

5



POLIPHON conversion factors for retrieving dust-related cloud condensation nuclei and ice-nucleating particle concentration profiles at oceanic sites

Yun He^{1,2,3,*}, Zhenping Yin^{4,*}, Albert Ansmann⁵, Fuchao Liu^{1,2,3}, Longlong Wang⁴, Dongzhe Jing^{1,2,3}, Huijia Shen¹

¹School of Electronic Information, Wuhan University, Wuhan, China ² Key Laboratory of Geospace Environment and Geodesy, Ministry of Education, Wuhan, China ³State Observatory for Atmospheric Remote Sensing, Wuhan, China. ⁴School of Remote Sensing and Information Engineering, Wuhan University, Wuhan, China. ⁵Leibniz Institute for Tropospheric Research, Leipzig, Germany

10

Correspondence to: Yun He (heyun@whu.edu.cn), Zhenping Yin (zp.yin@whu.edu.cn)

Abstract. Aerosol-Cloud interactions (ACI) result in the largest uncertainties in the global radiation budget so far. To improve the current consideration of ACI in global circulation models, it is necessary to characterize the 3-D distribution of

- 15 dust-related Cloud Condensation Nuclei Concentration (CCNC) and Ice Nucleating Particle Concentration (INPC) globally. This can potentially be realized using the POLIPHON (POlarization LIdar PHOtometer Networking) method together with spaceborne lidar observations. However, dust-related conversion factors to convert bulk aerosol optical properties from lidar measurements to aerosol microphysical properties, are still less constrained in many regions, which limits the applications of POLIPHON method. Here we retrieve the essential dust-related conversion factors at the remote oceanic/coast sites using the
- historical AERONET (AErosol RObotic NETwork) databases. Depolarization-ratio-based dust ratios R_d at 1020 nm are 20 applied to identify the dust-occurring cases so that it can be possible to contain fine-mode dust dominated cases (after the preferential removal of large-size dust particles during transport), study the evolution of dust microphysical properties along the transoceanic pathway, and mitigate occasional interference of large-size marine aerosols. The newly proposed scheme is proven to be valid and feasible by intercomparisons with previous studies at nine sites in/near the deserts. The dust-related
- conversion factors are calculated at 20 oceanic/coast sites using both PD (pure dust) and PD+DDM (dust-dominated mixture) 25 datasets. At nearly half sites, the conversion factors are solely calculated using the PD data sets; while at the rest sites, the participation of DDM datasets is required to ensure enough data points in the calculation. Evident variation trends in conversion factors are found for $c_{v,d}$ (extinction-to-volume, gradually decrease), $c_{250,d}$ (extinction-to-particle (with radius >250nm) number concentration, gradually increase) and $c_{s,d}$ (extinction-to-surface area concentration, plunge of
- 30 decrease) along both the transpacific and transatlantic dust transport pathways. The retrieved dust-related conversion factors are anticipated in inversing 3-D dust-related CCNC and INPC distribution globally to improve the understanding of ACI in atmospheric circulation models.







1 Introduction

- 35 Clouds are widely present in the Earth's atmosphere, covering approximately 2/3 of the Earth's surface. They play an essential role in weather, hydrology, climate, air chemistry, and several practical applications (Spänkuch et al., 2022). Clouds modify the radiation budget of the Earth by governing the incoming solar radiation and outgoing longwave radiation, thus significantly affecting the global climate. The level of induced net radiation is closely associated with the microphysical characteristics of cloud particles (either cloud drops or ice crystals), i.e., size, concentration, phase, shape, and so on, which
- 40 are greatly influenced by aerosol-cloud interactions (ACI), also known as 'aerosol indirect effects', resulting in the largest uncertainties in the global radiation budget so far (IPCC, 2013; Rosenfeld et al., 2014).

For liquid-water clouds, aerosols serve as cloud condensation nuclei (CCN) to alter the drop size and albedo (Twomey, 1974) as well as to postpone the initiation of rainfall and increase the lifetime and coverage of clouds (Albrecht, 1989). For mixed-phase and ice clouds, heterogeneous nucleation is another crucial effect in which proper aerosol particles may act as

- 45 ice-nucleating particles (INP) to trigger the in-cloud ice formation (from either vapor or liquid water) (Ansmann et al., 2019a, 2019b, 2021; Murray et al., 2012; Cziczo et al., 2013; Yin et al., 2021). In the ACI study, it is of great importance to quantify the number concentration of CCN and INP. In recent years, aerosol optical depth (AOD) and aerosol index (AI) are evaluated to be not accurate enough to serve as CCN proxies (Shinozuka et al., 2015; Stier, 2016), thus motivating the scientific community to estimate CCN concentration (CCNC) (Mamouri and Ansmann, 2016; Choudhury and Tesche, 2022;
- 50 Patel et al., 2022; Lenhardt et al., 2022). INP concentrations (INPC) are also estimated in many studies to conjecture the initial in-cloud ice crystal number concentration (ICNC) via primary heterogeneous nucleation (Ansmann et al., 2019a; He et al., 2022a). Besides, the constraint of ambient INPC can promote an accurate representation of cloud microphysical processes and reduce the uncertainties in estimating the climate feedback associated with ice and mixed-phase clouds in climate models (Li et al., 2022).
- 55 To estimate the CCNC and INPC, POLIPHON (POlarization LIdar PHOtometer Networking) method was developed by Ansmann et al. (2012) and has been improved for years in several field campaigns (Mamouri and Ansmann, 2014, 2015, 2016, 2017; Marinou et al., 2019). As a remote sensing approach, it has been well verified through comparisons with simultaneous in-situ measurements (Marinou et al., 2019; Wieder et al., 2022); hence, it is applicable for the analysis of long-term observations. In the POLIPHON method, the lidar-derived aerosol extinction coefficient profiles are first divided
- 60 into the respective contribution from different aerosol types (Tesche et al., 2009; Mamouri and Ansmann, 2016, 2017). Then, these aerosol-type-dependent extinction coefficient profiles are converted into CCNC and INPC profiles by employing the photometer-data-derived conversion factors and different CCN and INP parameterizations. Conversion factors connect the lidar-retrieved aerosol optical properties and aerosol microphysical properties and thus can be used to estimate CCNC and INPC straightforwardly.







- Dust aerosol is the most abundant aerosol type by mass in the atmosphere (Kok et al., 2021a) and is considered both effective CCN and INP (Mamouri and Ansmann, 2016; He et al., 2021a, 2021b, 2022a, 2022b; Murray et al., 2012; Kanji et al., 2017). Therefore, dust-related CCNC and INPC estimations should be especially focused on. Numerous field campaigns have been conducted to study the regional CCNC and INPC profiles (Haarig et al., 2019; Hofer et al., 2020; Engelmann et al., 2021; He et al., 2021b). Benefiting from the spaceborne lidar, e.g., CALIOP (Cloud-Aerosol Lidar with Orthogonal)
- 70 Polarization) launched in April 2006 (Winker et al., 2007) and EarthCARE mission in progress (Illingworth et al., 2015), it allows us to characterize the 3-D distribution of dust-related CCNC and INPC at a global scale and in turn to improve the current consideration of ACIs in global circulation models (Mamouri and Ansmann, 2015; Froyd et al., 2022).

To do this, the first challenge is to retrieve the global dust-related conversion factors. However, they are generally regional-dependent and relevant to the microphysical properties of dust particles. Mamouri and Ansmann (2015) first

- 75 calculated the dust-related conversion factors based on the sun/sky photometer data during several field campaigns, including SAMUM-1 (Saharan Mineral Dust Experiment), SAMUM-2, and SALTRACE (Saharan Aerosol Long-range Transport and Aerosol–Cloud Interaction Experiment) and long-term observations in Limassol. Subsequently, Ansmann et al. (2019b) obtained the dust-related conversion factors using AERONET (AErosol Robotic NETwork) data at the typical sites near the main deserts. They assumed the predominate contribution of dust in the atmospheric column and systematically
- 80 applied the column-integrated Ångström exponent (AE for 440–870nm) < 0.3 and AOD at 532nm > 0.1 as the criteria in selecting dust presence cases. However, there are still many gaps in the downstream areas along dust transport pathways due to insufficient data points fulfilling the criteria. Besides, the Ångström-exponent-based dust selection scheme may exclude a part of fine-mode dust particles, resulting in a potential deviation in the conversion factors. The two major gaps are the remote oceans and those polluted city regions (He et al., 2019b; Zhang et al., 2022). The ocean areas are less affected by
- 85 complicated anthropogenic aerosols and may always be intruded by long-range transoceanic dust plumes (Yu et al., 2021; Dai et al., 2022), which are thus preferentially focused on in this study.

To retrieve the dust-related conversion factors at the oceanic/coast sites, we plan to adopt another scheme to select data points, which are representative of dust presence from AERONET databases. The new scheme is based on the particle linear polarization ratio in AERONET Version 3 aerosol inversion product, which is considered a better indicator for non-spherical

- 90 dust particles (Shin et al., 2018, 2019). Three factors motivate us to do so rather than to use the method raised by Ansmann et al. (2019b). First, applying AE <0.3 to select the dust-occurring data may somewhat exclude some data points representative of fine-mode dust particles that are proven to be present and cannot be ignored (Mamouri and Ansmann, 2014; Shin et al., 2019). Second, tracing the variations in dust-related conversion factors at different oceanic/coast sites may provide us with more information on the evolution of dust microphysical properties along with transoceanic transport routes</p>
- 95 (Rittmeister et al., 2017). In addition, marine aerosols that mainly consist of sea spray aerosols may occasionally show small AE, which may confuse dust identification by using AE< 0.3 as a criterion (Smirnov et al., 2011; Yin et al., 2019). In this study, we estimate the dust-related conversion factors in the POLIPHON method at those remote oceanic/coast

sites using the AERONET databases, which is considered an important step pushing forward to the study on dust







INPC/CCNC at a global scale and subsequent dust-induced ACI. The paper is organized as follows. We first briefly introduce the POLIPHON method and dust-related conversion factors as well as the AERONET data and dust data identification scheme. In section 3, we compare the dust-related conversion factor at the sites near deserts with a previous study, represent the dust-related conversion factors at the ocean and coast sites, and discuss the possible reason behind the variation in conversion factors along the transoceanic transport. In the last section, summaries and conclusions are given.

2 Data and methodology

105 2.1 POLIPHON method and conversion factors

The POLIPHON method is able to retrieve the height profiles of particle microphysical properties (i.e., particle number, surface area, and volume concentration) and then, the height profiles of particle mass, CCN, and INP concentration for several aerosol types using the combination of polarization lidar and sun photometer (Mamouri and Ansmann, 2014, 2015, 2016; Marinou et al., 2019). It has been widely used in the estimations of CCN- and INP-relevant aerosol parameters for the

110 aerosol types including dust (Ansmann et al., 2019a; Hofer et al., 2020; He et al., 2021b, 2022a, 2022b), marine aerosol (Mamouri and Ansmann, 2016, 2017; Haarig et al., 2019), continental aerosol (Mamouri and Ansmann, 2016, 2017), smoke (Ansmann et al., 2021; Haarig et al., 2019). In this study, we focus on the dust-related CCN- and INP properties.

