

# Local comparisons of tropospheric ozone: Vertical soundings at two neighbouring stations in Southern Bavaria

Thomas Trickl<sup>1</sup>, Martin Adelwart<sup>2</sup>, Dina Khordakova<sup>3</sup>, Ludwig Ries<sup>4</sup>, Christian Rolf<sup>3</sup>, Michael Sprenger<sup>5</sup>, Wolfgang Steinbrecht<sup>2</sup> and Hannes Vogelmann<sup>1</sup>

<sup>1</sup>Karlsruher Institut für Technologie, Institut für Meteorologie und Klimaforschung (IMK-IFU), Kreuzeckbahnstr. 19, D-82467 Garmisch-Partenkirchen, Germany

<sup>2</sup>Deutscher Wetterdienst, Meteorologisches Observatorium, Albin-Schwaiger-Weg 10, 82383 Hohenpeißenberg, Germany

<sup>3</sup>Forschungszentrum Jülich, IEK-7, Wilhelm-Johnen-Straße, 52425 Jülich, Germany

<sup>4</sup>Umweltbundesamt II 4.5, Plattform Zugspitze, GAW-Globalobservatorium Zugspitze-Hohenpeißenberg, Schneefernerhaus, 82475 Zugspitze, Germany

<sup>5</sup>Eidgenössische Technische Hochschule (ETH) Zürich, Institut für Atmosphäre und Klima, Universitätstraße 16, 8092 Zürich, Switzerland

*Correspondence to:* Dr. Thomas Trickl, thomas@trickl.de, Thomas-Knorr-Str. 47, D-82467 Garmisch-Partenkirchen, Germany; tel. +49-8821-50283; Dr. Hannes Vogelmann, hannes.vogelmann@kit.edu, Karlsruher Institut für Technologie, IMK-IFU, Kreuzeckbahnstr. 19, D-82467 Garmisch-Partenkirchen, Germany; tel. +49-8821-258

**Abstract.** In this study ozone profiles of the differential-absorption lidar at Garmisch-Partenkirchen are compared with those of ozone sondes of the Forschungszentrum Jülich and of the Meteorological Observatory Hohenpeißenberg (German Weather Service). The lidar measurements are quality assured by the highly accurate nearby in-situ ozone measurements at the Wank (1780 m a.s.l.) and Zugspitze (2962 m a.s.l.) summits and at the Global Atmosphere Watch station Schneefernerhaus (UFS, 2670 m a.s.l.), at distances of 9 km or less from the lidar. The mixing ratios of the lidar agree with those of the monitoring stations within  $\pm 3$  ppb with a standard deviation (SD) of 1.5 ppb, with a slight positive offset of  $0.6 \text{ ppb} \pm 0.6 \text{ ppb}$  (SD) (variation with year and station) conforming to the known  $-1.8\%$  calibration bias of the in-situ instruments. Side-by-side soundings of the lidar and electrochemical (ECC) sonde measurements in February 2019 by a team of the Forschungszentrum Jülich shows just small positive ozone offsets for the sonde with respect to the lidar and the mountain stations ( $\leq -0.5$  to  $3.4$  ppb). After applying an altitude-independent bias correction to the sonde data and an agreement to within just  $\pm 2.5$  ppb in the troposphere was found after applying an altitude-independent bias correction to the sonde data, which we regard as the wintertime uncertainty of the lidar. We conclude that the recently published uncertainties of the lidar in the final configuration since 2012 are realistic and rather small for low to moderate ozone concentrations. Comparisons of the lidar with the Hohenpeißenberg routine measurements with Brewer-Mast sondes are more demanding because of the distance of 38 km between both sites implying significant ozone differences in some layers, particularly in summer. Our comparisons cover the three years September 2000 to August 2001, 2009 and 2018. A slight negative average offset ( $-3.64 \text{ ppb} \pm 7.53.72 \text{ ppb}$  (maximum of deviations SD)) of the sondes with respect to the lidar is found. We conclude that most Hohenpeißenberg sonde data could be improved in the troposphere by recalibration with the Zugspitze station data (until 1978 to 2011 summit, afterwards UFS). This would not only remove the average offset, but also greatly reduce the variability of the individual offsets. The comparison for 2009 suggests a careful partial re-evaluation of the lidar measurements between 2007 and 2011 for altitudes above 6 km where occasionally a negative bias occurred.

42 *Key words:* Tropospheric ozone, ozone sonde, ozone lidar, differential absorption

### 43 **1. Introduction**

44 The development of tropospheric ozone has been studied over more than a century (e.g., Gaudel et al., 2018;  
45 Tarasick et al., 2019). For many decades, balloon-borne ozone sondes have been a primary work horse of ozone  
46 profiling. Their measurement principle is based on the oxidation of iodide (I<sup>-</sup>) to iodine (I<sub>2</sub>) by ozone in a wet-  
47 chemical potassium iodide (KI) cell. Between cathode and anode of the wet-chemical cell, the oxidation reaction  
48 drives an electrical current which can be measured (two electrons per ozone molecule). Recently, nearly all  
49 stations have used the so-called ECC (electro-chemical-cell) sonde type (Komhyr 1969; 1995), featuring two  
50 cells with different potassium iodide concentrations (anode and cathode cell). Only the Hohenpeißenberg station,  
51 discussed here, still uses the older-type Brewer-Mast sondes (Brewer and Milford, 1960), which uses one cell  
52 only (with a platinum cathode and a silver anode), and a less efficient pump design (Steinbrecht et al., 1998).  
53 Ozone sondes have been characterized in numerous studies, both in flight (e.g., Attmannspacher and Dütsch,  
54 1981; De Muer and Malcorps, 1984; Beekmann et al., 1994; Kerr et al., 1994; Jeannot et al., 2007; in recent  
55 years: Gaudel et al., 2015; Van Malderen et al., 2016; Deshler et al., 2017; Tarasick et al., 2021; Ancellet et al.,  
56 2022; Stauffer et al., 2022), and in a laboratory simulation chamber (Smit et al., 2007, 2014, 2021). Generally,  
57 the relative uncertainty of individual ECC soundings for ozone in the mid-latitude troposphere is about 5 to 10%  
58 (Logan et al., 2012; Smit et al., 2014; Tarasick et al., 2016, 2019). Following rigorous best practices, 5%  
59 accuracy can be achieved (Vömel et al., 2020; Smit et al., 2021; Tarasick et al., 2019; 2021). For Brewer-Mast  
60 soundings, the relative uncertainty in the troposphere is slightly higher, about 10 to 15% (Stübi et al., 2008; Smit  
61 et al. 2014; Tarasick et al., 2016, 2019). For tropospheric ozone from Canadian Brewer-Mast soundings prior to  
62 1980 Tarasick et al. (2002, 2016) found a negative bias of about 20 % compared to ECC soundings.

