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# Role of time-averaging of eddy covariance fluxes on water use efficiency dynamics of Maize crop

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### Abstract

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8 Direct measurement of carbon and water fluxes at high frequency makes eddy covariance (EC) as the most preferred technique to characterize water use efficiency (WUE). 9 10 However, reliability of EC fluxes is largely hinged on energy balance ratio (EBR) and inclusion of low-frequency fluxes. This study is aimed at investigating the role of averaging period to 11 12 represent EC fluxes and its propagation into WUE dynamics. Carbon and water fluxes were monitored in a drip-irrigated Maize field at 10 Hz frequency and are averaged over 1, 5, 10, 13 15, 30, 45, 60, and 120 minutes considering daytime unstable conditions. Optimal averaging 14 period to simulate WUE fluxes for each growth stage is obtained by considering cumulative 15 frequency (Ogive) curves. A clear departure of EBR from unity was observed during dough 16 17 and maturity stages of the crop due to ignorance of canopy heat storage. Deviation in representing water (carbon) fluxes relative to the conventional 30 min average is within  $\pm$  3 % 18  $(\pm 10 \%)$  for 10-120 min averaging and is beyond  $\pm 3 \% (\pm 10 \%)$  for other time-averages. 19 Ogive plots conclude that optimal averaging period to represent carbon, water and WUE fluxes 20 is 15-30 min for 6<sup>th</sup> leaf and silking stages, and is 45-60 min for dough and maturity stages. 21 Dynamics of WUE considering optimal averaging periods are in the range of  $1.49 \pm 0.95$ , 1.3722  $\pm$  0.74, 1.39  $\pm$  0.79, and 3.06  $\pm$  0.69 µmol mmol<sup>-1</sup> for the 6<sup>th</sup> leaf, silking, dough, and maturity 23 stages respectively. Error in representing WUE with conventional 30 min averaging is marginal 24 (< 1.5 %) throughout the crop period except for the dough stage (12.12 \%). We conclude that 25 the conventional 30 min averaging of EC fluxes is not appropriate for the entire growth stage. 26 Our findings can help in developing efficient water management strategies by accurately 27 characterizing WUE fluxes from the EC measurements. 28

Keywords: Eddy covariance, Maize crop, Time-average, Energy balance ratio, Ogive
function, Water use efficiency.

### 31 **Research Highlights:**

- The time-averages that yield the most effective energy balance closure are identified as
   45 and 60 minutes.
- Insufficiently short time-averages such as 1 and 5 minutes, as well as excessively long time-averages such as 120 minutes, resulted in a high relative error in representing
   carbon and water fluxes.
- 37 3. The conventional 30-minute averaging period proved to be insufficient in capturing
   38 low-frequency fluxes, necessitating the use of longer averaging periods.
- 4. Different time averaging periods are to be considered to compute the EC fluxesconsidering the crop growth stage.

### 41 **1.0 INTRODUCTION**

Water use efficiency (WUE) is an important eco-hydrologic trait relating two important 42 processes of plant metabolism namely carbon fixation (via photosynthesis) and water 43 consumption (via transpiration) (Bramley, 2013). The need for achieving food security with 44 45 diminishing water resources under changing climate has made WUE as the controlling parameter in planning and design of irrigation strategies (Tang, 2015). Depending on the scale 46 47 of investigation, WUE can be quantified at: i) leaf, ii) plant, iii) ecosystem, or iv) regional scales (Medrano, 2015). Of these, ecosystem WUE has taken precedence in irrigation and 48 agronomy due to: i) accurate and reliable measurement using micrometeorological techniques, 49 50 ii) ability to evaluate the role of various water conservation techniques on ecosystem productivity, and iii) understand the relation between carbon and water cycles in response to 51 changes in climate (Tang, 2015; Tong, 2014). 52

Eddy covariance (EC) is a non-destructive, micrometeorological technique for direct measurement of water vapour (H<sub>2</sub>O) and carbon (CO<sub>2</sub>) fluxes between vegetation and atmosphere at high temporal frequency (Aubinet, 1999; Leclerc and Foken, 2014). EC method precisely measures the overall transfer of heat, mass, and momentum between the earth's surface (such as vegetation) and the atmosphere. This is achieved by estimating the covariance of turbulent fluctuations in vertical wind (referred to as eddies) with respect to the specific flux under consideration such as H<sub>2</sub>O, CO<sub>2</sub>, temperature. EC represents the scalar fluxes of interest (representative of eco-hydrological processes) from a region upwind of the measurement known as the footprint. At ecosystem scale, WUE is estimated as the ratio of net primary product (NPP: proxy for photosynthesis) to evapotranspiration (ET: proxy for water consumption) (Peddinti, 2020). WUE is a key eco-hydrologic trait that is used to analyse the role of climate change, drought, deficit irrigation, and management strategies on ecosystem productivity. Currently, EC is the most accurate and reliable method for estimating carbon and water exchanges, hence WUE at ecosystem scale (Tong, 2009). A number of studies have demonstrated the efficacy of EC in estimating WUE across a wide range of ecosystems (Tang, 2015; Tong, 2014; Wang, 2017). Error sources that affect the accuracy of EC fluxes are grouped into: i) Unrepresentative (due to footprint heterogeneity, unsatisfied underlying

2015; Tong, 2014; Wang, 2017). Error sources that affect the accuracy of EC fluxes are 68 grouped into: i) Unrepresentative (due to footprint heterogeneity, unsatisfied underlying 69 theory), ii) Measurement uncertainties (due to random errors, interference and contamination, 70 sensor drifts) and iii) Measurement biases in fluxes (tilt, frequency losses, air density 71 fluctuations etc). Despite improvements in measurement accuracy, data sampling, and 72 73 processing techniques, EC method still suffers from the drawback of lack of conservation among the energy terms, resulting in energy balance closure (EBC) problem (Charuchittipan, 74 75 2014; Foken, 2011; Reed, 2018). Lack of EBC as observed in EC system is reported across diverse ecosystems ranging from simple bare soils (Oncley, 2007), to homogeneous grasslands 76 77 (Twine, 2000), to heterogeneous croplands (Peddinti and Kambhammettu 2019), to complex 78 forest ecosystem (Charuchittipan, 2014; Wilson, 2002). Apart from the errors associated with instrumentation, measurement, and neglected energy sinks, lack of EBC at the EC sites is also 79 attributed to the omission of low frequency secondary circulations in the turbulent flux 80 estimation (Wilson, 2002). This problem can be circumvented by choosing appropriate 81 averaging period during flux estimation, the selection of which is based on: i) 'ensemble block 82 time-averaging method' (Finnigan, 2003; Malhi, 2004; Sakai, 2001), and ii) 'Ogive method' 83 (Berger, 2001). 84