In detail, the calculation has been given in Table 1. First, the dust backscatter β_d and extinction α_d coefficient can be derived by polarization lidar observations based on the inversion method from Fernald (1984) and the dust-component separation method from Tesche et al. (2009) as shown by the following equation:

$$\beta_{\rm d}(z) = \beta_{\rm p}(z) \frac{\left(\delta_{\rm p}(z) - \delta_{\rm nd}\right)(1 + \delta_{\rm d})}{\left(\delta_{\rm d} - \delta_{\rm nd}\right)\left(1 + \delta_{\rm p}(z)\right)} \tag{1}$$

where $\delta_d = 0.31$ and $\delta_{nd} = 0.05$ are dust and non-dust particle depolarization; δ_p and β_p are the lidar-derived particle depolarization ratio and backscatter coefficient, respectively. The lidar ratio for dust usually ranges from 30 sr to 60 sr depending on the different dust sources (Müller et al., 2007; Mamouri et al., 2013; Hu et al., 2020; Peng et al., 2021). Then,

- 120 the dust extinction coefficient can be converted into particle mass concentration M_d , particle number concentration $n_{250,d}$ (r>250 nm) and $n_{100,d}$ (r>100 nm), and particle surface concentration s_d and $s_{100,d}$ (r>100 nm) by multiplying their corresponding conversion factors, i.e., $c_{v,d}$, $c_{250,d}$, $c_{s,d}$, and $c_{s,100,d}$. Finally, the dust-related INP concentration $n_{INP,d}$ can be retrieved by inputting $n_{250,d}$ and S_d into different INP parameterization schemes (DeMott et al., 2010, 2015; Niemand et al., 2012; Steinke et al. 2015; Ullrich et al., 2017). In addition, $n_{100,d}$ is considered a good proxy for dust-related CCN
- 125 concentration $n_{\text{CCN},\text{ss},\text{d}}$ as discussed by Mamouri and Ansmann (2016). Thus, $n_{\text{CCN},\text{ss},\text{d}}$ can be obtained by multiplying $n_{100,\text{d}}$ by a water supersaturation-dependent factor $f_{\text{ss},\text{d}}$, which is 1.0 for a typical liquid-water supersaturation value of 0.2%.

In the POLIPHON method, as introduced above, a series of conversion factors are essential to the conversion from the dust extinction coefficient to dust microphysical parameters regarding CCN and INP concentrations. The conversion factors







are pre-calibrated from the historical database of sun photometer observations (denoted as j for each data point, counting 130 from 1 to J_d). The calculation processes are shown below (Ansmann et al., 2019b):

$$c_{\rm v,d} = \frac{1}{J_{\rm d}} \sum_{j=1}^{J_{\rm d}} \frac{V_{{\rm d},j}/D}{\tau_j/D} = \frac{1}{J_{\rm d}} \sum_{j=1}^{J_{\rm d}} \frac{v_{{\rm d},j}}{\alpha_{{\rm d},j}}$$
(2)

$$c_{250,d} = \frac{1}{J_d} \sum_{j=1}^{J_d} \frac{N_{250,j}/D}{\tau_j/D} = \frac{1}{J_d} \sum_{j=1}^{J_d} \frac{n_{250,d,j}}{\alpha_{d,j}}$$
(3)

$$c_{\rm s,d} = \frac{1}{J_{\rm d}} \sum_{j=1}^{J_{\rm d}} \frac{S_j/D}{\tau_j/D} = \frac{1}{J_{\rm d}} \sum_{j=1}^{J_{\rm d}} \frac{S_{{\rm d},j}}{\alpha_{{\rm d},j}}$$
(4)

$$c_{\rm s,100,d} = \frac{1}{J_{\rm d}} \sum_{j=1}^{J_{\rm d}} \frac{S_{100,j}/D}{\tau_j/D} = \frac{1}{J_{\rm d}} \sum_{j=1}^{J_{\rm d}} \frac{s_{100,d,j}}{\alpha_{\rm d,j}}$$
(5)

- 135 where *D* is an introduced thickness for a given aerosol layer and τ is the sun-photometer-measured aerosol optical depth at 500 nm. V_d and N_{250} are the column particle volume concentration and column large particle (radius >250 nm) number concentration, respectively; *S* and S_{100} (radius >100 nm) are the column particle surface area concentrations. V_d , N_{250} , *S*, and S_{100} are derived from the particle size distribution data in AERONRT aerosol inversion products, which are introduced in detail in section 2.2. α_d is the layer-mean aerosol extinction coefficient at 550 nm; v_d and $n_{250,d}$ are the layer-mean volume
- 140 concentration and large particle (radius >250 nm) number concentration, respectively; s_d and $s_{100,d}$ (radius >100 nm) are the layer-mean particle surface area concentrations. The subscript 'd' denotes 'dust', which is considered the major contribution in column aerosol loading for the selected sun photometer data.

In addition, it is considered that the estimation of CCN concentration is a challenging task since the ability of aerosol particles to serve as CCN is complicatedly related to the particle hygroscopicity (associated with particle size and chemical

145 composition) and water supersaturation level (Wang et al., 2010; Moore et al., 2012). As suggested by Shinozuka et al. (2015), a log-log regression analysis was performed to retrieve the CCN-relevant conversion factor $c_{100,d}$ with the following equation:

$$\log(n_{100,d}) = \log(c_{100,d}) + \chi_d \log(\alpha_d)$$
(6)

In general, dust-related conversion factors have a regional-dependent characteristic, associated with the origin of dust regions as well as the specific local anthropogenic dust emissions (Philip et al., 2017). To extend the POLIPHON method towards global dust applications, Ansmann et al. (2019b) provided the dust-related conversion factors at 20 AERONET sites in/near the typical desert regions, where other types of aerosols contribute less or even negligible to the aerosol properties of the atmospheric column. Therefore, the obtained conversion factors can be considered the representatives of pure or quasipure dust situations. To pick out the dust-occurring datasets for calculation, they adopted the constraints of column-

155 integrated Ångström exponent (for 440-870nm) <0.3 and aerosol optical depth (at 532nm) >0.1. Due to the influence of







anthropogenic aerosols, dust-occurring data points available are insufficient for calculating the conversion factors. To solve this issue, He et al. (2021b) applied the simultaneous polarization lidar observation to assist the filtration of dust-occurring datasets in Wuhan (30.5N, 114.4E), a central Chinese megacity impacted by both local anthropogenic aerosol emissions and long-range transported dust (He and Yi, 2015; He et al., 2022c; Liu et al., 2022).

- 160 Dust plumes can also realize transoceanic transport in the Northern Hemisphere; there are two well-known pathways, i.e., the transatlantic route from the Saharan desert to America (Yu et al., 2021; Dai et al., 2022) and the transpacific route from Asian dust sources (Taklimakan Desert and Gobi) to America (Guo et al., 2017; Hu et al., 2019). However, dust-related conversion factors over the ocean are rarely reported. Sea spray aerosols usually have a large size, presenting a similar small Ångström exponent as dust particles (Haarig et al., 2017). To avoid the interference of sea spray aerosol, we here use another
- 165 scheme to identify the dust-occurring datasets, taking advantage of the particle linear depolarization ratio (PLDR) in AERONET aerosol inversion products (Shin et al. 2019). Therefore, sufficient available dust data points can be picked out even at those remote oceanic sites.

2.2 AERONET data and depolarization-ratio-based dust data points selection

AERONET is a global ground-based aerosol monitoring network and has provided more than 25 years of aerosol column property observations. The employed CE-318 sun-sky photometers allow the direct measurements of solar irradiance generally at 340, 380, 440, 500, 675, 870, 1020, and 1640 nm and directional sky radiance to retrieve the spectral-resolved AODs, and in turn, the additional aerosol inversion products. Moreover, the latest applied CE318-T can also perform nighttime measurements of the spectral lunar irradiance. In this study, the AERONRT database (Holben et al., 1998; Dubovik et al., 2000; Dubovik and King, 2000) was employed in retrieving the dust-related conversion factor at 9 sites

175 near/in the deserts (to compare with the values given in Ansmann et al. (2019b), as a validation of newly-proposed dust selection scheme) and at 20 oceanic/coast sites influenced by long-range transported dust (see figure 1). The oceanic/coast sites can be classified into five regional clusters, i.e., Pacific, Pacific coast, Atlantic, Indian Ocean, and Arctic Ocean, representing dust characteristics in different regions.

We used the quality-assured level-2.0 AOD at 500 nm in AERONET Version 3 aerosol optical depth-solar products (Giles

- 180 et al., 2019). Moreover, the particle volume size distribution with 22 size bins (radius) ranging from 50 nm to 15 μ m and PLDR in AERONET Version 3 aerosol inversion products are also used for calculating V_d , N_{250} , S, and S_{100} as described in section 2.1 (Sinyuk et al., 2020). The specific calculation processes can be found in Mamouri and Ansmann (2015) and Ansmann et al. (2019b). For the near/in desert sites, level-2.0 (quality-assured) aerosol inversion products are applied; while, for the oceanic/coast sites, level-1.5 (cloud-screened and quality-controlled) aerosol inversion products are applied since the
- 185 level-2.0 PLDR data are unavailable. The basic information of the selected sites is shown in Table 2, including period, longitude, latitude, and the number of data points for total, dust-dominated mixture, and pure dust. In the AERONET retrieval, based on the aerosol spheroid model, the combination of the particle size distribution and complex refractive index can be used to further compute the two elements of the Müller scattering matrix, i.e., F₁₁(λ) and





 $F_{22}(\lambda)$ (Bohren and Huffman, 1983; Dubovik et al., 2006; Shin et al., 2018), which can then be used to derive the 190 backscattering PLDR with the following formula:

$$\delta_{\lambda}^{p} = \frac{1 - F_{22}(\lambda, 180^{\circ}) / F_{11}(\lambda, 180^{\circ})}{1 + F_{22}(\lambda, 180^{\circ}) / F_{11}(\lambda, 180^{\circ})}$$
(7)

AERONET PLDR data is a good indicator of dust occurrence, which has been verified to be well correlated with lidarderived values (Noh et al., 2017). Shin et al. (2018) found that PLDR values at 870 and 1020 nm are more reliable according to the comparison with those from lidar observations for pure dust particles. Therefore, we here use PLDR at 1020 nm δ_{1020}^{p}

- (only denote as 'PLDR' hereafter) to pick out the dust-occurring data points for POLIPHON conversion factor calculation (Shin et al., 2019). Note that the overestimation of near-infrared PLDR is reported by comparing with concurrent polarization lidar observations (Toledano et al., 2019; Haarig et al., 2022), possibly attributing to the assumption of spheroid particle in AERONET inversion. Nevertheless, δ_{1020}^{p} values are only used to qualitatively identify the dust presence with the presupposed threshold values. Its validity will be verified by comparing the derived conversion factors with those from
- 200 Ansmann et al. (2019b) in Section 3.1.

To represent the contribution of dust component to the total particle backscatter, it is defined as a variable, columnintegrated dust ratio $(R_{d,1020})$ as below:

$$R_{\rm d,1020} = \frac{\left(\delta_{\rm 1020}^{\rm p} - \delta_{\rm nd}^{\rm p}\right)\left(1 + \delta_{\rm d}^{\rm p}\right)}{\left(\delta_{\rm d}^{\rm p} - \delta_{\rm nd}^{\rm p}\right)\left(1 + \delta_{\rm 1020}^{\rm p}\right)} \tag{8}$$

It should be noted that the dust (δ_d^p) and non-dust (δ_{nd}^p) particle depolarization ratios are set to be 0.30 and 0.02, respectively,

- to be consistent with the value proposed by Shin et al. (2019). These two values are slightly different from those used in the POLIPHON method in Eq. (1). According to the scheme from Shin et al. (2019), we classify data points with $R_{d,1020}$ values of >0.89 as pure dust (PD) and 0.53-0.89 as dust-dominated mixture (DDM, also can be considered 'mixed dust' as in He et al. (2019b). Note that in this study DDM includes the combination of the sectors B (FMF=0–0.4), C (FMF=0.4–0.6), and E (FMF=0.6–1.0) in Shin et al. (2019). A flow chart is given as seen in figure 2. For the near/in desert sites, we presented the
- 210 results from the PD cluster, which is adequate to calibrate the conversion factors; whilst, for the oceanic/coast sites, the results from both the PD and PD+DDM clusters are provided for comparison.