63 The ozone soundings at the Meteorological Observatory Hohenpeißenberg (MOHp) of the German Weather  
64 Service (Deutscher Wetterdienst, DWD) in Southern Bavaria have been routinely carried out since November  
65 1966, yielding one of the longest ozone-sonde time series. Brewer-Mast ozone sonde data tend to have a low  
66 bias above about 25 km altitude (Steinbrecht et al., 1998). ~~In the troposphere, compared to ECC soundings,~~  
67 ~~Tarasick et al. (2002, 2016) found a negative bias of about 20 % for ozone from Canadian Brewer-Mast~~  
68 ~~soundings prior to 1980.~~ European Brewer-Mast stations, ~~however,~~ have generally used a much more extensive  
69 preparation procedure for their sondes (Claude et al. 1987), and no significant tropospheric bias has been  
70 reported for their routine Brewer-Mast soundings (de Backer et al. 1998; Stübi et al. 2008; Logan et al., 2012), as  
71 well as in chamber experiments (Smit et al., 2014).

72 Routine measurements with ozone sondes yield time series free of a fair-weather sampling bias. However, the  
73 balloon ascents take place at intervals of several days. Ozone profiles at short intervals (less than one minute to  
74 several minutes) can be provided by lidar sounding, but are limited to clear atmospheric conditions. Lidar  
75 measurements can generate altitude-time curtain plots and, thus, give much better insight into the impact of  
76 atmospheric transport (e.g., Browell et al., 1987; Ancellet et al., 1991; Langford et al., 1996).

77 At IFU (Fraunhofer-Institut für Atmosphärische Umweltforschung; now: Karlsruher Institut für Technologie,  
78 IMK-IFU) in Garmisch-Partenkirchen (Germany), a differential-absorption lidar (DIAL) with a particularly wide  
79 operating range from next to the ground up to the upper troposphere was completed in 1990 in the framework of  
80 the TESLAS (Tropospheric Environmental Studies by Laser Sounding) subproject of EUROTRAC (TESLAS,  
81 1997; EUROTRAC, 1997, Kempfer et al., 1994). Subsequently, the system was applied for a full year (1991)  
82 within the TOR (Tropospheric Ozone Research; Kley et al., 1997) subproject of EUROTRAC (Carnuth et al.,

83 2002). The operating range of this system was extended upwards to roughly 15 km in 1994 by introducing three-  
84 wavelength operation (Eisele et al., 1999). Due to its design, the IFU ozone DIAL features particularly low  
85 uncertainties (Trickl et al., 2020a).

86 Until 2003 the system was used for individual research projects. Between 2007 and 2018 routine measurements  
87 took place, parallel to lidar measurements of water vapour (Vogelmann and Trickl, 2008) and aerosol (Trickl et  
88 al., 2020b). The complementary information from these instruments has made possible a large number of  
89 investigations related to atmospheric transport. The IFU ozone DIAL was recently fully described by Trickl et al.  
90 (2020a).

91 The distance between MOHp and IFU is just 38 km which offers a good chance for comparisons. However, such  
92 a comparison must be made with care since the atmospheric variability is high on a rather small temporal and  
93 spatial scale (Vogelmann et al., 2011; 2015), mostly caused by the advection of air masses from rather different  
94 source region and altitudes, with different concentrations (e.g., Stohl and Trickl, 1999; Trickl et al., 2003; Trickl  
95 et al., 2011). The variability of the vertical distribution of ozone measurements rarely yields very strong  
96 concentration changes, but the concentration changes are extreme for water vapour. Our lidar measurements of  
97 water vapour exhibit a concentration span of more than two decades, with minima of the relative humidity (RH)  
98 clearly below 1 % in layers descending from the stratosphere (Trickl et al., 2014; 2015; 2016; Klanner et al.,  
99 2021).

100 Comparisons between the MOHp sonde and the IFU ozone lidar were made in the second half of the 1990s and  
101 in 2001, after the first upgrading of the lidar. A few of these comparisons in 1996 and 1997 were published by  
102 Eisele et al. (1997; 1999). For the six cases with supposedly sufficient air-mass matching a principal agreement  
103 in the ~~middle and upper free~~ troposphere to within 5 ~~ppb-%~~ prevailed with occasional departures of the order of  
104 10 ~~ppb-%~~. ~~Several unpublished comparisons in 2001 showed principal agreement, but also some structural issues~~  
105 ~~due to focussing on stratospheric air intrusions with the STACCATO project (Stohl et al., 2003).~~

106 Afterwards just routine comparisons with the nearby summit stations were made. Until 2010 the lidar results  
107 were compared with the long-term measurements at Wank and Zugspitze. Apart from occasional orographically  
108 induced deviations an agreement mostly to within  $\pm 2$  ppb was found. After these in-situ measurements ~~were~~  
109 ~~terminated~~ (2011) the lidar measurements were compared with the ozone measurements at ~~the~~  
110 ~~Schneefernerhaus high-altitude station (Umweltforschungsstation Schneefernerhaus, UFS, 2671 m a.s.l.). UFS is~~  
111 ~~located just below the Zugspitze summit, UFS.~~ Mostly a similar agreement was found.