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A number of studies have highlighted the importance of averaging period in quantifying 85 the EC fluxes, with an objective to obtain optimal time-averaging period under various canopy 86 and surface roughness conditions. While smaller averaging periods (15-30 min) are suitable 87 88 for managed croplands, flux estimation from forest and tall canopies demand longer averaging periods (60-120 min) due to the presence of large-sized, slow moving eddies (Finnigan, 2003; 89 Sakai, 2001; Sun, 2006). Zhang (2013) concluded that time-averaging of EC fluxes has to be 90 done in accordance with the observation scale. In an analysis of Chengliu riparian forest in 91 92 China, they found that lower time-averaging periods (15 min) are suitable for daily variation

of EC fluxes, whereas higher time-averaging periods (60 min) are suitable for long-term flux 93 computations. A similar observation was made by Lee (2004) over farmlands. In a wheat field 94 in Yucheng, China, 10 min and 30 min averaging periods were found suitable for diurnal and 95 long-term flux observations respectively. Flux observations over a Maize crop at Daxing 96 experimental station in China conclude that optimal time-averaging period has to be considered 97 in accordance with crop growth stage (Feng, 2017). However, they observed a marginal (< 398 %) error in representing the fluxes at conventional 30 min averaging relative to the optimal 99 averaging obtained for each growth stage. 100

101 Maize is the third most important cereal crop in India after rice and wheat, and accounts for about 10 % of total food production in the country (Sharma, 2018; Ficci 2014). Inspite of a 102 huge area under cultivation (9.4 MHa), high production (23 million tons), and enormous water 103 consumption (18 BCM), both crop productivity (2.5 t ha<sup>-1</sup>) and crop water productivity (CWP) 104 (1.83 kg m<sup>-3</sup>) of Indian Maize are far lower than corresponding world averages (Sharma, 2018). 105 106 Low CWP (hence, WUE) of Indian Maize can be attributed to: i) a high dependence (85%) on erratic, uncertain rainfall, ii) low adoption of hybrid varieties, iii) improper drainage facilities 107 108 leading to water logging, and iv) unscientific application of irrigation water without analysing soil-water-crop interactions (Shankar, 2012). Thus, an accurate quantification of WUE and its 109 temporal variation during the crop cycle is essential for effective irrigation water management 110 of Maize crop (Medrano, 2015). 111

While the effect of time-averaging on carbon and water fluxes measured at EC sites is 112 reported, the effect on their interaction term, i.e. WUE, which is crucial in irrigation water 113 management is unexplored. Evaluation of time-averaging period on WUE dynamics is 114 necessary to understand the contribution of low and high frequency photosynthetic carbon and 115 evaporative water fluxes generated from various field management strategies. Also, most of 116 the EC flux studies are confined to data rich AmeriFLUX, EuroFLUX, and ChinaFLUX sites, 117 with limited focus to Indian fragmented croplands. This motivates the present study, and the 118 objectives of this study are as follows: i) investigate the role of time-averaging of EC fluxes on 119 EBR and WUE dynamics, ii) compute optimal averaging period to simulate carbon and water 120 (hence, WUE) fluxes of Maize crop, and iii) investigate the association of carbon, water, and 121 WUE fluxes between multiple averaging periods. Results of this study can help in designing 122 efficient management strategies using EC datasets in response to changes in WUE during the 123 crop cycle. 124

# 126 **2.0 MATERIALS AND METHODOLOGY**

### 127 2.1 Site Description and Instrumentation

Controlled Maize plots situated at Professor Jaya Shankar Telangana State Agricultural 128 University (PJTSAU), Hyderabad, Telangana, India (17°19'17" N, 78°24'35" E, 559 m above 129 sea level) forms the study area. The region is composed of red gravel to sandy loam soils with 130 131 field capacity and wilting point in the ranges of 17.92 - 19.56 % and 8.2 - 9.87% respectively. As per Koppen-Geiger's classification, the region falls under tropical savanna climate zone 132 (Aw) characterized by long dry and short wet seasons (Kottek, 2006). Mean annual 133 precipitation of the region is 900 mm (IMD, 2019) with more than 80% occurring during the 134 135 monsoon months (Jun-Sep). Temperatures are high during summer  $(38.33 \pm 2.12 \text{ °C})$  and low during winter  $(30 \pm 2.20 \text{ °C})$  months. Humidity of the region varies from 35% in summer to 136 137 73% in monsoon (CGWB, 2013). Mean seasonal wind speed is in the range of 1.5 to 2.7 m/s (Peddinti and Kambhammettu 2019). Hydro-geologically, the study area forms part of the 138 Deccan plateau characterized by multiple layers of solidified flood basalt resulting from 139 volcanic eruptions. Depth to groundwater ranges from 12 m (pre-monsoon) to 6 m (post-140 monsoon) (CGWB, 2013). 141

Meteorological parameters and turbulent fluxes were obtained for one crop season, i.e. 142 26 May to 06 Sep, 2019 using an open path eddy covariance (EC) flux tower. The flux system 143 is composed of integrated CO<sub>2</sub>/H<sub>2</sub>O open-path gas analyzer and 3D sonic anemometer 144 (IRGASON-EB-NC, Campbell Sci. Inc., USA) to measure CO<sub>2</sub> and H<sub>2</sub>O concentrations at 3 145 146 m above the canopy. Raw data was collected with a logger (CR1000, Campbell Sci. Inc., USA) at 10 Hz frequency. Additionally, slow response meteorological variables including 147 148 precipitation (TE525-L-PTL, Tipping Bucket, Campbell Sci. Inc., USA), soil heat flux (HFP01SC-L-PTL, Campbell Sci. Inc., USA), solar radiation (CNR 4, Campbell Sci. Inc., 149 USA), and soil moisture (CS616-L-PT-L, Campbell Sci. Inc., USA) were obtained at 10 min 150 intervals. 151

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# 153 **2.2 Data Collection and Processing**

Table 1 shows the phenological stages of the Maize crop in the study area (Soujanya,
2021). Additionally, leaf-area index (LAI) and mean plant height were monitored during the

crop cycle (Table 1). The LAI was measured using the plant canopy analyser, whereas the plant
height was measured using a ruler from the base of the plant to its crown. Maize crops of the
experimental fields are sown on 25<sup>th</sup> May 2019 and harvested on 6<sup>th</sup> September 2019 with a
base period of 104 days.