3 Dust-related conversion factors from the AERONET database

3.1 Intercomparison of dust-related conversion factors near deserts with retrievals in Ansmann et al. (2019b)

To validate the performance of the newly-proposed dust datasets selection scheme, we chose 9 out of 20 AERONET sites 215 used in Ansmann et al. (2019b) to compare the obtained conversion factors. They are mainly from three typical regions, including North Africa, the Middle East, and Asia. It should be mentioned that $c_{100,d}$ values tend to be divergent when the aerosol extinction coefficient is larger than 600 Mm⁻¹. Hence, the validations were only performed for $c_{v,d}$, $c_{250,d}$, $c_{s,d}$, and



220



 $c_{s,100,d}$. Figure 3 shows the scatters regarding the relationships between 500-nm aerosol extinction and $n_{250,d}$, v_d , s_d , and $s_{100,d}$ for pure data situations at Cape Verde, Dushanbe, and Mezaira'a. The conversion factor values are also given accordingly. Here level 2.0 AOD and aerosol inversion products data were employed. PD datasets selected with the method from Shin et al. (2019) perform well as highly linear correlation can be found, with linear Pearson correlation coefficients

- exceeding 0.9. Besides the three sites in figure 3, the conversion factors for the rest six sites are shown in Table 3 as well. The results for both PD and PD+DDM clusters are provided here. Generally, the regional differences in dust characteristics can be found in
- 225 different dust sources. $c_{v,d}$, $c_{250,d}$, and $c_{s,d}$ calculated from PD and PD+DDM datasets are almost consistent with each other, suggesting the absolute dominance of dust particles at these near/in desert sites. It should be mentioned that the large discrepancy in $c_{v,d}$ between PD and PD+DDM clusters at Dalanzadgad is attributed to the few available data points (10 for DDM and 12 for PD). Interestingly, there are larger differences in $c_{s,d}$ and $c_{s,100,d}$ between PD and PD+DDM clusters at two Middle East sites, i.e., Eilat and Mezaira'a. After a careful check, it is noted that the DDM datasets have aerosol extinction
- 230 coefficient values of 300-600 Mm⁻¹ and show significantly larger s_d and $s_{100,d}$ than those for PD datasets (see figure A1). The special pattern reflects the involvement of a specific type of local aerosol in the dust-dominated mixture. Moreover, the PD-DDM differences are even larger for $c_{s,100,d}$ at both sites, indicating that the additional involved aerosols may play a vital role at the particle size spectral of >100 nm. According to furthermore examination, this special pattern generally can be found at partial sites from Middle East, Africa, and polluted European cities, which, however, are rarely present at the sites 235 from East Asia, Australia, South America, and North America.
- 135 from East Asia, Australia, South America, and North America. For comparison, we also plot the conversion factors in figure 4 together with those given by Ansmann et al. (2019b). c_{v,d} and c_{250,d} calculated from three dust datasets (DDM, DDM+PD, and A-19) coincide with each other very well. c_{s,100,d} calculated from PD datasets are substantially consistent with those in Ansmann et al. (2019b), except for Dalanzadgad due to few available PD data points. c_{s,d} calculated from PD datasets at two sites (CV and DK) are relatively larger than those in
- 240 Ansmann et al. (2019b). Using either fine mode fraction (FMF) (Lee et al., 2010) or Ångström exponent (Ansmann et al., 2019b) in the AERONRT data as dust criterion implies an assumption that dust is constrained to the coarse mode. However, the proportion between fine and coarse mode dust may alter during transport due to the quicker removal of dust particles with larger size (Yu et al., 2021); hence, the dust criterion AE <0.3 may exclude a portion of fine-mode dust (with radius <100 nm) dominated cases, resulting in an underestimation of $c_{s,d}$ at these two sites. Furthermore, region-featured emissions
- 245 of non-dust small particles are also possibly responsible for this discrepancy.

3.2 Dust-related conversion factors $C_{v,d}$, $C_{250,d}$, $C_{s,d}$, and $C_{s,100,d}$ at the ocean and coast sites

In this study, we chose 20 ocean and coast AERONET sites, which were classified into five region categories including the Pacific, Pacific coast (both east and west coast), Atlantic, Indian Ocean as well as Arctic Ocean (Huang et al., 2015; Zhao et al., 2022). Figure 5 shows the scatters regarding the relationships between 500-nm aerosol extinction and $n_{250,d}$, v_d ,







- 250 s_d , and $s_{100,d}$ for both PD (in orange and red) and PD+DDM (in blue and light blue) situations at Mauna Loa (middle of Pacific), Shirahama (west coast of Pacific), Tudor Hill (west Atlantic), and Amsterdam Island (south Indian Ocean). The conversion factor values are also given accordingly. To eliminate abnormal values and retain the available dust data as much as possible, the data points with aerosol extinction of >20 Mm⁻¹ participate in the calculation. PD data points generally show a good linear correlation. As for the PD+DDM cluster, three island sites show a similar good correlation except for
- 255 Shirahama, attributed to the small contribution of marine aerosols to total column aerosol loading (usually with a global mean AOD of ~0.05, Smirnov et al., 2009). At the Shirahama site, it is conjectured that anthropogenic aerosols considerably contribute to the column aerosol loading and lead to the spread of scatters, which reflect variations in the characteristics (size distribution, refractive index, and so on) of other aerosol components in the dust-dominated mixture.
- The conversion factors $c_{v,d}$, $c_{250,d}$, $c_{s,d}$, and $c_{s,100,d}$ at the other oceanic/coast sites are also provided in Table 4. Results 260 for both PD and PD+DDM clusters are listed in case the number of PD data points is inadequate to retrieve a valid conversion factor at some sites. We also plot these dust-related conversion factors in figure 6 for comparison. According to the estimation in section 3.1, it is suggested to preferentially use the PD datasets in the calculation to avoid the potential contribution of specific local aerosols, e.g., the special pattern in $c_{s,d}$ and $c_{s,100,d}$ at Eilat and Mezaira'a (see section 3.1). Nevertheless, PD+DDM datasets may take part in the calculation, as a suboptimal option, if the sole use of PD datasets 265 cannot guarantee the validity of conversion factors (10 out of 20 sites).
- As seen in figure 6, $c_{250,d}$ mainly ranges from 0.17 to 0.24 Mm cm⁻³; the $c_{250,d}$ values calculated from PD and PD+DDM datasets agree with each other very well except for Midway Island and Nauru, indicating that few non-dust aerosols are contributing to the particle size spectral of >250 nm and $c_{250,d}$ is a relatively stable factor from region to region. For $c_{s,d}$ and $c_{s,100,d}$, their values from DDM+PD datasets mainly have a systematically positive deviation (<25%), compared with those
- 270 from PD datasets, which may be affected by the marine aerosols. In addition, $c_{s,d}$ and $c_{s,100,d}$ values at coast sites (especially at Shirahama, Osaka, and Hokkaido University) are considerably larger than those at remote ocean sites, revealing their higher sensitivity (compare with $c_{250,d}$) to the involvement of other aerosols. However, large differences in $c_{v,d}$ values are found not only between PD and PD+DDM datasets but also from region to region. He et al. (2019b) also reported that mixed dust in Wuhan has a smaller $c_{v,d}$ than pure dust near the source region of Asian dust. Another interesting finding for $c_{v,d}$ is
- 275 that the values in the Arctic are only half of those in other regions. PD data points are rarely identified in the Arctic and conversion factors are all calculated from the DDM datasets here; abundant other aerosol types in the Arctic, e.g., smoke, anthropogenic aerosol, and marine aerosol (Engelmann et al., 2021; Zhao et al., 2022), may account for the low $c_{v,d}$ values. Nevertheless, $c_{v,d}$ can be beneficial to the validation of the mass extinction efficiency, a variable combining $c_{v,d}$ and dust density, in the 3-D global dust model (Adebiyi et al., 2020; Kok et al., 2021b; Wang et al., 2021).







3.3 Dust-related conversion factors $C_{100,d}$ and χ_d at the ocean and coast sites 280

In addition to the INP-relevant conversion factors, the relationship between 500-nm aerosol extinction and CCN-relevant parameters $n_{100,d}$ is also studied in this section. The analysis is based on the relationship between $\log(n_{100,d})$ and $\log(\alpha_d)$ as reported by Shinozuka et al. (2015). Figure 7 shows the relationship between the aerosol extinction and particle number concentration (radius >100 nm) $n_{100,d}$ at nine oceanic/coast sites. The data points representing PD (in blue) and DDM+PD

- (in orange) are both plotted. Ansmann et al. (2019b) found that $\log(n_{100,d})$ and $\log(\alpha_d)$ have a good correlation when taking 285 data points with α_d at the range of 100-600 Mm⁻¹ into consideration; while, correlation strength significantly decreases and the data points trends to be dispersive once α_d values exceed 600 Mm⁻¹. The AERONET sites selected here generally show a clear atmospheric environment with limited pollution aerosols and can fulfill the constraint of $\alpha_d < 600 \text{ Mm}^{-1}$ except for those coast sites, e.g., Shirahama and Osaka. To retain sufficient data points, we adopted data points with α_d values ranging
- from 20 to 600 Mm⁻¹ in our calculation. 290

Table 5 lists the values of $c_{100,d}$ and χ_d for both PD and DDM+PD datasets. Considering the regression coefficient >0.6 as valid analysis, attention should be paid when using the results at the coast sites Osaka, American Samoa, and Amsterdam Island. It is found that PD and DDM+PD datasets generally show a similar slope (corresponding to χ_d) in regression analysis, except for the Osaka site for which an evident intersection between two fitted lines appears, attributed to the insufficient PD data points available for fitting.

295

3.4 Variations in conversion factors along dust transport paths

The dust-related conversion factors may significantly vary along the way of dust transport due to the potential modifications of dust microphysical properties caused by the particle sedimentation, aging process, external mix with other aerosols, and so on. There are two main transoceanic paths of dust transport, i.e., the transatlantic one from the Saharan

- 300 desert to America (Rittmeister et al, 2017; Yu et al., 2021; Dai et al., 2022) and the transpacific one from Asian dust sources (Taklimakan Desert and Gobi) to America (Guo et al., 2017; Hu et al., 2019). Here we selected several sites along these two paths to evaluate the variations of conversion factors. For the transpacific transport, six sites from Asian dust sources to America were selected, including Dushanbe, SACOL in Lanzhou, Shirahama, Midway Island, Mauna Loa, and Trinidad Head. For the Transatlantic transport, four sites from North Africa to America were selected, including Dakar, Cape Verde,
- 305 ARM Graciosa, and Tudor Hill.

Figure 8 shows the conversion factors $c_{v,d}$, $c_{250,d}$, $c_{s,d}$, and $c_{s,100,d}$ at the selected sites along the two dust transport paths. It is noted that the microphysical properties of dust particles originating from the Saharan Desert and Asian dust sources are very different. Moreover, with the increase in transport distances, an evident variation tendency is observed for all the conversion factors at both transport paths. $c_{v,d}$ values show a significant decline along with the transport, indicating that

the proportion of dust particles in the atmospheric column tends to be smaller owing to the sedimentation. He et al. (2021b) 310 also observed a relatively smaller $c_{v,d}$ of 0.52×10^{-12} Mm m³ m⁻³ at the downstream area in central China compared with the







value obtained near the sources of Asian dust. $c_{250,d}$ values show a gradual increase trend along with the transport, suggesting the increased contribution of large-size sea spray aerosols in the atmospheric column. As for $c_{s,d}$, a sharp decline is observed between the values before and after transport. The plunge of $c_{s,d}$ is more prominent for the

transpacific path than the transatlantic path. In contrast, $c_{s,100,d}$ values just show an inapparent enhancement. This suggests that particles with radius <100 nm should be responsible for the plunged $c_{s,d}$ after transoceanic transport.