112 However, the need for a validation of the lidar also at higher altitudes has been obvious. Such an effort became  
113 more and more attractive with the growing technical performance of the system. In addition, hints on ozone  
114 differences between the Zugspitze (2962 m a.s.l.) in-situ data and the MOHp values (H. E. Scheel, personal  
115 communication around 2010) for 3 km a.s.l. have led to a revived interest in a thorough comparison. There have  
116 been speculations about an influence of a different air composition outside the mountains at low altitudes up to a  
117 few kilometres.

118 In this paper we first characterize the lidar ~~performance~~ by side-by-side ascents of ozone-sondes by a team of the  
119 Forschungszentrum Jülich (FZJ). ~~This effort, also based on the measurements at UFS, demonstrates a high~~  
120 ~~performance of the DIAL within the entire free troposphere, at least under winter-time conditions.~~ Then, ~~based~~  
121 ~~on this performance,~~ we give a statistical assessment for the measurements at IFU and MOHp for ~~the year~~ 2018.  
122 For this year we achieved the best coverage by DIAL measurements. This allows us to make an air-mass related  
123 data selection to improve the comparison. ~~After the shutdown of the IFU summit stations in 2012, comparisons~~  
124 ~~have been made exclusively with the Global Atmosphere Watch (GAW) routine in-situ measurements at the~~

125 ~~Schneefernerhaus high-altitude station (Umweltforschungsstation Schneefernerhaus, UFS, 2671 m a.s.l.). UFS is~~  
126 ~~located just below the Zugspitze summit.~~ Finally, we also compare lidar and MOHp sonde for two earlier  
127 development phases of the lidar, for which ozone reference data at the local summit stations Wank (1780 m  
128 a.s.l.) and Zugspitze exist.

## 129 2. Methods

### 130 2.1 Brewer-Mast sonde system at Hohenpeißenberg

131 MOHp (975 m a.s.l., 47.80 N, 11.00 E) is located on an isolated mountain outside the Alps, 38 km to the north of  
132 IMK-IFU and 50 km to the south-west of Munich. Brewer-Mast ozone sondes have been launched on a regular  
133 basis since November 1966. The sondes undergo a rigorous preparation procedure (Claude et al. 1987), which  
134 has remained essentially unchanged since the early 1970s. From 1995 to 2005, Vaisala RS80 radiosondes and a  
135 Vaisala PC-CORA ground station have been used in combination with the ozone sondes. This was changed to  
136 Vaisala RS92 radiosondes and DigiCora III MW31 ground equipment in 2005, to MW41 ground station in 2018,  
137 and to Vaisala RS41 radiosondes in 2019. The standard processing does not subtract a background current, but  
138 ozone sondes with non-negligible background current on the ground (corresponding to more than 2.5 ppb ozone  
139 and more) are not flown. The background of most sondes launched is well below this threshold. The pPump  
140 temperature is assumed to be constant at 300 K, which compensates to some degree for a too weak pump  
141 correction in the stratosphere (Steinbrecht et al., 1998). The time lag is comparable to that of ECC sondes (about  
142 20 s; see Vömel et al., 2020). A time-lag correction is not applied, but this is not critical outside regions with  
143 steep ozone gradients since the corresponding vertical shift is just of the order of 0.1 km. Each ozone profile is  
144 adjusted by multiplication with an altitude-independent correction factor (typically around 1.08, standard  
145 deviation 5 %), so that the total ozone column estimated from the sounding (including an extrapolation above  
146 approximately 30 km) matches the more accurate total ozone measurement from on-site Dobson or Brewer  
147 spectrometers, or from satellite instruments. This so-called “Dobson correction” generally improves that  
148 accuracy of the ozone sounding data in the stratosphere, but may introduce a small bias in the tropospheric data  
149 of some soundings (e.g., Stübi et al., 2008; Logan et al. 2012).

150 The MOHp ozone-sonde and radiosonde data are stored in the data base of the Network for the Detection of  
151 Atmospheric composition change (NDACC), from where they were imported for the study presented here.

### 152 2.2 ECC sonde system of the Forschungszentrum Jülich (FZJ)

153 A mobile balloon-borne sonde system of FZJ was operated at IMK-IFU (at 730 m a.s.l.), in close vicinity to the  
154 ozone DIAL (35 m), during the FIRMOS (Far Infrared Radiation Mobile Observation System) measurement  
155 campaign (Klanner et al., 2020; Palchetti et al., 2021; Di Natale, 2021; Belotti et al., 2023). Several balloons  
156 with cryogenic frostpoint hygrometers (CFH; Vömel et al., 2007; 2016), standard Vaisala RS-41-SGP  
157 radiosondes (Vaisala et al., 2019), En-Sci ECC ozone sondes (Komhyr et al., 1995; Smit et al., 2007) and  
158 COBALD backscatter sondes (Brabec, 2011) were launched. The data were transmitted to a ground station  
159 installed for this campaign at the Zugspitze summit. The combined balloon payload is well tested and regularly  
160 also used by the GCOS Reference Upper Air Network (GRUAN) (e.g., Dirksen et al., 2014).

161 We followed the standard operating procedures (SOP) of Smit et al. (2014) for the sonde preparation using a  
162 solution composition of 1 % and 1/10 (one-tenth) buffer for best results with sondes from the manufacturer En-  
163 Sci (Thompson et al., 2019).