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Table 1: Phenological growth stages and physical properties of the Maize crop

S. No.	Growth stage	Start date	End date	Period Length (days)	Leaf Area Index (m <sup>2</sup> m <sup>-2</sup> )	Plant height (cm)
1	6 <sup>th</sup> leaf	26/05/2019	12/06/2019	18	0.61	46.8
2	Silking	13/06/2019	19/07/2019	37	1.56	75.2
3	Dough	20/07/2019	12/08/2019	24	3.46	133
4	Maturity	13/08/2019	06/09/2019	25	3.03	134

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Data from the EC system at 10 Hz frequency was converted to ASCII format using 162 LoggerNet (4.3) software (Campbell Scientific Inc., Logan, Utah, USA), and further 163 aggregated to various averaging periods (1, 5, 10, 15, 30, 45, 60, and 120 minutes). Post data 164 processing was done using EddyPro post-processing software (version 7.0.8, LI-COR, USA). 165 Primary corrections performed on the raw dataset include tilt corrections, turbulent 166 fluctuations, density fluctuations, frequency corrections and quality checks. Tilt corrections 167 were made by the double axis rotation method for each averaging period. Either block average 168 method or linear trending method were considered to compute the turbulent fluctuations. Block 169 averaging method was used for detrending the fluxes at 1, 5, 10, 30, 45, and 60 min averaging 170 periods. Longer averaging periods (e.g. 120 min) has resulted in inconsistency in the obtained 171 fluxes, which is a weakness of the block averaging (Renhua, 2005; Sun et al., 2006). Hence, 172 linear trend removal method was used to compute the fluxes for 120 min averaging period. 173 Density fluctuation corrections were done using Webb-Pearman-Leuning (WPL) 174 method. Quality checks were performed following a flagging policy proposed by Mauder and 175 Foken (2006) (0-1-2 system). Flag set to "0" corresponds to the best quality fluxes, "1" 176 corresponds to fluxes acceptable for general analysis, and "2" corresponds to poor quality 177

fluxes that should be removed from the dataset. The resulting fluxes may exhibit spikes, 178 discontinuity, randomness etc. There is a need to perform secondary corrections on the data 179 that include flux spike removal (Vickers and Mahrt 1997), friction velocity corrections (to filter 180 night time observations), gap filling and uncertainty analysis (Finkelstein, 2001), skewness & 181 kurtosis removal, spectral corrections, and frequency corrections. To correct flux estimates for 182 low and high frequency losses due to instrument setup, intrinsic sampling limits of the devices, 183 and various data processing decisions, spectral corrections are performed. Additionally, slow 184 sensor meteorological data obtained at 1 min interval were processed for different time-185 averaging periods using the EddyPro post-processing software (version 7.0.8, LI-COR, USA). 186

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# 188 2.3 Effect of time-averaging on EBR and EC fluxes

Violation of law of conservation of energy resulting from the EC observed energy terms 189 190 is referred as energy balance closure (EBC). The available energy  $(R_n-G)$  is generally higher than the turbulent fluxes (H+LE), resulting in a positive balance (Eshonkulov, 2019) where R<sub>n</sub>, 191 G, H and LE correspond to net radiation, soil heat flux, sensible heat and latent heat 192 respectively. Apart from instrument and measurement issues, this lack of energy closure is 193 thought to be partly from averaging periods and coordinate systems (Finnigan, 2003; Finnigan, 194 2004; Gerken, 2018). The energy closure fraction, commonly termed as energy balance ratio 195 (EBR) is used to evaluate the quality of EC data by examining energy fluxes at the surface 196 (Chen and Li 2012), given by: 197

$$198 \quad EBR = \frac{H + LE}{R_n - G} \tag{1}$$

$$H = \rho_a C_p \overline{w'T'} \tag{2}$$

$$200 \quad LE = L_v \overline{w' \rho_v}' \tag{3}$$

where  $\rho_a$  is the air density;  $C_p$  is the specific heat of air, w' is the wind velocity fluctuation, T'is the temperature fluctuation,  $L_v$  is the latent heat of vaporization and  $\rho_v'$  is the H<sub>2</sub>O gas concentration fluctuation.

EBR helps to determine the averaging period required to calculate H and LE fluxes over a range of landscapes (Chen and Li 2012). A high EBR (EBR  $\ge 0.7$ ) ensures reliability of EC observations for use with flux estimation (Barr et al., 2006; Kidston et al., 2010). Eddy fluxes are computed as the covariance between instantaneous deviation in vertical wind speed (w') and scalar component of interest (s') from their respective means, given by

209 
$$F \approx \overline{\rho_a w' s'}$$
 (4)

where  $\overline{\rho_a}$  is the mean air density, and the overbar represents the time-average of eddy fluxes, which is of interest in the present study. Depending on the scalar component considered (ex: temperature, water vapour (H<sub>2</sub>O), carbon dioxide (CO<sub>2</sub>) concentration), corresponding eddy fluxes (ex: sensible heat, latent heat, carbon flux) are computed as below.

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$$F_{CO_2} \approx \overline{\rho_a w' CO_2'} \tag{5}$$

215 
$$F_{H_2O} \approx \overline{\rho_a w' H_2O'} \tag{6}$$

Ecosystem WUE is then estimated as the ratio of daytime carbon (net primary product) to water fluxes (evapotranspiration), observed considering daytime unstable atmospheric conditions (08:00 am to 04:00 pm) given by:

219 
$$WUE = \frac{NPP}{ET} = \frac{F_{CO_2}}{F_{H_2O}}$$
 (7)

220 Fluxes originating from real-world sites are composed of both high frequency (turbulence) and low frequency (advection) fluctuations, with a spectral gap in between. Isolating local 221 222 turbulence component for use with flux studies is achieved by choosing an appropriate averaging period, T<sub>1</sub> (typically 30 minutes) on fast response measurements operating at high 223 224 frequency  $T_2$  (Manon and Kristian 2020). Optimal averaging period ( $T_1$ ) should be long enough to reduce random error (Berger, 2001) and short enough to avoid non-stationarity associated 225 with advection (Foken & Wichura, 1996). The flux estimates (eq. 2) are further decomposed 226 into frequency dependent contributions, known as co-spectra Cows (f) between vertical wind 227 velocity (w) and scalar of interest (s) for frequencies 'f' (Manon and Kristian 2020). For an 228 accurate estimation of the flux, it is essential that the EC method is applied under conditions 229 where the flow is stationary, and all eddies carrying flux are sampled. Given that the flow 230 remains stationary, an 'Ogive' serves as a check for the essential requirement to sample all 231 232 scales carrying the flux. Ogive function is well proposed to check if all low frequency fluxes are included in the turbulent flux measured with the EC method (Foken & Wichura, 1996; 233 Foken et al., 2005). It is used to investigate the energy balance losses caused by low frequency 234 fluxes. Ogive analysis is performed to investigate the flux contribution from each frequency 235

range and to arrive at most suitable averaging period to capture most of the turbulent fluxes
(Desjardans, 1989; Charuchittipan, 2014). Ogive function thus provides the cumulative sum of
co-spectral energy starting from the highest frequency, given by:

