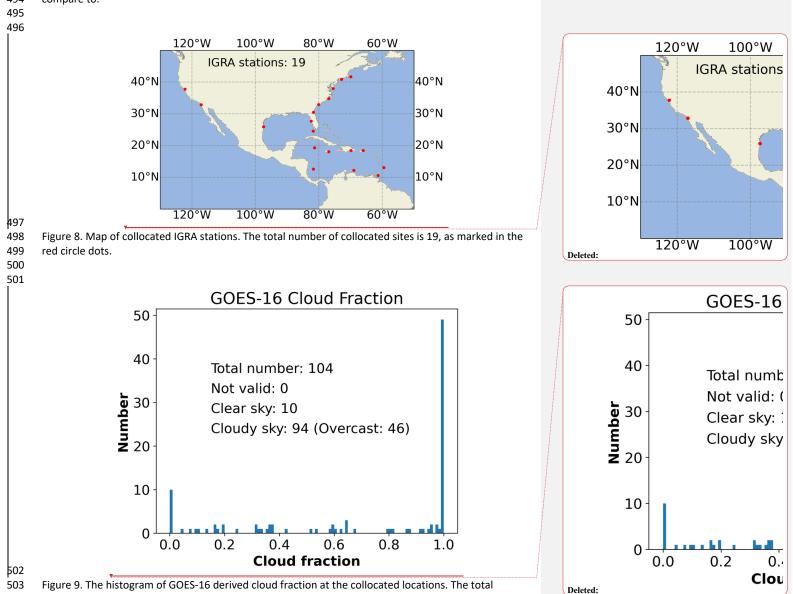
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493 frequent data downlink problems of TEMPEST-D, leaving only this limited set of radiosondes to

494 compare to.

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number of collocated cases is 104, including 10 clear and 94 cloudy cases.

508 509 With additional cloud information from GOES-16 products, water vapor retrievals are validated with 510 various levels of cloud information from the geostationary observations, as described in Table 1. The 511 most significant difference is that the algorithm does not retrieve clouds when the area is cloud-free 512 (as determined by ABI's cloud mask) and uses observations from all channels to retrieve water vapor 513 profiles only. Figure 10 shows the error in the retrieved water vapor profiles in clear skies, with biases 514 and standard deviations of column errors listed in Table 2. Only nine cases converged among ten clear 515 sky cases under four different retrieval settings. Experiments are performed with and without GOES-16 516 information. If GOES-16 cloud products are not used, the cloud fraction is set to 1.0, implying that 517 clouds covering the FOV are possible, although the retrieval can set the cloud water path to zero. The 518 convergence criteria from Eq. (2) are set to 0.8 for retrievals using TEMPEST-D and ABI eight channels 519 and are 0.5 for using TEMPEST-D five bands, as mentioned in section 3 (either 5 or 8 layers of 520 clouds/water vapor in this case). 521 522

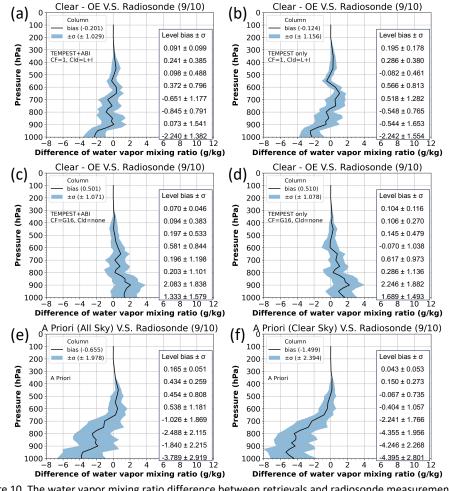
523 Table 2. Compared with IGRA radiosonde observations, the column bias and standard deviation of

retrieved water vapor mixing ratio under the clear sky conditions. The statistic values are evaluated
based on all converged nine clear sky cases. CF means cloud fraction.