164 For the analysis of the ECC data, the methods described by Vömel et al. (2020) are used, i.e., time lag correction  
165 and background current correction. The overall uncertainty of the ozone measurements of the ECC sondes is 5%.  
166 Due to the obstruction of the line of sight between launch site at IMK-IFU and the ground station at the  
167 summit by the Waxenstein mountain allowed data recording only from approximately 1500 m altitude upwards.  
168 Therefore, we used the estimated ECC background current from the sonde preparation one day before a flight as  
169 starting value for the background correction instead of the actual measured profile from ground up to 1500 m.  
170 This results in an additional uncertainty in the lower part of the profile (2 to 3 km a.s.l.).

#### 171 **2.4 IFU ozone DIAL system**

172 The ozone DIAL of IMK-IFU (Garmisch-Partenkirchen), located at 47.477 N, 11.064 E, and 740 m a.s.l., has  
173 been developed and optimized since 1988 (Kempfer et al., 1994; Trickl et al., 2020a). It is based on a krypton  
174 fluoride excimer laser, operated at 400 mJ per pulse (40 W) of narrowband radiation at 248.5 nm, two  
175 Newtonian receiving telescopes (diameter of the primary mirrors: 0.13 m and 0.5 m) and 1.1-m grating  
176 spectrographs for wavelength separation. Efficient stimulated Raman shifting in hydrogen and deuterium yields  
177 emission at the three operating wavelengths 277.2 nm, 291.8 nm and 313.2 nm. The shorter-wave spectral  
178 components are absorbed by ozone (“on” wavelengths), that at 313.2 nm (“off” or reference wavelength) is  
179 almost outside the absorption region of O<sub>3</sub>. The laser system is operated with a repetition rate of 99 Hz which  
180 allows a short data-acquisition time of just 41 s for the maximum number of 4096 laser shots accepted by the 24-  
181 bit memory of the electronics. More shots are advisable under noisy daytime conditions in summer, but a longer  
182 acquisition was prevented by laser issues.

183 The data evaluation is based on differentiating the backscatter signals, which is highly sensitive to the noise and  
184 imperfections of the raw data (stored in 7.5-m bins). Therefore, the generated ozone profiles are smoothed with a  
185 numerical filter. The noise fraction in the strongly decreasing backscatter signal grows with altitude. Thus, the  
186 smoothing interval must be dynamically enhanced towards the tropopause (yielding a vertical resolution 0.05 to  
187 0.5 km). The entire procedure is described in detail by Trickl et al. (2020a).

188 The shortwave 277.2-nm emission yields particularly accurate measurements, but the strong extinction of this  
189 radiation by ozone limits the range to about 8 km. The performance in the two 277.2-nm channels is robust with  
190 respect to minor misalignment, with uncertainties of about 2 to 4 ppb up to 5 km (the estimated uncertainties are  
191 listed in Table 4 of Trickl et al. (2020a)). This is not the case for 291.8 nm where the optical alignment must be  
192 controlled with care because of less tight focussing into the entrance slit of the far-field spectrograph. In  
193 addition, the 291.2-nm backscatter signal is three times noisier than that for 277.2-nm which necessitates  
194 stronger smoothing of the retrieved ozone profiles (Trickl et al., 2020a) For 5 to 8 km we specify uncertainties of  
195 3 to 7 ppb. The noise of the 313.2-nm signal becomes important at large distances. As a consequence, the  
196 uncertainty of the ozone mixing ratio can become rather high in the upper troposphere and the tropopause  
197 region, in particular in summer due to the stronger loss of signal caused by the higher levels of ozone.  
198 Sometimes the uncertainty just below the tropopause can even exceed 10 ppb.

199 The DIAL data processing is made for different wavelength combinations (Eisele and Trickl, 2005). By  
200 comparing the resulting ozone profiles an internal quality control can be achieved. The optical alignment is  
201 optimized immediately after detecting an ozone mismatch in the first quicklook data evaluation. Just the laser  
202 beam overlap of the different wavelength components (Trickl et al., 2020a) and the beam pointing must be  
203 optimized.

204 The calibration of the ozone lidar measurements has been based from the very beginning (1991) on the accurate  
205 temperature-dependent ozone absorption cross sections of the University of Reims (Daumont et al., 1992;  
206 Malicet et al., 1995). These cross sections were verified for four wavelengths below 300 nm by Viallon et al.  
207 (2015) to within  $\pm 0.06\%$ . In the presence of aerosol an aerosol correction is made with the algorithms of Eisele  
208 and Trickl (2005). This correction is rather robust for the wavelength pair 277 nm - 292 nm because of the strong  
209 absorption at the short “on” wavelength and the moderate wavelength difference (Völger et al., 1996).  
210 Meteorological data for calculating density and temperature profiles are taken from the Munich radiosonde  
211 (station 10868). The retrieved 313-nm aerosol backscatter coefficients have been routinely stored in the data  
212 base of the European Aerosol Lidar Network (EARLINET) since 2007.  
213 After repeated system upgrading the final performance of the lidar was reached in late 2012. In the absence of  
214 aerosol the far-field ozone could be evaluated with high reliability from the 291.9-nm signal alone, after  
215 precisely modelling the air number density from radiosonde data (Trickl et al., 2020). In this way the [influence](#)  
216 [of the](#) daytime noise caused by the high solar background in the 313-nm reference profiles in summer could  
217 frequently be avoided.  
218 During the final decade of the lidar operation a fitting procedure was applied in noisy situations in the upper  
219 troposphere (i.e., under high-ozone conditions in summer). This procedure reduces unrealistic curvature of ozone  
220 structures caused by enhanced data smoothing, and, thus, abrupt concentration changes (in particular at the  
221 tropopause) visible in the raw data are reproduced in the mixing ratio.  
222 From 1991 to 2003 the DIAL was operated for focussed research projects. Routine measurements took place  
223 from 2007 to 2018, until 2015 parallel to measurements with a water-vapour DIAL (Trickl et al., 2014, 2015,  
224 2016, 2020b). In 2012 the highest data quality was finally reached, which included significant improvements for  
225 the near-field telescope (Trickl et al., 2020a). Thus, the conditions for a meaningful system validation were  
226 obtained. The operation was discontinued in February 2019, after the retirement of the first author of this paper.