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$$\operatorname{Og}_{ws}(f_0) = \int_{f_0}^{\infty} \operatorname{Co}_{ws}(f) df$$
 (8)

The point of convergence on the Ogive plot to an asymptote corresponds to optimal averaging 240 period  $(T_1)$  for use with averaging of high frequency turbulence fluxes. In other words, the 241 point at which the Ogive plot flattens out represents the optimal averaging period. A total of 242 eight averaging periods, i.e., 1, 5, 10, 15, 30, 45, 60, and 120 minutes were considered to 243 investigate the role of time-averaging on EBR, EC and WUE fluxes, and further to arrive at the 244 optimum averaging period for use with WUE estimation. The biophysical and physiological 245 characteristics such as plant height, crop water requirement, LAI, etc. changes with respect to 246 the crop growth stage (Chintala et al., 2024) and have a significant effect on the EC fluxes. 247 Since these factors vary over growth stages, time-averaging of EC fluxes is separated based on 248 crop growth stage. 249

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### 251 **2.4 Performance Evaluation**

The ability of various averaging periods to close the energy balance and compute the EC fluxes is evaluated using three goodness of fit indicators, namely: a) coefficient of determination ( $\mathbb{R}^2$ ), b) root mean squared error ( $\mathbb{R}MSE$ ), and c) relative error ( $\mathbb{R}E$ ). While  $\mathbb{R}^2$ and  $\mathbb{R}MSE$  aim to quantify the error in closing the energy balance,  $\mathbb{R}E$  is aimed to compute the error in estimating EC fluxes with conventional 30 min averaging period relative to optimal averaging period.

Root mean square error (RMSE) measures overall accuracy in closing the energy balance fora given averaging period by penalizing large errors heavily, given by:

260 
$$RMSE = \left[\frac{\sum_{i=1}^{n} ((R_n - G)_i - (H + LE)_i)^2}{n}\right]^{0.5}$$
 (9)

261 where n is the number of observations.

Coefficient of determination ( $R^2$ ) and Pearson correlation coefficient (r) are the measures of the strength of linear association between turbulent fluxes and available energy, given by:

264 
$$R^{2} = \left\{ \frac{\sum_{i=1}^{n} [(R_{n}-G)_{i} - \overline{(R_{n}-G)}]^{2} [(H+LE)_{i} - \overline{(H+LE)}]^{2}}{\sqrt{\sum [(R_{n}-G)_{i} - \overline{(R_{n}-G)}]^{2} [(H+LE)_{i} - \overline{(H+LE)}]^{2}}} \right\}^{2}$$
(10)

$$265 r = \left\{ \frac{\sum_{i=1}^{n} [(R_n - G)_i - \overline{(R_n - G)}] [(H + LE)_i - \overline{(H + LE)}]}{\sqrt{\sum [(R_n - G)_i - \overline{(R_n - G)}]^2 [(H + LE)_i - \overline{(H + LE)}]^2}} \right\} (11)$$

Relative error (RE) provides the disparity in the fluxes estimated with conventional (30 min)
relative to the fluxes estimated with optimal averaging period, given by:

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$$RE = \left[\frac{\{F_{opt} - F_{30min}\}}{F_{opt}}\right] \times 100$$
(12)

where  $F_{opt}$  and  $F_{30}$  are the flux of interest considering optimal and conventional (30 min) averaging periods.

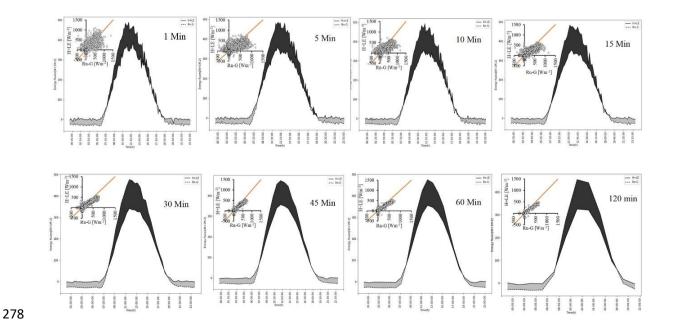
Averaging period corresponding to high  $R^2$  (close to 1), low RMSE (close to zero) is considered to be the optimal choice in representing the EC fluxes.

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# 274 3.0 RESULTS AND DISCUSSION

### **3.1 Diurnal variations in energy balance components**

To understand the energy variation in response to rapid changes in meteorological conditions, we analysed the diurnal variations in energy balance components. Figure 1 shows