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	Using GOES-16 cloud products		
Sensors	No	Yes	
	set CF to 1	set CF to 0	
TEMPEST+ABI	$0.201 \pm 1.020 a/ka$	$0.501 \pm 1.071 a/ka$	
(8 channels)	-0.201 \pm 1.029 g/kg	$0.501\pm1.071\mathrm{g/kg}$	
TEMPEST	$0.124 \pm 1.156 a/ka$	0.510 ± 1.078 g/kg	
(5 channels)	-0.124 \pm 1.156 g/kg	0.510 ± 1.078 g/kg	



529 530 Figure 10. The water vapor mixing ratio difference between retrievals and radiosonde measurement 531 (retrievals minus IGRA) in the GOES-16 observed clear skies. Retrievals use bands from TEMPEST-D and GOES-16 ABI in Figures (a) and (c) and use only TEMPEST-D channels in Figures (b) and (d). Retrievals in 532 Figures (a) and (b) assume existing liquid and ice clouds with cloud fraction = 1 and use all-sky a priori, 533 534 and retrievals in Figures (c) and (d) set no clouds with cloud fraction = 0 and use clear sky a priori. In 535 the retrievals, the biases of the water vapor a priori information derived from all-sky conditions are 536 shown in Figure (e), and obtained from clear skies are presented in Figure (f). The solid black lines are 537 the bias value, and the blue shade regions indicate the standard deviation (σ). The included table 538 quantifies the retrieval performance from 300 to 1000 hPa for every 100 hPa. The number in the

539 parentheses indicates the number of all converged cases out of all clear sky cases. G16 means GOES-16 540 products, and L+I indicates liquid and ice clouds. 541 542 543 The three additional water-vapor-sounding channels from ABI help to constrain water vapor profiles, 544 as shown in the reduced column error standard deviations as well as the layer biases and standard 545 deviations, although the differences are smaller than they were with the simulated results. Compared 546 with TEMPEST-only (Figs. 10(b) and 10(d)), the retrieved water vapor profiles above 800 hPa are visibly 547 less biased after including ABI channels (Figs. 10(a) and 10(c)). The overall statistics are not as 548 impressive because much of the water vapor is in the 1000 to 800 hPa layer, which is not improved by 549 additional ABI channels. Figures 11(a) and 11(b) present the erroneous retrieved liquid and ice clouds 550 under the clear conditions corresponding to Figs. 10(a) and 10(b), respectively. No clouds are 551 estimated in retrievals in Figs. 10(c) and 10(d), as this information is taken from the IR channels. Because parts of the water vapor signals are falsely attributed to clouds, retrieved water vapor profiles 552 553 are underestimated when clouds are derived, as in Figs. 10(a), 10(b), and 11. On the other hand, 554 retrieved water vapor profiles are overestimated in Figs. 10(c) and 10(d) when the scene is forced to be 555 cloud-free based on ABI information. We speculate that, as with the synthetic retrievals, the bias from 556 ERA5 information in Fig. 10(f) under clear sky assumptions is even larger than if all sky ERA5 a priori in 557 Fig. 10(e) is used. This leads to even larger biases in the initial iteration, which the retrievals can only 558 partially correct without adding small amounts of cloud water to the scene. Conversely, it is also 559 possible that the small number of cases (9) simply are not representative. 560



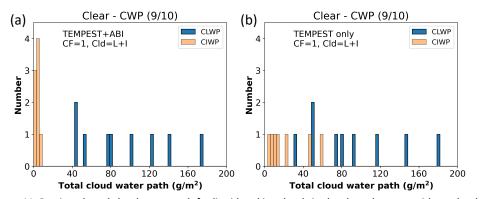




Figure 11. Retrieved total cloud water path for liquid and ice clouds in the clear sky cases with no cloud
 information from GOES-16. Retrievals in Figure (a) use channels from TEMPEST-D and ABI and use only
 TEMPEST-D channels for Figure (b). The number in the parentheses indicates the number of all
 converged cases among all clear sky cases. L+I indicates liquid and ice clouds.