## 227 **2.5 High-elevation surface observations**

228 Quality-assured ozone measurements at the summit stations Wank (1780 m a.s.l., 7.0 km to the north-east of  
229 IMK-IFU, 47.511° N, 11.141° E) and Zugspitze (2962 m a.s.l., 8.4 km to the south-west of IMK-IFU, 47.421° N,  
230 10.986° E) took place from 1978 to 2012. Since the 1990s, two or three TE 49 ozone analysers (Thermo  
231 Environmental Instruments, USA) were operated simultaneously at each station. These instruments are based on  
232 ultraviolet (UV) absorption at 253.65 nm. Several comparisons using transfer standards ( $O_3$  calibrators TE 49  
233 PS) were made with the World Meteorological Organization (WMO) Global Atmosphere Watch (GAW)  
234 reference instrument kept at the WMO/GAW calibration centre operated by EMPA, Switzerland (Klausen et al.,  
235 2003). The most recent comparison was conducted in June 2006 and confirmed that the Zugspitze  $O_3$  data are on  
236 the GAW scale.  
237 Apart from the two mountain stations measurements were performed also at IFU at about 740 m a.s.l. (47.477°  
238 N, 11.064° E). This laboratory was adjacent to that of the ozone DIAL.  
239 At UFS (0.70 km to the south-east of Zugspitze, 47.417°, 10.980° E) ozone has been continuously measured  
240 since 2002 by a team of the German Environment Agency (Umweltbundesamt, UBA) using TEI 49i instruments  
241 (Thermo Electron Corporation). The gas inlet is at 2671 m a.s.l. For weekly and monthly calibration of the ozone  
242 measurements a TEI 49C-PS station ozone calibrator was applied. This primary standard was annually adjusted  
243 to the German ozone standard operated by UBA (UBA 204 SRP#29) that was adjusted via BIPM (Bureau  
244 International des Poids et Mesures) in Paris to the NIST ozone reference standard of GAW. The measurements

245 were supported by a second instrument (Horiba APOA-370) which is equivalent to the TEI-49i. GAW  
246 performance audits at the station for surface ozone took place in 2001, 2006, 2011 and 2020 (Zellweger et al.,  
247 2001; 2006; 2011; 2020).

248 The uncertainty of the in-situ ozone measurements is  $\pm 0.5$  ppb with respect to the WMO standard (Hearn et al.,  
249 1961). This fulfills the GAW requirement.

250 The ozone data for all sites are stored at half-hour intervals. The times are specified for the end of the averaging  
251 interval in Central European Time (CET, = UTC + 1 h). 1-h averages for the Zugspitze stations were made  
252 available to the World Data Center and the TOAR data base (Schultz et al., 2017). In the present study we use  
253 data at half-hour time resolution. The ozone series at the two Zugspitze sites have been discussed on two recent  
254 scientific studies (1970 to 2020; Parrish et al., 2019; Trickl et al., 2023).

## 255 2.6 LAGRANTO Trajectories

256 Fifteen-day backward trajectories were calculated with the Lagrangian Analysis tool (LAGRANTO; Sprenger  
257 and Wernli, 2015; Wernli and Davies 1997). The driving wind fields are obtained from the ERA5 reanalysis  
258 dataset (Hersbach et al., 2020), which we interpolated to a  $0.5^\circ$  latitude/longitude grid, and on 137 vertical hybrid  
259 levels. The input ERA5 data are available at a one-hour temporal resolution; the output positions of the  
260 trajectories are written at 15-min time interval to allow for a more refined analysis. The start coordinates of the  
261 backward trajectories are  $11.064^\circ$  E,  $47.477^\circ$  N, and the start altitudes match the altitudes of interest in the  
262 soundings (see Sect. 4). The start times of the trajectories correspond to the sounding times within five minutes.  
263 Finally, the start times are also shifted by several hours relative to the sounding time to assess the sensitivity of  
264 the trajectory calculation on time.

## 265 3. Results

266 The main problem in comparing vertical-sounding instruments is illustrated in Fig. 1 which shows several ozone  
267 measurements at Garmisch-Partenkirchen and Hohenpeißenberg in the morning of 2 October 2017. The vertical  
268 distributions during that period are characterized by a descending stratospheric intrusion layer (~~see-indicated by~~  
269 low relative humidity) of rapidly diminishing width and significant changes at all altitudes on a short time scale.  
270 This reveals a considerable spatial inhomogeneity of the air mass. The approximate agreement of lidar and  
271 Hohenpeißenberg ozone sonde before 6:00 CET is, thus, ~~to some extent fortuitous~~ although a good matching of  
272 the peak ozone mixing ratio in intrusion layers at both sites is quite frequently found. Different air masses ~~must~~  
273 ~~be-assumed~~ at different altitudes must be assumed as indicated by matching of the sonde ozone with lidar  
274 measurements at different times. The spatial and temporal requirements for comparisons can be even of the order  
275 of 1 km and 15 min at times (see Introduction).

### 276 3.1 Comparisons of the IFU ozone lidar and the Jülich ECC sonde

277 An optimum lidar validation became possible in early 2019. On 5 and 6 February 2019 a side-by-side instrument  
278 comparison took place at Garmisch-Partenkirchen as a contribution to the FIRMOS validation project of the  
279 European Space Agency. Two of the three balloons launched on 5 February were equipped with ozone sondes,  
280 while both balloons on 6 February carried an ozone sonde. The ascents took place during night-time because of  
281 comparisons of the CFH sondes with the water-vapour channel of the UFS Raman lidar that provides humidity  
282 profiles up to at least 20 km (Klanner et al. 2021).