4 Summary and conclusions

To improve the current consideration of ACIs in atmospheric circulation models, it is necessary to characterize the 3-D distribution of dust-related CCNC and INPC at a global scale. The combination of CALIOP spaceborne lidar observations

- together with the POLIPHON method has the potential to realize this purpose. In this study, as the first step, we retrieved the essential dust-related conversion factors at the remote ocean sites where these parameters are less constrained. The historical AERONET databases were employed to calculate the conversion factors. Depolarization ratios at 1020 nm from AERONET version 3 aerosol inversion product were used to calculate the column-integrated dust ratios $R_{d,1020}$, which were further applied for identifying the dust presence within the atmospheric column (Shin et al., 2018, 2019). Compared with the use of
- 325 the Ångström exponent (Ansmann et al., 2019b), this treatment is beneficial for containing the fine-mode dust dominated cases (after the preferential removal of large-size dust particles during transport), mitigating the occasional interference of large-size marine aerosols, and studying the evolution of dust microphysical properties along the transoceanic transport path. It is found that $c_{v,d}$, $c_{250,d}$, and $c_{s,100,d}$ are generally well consistent with those provided by Ansmann et al. (2019b) at nine sites near the deserts. However, $c_{s,d}$ obtained in this study are systematically larger than those given by Ansmann et al.
- 330 (2019b), which is attributed to the possible miss of fine-mode dust particles with radius <100 nm. For all the dust-related conversion factors, PD and PD+DDM datasets give similar results except for two Middle East sites, i.e., Eilat and Merzaira'a. Then, we calculated all the dust-related conversion factors at 20 oceanic/coast sites using both PD and PD+DDM datasets. Only 10 sites have adequate PD data points to retrieve $c_{v,d}$, $c_{250,d}$, $c_{s,d}$, and $c_{s,100,d}$. Among them, $c_{v,d}$ values are more sensitive to the influence of other aerosols involved in the atmospheric column and show large differences between PD and
- 335 PD+DDM clusters as well as from region to region. In addition, only 9 sites successfully obtain the CCN-relevant factors $c_{100,d}$ and χ_d in the regression analysis. Moreover, $c_{v,d}$ values are gradually decreased along with the transoceanic transport; in contrast, $c_{250,d}$ values show an increasing trend. A plunge of $c_{s,d}$ can be found after transoceanic transport, which, however, is not observed for $c_{s,100,d}$, suggesting that the discrepancy may be owing to the influence of particle size spectral of <100 nm (radius).
- 340 For the ocean sites, the depolarization-ratio-based method for selecting the dust-occurring data is proven to be valid and feasible. The PD data sets are suggested to be the preferential option to calculate the dust-related conversion factors. In case the available PD data points are insufficient, the PD+DDM cluster would be a suboptimal option allowing us to obtain the

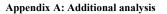


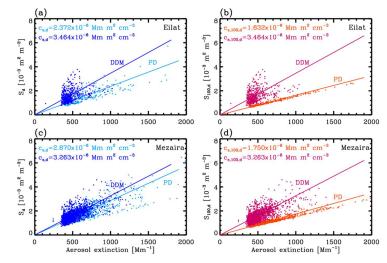




conversion factors with certain accuracy and robustness. In the following work, we will conduct some case studies on dustcloud interaction over the ocean with CALIOP spaceborne lidar observations and the dust-related conversion factors used in

345 this study. In addition, the dust-related conversion factors in polluted city sites will be examined with the same method; under this situation, the application of PD or PD+DDM data sets needs to be further discussed in depth. After that, the 3-D view of global CCNC and INPC can be anticipated to improve our current consideration of ACIs in atmospheric circulation models.





350

Figure A1: Relationship between aerosol extinction coefficient at 500 nm and surface area concentration s_d and $s_{100,d}$ (only considering particles with radius >100 nm) for pure dust (PD) and dust-dominated mixture (DDM) at two Middle East sites, i.e., (a) and (b) for Eilat, and (c) and (d) for Merzaira'a. The PD and DDM data points are determined by the AERONET V3 database (level-2.0 AOD products and level-2.0 aerosol inversions) according to the

355 method from Shin et al. (2019). The corresponding dust-related conversion factors $c_{s,d}$ and $c_{s,100,d}$ are also given, respectively.

Data availability

All data used in this work can be accessed through the AERONET home page at https://aeronet.gsfc.nasa.gov/ (AERONET, 2022).





360 Author Contributions

Yun He conceived the research, analyzed the data, acquired the research funding, and wrote the manuscript. Zhenping Yin conceived the research, participated in scientific discussions, and reviewed and proofread the manuscript. Albert Ansmann reviewed the manuscript and participated in scientific discussions. Fuchao Liu and Longlong Wang reviewed and proofread the manuscript. Dongzhe Jing and Huijia Shen participated in the data processing.

365 Competing interests

The authors declare that they have no conflict of interest.

Acknowledgments

The authors thank all PIs of the AERONET sites used in this study for maintaining their instruments and providing their data to the community.

370 Financial support

This research has been supported by the National Natural Science Foundation of China (grant nos. 42005101, 41927804, and 42205130), the Fundamental Research Funds for the Central Universities (grant no. 2042021kf1066), and the Meridian Space Weather Monitoring Project (China).

References

- AERONET: AERONET aerosol data base, available at: http://aeronet.gsfc.nasa.gov/, last access: 15 December 2022.
 - Adebiyi, A. A., Kok, J. F., Wang, Y., Ito, A., Ridley, D. A., Nabat, P., and Zhao, C.: Dust Constraints from joint Observational-modelling-experimental analysis (DustCOMM): comparison with measurements and model simulations, Atmos. Chem. Phys., 20, 829–863, https://doi.org/10.5194/acp-20-829-2020, 2020.
- Albrecht, B. A.: Aerosols, cloud microphysics, and fractional cloudiness, Science, 245, 1227–1230,
 https://doi.org/10.1126/science.245.4923.1227, 1989.
 - Ansmann, A., Seifert, P., Tesche, M., and Wandinger, U.: Profiling of fine and coarse particle mass: case studies of Saharan dust and Eyjafjallajökull/Grimsvötn volcanic plumes, Atmos. Chem. Phys., 12, 9399–9415, https://doi.org/10.5194/acp-12-9399-2012, 2012.

Ansmann, A., Mamouri, R.-E., Bühl, J., Seifert, P., Engelmann, R., Hofer, J., Nisantzi, A., Atkinson, J. D., Kanji, Z. A.,

385 Sierau, B., Vrekoussis, M., and Sciare, J.: Ice-nucleating particle versus ice crystal number concentration in



390

415



altocumulus and cirrus embedded in Saharan dust: A closure study, Atmos. Chem. Phys., 19, 15087–15115, https://doi.org/10.5194/acp-19-15087-2019, 2019a.

- Ansmann, A., Mamouri, R.-E., Hofer, J., Baars, H., Althausen, D., and Abdullaev, S. F.: Dust mass, cloud condensation nuclei, and ice-nucleating particle profiling with polarization lidar: updated POLIPHON conversion factors from global AERONET analysis, Atmos. Meas. Tech., 12, 4849–4865, https://doi.org/10.5194/amt-12-4849-2019, 2019b.
- Ansmann, A., Ohneiser, K., Mamouri, R.-E., Knopf, D. A., Veselovskii, I., Baars, H., Engelmann, R., Foth, A., Jimenez, C., Seifert, P., and Barja, B.: Tropospheric and stratospheric wildfire smoke profiling with lidar: mass, surface area, CCN, and INP retrieval, Atmos. Chem. Phys., 21, 9779–9807, https://doi.org/10.5194/acp-21-9779-2021, 2021.
- Choudhury, G. and Tesche, M.: Estimating cloud condensation nuclei concentrations from CALIPSO lidar measurements,
 Atmos. Meas. Tech., 15, 639–654, https://doi.org/10.5194/amt-15-639-2022, 2022.
 - Cziczo, D., Froyd, K., Hoose, C., Jensen, E., Diao, M., Zondlo, M., Smith, J., Twohy, C., and Murphy, D.: Clarifying the dominant sources and mechanisms of cirrus cloud formation, Science, 340, 1320–1324, https://doi.org/10.1126/science.1234145, 2013.
- Dubovik, O. and King, M.: A flexible inversion algorithm for retrieval of aerosol optical properties from sun and sky radiance measurements, J. Geophys. Res., 105, 20673–20696, https://doi.org/10.1029/2000JD900282, 2000.
 - Dubovik, O., Smirnov, A., Holben, B., King, M., Kaufman, Y., Eck, T., and Slutsker, I.: Accuracy assessments of aerosol optical properties retrieved from Aerosol Robotic Network (AERONET) sun and sky radiance measurements, J. Geophys. Res., 105, 9791–9806, https://doi.org/10.1029/2000JD900040, 2000.
- Dubovik, O., Sinyuk, A., Lapyonok, T., Holben, B. N., Mishchenko, M., Yang, P., Eck, T. F., Volten, H., Muñoz, O., and
 Veihelmann, B.: Application of spheroid models to account for aerosol particle nonsphericity in remote sensing of desert dust, J. Geophys. Res., 111, D11208, https://doi.org/10.1029/2005JD006619, 2006.
 - Dai, G., Sun, K., Wang, X., Wu, S., E, X., Liu, Q., and Liu, B.: Dust transport and advection measurement with spaceborne lidars ALADIN and CALIOP and model reanalysis data, Atmos. Chem. Phys., 22, 7975–7993, https://doi.org/10.5194/acp-22-7975-2022, 2022.
- 410 DeMott, P., Prenni, A., Liu, X., Kreidenweis, S., Petters, M., Twohy, C., Richardson, M., Eidhammer, T., and Rogers, D.: Predicting global atmospheric ice nuclei distributions and their impacts on climate, P. Natl. Acad. Sci. USA, 107, 11217–11222. https://doi.org/10.1073/pnas.0910818107, 2010.
 - DeMott, P., Prenni, A., McMeeking, G., Sullivan, R., Petters, M., Tobo, Y., Niemand, M., Möhler, O., Snider, J., Wang, Z., and Kreidenweis, S.: Integrating laboratory and field data to quantify the immersion freezing ice nucleation activity of mineral dust particles, Atmos. Chem. Phys., 15, 393–409, https://doi.org/10.5194/acp-15-393-2015, 2015.
- Engelmann, R., Ansmann, A., Ohneiser, K., Griesche, H., Radenz, M., Hofer, J., Althausen, D., Dahlke, S., Maturilli, M., Veselovskii, I., Jimenez, C., Wiesen, R., Baars, H., Bühl, J., Gebauer, H., Haarig, M., Seifert, P., Wandinger, U., and Macke, A.: Wildfire smoke, Arctic haze, and aerosol effects on mixed-phase and cirrus clouds over the North Pole



420



region during MOSAiC: an introduction, Atmos. Chem. Phys., 21, 13397-13423, https://doi.org/10.5194/acp-21-13397-2021, 2021.