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570 Water vapor retrieval errors under cloudy conditions for various assumptions of cloud knowledge are 571 presented in Fig. 12, with the corresponding bias and standard deviation of column errors listed in 572 Table 3. Although cases used in Table 3 and Figure 12 have all ABI and TEMPEST-D observations and all 573 cloud information, this is not the case for all other pixels. Therefore, Table 3 and Figure 12 show the 574 possible results from six different retrieval configurations using different degrees of cloud status and 575 using TEMPEST-only or with ABI measurements. The retrieval configurations in cloudy cases are listed 576 in Table 1. Retrievals in Figs. 12(a) and 12(b) have no information about clouds. In contrast, Figs. 12(c) 577 to 12(f) show results with different degrees of knowledge about clouds from ABI. Figures 12(c) and 578 12(d) use only cloud fractions. In the scenarios of no cloud information from ABI in Figs 12(a) and 12(b), 579 water vapor retrievals using TEMPEST+ABI have improvement above 500 hPa, between 700 and 800 580 hPa, and around the surface. When only cloud fraction is available from GOES-16 cloud products, Figs 581 12(c) and 12(d) show that adding ABI improves overall water vapor retrievals except for around 900 582 hPa. If the cloud fraction, cloud height, and cloud phase are all available from the cloud products as in 583 Figs 12(e) and 12(f), water vapor retrievals using ABI measurements have improvement around 300, 584 400, and 600 hPa and have minor or no improvement on the other levels. In general, when retrievals 585 use the same cloud status, column average water vapor retrieval biases using TEMPEST and ABI observations are smaller than using TEMPEST-only measurements, as in comparisons with Figs 12(a) 586 587 and 12(b), Figs 12(c) and 12(d), and Figs 12(e) and 12(f). While column average water vapor retrievals 588 do not improve significantly by adding cloud fraction information, when cloud fractions are specified, 589 quantitative comparisons show some improvements between 500 and 700 hPa and around the surface 590 for TEMPEST+ABI retrievals in Figs. 12(a) and 12(c), and present some improvements above 400 hPa 591 and around 600 hPa and the surface for TEMPEST-only retrievals in Figs. 12(b) and 12(d). The water 592 vapor retrieval errors are further decomposed by cloud fraction from GOES-16, shown in Fig. 13, using 593 various retrieval configurations shown in Table 1 under cloudy conditions. Among six retrieval settings, 594 the estimated water vapor profiles are nearly unbiased when the cloud fraction is between 0.4 and 0.6 595 with about 0.5 g/kg of error standard deviation, as these amounts of clouds provide enough signals 596 and do not entirely obscure signals underneath. For low cloud fractions, assigning the cloud fraction 597 from GOES-16 ABI leads to a bias, although the standard deviation is roughly the same as if a cloud 598 fraction of 1 is assigned. This can be attributed to the nonlinear response of the MW radiances at 87 599 and 164 GHz to cloud water content. When the assigned cloud fraction is small, the retrieval must 600 assign all the necessary cloud liquid water to a small cloud fraction, saturating the radiance signals and 601 generally causing poorer retrievals. As was seen in the synthetic retrievals, saturation will cause the 602 cloud water to be underestimated, which will in turn lead to an overestimation in water vapor as the 603 OE tries to balance all radiance terms. If the scene is truly overcast (observed cloud fraction near 1.0), 604 there can be no difference between assigning a cloud fraction of 1.0 as the default assumption or 1.0 605 as an observed parameter, and this is reflected in the results as well. 606

607 Additional cloud information in the form of cloud fraction, cloud height, and cloud phase from GOES-16 608 products are shown in Figs. 12(e) and 12(f). When retrievals use more cloud information from GOES-16 609 (cloud fraction, height, and phase), water vapor retrieval biases shown in Fig. 12(e) are about half of 610 the biases in Figs. 12(a) and 12(c) around 600 hPa and shown in Fig. 12(f) are significantly improved 611 above 700 hPa except for around 600 hPa compared with 12(b) and 12(d), but lower layers in Fig. 12(e)

612 and 12(f) show larger biases and little difference between using only TEMPEST or TEMPEST+ABI. In

613 cloudy conditions, the only channels with sensitivity to the low-level water vapor are the TEMPEST 87

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630 and 164 GHz channels, as shown in Fig. 3(d). However, some overfitting appears to be taking place. The 631 authors speculate that the ice scattering properties assumed in the retrieval's forward model may

cause excess depression at 87 and 164GHz channels, which in turn, requires the algorithm to increase 632 633 the cloud water and water vapor to match the brightness temperatures in those channels.