283 The first night of the campaign was clear. The conditions for the comparison were excellent: the sondes rose  
284 almost vertically up to 8.5 km and then slowly drifted to the south-east (Innsbruck), ideal for the tropospheric  
285 comparison. The balloons stayed within 20 km distance from IMK-IFU up to the tropopause (12.8 km a.s.l.) and  
286 remained within 30 km up to 20 km a.s.l. The launch times of the balloons on 5 February were 18:03 CET  
287 (ascent to 16.147 km), 19:03 CET (29.475 km), and 23:00 CET (29.469 km). During the second night a cirrus  
288 layer occurred in the upper troposphere which resulted in enhanced uncertainties of the DIAL data evaluation.  
289 In Fig. 2 we present the results of the four comparisons made. ~~The measurements of lidar, ECC sonde and in-situ~~  
290 ~~sensor at UFS on 5 and 6 February are in outstanding agreement~~ The agreement between UFS and lidar is almost  
291 perfect, as known from the routine comparisons with the elevated sites between 2007 and 2018 and a number of  
292 separate comparisons (Trickl et al., 2020a). For the the first three sonde ozone profiles, very small, almost  
293 altitude-independent offsets exist (0.5 to 3.4 ppb). For the fourth sonde ascent at 23:33 CET on 6 February no  
294 simultaneous lidar measurement was made. Up to 4.8 km a pronounced positive offset of the sonde ozone profile  
295 with respect to the two earlier lidar measurement (at 18:33 and 19:00 CET) is seen, but the deviation at 2.67 km  
296 is just 2 ppb if one takes the 23:30-CET measurement at UFS as the reference, provided that a correction of a  
297 small altitude-independent positive offset (not shown) is applied to the (uncalibrated) sonde ozone. We are  
298 highly content that the ~~agreement stays exceptional~~ difference in ozone between sonde and lidar even does not  
299 significantly change in the upper troposphere considering the low differential absorption for the wavelength pair  
300 292 nm – 313 nm typically used above 6 km that implies a high sensitivity to potential technical imperfection.  
301 In addition, we show in Fig. 2 the results of three humidity measurements with the UFS Raman lidar slightly  
302 revised with respect to Klanner et al. (2021). For comparison, we added the water-vapour mixing ratios (MRs)  
303 for the corresponding CFH sonde ascents of FZJ. The MRs indicate a high variability of the air composition on  
304 both days, up to 7 km, with several rapidly changing dry layers. The variability grows with time, as can also be  
305 concluded from the differences of Raman lidar and CFH sonde, caused by the 1-h measurement duration of the  
306 lidar needed for good stratospheric data quality. Although the vertical concentration change is much less  
307 pronounced in the ozone profiles, it is obvious that a good air-mass matching by the side-by-side ozone  
308 soundings at IMK-IFU is crucial for the quality of the comparison achieved.  
309 As mentioned, oOn 6 February the quality of the lidar retrievals was deteriorated above 9 km by a layer of cirrus  
310 clouds ~~above 9 km~~, which required an aerosol correction. ~~An~~ The increased level of ozone in this layer is  
311 remarkable, but is verified by the sonde. By contrast, Reichardt et al. (1996) reported full ozone depletion in a  
312 cirrus layer that we traced back to the surface of the Pacific Ocean where ozone destruction can be assumed to  
313 prevail (Kley et al., 1996). The fourth comparison shows less perfect agreement because the lidar measurements  
314 ended at 19:00 CET, hours before the last sonde ascent. This was the final measurement of the DIAL before its  
315 operation was terminated after almost three decades.  
316 Ozone profiles are also available for the descent of the balloons. The descents took place over Northern Italy and  
317 intersected different air masses. As a consequence, strong discrepancies are seen, and we do not include these  
318 data.  
319 From the comparison of the vertical soundings with the in-situ measurements at UFS we conclude that the ozone  
320 profiles of the lidar are slightly more quantitative than those of the sonde. The differences are rather constant as a  
321 function of the altitude. This allows us to derive uncertainties of the ozone from the DIAL measurements after  
322 subtracting the offsets of the individual sonde ascents. For quantifying the quality of the lidar measurements we  
323 took just the first three comparisons. ~~In order to evaluate the agreement of the vertical profiles of the two~~  
324 ~~systems in structure we determined the average sonde offsets up to about 6 km (i.e., in the range of the best lidar~~



325 performance), yielding values between +0.53 and +3.4 ppb. These offsets were first subtracted from the sonde  
326 ozone profiles. Then, the differences between the corrected sonde and the lidar data were formed at intervals of  
327 52.5 m, for the first comparison on 6 February just up to 8.7 km. Finally, we averaged these offset-corrected  
328 differences (Fig. 3; altitude grid 52.5 m). The differences averages up to 9.2 km stay within  $\pm 2.5$  ppb (about  $\pm 5$   
329 %). This is approximately matches the performance of the lidar at the station altitudes and now characterizes the  
330 winter-time specifications of the lidar also in the entire free troposphere after 2011. This result justifies to use the  
331 lidar as a quality standard in the comparisons with the MOHp Brewer-Mast sondes described in the following  
332 section.

333 The quality of the comparison shown in this section benefits from low to moderate ozone densities during the  
334 cold season, which ensures limited absorption of the laser radiation within the troposphere. In Sect. 3.2 we assess  
335 the performance for all seasons.