- Fernald, F. G.: Analysis of atmospheric lidar observations: some comments, Appl. Optics, 23, 652–653, https://doi.org/10.1364/AO.23.000652, 1984.
- Froyd, K. D., Yu, P., Schill, G. P. Brock, C. A., Kupc, A., Williamson, C. J., Jensen, E. J., Ray, E., Rosenlof, K. H., Bian, H., Darmenov, A. S., Colarco, P. R., Diskin, G. S., Bui, T., and Murphy, D. M.: Dominant role of mineral dust in cirrus
- 425 cloud formation revealed by global-scale measurements, Nat. Geosci. 15, 177–183, https://doi.org/10.1038/s41561-022-00901-w, 2022.
- Giles, D., Sinyuk, A., Sorokin, M., Schafer, J., Smirnov, A., Slutsker, I., Eck, T., Holben, B., Lewis, J., Campbell, J., Welton, E., Korkin, S., and Lyapustin, A.: Advancements in the Aerosol Robotic Network (AERONET) Version 3 database automated near-real-time quality control algorithm with improved cloud screening for Sun photometer aerosol optical
 depth (AOD) measurements, Atmos. Meas. Tech., 12, 169-209, https://doi.org/10.5194/amt-12-169-2019, 2019.
 - Guo, J., Lou, M., Miao, Y., Wang, Y., Zeng, Z., Liu, H., He, J., Xu, H., Wang, F., Min, M., and Zhai, P.: Trans-Pacific transport of dust aerosol originated from East Asia: Insights gained from multiple observations and modeling, Environ. Pollut., 230, 1030–1039, https://doi.org/10.1016/j.envpol.2017.07.062, 2017.
- Haarig, M., Ansmann, A., Gasteiger, J., Kandler, K., Althausen, D., Baars, H., Radenz, M., and Farrell, D. A.: Dry versus
 wet marine particle optical properties: RH dependence of depolarization ratio, backscatter, and extinction from multiwavelength lidar measurements during SALTRACE, Atmos. Chem. Phys., 17, 14199, https://doi.org/10.5194/acp-2017-545, 2017.
 - Haarig, M., Walser, A., Ansmann, A., Dollner, M., Althausen, D., Sauer, D., Farrell, D., and Weinzierl, B.: Profiles of cloud condensation nuclei, dust mass concentration, and ice-nucleating-particle-relevant aerosol properties in the Saharan Air
- Layer over Barbados from polarization lidar and airborne in situ measurements, Atmos. Chem. Phys., 19, 13773–13788, https://doi.org/10.5194/acp-19-13773-2019, 2019.
 - Haarig, M., Ansmann, A., Engelmann, R., Baars, H., Toledano, C., Torres, B., Althausen, D., Radenz, M., and Wandinger, U.: First triple-wavelength lidar observations of depolarization and extinction-to-backscatter ratios of Saharan dust, Atmos. Chem. Phys., 22, 355–369, https://doi.org/10.5194/acp-22-355-2022, 2022.
- 445 He, Y. and Yi, F.: Dust aerosols detected using a ground-based polarization lidar and CALIPSO over Wuhan (30.5N, 114.4E), China, Adv. Meteorol., 2015, 536762, https://doi.org/10.1155/2015/536762, 2015.
 - He, Y., Yi, F., Yi, Y., Liu, F., and Zhang, Y.: Heterogeneous nucleation of midlevel cloud layer influenced by transported Asian dust over Wuhan (30.5N, 114.4E), China, J. Geophys. Res.-Atmos., 126, e2020JD033394, https://doi.org/10.1029/2020JD033394, 2021a.
- 450 He, Y., Zhang, Y., Liu, F., Yin, Z., Yi, Y., Zhan, Y., and Yi, F.: Retrievals of dust-related particle mass and ice-nucleating particle concentration profiles with ground-based polarization lidar and sun photometer over a megacity in central China, Atmos. Meas. Tech., 14, 5939–5954, https://doi.org/10.5194/amt-14-5939-2021, 2021b.



455

465



- He, Y., Yin, Z., Liu, F., and Yi, F.: Technical note: Identification of two ice-nucleating regimes for dust-related cirrus clouds based on the relationship between number concentrations of ice-nucleating particles and ice crystals, Atmos. Chem. Phys., 22, 13067–13085, https://doi.org/10.5194/acp-22-13067-2022, 2022a.
- He, Y., Yi, F., Liu, F., Yin, Z., and Zhou, J.: Ice nucleation of cirrus clouds related to the transported dust layer observed by ground-based lidars over Wuhan, China, Adv. Atmos. Sci., 39(12), 2071-2086, https://doi.org/10.1007/s00376-021-1192-x, 2022b.
- He, Y., Yi, F., Yin, Z., Liu, F., Yi, Y., and Zhou, J.: Mega Asian dust event over China on 27–31 March 2021 observed with
 space-borne instruments and ground-based polarization lidar, Atmos. Environ., 285, 119238, https://doi.org/10.1016/j.atmosenv.2022.119238, 2022c.
 - Hofer, J., Ansmann, A., Althausen, D., Engelmann, R., Baars, H., Abdullaev, S. F., and Makhmudov, A. N.: Long-term profiling of aerosol light extinction, particle mass, cloud condensation nuclei, and ice-nucleating particle concentration over Dushanbe, Tajikistan, in Central Asia, Atmos. Chem. Phys., 20, 4695–4711, https://doi.org/10.5194/acp-20-4695-2020, 2020.
 - Holben, B., Eck, T., Slutsker, I., Tanré, D., Buis, J., Setzer, A., Vermote, E., Reagan, J., Kaufman, Y., Nakajima, T., Lavenu, F., Jankowiak, I., and Smirnov, A.: AERONET – A federated instrument network and data archive for aerosol characterization, Remote Sens. Environ., 66, 1–16, https://doi.org/10.1016/s0034-4257(98)00031-5, 1998.
- Hu, Q., Wang, H., Goloub, P., Li, Z., Veselovskii, I., Podvin, T., Li, K., and Korenskiy, M.: The characterization of
 Taklamakan dust properties using a multiwavelength Raman polarization lidar in Kashi, China, Atmos. Chem. Phys., 20,
 - 13817–13834, https://doi.org/10.5194/acp-20-13817-2020, 2020.
 Hu, Z., Huang, J., Zhao, C., Ma, Y., Jin, Q., Qian, Y., Leung, L. R., Bi, J., and Ma, J.: Trans-Pacific transport and evolution of aerosols: spatiotemporal characteristics and source contributions, Atmos. Chem. Phys., 19, 12709–12730,
 - https://doi.org/10.5194/acp-19-12709-2019, 2019.
- 475 Huang, Z., Huang, J., Hayasaka, T., Wang, S., Zhou, T., and Jin, H.: Short-cut transport path for Asian dust directly to the Arctic: a case study, Environ. Res. Lett., 10, 114018, https://doi.org/10.1088/1748-9326/10/11/114018, 2015.
 - Illingworth, A. J., Barker, H. W., Beljaars, A., Ceccaldi, M., Chepfer, H., Clerbaux, N., Cole, J., Delanoë, J., Domenech, C., Donovan, D. P., Fukuda, S., Hirakata, M., Hogan, R. J., Huenerbein, A., Kollias, P., Kubota, T., Nakajima, T., Nakajima, T. Y., Nishizawa, T., Ohno, Y., Okamoto, H., Oki, R., Sato, K., Satoh, M., Shephard, M., Velázquez-
- 480 Blázquez, A., Wandinger, U., Wehr, T., van Zadelhoff, G.-J.: The EarthCARE Satellite: The next step forward in global measurements of clouds, aerosols, precipitation and radiation, B. Am. Meteorol. Soc., 96, 1311–1332, doi.org/10.1175/BAMS-D-12-00227.1, 2015.
- IPCC: Climate Change 2013: The Physical Science Basis, Contribution of Working Group I to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change, Cambridge University Press, Cambridge, United Kingdom and New York, USA, https://doi.org/10.1017/CBO9781107415324, 2013.





- Kanji, Z. A., Ladino, L. A., Wex, H., Boose, Y., Burkert-Kohn, M., Cziczo, D. J., and Krämer, M.: Overview of ice nucleating particles, Meteor. Mon., 58, 1.1–1.33, https://doi.org/10.1175/AMSMONOGRAPHS-D-16-0006.1, 2017.
- Kok, J. F., Adebiyi, A. A., Albani, S., Balkanski, Y., Checa-Garcia, R., Chin, M., Colarco, P. R., Hamilton, D. S., Huang, Y., Ito, A., Klose, M., Li, L., Mahowald, N. M., Miller, R. L., Obiso, V., Pérez García-Pando, C., Rocha-Lima, A., and
- Wan, J. S.: Contribution of the world's main dust source regions to the global cycle of desert dust, Atmos. Chem. Phys., 21, 8169–8193, https://doi.org/10.5194/acp-21-8169-2021, 2021a.
 - Kok, J. F., Adebiyi, A. A., Albani, S., Balkanski, Y., Checa-Garcia, R., Chin, M., Colarco, P. R., Hamilton, D. S., Huang, Y., Ito, A., Klose, M., Leung, D. M., Li, L., Mahowald, N. M., Miller, R. L., Obiso, V., Pérez García-Pando, C., Rocha-Lima, A., Wan, J. S., and Whicker, C. A.: Improved representation of the global dust cycle using observational
- 495 constraints on dust properties and abundance, Atmos. Chem. Phys., 21, 8127–8167, https://doi.org/10.5194/acp-21-8127-2021, 2021b.
 - Lee, J., Kim, J., Song, C., Kim, S., Chun, Y., Sohn, B., and Holben, B.: Characteristics of aerosol types from AERONET sun-photometer measurements, Atmos. Environ., 44, 3110–3117, https://doi.org/10.1016/j.atmosenv.2010.05.035, 2010.
 Lenhardt, E. D., Gao, L., Redemann, J., Xu, F., Burton, S. P., Cairns, B., Chang, I., Ferrare, R. A., Hostetler, C. A., Saide, P.
- 500 E., Howes, C., Shinozuka, Y., Stamnes, S., Kacarab, M., Dobracki, A., Wong, J., Freitag, S., and Nenes, A.: Use of Lidar Aerosol Extinction and Backscatter Coefficients to Estimate Cloud Condensation Nuclei (CCN) Concentrations in the Southeast Atlantic, Atmos. Meas. Tech. Discuss. [preprint], https://doi.org/10.5194/amt-2022-262, in review, 2022.
- Li, G., Wieder, J., Pasquier, J. T., Henneberger, J., and Kanji, Z. A.: Predicting atmospheric background number
 concentration of ice-nucleating particles in the Arctic, Atmos. Chem. Phys., 22, 14441–14454, https://doi.org/10.5194/acp-22-14441-2022, 2022.
 - Liu, C., Yin, Z., He, Y., and Wang, L.: Climatology of dust aerosols over the Jianghan Plain revealed with space-borne instruments and MERRA-2 reanalysis data during 2006–2021. Remote Sens., 14(17), 4414. https://doi.org/10.3390/rs14174414, 2022.
- 510 Mamouri, R. E., Ansmann, A., Nisantzi, A., Kokkalis, P., Schwarz, A., and Hadjimitsis, D.: Low Arabian extinction-tobackscatter ratio, Geophys. Res. Lett., 40, 4762–4766. https://doi.org/10.1002/grl.50898, 2013.
 - Mamouri, R. E. and Ansmann, A.: Fine and Coarse dust separation with polarization lidar, Atmos. Meas. Tech., 7, 3717–3735. https://doi.org/10.5194/amt-7-3717-2014, 2014.
- Mamouri, R. E. and Ansmann, A.: Estimated desert-dust ice nuclei profiles from polarization lidar: methodology and case
 studies, Atmos. Chem. Phys., 15, 3463–3477, https://doi.org/10.5194/acp-15-3463-2015, 2015.
 - Mamouri, R. E. and Ansmann, A.: Potential of polarization lidar to provide profiles of CCN- and INP-relevant aerosol parameters, Atmos. Chem. Phys., 16, 5905–5931, https://doi.org/10.5194/acp-16-5905-2016, 2016.
 - Mamouri, R. E. and Ansmann, A.: Potential of polarization/Raman lidar to separate fine dust, coarse dust, maritime, and anthropogenic aerosol profiles, Atmos. Meas. Tech., 10, 3403–3427, https://doi.org/10.5194/amt-10-3403-2017, 2017.
 - 17