- **Figure 1:** Diurnal variations in energy balance components (available energy: R<sub>n</sub>-G and turbulent fluxes:
- H+LE) during the crop cycle with different averaging periods. Inset: Scatter-plots between the two
   datasets.
- the diurnal variations in available energy  $(R_n-G)$  and turbulent fluxes (H+LE) averaged over 282 the crop cycle for various time-averages. The diurnal variations of  $(R_n-G)$  and (H+LE) are bell-283 shaped, with peak occurring at around noon (480.16  $\pm$  14.15 Wm<sup>-2</sup>, 356.23  $\pm$  18.51 Wm<sup>-2</sup>) 284 (Figure 1). The energy balance difference (shaded areas of the figure) is found to be positive 285  $(76.88 \pm 43.14 \text{ Wm}^{-2})$  during daylight hours (08:00 am to 06:00 pm) and is negative (-24 ± 286 11.65 Wm<sup>-2</sup>) for the remaining time. The vertical offset between the two curves, representing 287 the residual of energy balance is highest around the noon  $(142.39 \pm 19.42 \text{ Wm}^{-2})$ , and is 288 consistent between the averaging periods. For an average site-day, the cumulative energy 289 balance difference was found to be constant with a mean of  $1811 \pm 91.56$  Wm<sup>-2</sup> at all averaging 290 periods. The cumulative energy balance difference is crossing the 'zero' line at around 11:30 291 am. The variation is rough at lower averaging periods due to a high sample size (n = 10859 at 292 T = 1 min) and is gradually smoothened towards higher averaging periods (n= 811 at T = 120) 293 min). The slope of regression lines between (H+LE) and  $(R_n-G)$  considering all averaging 294 periods are in the range of 0.59 to 0.71 with a mean of 0.65  $\pm$  0.041. The intercept is ranged 295 from 19.01 to 31.56 Wm<sup>-2</sup>. The best slope ( $\geq 0.70$ ) and intercept ( $\leq 20$  Wm<sup>-2</sup>) were achieved 296 with 45 and 60 minutes averaging periods, which is consistent with literature (Gao, 2017; 297 Leuning, 2012). This conclude that, longer averaging periods have a good closure over shorter 298 averaging periods. The strength of linear association between  $(R_n-G)$  and (H+LE) around the 299 best fit line, explained by r is high  $(0.80 < r \le 0.9)$  at low averaging periods, i.e., 1, 5, 10 300 minutes, and is very high (r > 0.9) for other averaging periods (Table 2). However, the departure 301 of the data from 1:1 line is relatively low both at short and long averaging periods. Our findings 302 show that averaging period has minimal influence in representing the energy balance terms. 303 However, data scatter around 1:1 line is high for shorter time-averages due to large sample size 304 305 and data randomness.
- 306 Table 2: Summary of linear regression parameters in closing the energy balance with different307 averaging periods.

Averaging Period	Slope	R <sup>2</sup>	Intercept (Wm <sup>-2</sup> )	r	Ν	RMSE (Wm <sup>-2</sup> )
1min	0.63	0.66	30.31	0.81	10859	98.38

5min	0.59	0.74	31.56	0.86	10785	76.47
10min	0.60	0.80	28.94	0.90	10753	64.41
15min	0.63	0.84	26.56	0.92	7150	58.18
30min	0.66	0.93	20.49	0.96	3554	38.33
45min	0.70	0.94	19.99	0.97	2355	36.30
60min	0.71	0.94	19.01	0.97	1765	35.07
120min	0.67	0.93	20.77	0.96	811	39.95

# 309 **3.2 Effect of averaging period on EBR and EC fluxes**

The variation in energy balance ratio (EBR) with averaging period for individual growth stages of the crop is presented in Figure 2. We observed a clear departure of EBR from

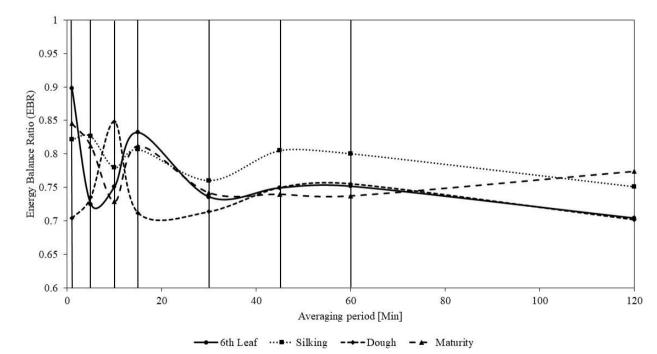


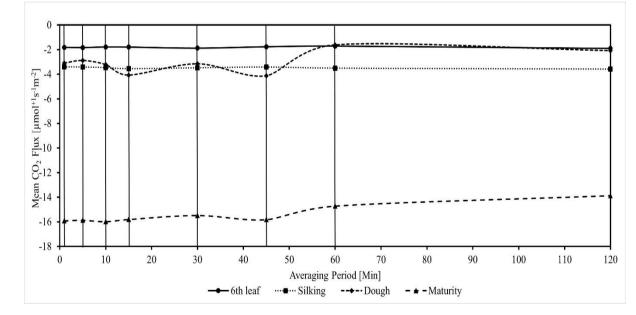


Figure 2: Variation in energy balance ratio (EBR) with averaging period for different growth stages. (Solid
verticals from left to right correspond to the averaging periods of 1 min, 5 min, 10 min, 15 min, 30 min, 45
min, 60 min, and 120 min respectively).

unity for all growth stages, particularly with dough and maturity stages due to ignorance of

canopy heat storage. EBR is fluctuating between 0.70 and 0.90 at low (1 - 30 min) averaging

periods and is fairly constant (0.75  $\pm$  0.03) at high ( $\geq$  30 min) averaging periods. Our reported 318 values of EBR during the crop growth are within the typically found range of 0.65 to 1.2 for 319 most of the crops (Feng, 2017; Finnigan, 2003; Wilson, 2002). The mean EBR with 320 conventional 30 min averaging period is found to be 0.74, 0.76, 0.71, and 0.74 during 6<sup>th</sup> leaf, 321 silking, dough, and maturity stages respectively. Low EBR during the crop cycle can also be 322 attributed to the ignorance of energy transport associated with large eddies from landscape 323 heterogeneity. However, EC method assumes the landscape within the footprint of 324 measurement to be flat and homogenous. This violation might have lowered the EBR. We 325 could not observe any significant differences in temporal trends of 'wind speed' and 'wind 326 direction' between the averaging periods, hence meteorological conditions were not analysed 327 by varying time-average. Changes in daytime mean carbon and water fluxes with averaging 328 period for different growth stages of the crop is shown in Figure 3. Carbon fluxes (sink) have 329 a very low mean  $(1.81 \pm 0.06 \,\mu\text{mol}\,\text{m}^{-2}\text{s}^{-1})$  during 6<sup>th</sup> leaf stage, low mean during silking (3.48) 330  $\pm$  0.07 µmol m<sup>-2</sup>s<sup>-1</sup>) and dough (3.03  $\pm$  0.87 µmol m<sup>-2</sup>s<sup>-1</sup>) stages, and a high mean (15.44  $\pm$  0.75 331  $\mu$  mol m<sup>-2</sup>s<sup>-1</sup>) during maturity stage. 332





**Figure 3a:** Variation in mean carbon fluxes with averaging period for different growth stages (Solid verticals

from left to right correspond to the averaging periods of 1 min, 5 min, 10 min, 15 min, 30 min, 45 min, 60

min, and 120 min respectively).