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when compared to IGRA radiosonde observations. Statistics are evaluated based on all converged 77 637

> (8 channels) TEMPEST

> (5 channels)

 $0.039 \pm 1.488 \text{ g/kg}$

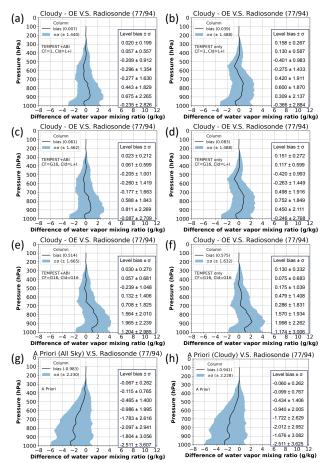
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cl	oudy sky cases.			-
			Using GOES-16 cloue	d products
	Sensors	No	Yes	Yes
		set CF to 1	set CF from GOES-16	set CF, CH, and CP from GOES-16
	TEMPEST+ABI	0.007 ± 1.440 g/kg	0.061 ± 1.462 g/kg	0.514 ± 1.665 g/kg

 0.083 ± 1.488 g/kg

 $0.575 \pm 1.632 \text{ g/kg}$

Table 3. Column bias and standard deviation of retrieved water vapor mixing ratio in the cloudy skies

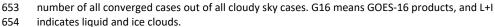


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642 Figure 12. The water vapor mixing ratio difference between retrievals and radiosonde measurement 643 (retrievals minus IGRA) with GOES-16 observed cloudy conditions. Retrievals use bands from TEMPEST-644 D and GOES-16 ABI in Figures (a), (c), and (e) and use only TEMPEST-D channels in Figures (b), (d), and 645 (f). Figures (a) to (d) show retrievals assuming liquid and ice clouds with cloud fraction = 1 for Figures 646 (a) and (b) and with cloud fraction from GOES-16 cloud mask for Figures (c) and (d). Retrievals in 647 Figures (e) and (f) use cloud fraction, height, and phase from GOES-16 products to define cloud layers. 648 Figures (a) and (b) use all-sky a priori, and Figures (c) to (f) use cloudy sky a priori. In the retrievals, the 649 biases of the water vapor a priori information derived from all-sky conditions are shown in Figure (g), 650 and obtained from cloudy skies are presented in Figure (h). The solid black lines are the bias value, and

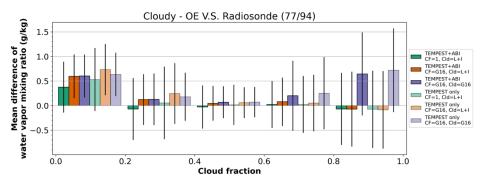
651 the blue shade regions indicate the standard deviation (σ). The included table quantifies the retrieval

performance from 300 to 1000 hPa for every 100 hPa. The number in the parentheses means the 652



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658 Figure 13. The mean difference between retrieved and radiosonde-observed water vapor profiles 659 (retrievals minus IGRA) within different GOES-16 cloud fraction intervals. Assuming both liquid and ice 660 clouds exist, the green bars indicate that retrievals use cloud fraction = 1, and the orange bars mean 661 that retrievals use only cloud fraction from GOES-16 products. The purple bars show retrievals using 662 cloud fraction, height, and phase from GOES-16 products. Lighter colors mean retrievals only use TEMPEST-D, and darker colors show retrievals using both TEMPEST-D and GOES-16 ABI sensors. Solid 663 664 black lines are the range of \pm standard deviation. The number in the parentheses means the number of all converged cases among all cloudy sky cases. G16 means GOES-16 products, and L+I indicates liquid 665 and ice clouds. 666

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669 5. Conclusions

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671 TEMPEST-D successfully demonstrated the capability of CubeSats radiometers to maintain well-672 calibrated MW signals in five channels from 87 to 181 GHz over a period of almost 3 years. Although 673 TEMPEST-D and the TEMPEST instrument currently flying with COWVR on the International Space 674 Station are economical and functional, these small MW radiometers fly without an accompanying 675 hyperspectral IR sensor typical on operational platforms. GOES-R ABI sensors provide observations of 676 the Earth every 1 to 10 minutes depending on the modes, and measure 16 spectral bands from VIS to 677 IR with 0.5 to 2.0 km ground resolution. Given such unique ABI observations with high spatial and 678 temporal resolution, supplemental information from ABI enhances the ability of TEMPEST as well as 679 other similar CubeSats to infer the states of the atmosphere.