- 520 Marinou, E., Tesche, M., Nenes, A., Ansmann, A., Schrod, J., Mamali, D., Tsekeri, A., Pikridas, M., Baars, H., Engelmann, R., Voudouri, K.-A., Solomos, S., Sciare, J., Groß, S., Ewald, F., and Amiridis, V.: Retrieval of ice-nucleating particle concentrations from lidar observations and comparison with UAV in situ measurements, Atmos. Chem. Phys., 19, 11315–11342, https://doi.org/10.5194/acp-19-11315-2019, 2019.
- Moore, R. H., Cerully, K., Bahreini, R., Brock, C. A., Middlebrook, A. M., and Nenes, A.: Hygroscopicity and composition
 of California CCN during summer 2010, J. Geophys. Res.-Atmos., 117, D00V12, https://doi.org/10.1029/2011JD017352, 2012.
 - Müller, D., Ansmann, A., Mattis, I., Tesche, M., Wandinger, U., Althausen, D., and Pisani, G.: Aerosol-type-dependent lidar ratios observed with Raman lidar, J. Geophys. Res., 112, D16202, https://doi.org/10.1029/2006JD008292, 2007.
- Murray, B. J., O'Sullivan, D., Atkinson, J. D., and Webb, M. E.: Ice nucleation by particles immersed in supercooled cloud
 droplet, Chem. Soc. Rev., 41, 6519–6554, https://doi.org/10.1039/c2cs35200a, 2012.
- Niemand, M., Möhler, O., Vogel, B., Vogel, H., Hoose, C., Connolly, P., Klein, H., Bingemer, H., DeMott, P., Skrotzki, J., and Leisner, T.: Parameterisation of immersion freezing on mineral dust particles: an application in a regional scale model, J. Atmos. Sci., 69, 3077–3092, https://doi.org/10.1175/JAS-D-11-0249.1, 2012.
- Noh, Y., Müller, D., Lee, K., Kim, K., Lee, K., Shimizu, A., Sano, I., and Park, C. B.: Depolarization ratios retrieved by
 AERONET sun-sky radiometer data and comparison to depolarization ratios measured with lidar, Atmos. Chem. Phys.,
 17, 6271–6290, https://doi.org/10.5194/acp-17-6271-2017, 2017.
 - Patel, P., Jiang, J., Gautam, R., Gadhavi, H., Kalashnikova, O., Garay, M., Gao, L., Xu, F., and Omar, A.: Resolving Vertical Profile of Cloud Condensation Nuclei Concentrations from Spaceborne Lidar Measurements, Atmos. Chem. Phys. Discuss. [preprint], https://doi.org/10.5194/acp-2022-547, in review, 2022.
- 540 Peng, L., Yi, F., Liu, F., Yin, Z. and He, Y.: Optical properties of aerosol and cloud particles measured by a single-lineextracted pure rotational Raman lidar, Opt. Express, 29(14), 21947-21964. https://doi.org/10.1364/OE.427864, 2021.
- Philip, S., Martin, R. V., Snider, G., Weagle, C. L., van Donkelaar, A., Brauer, M., Henze, D. K., Klimont, Z., Venkataraman, C., Guttikunda, S. K., and Zhang, Q.: Anthropogenic fugitive, combustion and industrial dust is a significant, underrepresented fine particulate matter source in global atmospheric models, Environ. Res. Lett., 12, 044018, https://doi.org/10.1088/1748-9326/aa65a4, 2017.
 - Rittmeister, F., Ansmann, A., Engelmann, R., Skupin, A., Baars, H., Kanitz, T., and Kinne, S.: Profiling of Saharan dust from the Caribbean to western Africa – Part 1: Layering structures and optical properties from shipborne polarization/Raman lidar observations, Atmos. Chem. Phys., 17, 12963–12983, https://doi.org/10.5194/acp-17-12963-2017, 2017.
- 550 Rosenfeld, D., Andreae, M. O., Asmi, A., Chin, M., Leeuw, G., Donovan, D. P., Kahn, R., Kinne, S., Kivekäs, N., Kulmala, M., Lau, W., Schmidt, K. S., Suni, T., Wagner, T., Wild, M., and Quaas, J.: Global observations of aerosol-cloud-precipitation-climate interactions, Rev. Geophys., 52, 750–808, https://doi.org/10.1002/2013RG000441, 2014.





555

565



- Shin, S.-K., Tesche, M., Kim, K., Kezoudi, M., Tatarov, B., Müller, D., and Noh, Y.: On the spectral depolarisation and lidar ratio of mineral dust provided in the AERONET version 3 inversion product, Atmos. Chem. Phys., 18, 12735–12746, https://doi.org/10.5194/acp-18-12735-2018, 2018.
- Shin, S.-K., Tesche, M., Noh, Y., and Müller, D.: Aerosol-type classification based on AERONET version 3 inversion products, Atmos. Meas. Tech., 12, 3789–3803, https://doi.org/10.5194/amt-12-3789-2019, 2019.
- Shinozuka, Y., Clarke, A. D., Nenes, A., Jefferson, A., Wood, R., McNaughton, C. S., Ström, J., Tunved, P., Redemann, J., Thornhill, K. L., Moore, R. H., Lathem, T. L., Lin, J. J., and Yoon, Y. J.: The relationship between cloud condensation
- 560 nuclei (CCN) concentration and light extinction of dried particles: indications of underlying aerosol processes and implications for satellitebased CCN estimates, Atmos. Chem. Phys., 15, 7585–7604, https://doi.org/10.5194/acp-15-7585-2015, 2015.
 - Sinyuk, A., Holben, B. N., Eck, T. F., Giles, D. M., Slutsker, I., Korkin, S., Schafer, J. S., Smirnov, A., Sorokin, M., and Lyapustin, A.: The AERONET Version 3 aerosol retrieval algorithm, associated uncertainties and comparisons to Version 2, Atmos. Meas. Tech., 13, 3375–3411, https://doi.org/10.5194/amt-13-3375-2020, 2020.
- Smirnov, A., Holben, B. N., Slutsker, I., Giles, D. M., McClain, C. R., Eck, T. F., S. Sakerin, M., Macke, A., Croot, P., Zibordi, G., Quinn, P. K., Sciare, J., Kinne, S., Harvey, M., Smyth, T. J., Piketh, S., Zielinski, T., Proshutinsky, A., Goes, J. I., Nelson, N. B., Larouche, P., Radionov, V. F., Goloub, P., Krishna Moorthy, K., Matarrese, R., Robertson, E. J., and Jourdin, F.: Maritime Aerosol Network as a component of Aerosol Robotic Network, J. Geophys. Res., 114,

- Smirnov, A., Holben, B. N., Giles, D. M., Slutsker, I., O'Neill, N. T., Eck, T. F., Macke, A., Croot, P., Courcoux, Y., Sakerin, S. M., Smyth, T. J., Zielinski, T., Zibordi, G., Goes, J. I., Harvey, M. J., Quinn, P. K., Nelson, N. B., Radionov, V. F., Duarte, C. M., Losno, R., Sciare, J., Voss, K. J., Kinne, S., Nalli, N. R., Joseph, E., Moorthy, K. K., Covert, D. S., Gulev, S. K., Milinevsky, G., Larouche, P., Belanger, S., Horne, E., Chin, M., Remer, L. A., Kahn, R. A., Reid, J. S.,
- 575 Schulz, M., Heald, C. L., Zhang, J., Lapina, K., Kleidman, R. G., Griesfeller, J., Gaitley, B. J., Tan, Q., and Diehl, T. L.: Maritime aerosol network as a component of AERONET - first results and comparison with global aerosol models and satellite retrievals, Atmos. Meas. Tech., 4, 583-597, https://doi.org/10.5194/amt-4-583-2011, 2011.
 - Spänkuch, D., Hellmuth, O., and Görsdorf, U.: What is a cloud? Toward a more precise definition, B. Am. Meteorol. Soc., 103(8), E1894-E1929, https://doi.org/10.1175/BAMS-D-21-0032.1.
- 580 Steinke, I., Hoose, C., Möhler, O., Connolly, P., and Leisner, T.: A new temperature- and humidity-dependent surface site density approach for deposition ice nucleation, Atmos. Chem. Phys., 15, 3703–3717, https://doi.org/10.5194/acp-15-3703-2015, 2015.
 - Stier, P.: Limitations of passive remote sensing to constrain global cloud condensation nuclei, Atmos. Chem. Phys., 16, 6595–6607, https://doi.org/10.5194/acp-16-6595-2016, 2016.
- 585 Toledano, C., Torres, B., Velasco-Merino, C., Althausen, D., Groß, S., Wiegner, M., Weinzierl, B., Gasteiger, J., Ansmann, A., González, R., Mateos, D., Farrel, D., Müller, T., Haarig, M., and Cachorro, V. E.: Sun photometer retrievals of

⁵⁷⁰ D06204, doi:10.1029/2008JD011257, 2009.



615



Saharan dust properties over Barbados during SALTRACE, Atmos. Chem. Phys., 19, 14571-14583, https://doi.org/10.5194/acp-19-14571-2019, 2019.

Twomey, S.: Pollution and the planetary albedo, Atmos. Environ., 8, 1251–1256, https://doi.org/10.1016/0004-590 6981(74)90004-3, 1974.

- Tesche, M., Ansmann, A., Müller, D., Althausen, D., Engelmann, R., Freudenthaler, V., and Groß, S.: Vertically resolved separation of dust and smoke over Cape Verde using multiwavelength Raman and polarization lidars during Saharan Mineral Dust Experiment 2008, J. Geophys. Res., 114, D13202, https://doi.org/10.1029/2009JD011862, 2009.
- Ullrich, R., Hoose, C., Möhler, O., Niemand, M., Wagner, R., Höhler, K., Hiranuma, N., Saathoff, H., and Leisner, T.: A
- 595 new ice nucleation active site parameterization for desert dust and soot, J. Atmos. Sci., 74, 699–717, https://doi.org/10.1175/JASD-16-0074.1, 2017.
 - Wang, J., Cubison, M. J., Aiken, A. C., Jimenez, J. L., and Collins, D. R.: The importance of aerosol mixing state and sizeresolved composition on CCN concentration and the variation of the importance with atmospheric aging of aerosols, Atmos. Chem. Phys., 10, 7267–7283, https://doi.org/10.5194/acp-10-7267-2010, 2010.
- 600 Wang, T.; Han, Y., Hua, W., Tang, J., Huang, J., Zhou, T., Huang, Z., Bi, J., and Xie, H.: Profiling dust mass concentration in Northwest China using a joint lidar and sun-photometer setting, Remote Sens., 13, 1099, https://doi.org/10.3390/rs13061099, 2021.
 - Wieder, J., Ihn, N., Mignani, C., Haarig, M., Bühl, J., Seifert, P., Engelmann, R., Ramelli, F., Kanji, Z. A., Lohmann, U., and Henneberger, J.: Retrieving ice-nucleating particle concentration and ice multiplication factors using active remote
- 605 sensing validated by in situ observations, Atmos. Chem. Phys., 22, 9767–9797, https://doi.org/10.5194/acp-22-9767-2022, 2022.
 - Winker, D., Hunt, W., and McGill, M.: Initial performance assessment of CALIOP, Geophys. Res. Lett., 34, L19803, https://doi.org/10.1029/2007GL030135, 2007.
- Yin, Z., Ansmann, A., Baars, H., Seifert, P., Engelmann, R., Radenz, M., Jimenez, C., Herzog, A., Ohneiser, K., and
- 610 Hanbuch, K.: Aerosol measurements with a shipborne Sun-sky-lunar photometer and collocated multiwavelength Raman polarization lidar over the Atlantic Ocean, Atmos. Meas. Tech., 12, 5685-5698, https://doi.org/10.5194/amt-12-5685-2019, 2019.
 - Yin, Z., Yi, F., He, Y., Liu, D., Yu, C., and Zhang, Y.: Asian dust impacts on heterogeneous ice formation at Wuhan based on polarization lidar measurements, Atmos. Environ., 246, 118166, https://doi.org/10.1016/j.atmosenv.2020.118166, 2021.
 - Yu, H., Tan, Q., Zhou, L., Zhou, Y., Bian, H., Chin, M., Ryder, C. L., Levy, R. C., Pradhan, Y., Shi, Y., Song, Q., Zhang, Z., Colarco, P. R., Kim, D., Remer, L. A., Yuan, T., Mayol-Bracero, O., and Holben, B. N.: Observation and modeling of the historic "Godzilla" African dust intrusion into the Caribbean Basin and the southern US in June 2020, Atmos. Chem. Phys., 21, 12359–12383, https://doi.org/10.5194/acp-21-12359-2021, 2021.







- Zhang, C., Wu, Z., Chen, J., Chen, J., Tang, L., Zhu, W., Pei, X., Chen, S., Tian, P., Guo, S., Zeng, L., Hu, M., and Kanji, Z.
 A.: Ice-nucleating particles from multiple aerosol sources in the urban environment of Beijing under mixed-phase cloud conditions, Atmos. Chem. Phys., 22, 7539–7556, https://doi.org/10.5194/acp-22-7539-2022, 2022.
- Zhao, X., Huang, K., Fu, J. S., and Abdullaev, S. F.: Long-range transport of Asian dust to the Arctic: identification of transport pathways, evolution of aerosol optical properties, and impact assessment on surface albedo changes, Atmos.
 Chem. Phys., 22, 10389–10407, https://doi.org/10.5194/acp-22-10389-2022, 2022.