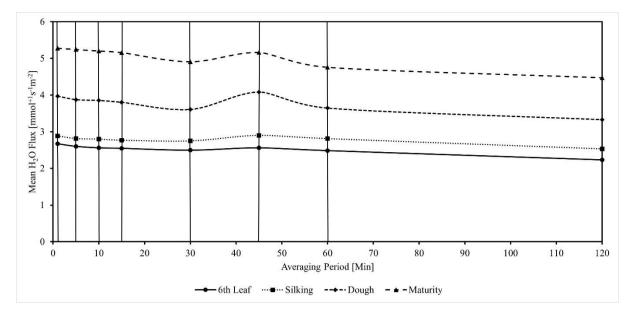




Figure 3b: Variation in mean water fluxes with averaging period for different growth stages (Solid verticals
from left to right correspond to the averaging periods of 1 min, 5 min, 10 min, 15 min, 30 min, 45 min, 60
min, and 120 min respectively).

Mean carbon fluxes during 6<sup>th</sup> leaf and silking stage are mostly unaffected by averaging period. 342 We observed a gradual increase in water vapour fluxes during the crop cycle from 6<sup>th</sup> leaf (2.52 343  $\pm 0.13$  mmol s<sup>-1</sup>m<sup>-2</sup>) to maturity (5.02  $\pm 0.29$  mmol s<sup>-1</sup>m<sup>-2</sup>). As the averaging period is increased, 344 the mean water vapour flux is decreased, with an exception at 45 min averaging period. 345 Deviation in representing carbon and water fluxes at different averaging periods, relative to the 346 347 conventional 30 min averaging period i.e. relative error (RE) is presented in Figure 4. The RE is obtained by considering daily averages in the deviations for each growth stage. During 6<sup>th</sup> 348 leaf and silking stages, RE in estimating carbon fluxes is high (~ -15 %) with low averaging 349 periods, and is gradually diminishing towards higher averaging periods, with an exception at 350 very high (120 min) average period. For dough and maturity stages, RE is found to be 351 significant with higher averaging periods (60-120 min). RE in estimating water vapour fluxes 352 is found to be insignificant at all averaging periods for the 6<sup>th</sup> leaf and silking stages. However, 353 dough and maturity stages have shown a large variation in RE considering either too-short (1, 354 5 min) or too-long (60, 120 min) time averages. A high variability in RE for time scales larger 355 than 45 min indicate the effects of sub mesoscale (non-turbulent) motions. Hence, 45 min 356 average period can be considered as optimal in isolating the turbulence components for use 357 with flux representation. 358

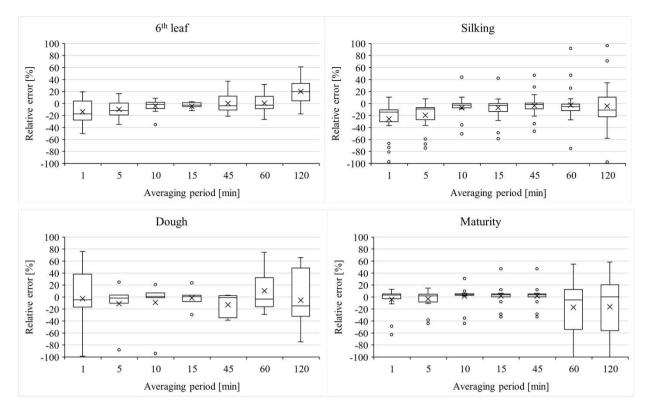


Figure 4a: Whisker plots showing the distribution of error in estimating carbon fluxes with various averaging periods relative to the conventional 30 min averaging. 

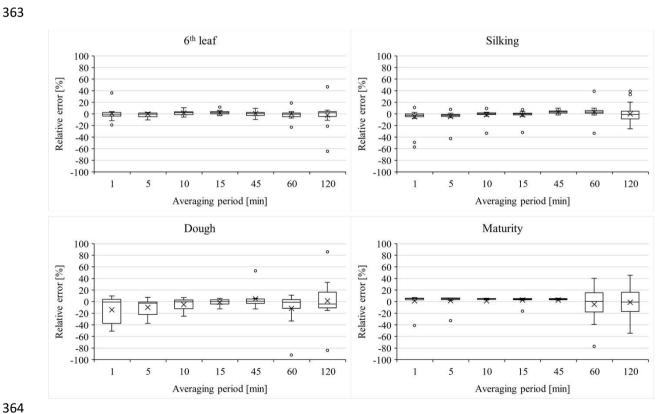
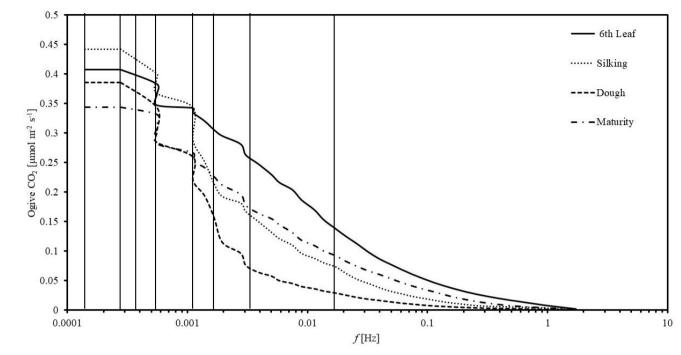


Figure 4b: Whisker plots showing the distribution of error in estimating water fluxes with various averaging periods relative to the conventional 30 min averaging. 

# 368 **3.3 Selection of Optimal averaging period**

Ogive functions representing the cumulative integral of the co-spectral energy starting with highest frequency, i.e., 0.016 Hz (T = 1 min) for carbon, water, and WUE fluxes are presented





373 Figure 5a: Ogive plots of carbon fluxes for different growth stages of the Maize crop. (Solid verticals from

left to right extremes correspond to the averaging periods of 120 min, 60 min, 45 min, 30 min, 15 min, 10
min, 5min and 1 min respectively).