680

Along with five TEMPEST MW bands, this study presented improvements in humidity profiles that are possible when TEMPEST retrievals are supplemented with three IR water-vapor-sounding channels available from GOES ABI. A number of positive outcomes were shown in this paper. In the sensitivity tests comparing the combined MW/IR retrievals to MW-only capabilities, the effective vertical

resolution increases, as seen by smaller layer errors, under both clear and cloudy conditions. The

686	retrieved water vapor profiles were validated using independent IGRA humidity-sounding data from	
687	2019 to 2020. During these two years of routine TEMPEST-D operations, only 104 IGRA cases (10 cases	
688	are clear scenes, 94 under different cloudy conditions) exist. Consistent with the sensitivity tests, the	
689	validation also showed the advantages of using GOES-16 cloud products and three additional ABI IR	
690	channels in water vapor sounding under different sky conditions.	
691		
692	In clear sky regions, with ABI's ability to unambiguously characterize these scenes as cloud-free,	
693	retrievals are improved merely by forcing the scene to be cloud-free. While statistics in Figs. 10 and 11	
694	indicate that column average biases grow slightly when the ABI cloud mask is used to identify the	
695	scene as cloud-free, the profiles themselves show clear improvement above the boundary layer. Near	
696	the surface, retrievals are sensitive to the large biases in the prior data in these comparisons, and it is	
697	difficult to draw conclusions. Nonetheless, adding three ABI channels slightly decreased overall biases	
698	from 0.510 to 0.501 g/kg with about the same error standard deviation of 1 g/kg.	
699		
700	Under cloudy conditions, water vapor retrievals are significantly improved when adding ABI, as shown	
701	in Figs. 12 and 13, and results are generally improved when cloud fraction information is added to the	
702	retrieval, except for very small cloud fractions where saturation in the cloudy portion of the footprint	
703	becomes an issue. Adding cloud top and cloud phase information causes errors larger than 0.5 g/kg.	
704	This is likely due to incorrect assumptions about the ice cloud scattering properties.	
705		
706	This study explored the advantages of merging TEMPEST-D, with ABI observations from GOES-16 to	
707	improve water vapor soundings. However, ABI-like sensors, whether on the Himawari series satellites	
708	(Bessho et al., 2016) or other platforms, cover the entire globe, providing multi-spectral, high spatial,	Deleted:),
709	and high temporal observations. While we can only speculate, we assume that hyperspectral IR (Li et	
710	al., 2022) planned for the next generation of geostationary satellites will significantly improve the	
711	sounding capabilities in clear sky regions. This should lead to better overall retrievals in cloudy skies as	
712	well, if one can extrapolate results from Figs. 6 and 7, which show the improvements to the passive	
713	<u>MW retrievals when more information is added to the retrievals.</u> With more and more CubeSats being	
714	launched, including COWVR and TEMPEST on Space Test Program-Houston 8	
715	(https://podaac.jpl.nasa.gov/COWVR-TEMPEST), TROPICS (Blackwell et al., 2018;	
716	https://tropics.ll.mit.edu/CMS/tropics), and the INvestigation of Convective UpdraftS (INCUS; van den	
717	Heever et al., 2022; <u>https://incus.colostate.edu</u>) missions, these missions will all benefit from more	
718	sounding and cloud information from ABI-like sensors or even from geostationary hyperspectral IR	
719	sensors, enhancing the capability of CubeSats.	
720 721	Code availability	
721	Code availability	
723	CRTM is available through the website https://github.com/JCSDA/crtm , and MonoRTM can be assessed	
723	by the website <u>https://github.com/AER-RC/monoRTM</u> .	Deleted: http://rtweb.aer.com/monortm_frame.html
725		
726	Data availability	
727		
728	The TEMPEST-D datasets can be downloaded through the website <u>https://tempest.colostate.edu</u> after	
729	registration. The GOES-16 products are archived at CLASS (<u>https://www.avl.class.noaa.gov</u>). The IGRA	

732	dataset is available at https:/	/www.ncei.noaa.gov/prod	ducts/weather-balloon	/integrated-global-
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- 733 radiosonde-archive. The ERA5 dataset can be accessed by the website
- 734 <u>https://www.ecmwf.int/en/forecasts/dataset/ecmwf-reanalysis-v5</u>.

736 Author contribution

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CPK and CK designed and improved the experiments. CPK is responsible for collecting and processing
 data. CPK prepared the manuscript. CPK and CK discussed the results and revised the manuscript.

741 Competing interests

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743 The contact author has declared that none of the authors has any competing interests.

745 Acknowledgments

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This study was supported by NASA grant 80NM0078F0617 as part of an effort to improve water vapor
soundings from the TEMPEST CubeSat radiometer on Space Test Program-Houston 8. <u>The authors</u>

749 appreciate the reviewers' thorough comments, which greatly improved the paper.

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