Table 1. Dust-related parameters of optical properties, cloud condensation nuclei, and ice-nucleating particles calculated by the
POLIPHON method (Tesche et al., 2009; Marinou et al., 2019; Ansmann et al., 2019b). D10, D15, U17, N12, and S15 refer to the
respective INP parameterization in DeMott et al. (2010), DeMott et al. (2015), Ullrich et al. (2017), Niemand et al. (2012), and
Steinke et al. (2015). The subscript 'ss' denotes water supersaturation.

Dust-related parameters	Computation	Uncertainty	References
Dust backscatter $\beta_{\rm d}$ (Mm ⁻¹ sr ⁻¹)	$\beta_{\rm d}(z) = \beta_{\rm p}(z) \frac{\left(\delta_{\rm p}(z) - \delta_{\rm nd}\right)(1 + \delta_{\rm d})}{\left(\delta_{\rm d} - \delta_{\rm nd}\right)\left(1 + \delta_{\rm p}(z)\right)}$ $\delta_{\rm d} = 0.31, \delta_{\rm nd} = 0.05$	10-30%	(Tesche et al., 2009)
Dust extinction $\alpha_{ m d}$ (Mm ⁻¹)	$\alpha_{\rm d}(z) = LR_{\rm d} \times \beta_{\rm d}(z)$	15-25%	(Ansmann et al., 2019b)
Dust mass conc. M_d (µg m ⁻³)	$M_{\rm d}(z) = \rho_{\rm d} \times \alpha_{\rm d}(z) \times c_{\rm v,d}$ $\rho_{\rm d} = 2.6 {\rm g cm^{-3}}$	20-30%	(Ansmann et al., 2019b)
Particle number conc. (r> 250 nm) $n_{\rm 250,d}$ (cm ⁻³)	$n_{250,d}(z) = \alpha_d(z) \times c_{250,d}$	25-35%	(Ansmann et al., 2019b)
Particle surface conc. $S_{\rm d}$ (m ² cm ⁻³)	$S_{\rm d}(z) = \alpha_{\rm d}(z) \times c_{\rm s,d}$	30-40%	(Ansmann et al., 2019b)
Particle surface conc. (r> 100 nm) $S_{100,d}$ (m ² cm ⁻³)	$S_{100,d}(z) = \alpha_d(z) \times c_{s,100,d}$	20-30%	(Ansmann et al., 2019b)
$n_{\mathrm{INP,d}}$ (L-1) from $n_{250,\mathrm{d}}$	INP parameterization D10 and D15	50-500%	(DeMott et al., 2010, 2015)
$n_{\mathrm{INP,d}}$ (L-1) from S_{d} and $S_{\mathrm{100,d}}$	INP parameterization U17, N12 and S15	50-500%	(Niemand et al., 2012; Steinke et al. 2015; Ullrich et al., 2017)
$n_{ m CCN,ss,d}$ (L-1) from $n_{100,d}$	$n_{\rm CCN,ss,d}(z) = f_{\rm ss,d} \times n_{\rm 100,d}(z)$	50-200%	(Mamouri and Ansmann, 2016)





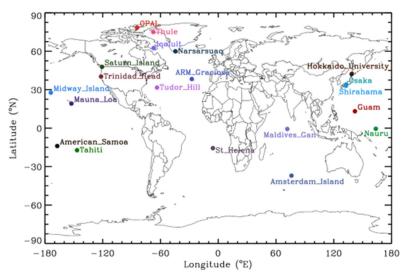


Figure 1: Overview of the ocean and coast AERONET sites used in this study. Labels for each site are taken from AERONET site 635 list.





Table 2. Basic information of the AERONET sites selected for dust-related POLIPHON conversion factors calculation near the
deserts (from North Africa, the Middle East, and Asia) and over the ocean and coast (from the Pacific, Pacific coast, Atlantic,
Indian Ocean, and Arctic Ocean) regions, including the site name and abbreviation, period, and location. The available number of
data points for total, dust-dominated mixture (DDM), and pure dust (PD) in AERONET version 3 aerosol inversion products
(level 2.0 for near-desert cluster and level 1.5 for oceanic/coast cluster) are also given, respectively.

	Site (Abbreviation)	Period	Location	Total Obs.	DDM Obs.	PD Obs.
	Tahiti (TA)	1999-2010	41.05N, 124.15W	1210	247	6
	Nauru (NR)	1999-2013	0.52S, 166.92E	1379	141	12
D 1(1 -	Midway_Island (MI)	2001-2015	28.21N, 177.38W	1663	351	26
Pacific	American_Samoa (AS)	2014-2022	14.25S, 170.56W	1080	247 141	14
	Guam (GA)	2006-2009	13.43N, 144.80E	239		2
	Mauna_Loa (ML)	1994-2022	19.54N, 155.58W	30384		27
	Hokkaido_University (HU)	2015-2022	43.08N, 141.34E	3524	247 141 351 197 33 1481 321 99 1421 527 678 218 502 18 190 216 139 119 34 60 174 975 0 503 1839 1672 621	11
	Osaka (OS)	2000-2022	34.65N, 135.59E	3296	99	13
Pacific Coast	Shirahama (SH)	2000-2022	33.69N, 135.36E	9095	1421	68
	Saturn_Island (SI)	1997-2022	48.78N, 123.13W	5986	247 141 351 197 33 1481 321 99 1421 527 678 218 502 18 190 216 139 119 34 60 174 975 0 503 1839 1672	0
	Trinidad_Head (TR)	2005-2017	41.05N, 124.15W	3978		18
	ARM_Graciosa (AG)	2013-2022	39.09N, 28.03W	2549	218	50
Atlantic	Tudor_Hill (TH)	2007-2022	32.26N, 64.88W	2222	502	40
	St_Helena (SH)	2016-2022	15.94S, 5.67W	294	141 351 197 33 1481 321 99 1421 527 678 218 502 18 190 216 139 119 34 60 174 975 0 503 1839 1672 621	0
Indian	Maldives_Gan (MG)	2018-2022	0.69S, 73.15E	1153	190	4
Ocean	Amsterdam_Island (AI)	2002-2022	37.80S, 77.57E	1241	247 141 351 197 33 1481 321 99 1421 527 678 218 502 18 190 216 139 119 34 60 174 975 0 503 1839 1672 621	25
	Narsarsuaq (NA) 2013-2022	61.16N, 45.42W	2918	139	2	
Arctic	Thule (TL)	2007-2022	76.52N, 68.77W	4604	119	0
Ocean	OPAL (OP)	2007-2022	79.99N, 85.94W	2223	34	0
	lqaluit (IQ)	2008-2020	63.75N, 68.54W	1190	60	0
	Cape_Verde (CV)	1994-2022	16.73N, 22.94W	6020	174	1912
North Africa	Dakar (DK)	1996-2020	14.39N, 16.96W	9674	975	3620
	Izana (IZ)	1997-2022	28.31N, 16.50W	5114	247 141 351 197 33 1481 321 321 321 527 678 218 527 678 218 502 139 1421 527 678 218 190 216 139 216 216 139 216 216 216 216 216 216 216 216 216 216	87
	Eilat (EI)	2007-2022	29.50N, 34.92E	9290	503	263
Middle East	Solar_Village (SV)	1999-2015	24.907N, 46.40E	14278	247 141 351 197 33 1481 321 99 1421 527 678 218 502 18 190 216 139 119 34 60 174 975 0 503 1839 1672 621	2234
	Mezaira'a (ME)	2004-2022	23.11N, 53.76E	8679		998
A	Dushanbe (DU)	2010-2022	38.55N, 68.86E	4939	621	331
Asia	Dalanzadgad (DA)	1997-2022	43.58N,104.42E	3864	10	12

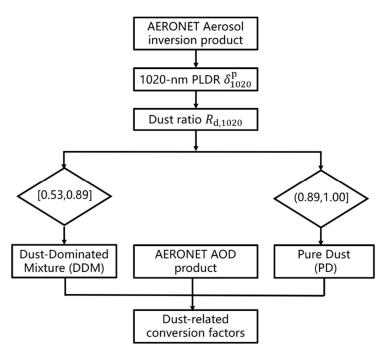




	SACOL(LA)	2006-2013	35.95N,104.14E	3382	317	186
--	-----------	-----------	----------------	------	-----	-----







645 Figure 2: Flow chart for retrieving the dust-related POLIPHON conversion factors.





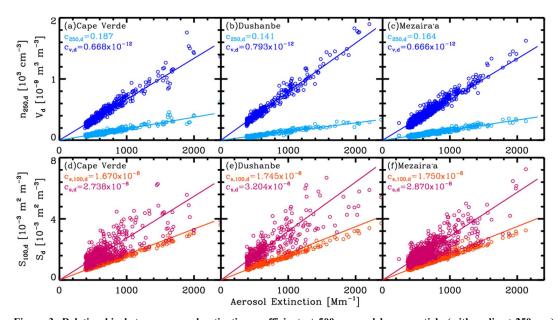


Figure 3: Relationship between aerosol extinction coefficient at 500 nm and large particle (with radius >250 nm) number concentration $n_{250,d}$ and volume concentration v_d , and surface area concentration s_d and $s_{100,d}$ (only

650 considering particles with radius >100 nm) for pure dust at three typical sites, i.e., (a) and (d) for Cape Verde, (b) and (e) for Dushanbe, and (c) and (f) for Merzaira'a. The pure dust data points are selected from the AERONET V3 database (level-2.0 AOD products and level-2.0 aerosol inversions) using the threshold of particle linear depolarization ratio derived with the method given by Shin et al. (2019). The corresponding dust-related conversion factors c_{v,d}, c_{250,d}, c_{s,d} and c_{s,100,d} are also given, respectively.







Table 3. POLIPHON dust-related conversion factors $c_{v,d}$ (10 ⁻¹² Mm), $c_{250,d}$ (Mm cm ⁻³), $c_{s,d}$ (10 ⁻¹² Mm m ² cm ⁻³) and
$c_{s,100,d}$ (10 ⁻¹² Mm m ² cm ⁻³) for DDM+PD and only PD, respectively. The respective standard deviations are also
provided. The sites are classified into three regional clusters, including North Africa, the Middle East, and Asia.

	Site	C _{v,d} (10	⁻¹² Mm)	C _{250,d} (M	m cm⁻³)	C _{s,d} (10 ⁻¹² M	m m²cm⁻³)	C _{s,100,d} (10 ⁻¹² l	Vm m²cm⁻³)
	Site	DDM+PD	PD	DDM+PD	PD	DDM+PD	PD	DDM+PD	PD
	CV	0.67±0.07	0.67±0.07	0.19±0.03	0.19±0.03	2.78±0.72	2.73±0.69	1.71±0.19	1.67±0.12
North Africa	DK	0.66±0.09	0.67±0.08	0.18±0.03	0.18±0.03	2.96±0.81	2.83±0.72	1.80±0.29	1.71±0.17
	IZ	0.63±0.05	0.63±0.05	0.20±0.02	0.20±0.02	2.32±0.43	2.32±0.43	1.58±0.08	1.58±0.08
	EI	0.60±0.11	0.65±0.08	0.16±0.03	0.18±0.03	3.02±0.97	2.37±0.54	2.20±0.71	1.63±0.12
Middle East	SV	0.71±0.09	0.74±0.07	0.15±0.02	0.16±0.02	2.86±0.68	2.75±0.64	1.81±0.32	1.65±0.14
	ME	0.64±0.09	0.67±0.08	0.16±0.03	0.16±0.02	3.11±0.70	2.87±0.59	2.04±0.44	1.75±0.16
	DU	0.78±0.10	0.79±0.07	0.13±0.03	0.14±0.02	3.29±0.70	3.20±0.70	1.97±0.32	1.74±0.18
Asia	DA	0.66±0.16	0.84±0.33	0.17±0.04	0.18±0.04	3.26±1.31	3.17±1.42	2.22±0.78	2.03±0.79
	LA	0.66±0.12	0.73±0.10	0.17±0.04	0.16±0.02	3.37±0.77	3.30±0.77	1.86±0.24	1.67±0.13







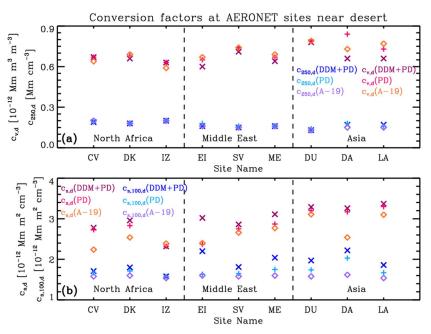


Figure 4: Intercomparison of dust-related conversion factors at nine sites (as shown in Table 2) near the deserts (a) $c_{v,d}$ and $c_{250,d}$, and (b) $c_{s,d}$ and $c_{s,100,d}$ calculated with the dust (both PD+DDM and PD) data selection scheme (based on particle linear depolarization ratio) in this study with those derived with the constraints of Ångström exponent for the 440–870 nm wavelength range AE₄₄₀₋₈₇₀ <0.3 and aerosol optical depth at 532 nm AOD₅₃₂ >0.1 given by Ansmann et al. (2019b) (denoted as 'A-19').