### 336 3.2 Comparison of MOHp ozone soundings with IFU lidar and in-situ measurements for 2018

337 The routine measurements with the IFU ozone DIAL since 2007 exhibit rather different annual coverages, with  
338 gaps due to system damage or upgrading periods. Starting in late 2012 the final technical performance was  
339 reached. Retrieval strategies have been further improved. The best coverage of a single year was achieved in  
340 2018 with a total of 587 measurements and 16 (March) to 79 (September) measurements per month. Therefore,  
341 we use this year for a thorough comparison with the MOHp ozone sonde. Because of the excellent performance  
342 of the lidar verified in Sect. 3.1 we use the lidar as the reference in this comparison, together with the ozone  
343 mixing ratios from UFS.

344 The sonde ascents at MOHp usually take place around 6:00 CET on Monday, Wednesday and Friday, in summer  
345 just on Monday and Wednesday. We found a total of 46 of these days on which early-morning lidar  
346 measurements exist, not later than around 10:00 CET. On 36 of these days MOHp soundings are available.  
347 Thirteen of the days provided particularly good conditions with favourable temporal proximity. In the figures  
348 shown in this paper we eliminated ozone profiles for times later than 10:00 CET during a given day.

349 Similar to the comparisons of lidar and ECC sondes the comparisons of the lidar with the MOHp Brewer-Mast  
350 sondes reveal altitude-independent offsets of the mixing ratios. The sonde-to-sonde variations of the offsets are  
351 larger than those of the ECC sondes, consistent with the considerable uncertainties of the Brewer-Mast sondes  
352 specified by the literature (see Introduction). There is clearly an influence of layers with different ozone  
353 concentrations at both sites, but also good agreement in wide altitude ranges up to the upper troposphere after  
354 subtracting the offset. Because of this agreement it is hard to believe that the offsets are caused by systematic  
355 atmospheric differences. It is more reasonable to assume an instrumental issue as an explanation of these shifts.  
356 Furthermore, the frequently good matching of the high peak ozone in some of the stratospheric intrusion layers  
357 demonstrate the absence of concentration-dependent artefacts.

358

#### 359 *Winter*

360 During the cold parts of the year the comparisons between the MOHp sondes and the lidar usually exhibit better  
361 quality. This is explained by less structure in the ozone vertical distributions and a wider operating range of the  
362 lidar due to the low ozone level allowing for a higher, less noisy far-field signal. ~~This was already demonstrated~~  
363 ~~in the previous section~~ We found just one example with some deviating structures of the order of  $\pm 10$  ppb (10  
364 January 2018). For the 2018 comparison we give one example in Fig. 4 (15 January). The lidar mixing ratio is of

365 the order of 45 ppb, verified by the measurements at UFS (2660 m a.s.l.). ~~The Brewer Mast ozone sonde shows a~~  
366 ~~negative bias of 5.8 ppb relative to the lidar above 2.1 km. There is an obvious constant offset of the sonde~~  
367 ~~mixing ratio with respect to the lidar ozone profile. After adding 5.8 ppb the sonde results removing this bias~~  
368 (cyan curve) ~~the sonde ozone matches~~ the lidar ~~and the UFS~~ values well for altitudes above 2.1 km. ~~This~~  
369 ~~performance almost reaches that in the examples of Sect. 3.1.~~ Just below the tropopause there is a minor  
370 discrepancy that could be either due to the higher uncertainty of the lidar measurement at these altitudes or ~~an~~  
371 air-mass differences.  
372 ~~For the other 2018 winter-time comparisons the constant offsets of the sondes with respect to the lidar and UFS~~  
373 ~~are just +1.5 ppb to -3.0 ppb.~~

### 374 *Summer*

375 During the warm season the ozone distribution in the middle and upper troposphere shows structured maxima  
376 caused by long-range transport, in particular STT (stratosphere-to-tropopause transport) layers (Trickl et al.,  
377 2020b). In this altitude range a summer maximum of STT exists. Usually, these structures do not perfectly match  
378 for both sites. An example for 9 July 2018 is shown in Fig. 5.

379 Figure 5 shows good agreement in structure between the soundings at both sites up to 9 km. Again, despite the  
380 pronounced ozone layering, the agreement was improved on the absolute scale by adding an altitude-independent  
381 correction to the sonde values (6 ppb). ~~Thus, this approach was applied throughout our study.~~ The offset is  
382 usually determined up to 6 km due to the reliable performance of the 277-nm-313-nm [DIAL](#) measurements, but  
383 the agreement is mostly reasonable also to higher altitudes. After shifting the sonde mixing ratio we can estimate  
384 the uncertainty of the lidar measurements.

385 The elevated ozone [in Fig. 5](#) between 3.3 km and 4.7 km can be explained by a stratospheric air intrusion, as is  
386 verified by the low RH. In the upper troposphere the agreement deteriorates, but at least the increase of ozone  
387 with altitude is seen in all profiles up to about 12 km. The ozone minimum around 13 km is just seen in the lidar  
388 data, with just a small ozone dip in the sonde profile. It is unreasonable to ascribe this considerable discrepancy  
389 to a temporary technical problem in such a limited altitude range. This example documents the difficulty of  
390 quantitative comparisons of tropospheric ozone even on a horizontal scale of just 38 km.

391 In order to clarify the origin of the difference of the ozone mixing ratio in the upper troposphere we calculated  
392 backward trajectories with the HYSPLIT model (<http://ready.arl.noaa.gov/HYSPLIT.php>; Draxler and Hess,  
393 1998; Stein et al., 2015). These trajectories reveal northerly advection which implies a southward drift of the  
394 sonde towards the lidar during the ascent. In the upper troposphere they did not fully explain the observations  
395 within the limited maximum backward time span of 315 h ~~for the few start altitudes selected. This includes~~  
396 ~~“ensemble” trajectory bundles that visualize a wider range of source regions.~~

397 Therefore, the trajectory calculations were extended to 350 h by using the LAGRANTO model for full-hour start  
398 times between 3:00 CET and 8:00 CET, initiated [at a large number of altitudes](#) in the low-ozone range in the  
399 upper troposphere. Results for start times of 7:00 CET and 8:00 CET are shown in Figs. 6 and 7. Up to a start  
400 time of 4:00 CET the trajectories stayed almost completely at high altitudes. At 5:00 CET three of the  
401 trajectories ended in the lower troposphere above the subtropical Pacific near a longitude of 180°, first sign of an  
402 air-mass change. Later (Figs. 6 and 7) we see a clear influence of a Pacific source.