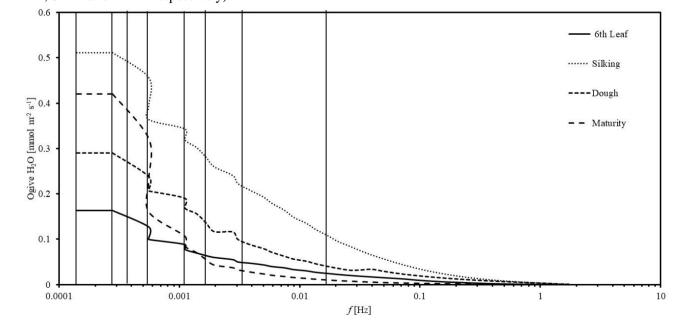




Figure 5b: Ogive plots of water fluxes for different growth stages of the Maize crop. (Solid verticals from
left to right extremes correspond to the averaging periods of 120 min, 60 min, 45 min, 30 min, 15 min, 10

min, 5min and 1 min respectively)

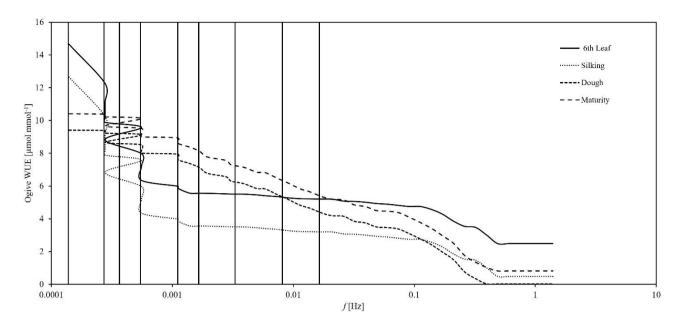


Figure 5c: Ogive plots of water use efficiency for different growth stages of the Maize crop. (Solid verticals
 from left to right extremes correspond to the averaging periods of 120 min, 60 min, 45 min, 30 min, 15 min,
 10 min, 5min and 1 min respectively)

in Figure 5. Shorter time periods corresponding to daytime unstable atmospheric conditions 384 (08:00 am to 04:00 pm) for various growth stages were investigated. Ogive plots of carbon 385 fluxes for 6<sup>th</sup> leaf and silking stages showed an increasing trend up to 0.011 Hz (15 min) and 386 remained fairly constant before 0.0055 Hz (30 min). This concludes that whole turbulent 387 spectrum can be covered with 15 to 30 min averaging, with negligible flux contribution from 388 longer frequencies. Ogive plots of carbon fluxes for dough and maturity stages showed a 389 continuous increasing trend without a defined plateau (horizontal asymptote) in between. This 390 conclude that the conventional 30 min averaging period is inadequate to capture the low 391 frequency fluxes, thus demanding for higher averaging periods. We observed a similar 392 behaviour with water fluxes (Figure 5b). The flat part of the Ogive curve representing the 393 optimal averaging period was found to vary across the crop cycle. While 15-30 min time-394 average is suitable for aggregating the EC fluxes during 6<sup>th</sup> leaf and silking stages, 45-60 min 395 averaging is more appropriate for dough and maturity stages. Similar to carbon and water 396 fluxes, the Ogive plots for WUE were presented in Figure 5c. From this, it is observed that the 397 flat part of Ogive is achieved at 15 min time average period for the stages of 6<sup>th</sup> leaf and silking 398 and 45 min time average for the dough and maturity stages which is similar to the carbon and 399 water fluxes. It concludes that the WUE followed a similar behaviour as its individual fluxes 400 i.e. carbon and water fluxes in achieving optimal time averages. The crop biophysical factors 401 like LAI and plant height are minimum during 6<sup>th</sup> leaf and silking stages contributes low 402 quantity of CO<sub>2</sub> and H<sub>2</sub>O fluxes (refer figure 3a & 3b) whereas they are maximum in the later 403

stages of the crop i.e., dough and maturity contributing to high quantities of CO<sub>2</sub> and H<sub>2</sub>O 404 fluxes (refer figure 3a & 3b). Our results are in accordance with the previous studies of Fong 405 et al., 2020 on Cotton, where the responses in NPP and ET were related seasonally to plant 406 growth stages. The previous studies on various crops revealed that the NPP and ET fluxes were 407 initially low in the early stages and increases towards maturity stage due to crop phenology and 408 management practices. To capture these low quantity fluxes, low averaging periods i.e., 15 min 409 is sufficient, whereas 45 min time-averaging period can capture high quantity fluxes that are 410 prevalent during later growth stages of the crop. As the crop characteristics are dependent on 411 crop growth stages, a single time-averaging period is not appropriate to capture the dynamics 412 of CO<sub>2</sub> and H<sub>2</sub>O fluxes as well their ratio, WUE. This clearly demonstrates that, as the plant 413 achieves its higher stage, flux contribution from low-frequency components becomes more 414 valuable. Very low averaging periods (ex: 1 min, 5 min) were found unsuitable to capture low-415 frequency flux components, which is in agreement with literature (Feng, 2017). 416

417

# 418 **3.4 Dynamics of Water use efficiency**

Daily means of water use efficiency (WUE) estimated with conventional 30 min and
 growth specific optimal averaging periods is presented in Figure 6. Mean WUE fluxes for 6<sup>th</sup>

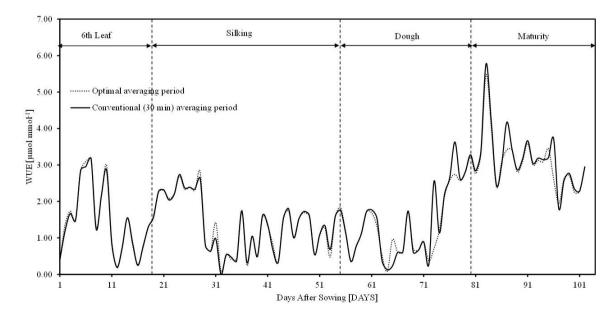


Figure 6: Seasonal variations in daily mean WUE fluxes obtained with conventional 30 min (solid) and
optimal averaging periods (dotted) during the crop cycle.

leaf, silking, dough and maturity stages with conventional 30 min averaging are  $1.48 \pm 0.96$ , 424  $1.36 \pm 0.73$ ,  $1.38 \pm 0.95$  and  $3.184 \pm 0.78$  µmol mmol<sup>-1</sup> respectively. Corresponding fluxes 425 with stage specific optimal averaging periods are  $1.49 \pm 0.95$ ,  $1.37 \pm 0.74$ ,  $1.39 \pm 0.79$  and 3.06426  $\pm$  0.69 µmol mmol<sup>-1</sup> respectively. Error in estimating mean daily WUE fluxes with 30 min 427 averaging is very low (< 1.45%) during 6<sup>th</sup> leaf and silking stages, low (8.56 to 9.04 %) during 428 maturity stage, and is moderate (11.84 to 12.12 %) during dough stage. This conclude that, 429 choice of optimal averaging period is more crucial for late stage growth periods of the crop. 430 Distribution of error in estimating WUE fluxes with various averaging periods relative to 431 conventional 30 min average period (RE) is presented in Figure 7. A close to zero RE with all 432 averaging periods during 6<sup>th</sup> leaf and silking stages conclude that, choice of averaging period 433 has insignificant role in estimating the WUE fluxes, particularly during early growth stages. A 434 slightly high RE (~ -5.4%) during dough and maturity stages conclude that, choice of averaging 435 period matters for WUE estimation during late stage periods. Hence, conventional 30 min 436 averaging period can be considered for estimating WUE fluxes during 6<sup>th</sup> leaf and silking 437 stages, whereas optimal averaging period need to be considered for estimating WUE fluxes 438 439 during dough and maturity stages. Correlation charts showing the linear association within carbon, water, and WUE fluxes represented at different averaging periods is presented in Figure 440 8. For ease with comparison, data for the entire crop cycle was considered. Linear association 441