665





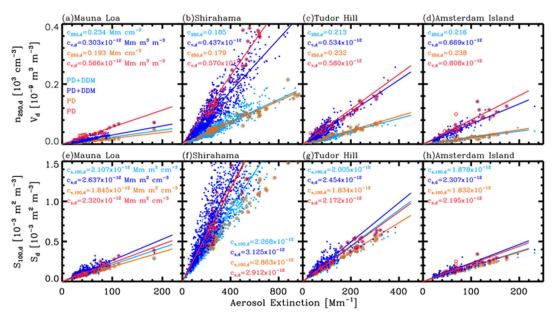


Figure 5: Relationship between the aerosol extinction coefficient at 500 nm and large particle (radius >250 nm) number concentration $n_{250,d}$ and volume concentration v_d , and surface area concentration s_d and $s_{100,d}$ (radius >100 nm) for DDM+PD and PD at four typical ocean and coast sites, i.e., (a) and (d) for Mauna Loa, (b) and (f) for Shirahama, (c) and (g) for Tudor Hill, and (d) and (h) for Amsterdam Island. The PD and DDM data points are selected from the AERONET Version 3 database (level-2.0 AOD products and level-1.5 aerosol inversion products) using the dust ratio threshold derived with the method given by Shin et al. (2019). The corresponding values of dust-

675 related conversion factors $c_{v,d}$, $c_{250,d}$, $c_{s,d}$, and $c_{s,100,d}$ are also given, respectively.





Table 4. POLIPHON dust-related conversion factors c_{v,d} (in 10⁻¹² Mm), c_{250,d} (in Mm cm⁻³), c_{s,d} (in 10⁻¹² Mm m² cm⁻³) and c_{s,100,d} (in 10⁻¹² Mm m² cm⁻³) for DDM (dust-dominated mixture) +PD (pure dust) and only PD based on the AERONET data analysis. The respective standard deviations are also provided. The sites are classified into five regional clusters, including the Pacific, Pacific coast, Atlantic, Indian Ocean, and Arctic Ocean.

		C _{v,d} (10	⁻¹² Mm)	C _{250,d} (N	lm cm⁻³)	C _{s,d} (10 ⁻¹² N	1m m²cm-³)	C _{s,100,d} (10 ⁻¹² N	/Im m²cm-³)
	Site	DDM+PD	PD	DDM+PD	PD	DDM+PD	PD	DDM+PD	PD
	TA	0.60±0.14	-	0.17±0.03	-	2.43±0.49	-	1.84±0.22	-
	NR	0.67±0.16	0.73±0.16	0.19±0.04	0.23±0.09	2.07±0.38	1.93±0.40	1.71±0.23	1.71±0.35
	мі	0.57±0.15	0.66±0.16	0.21±0.04	0.19±0.03	2.27±0.38	2.01±0.27	1.88±0.24	1.71±0.19
Pacific	AS	0.62±0.15	0.79±0.09	0.19±0.04	0.18±0.06	2.26±0.45	2.28±0.53	1.83±0.25	1.87±0.37
	GA	0.61±0.14	-	0.23±0.05	-	2.01±0.38	-	1.74±0.25	-
	ML	0.31±0.10	0.57±0.11	0.24±0.05	0.19±0.03	2.72±0.61	2.32±0.61	2.19±0.38	1.85±0.16
	HU	0.44±0.13	-	0.17±0.04	-	3.22±0.81	-	2.18±0.33	-
	OS	0.49±0.10	0.67±0.18	0.20±0.04	0.17±0.03	3.32±0.83	3.43±0.89	2.30±0.43	2.02±0.42
Pacific Coast	SH	0.44±0.12	0.57±0.10	0.19±0.04	0.18±0.02	3.13±0.89	2.91±0.69	2.27±0.50	1.82±0.20
	SI	0.31±0.07	-	0.21±0.04	-	2.68±0.62	-	2.04±0.29	-
	TR	0.44±0.12	0.58±0.09	0.21±0.04	0.20±0.04	2.40±0.46	2.33±0.60	1.97±0.27	1.97±0.55
	AG	0.52±0.13	0.58±0.08	0.22±0.04	0.22±0.03	2.29±0.40	2.23±0.34	1.84±0.25	1.71±0.17
Atlantic	тн	0.53±0.14	0.58±0.14	0.21±0.06	0.23±0.04	2.45±0.53	2.17±0.35	2.00±0.32	1.83±0.20
	SH	0.49±0.12	-	0.21±0.05	-	2.74±0.86	-	2.14±0.51	-
Indian	MG	0.53±0.16	-	0.21±0.05	-	2.19±0.31	-	1.83±0.20	-
Ocean	AI	0.67±0.16	0.81±0.20	0.23±0.07	0.21±0.06	2.35±0.53	2.20±0.47	1.92±0.36	1.83±0.34
	NA	0.31±0.05	-	0.21±0.04	-	2.96±0.69	-	2.23±0.34	-
Arctic	TL	0.27±0.04	-	0.22±0.04	-	2.71±0.42	-	2.22±0.29	-
Ocean	OP	0.27±0.06	-	0.23±0.04	-	2.50±0.27	-	2.08±0.19	-
	IQ	0.28±0.06	-	0.26±0.04	-	2.75±0.46	-	2.31±0.29	-





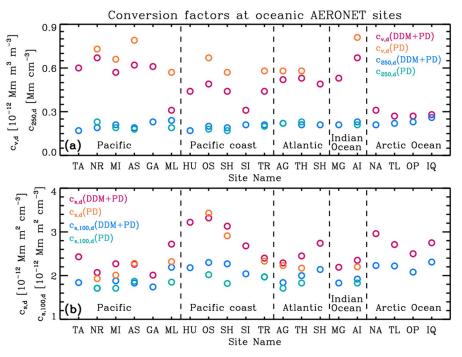


Figure 6: Dust-related conversion factors at 20 oceanic and coast sites (a) $c_{v,d}$ and $c_{250,d}$, and (b) $c_{s,d}$ and $c_{s,100,d}$ calculated by considering PD and DDM+PD datasets, respectively.





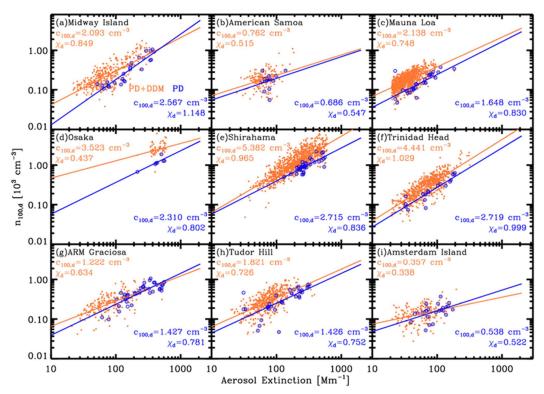


Figure 7: Relationship between aerosol extinction coefficient at 500 nm and aerosol particle number concentration $n_{100,d}$ (radius >100 nm) for DDM+PD (in orange) and PD (in blue) at nine ocean and coast sites, i.e., (a) Midway Island, (b) American Samoa, (c) Mauna Loa, (d) Osaka, (e) Shirahama, (f) Trinidad Head, (g) ARM Graciosa, (h)

Tudor Hill, and (i) Amsterdam Island. The PD and DDM data points are selected from the AERONET Version 3 database (level-2.0 AOD products and level-1.5 aerosol inversion products) using the dust ratio threshold derived with the method given by Shin et al. (2019). The corresponding dust-related conversion factors $c_{100,d}$ and χ_d are also provided.

695







Table 5. POLIPHON dust-related conversion factors $c_{100,d}$ (in cm⁻³ for $\alpha_d = 1$ Mm⁻¹) and χ_d for DDM (dustdominated mixture) +PD (pure dust) and only PD based on the AERONET data analysis. The sites are classified into 5 clusters, including the Pacific, Pacific coast, Atlantic, Indian Ocean, and Arctic Ocean.

Level 2.0	Site	C100 (cm ⁻³ for α _d		Xd		
		DDM+PD	PD	DDM+PD 0.91 - 0.85 0.52 - 0.75 1.00 0.44 0.97 0.90 1.03 0.63 0.73 0.70 0.91 - 0.86 0.83	PD	
	Tahiti (TA)	2.73	-	0.91	-	
	Nauru (NR)	-	-	-	-	
Pacific	Midway_Island (MI)	2.09	2.92	0.85	1.24	
Pacific	American_Samoa (AS)	0.76	0.69	0.52	0.55	
	Guam (GA)	-	-	-	-	
	Mauna_Loa (ML)	2.14	1.65	DDM+PD 0.91 - 0.85 0.52 - 0.75 1.00 0.44 0.97 0.90 1.03 0.63 0.73 0.70 0.91 - 0.83 0.86 0.83	0.83	
	Hokkaido_University (HU)	5.52	-	1.00	-	
	Osaka (OS)	3.52	2.31	0.44	0.80	
Pacific coast	Shirahama (SH)	5.38	2.72	0.97	0.83	
	Saturn_Island (SI)	3.95	-	0.90	-	
	Trinidad_Head (TR)	4.44	2.72	1.03	1.00	
	ARM_Graciosa (AG)	1.21	1.43	0.63	0.78	
Atlantic	Tudor_Hill (TH)	1.82	1.43	0.73	0.75	
	St_Helena (SH)	1.95	-	DDM+PD 0.91 - 0.85 0.52 - 0.75 1.00 0.44 0.97 0.90 1.03 0.63 0.70 0.91 0.70 0.91	-	
Indian Ocean	Maldives_Gan (MG)	2.71	-	0.91	-	
Indian Ocean	Amsterdam_Island (AI)	-	0.54	-	0.52	
	Narsarsuaq (NA)	3.80	-	0.86	-	
Austia Ossan	Thule (TL)	3.75	-	0.83	-	
Arctic Ocean	OPAL (OP)	2.05	-	0.62	-	
	Iqaluit (IQ)	3.57	-	0.80	-	

700





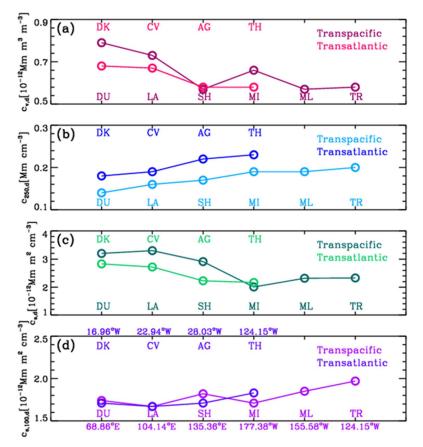


Figure 8: Variations in conversion factors (a) $c_{v,d}$, (b) $c_{250,d}$, (c), $c_{s,d}$ and (d) $c_{s,100,d}$ along transoceanic dust transport paths, including a transpacific path from Asian dust sources to the west coast of North America and a transatlantic path from the Saharan Desert (North Africa) to the east coast of North America. The longitudes of the sites are also shown.