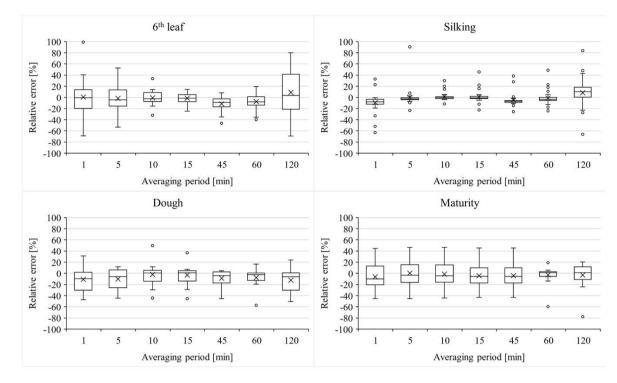
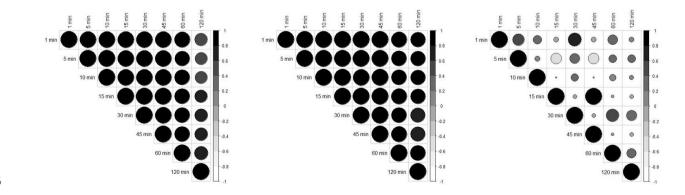




Figure 7: Whisker plots showing the distribution of error in estimating WUE fluxes with various averaging
 periods relative to the conventional 30 min averaging.

between any two averaging periods is positive ( $\rho > 0.56$ ) for carbon and water fluxes. Except 446 with 120 min time-averaging, all other averaging periods are strongly correlated ( $\rho > 0.87$ ) 447 with 30 min averaging period. However, a poor linear association in WUE fluxes was observed 448 between any two averaging periods, which is attributed to a larger variation in individual WUE 449 450 fluxes between averaging periods. However, the corresponding individual carbon and water fluxes have recorded low variations between time averages. This conclude that, the need for 451 optimal averaging period is more crucial in estimating WUE fluxes rather than individual 452 carbon and water fluxes. Our findings can improve representation of WUE fluxes using EC 453 data, thereby help in developing efficient water management strategies in response to WUE 454 455 changes.



457 Figure 8: Correlation charts showing the linear association of a) Carbon fluxes, b) Water fluxes, and c)
458 WUE fluxes estimated with different averaging periods.

459

# 460 **4.0 CONCLUSIONS**

This study explores the effect of averaging period of EC fluxes on EBR dynamics and
WUE in semi-arid Indian conditions. The proposed methodology was applied on drip-irrigated
Maize field for one crop period (May-Sept 2019). Major findings of this study are:

- EBR was found vary marginally at low averaging periods and less significant during
   higher averaging periods.
- With reference to conventional 30 min averaging period, relative error is within 12%
  for 10-45 min averaging periods for carbon fluxes and is within 5% for 15-45 averaging
  periods for water fluxes.
- From Ogive analysis we found the optimal averaging period as 15 30 min for the 6th
  leaf, and silking stages, and as 45 60 min for the dough and maturity stages.
- The mean carbon fluxes are increasing from  $1.81 \pm 0.06 \,\mu \text{mol}^{+1}\text{m}^{-2}\text{s}^{-1}$  (6th leaf stage) to  $15.44 \pm 0.75 \,\mu \text{mol}^{+1}\text{m}^{-2}\text{s}^{-1}$  (maturity stage) which indicates that carbon sink is a function of crop growth period. In case of water fluxes, it increased from  $2.52 \pm 0.13$ mmol<sup>+1</sup>m<sup>-2</sup>s<sup>-1</sup> (6th leaf stage) to  $5.02 \pm 0.29 \,\text{mmol}^{+1}\text{m}^{-2}\text{s}^{-1}$  (maturity stage). Variation of carbon and water fluxes are directly influencing WUE dynamics.
- The variation in WUE was increased subsequently with the plant growth and achieved its maximum value of 5.17 μmol mmol<sup>-1</sup> in between dough to maturity stages which concludes that, crop consumes more carbon than water as the crop period progresses.
- The correlation between CO<sub>2</sub> and H<sub>2</sub>O fluxes for all averaging periods was found to be
  high. However, WUE, which is calculated as the ratio of CO<sub>2</sub> and H<sub>2</sub>O fluxes, is not
  following the same pattern. While 45 min and 15 min averaged WUE exhibits a good
  correlation, 30 min averaged WUE is not correlated with other averaging periods.
  Averaging period is found to be an influencing factor in controlling WUE, hence should
  be considered with caution during the crop growth.

This study is limited to understand the role of different time-averaging periods on EC observed
carbon, water fluxes as well as EC derived WUE fluxes contributed by homogeneous Maize
crop which is having relatively smaller flux footprint in an unstable atmospheric condition.

488 Study findings can help to accurately characterise WUE of Maize crop considering growth489 stages for effective implementation of irrigation strategies.

490

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495

# 496 Data Availability Statement:

All footprint climatologies, site-level data files, and supplementary material can be accessed
via the Zenodo Data Repository (<u>https://zenodo.org/badge/latestdoi/528291820</u>)
(Shweta07081992, 2022)

500

# 501 Author Contribution:

Arun Rao Karimindla: Data processing and Analysis, Writing- Original draft. Shweta
Kumari: Conceptualization, Methodology, Project Supervision. Saipriya SR: Data processing
Analysis, and Writing- Original draft. Syam Chintala: Data processing and Analysis, WritingOriginal draft. BVN Phanindra Kambhammettu: Project Administration, WritingReviewing and Editing.

507

### 508 Competing interests:

- 509 The authors declare that they have no known competing interests or personal relationships that
- 510 could have appeared to influence the work reported in this paper.

511

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