403 The low ozone level in the boundary layer above (sub)tropical oceans is well known (Eisele et al., 1999; Grant et  
404 al., 2000; Trickl et al., 2003; 2010), in particular over the Pacific (Kley et al., 1996; Davies et al., 1998). In this  
405 way, the lidar observations on 9 July 2018 can be understood. The launch time of the MOHp ozone sonde, 5:42

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406 CET, is between the two lidar measurements. However, a delay is caused during the ascent which makes a  
407 quantitative understanding difficult.

408 The moderate sonde RH above 12.3 km indicates a potential admixture of aged stratospheric air in this altitude  
409 range above MOHp, which would explain the high ozone mixing ratios of more than 120 ppb.

410 Figures 5 and 8 show a rather constant negative ozone offset of the sonde profiles. The ozone profiles can be  
411 brought into much better agreement with the lidar and UFS by upward shifts ~~by~~-of 6 ppb and 10 ppb,  
412 respectively. In Fig. 9 one sees one of the very rare cases of an ozone mismatch between sonde and lidar up to  
413 elevations clearly above the mountain sites (1 km above the Zugspitze summit). We did not shift the MOHp  
414 profile (e.g., by 3 ppb) to reduce the mismatch since this would ~~reduce-deteriorate~~ the ~~good~~-agreement above 4  
415 km.

#### 416 *Offsets*

417 The offsets of the MOHp data from the DIAL profiles were evaluated for all 36 comparison days. The result of  
418 the statistical assessment is displayed in Fig. 10 where also the differences between the lidar results for 2671 m  
419 a.s.l. and the GAW measurements at UFS are shown. Just one case was eliminated in the comparison of lidar and  
420 UFS: A strong negative shift of -7 ppb can be seen in Fig. 5 where UFS is located in the falling edge of a high-  
421 ozone range.

422 As found for the lidar measurements over many years (examples: Trickl et al., 2014, 2015, 2016, 2020b) the  
423 lidar ozone agrees with that at UFS to within  $\pm 3$  ppb (mostly  $\pm 2$  ppb). The agreement would perhaps be better if  
424 orographic vertical displacements and air flows on the ozone profiles would be considered (Carnuth et al., 2000;  
425 2002; Yuan et al., 2019; Trickl et al., 2020a). The average difference between lidar and UFS for 2018 (blue  
426 horizontal line in Fig. 10) is  $0.736 \text{ ppb} \pm 1.46 \text{ ppb}$  (standard deviation). A positive offset had also been found for  
427 an earlier four-day comparison with the Zugspitze summit, but with even higher uncertainty (Trickl et al.,  
428 2020a). A positive offset of this size could be expected from the highly accurate cross-section measurements of  
429 Viallon et al. (2015), who determined a negative bias of 1.8 % of in-situ ozone data calibrated with the WMO  
430 standard. This relative difference becomes more important on the absolute scale in summer than in winter  
431 because of the higher ozone values. However, the statistical noise of the differences is too high to allow  
432 resolving such an effect.

433 The offsets between the MOHp sonde and the lidar, again preferentially determined in the range up to 6 km, are  
434 substantially higher than those between the lidar and UFS (red filled squares in Fig. 10). The offsets of the ozone  
435 sondes range from -12 ppb to +4 ppb, with an average of -3.77 ppb (red horizontal line in Fig. 10) and a  
436 standard deviation of 4.22 ppb.

437 We exclude the lowest altitudes from the comparison where obvious differences in ozone exist, e.g., due to local  
438 night-time ozone depletion effects. It is important to note that just in seven cases of the 36 comparisons for 2018  
439 lower ozone in the sonde profiles reached up to more than 2.67 km (UFS), in three cases to more than 3 km  
440 (Zugspitze summit). We conclude that differences between the Zugspitze sites and the MOHp sonde are mostly  
441 related to sonde calibration issues and not to differences in air-composition as suspected earlier.

#### 442 *Differences*

443 In order to determine the quality of the lidar measurements within the free troposphere we show in the three  
444 panels of Fig. 11 average differences between lidar and offset-corrected MOHp sonde data as a function of  
445 altitude and for three different ozone conditions, roughly below 50 ppb (low ozone; top panel), between 50 to 70

446 ppb (moderate ozone; second panel) and more than 70 ppb (high ozone; bottom panel), respectively. On a given  
447 day, the lidar ozone profiles agreeing best with the MOHp profile was taken. We also give the percentages of the  
448 averages with respect to the offset-corrected sonde ozone. At high altitudes the sonde ozone is a more useful  
449 reference than the lidar in the case of high ozone because of the considerable absolute uncertainty caused by the  
450 loss of laser radiation by absorption in ozone.

451 For winter-type conditions (top panel) the six examples averaged do not exhibit a significant vertical ozone  
452 structure which made the analysis straight forward and yields astonishingly small average differences between  
453  $\pm 1$  ppb and  $\pm 3$  ppb, in agreement with the conclusions in Sect. 3.1. For moderate ozone (second panel) and high  
454 ozone (bottom panel), mostly during the warm season, the vertical distributions are more complex with changes  
455 on a time scale of even less than one hour. Here, we eliminated the data for a few pronounced ozone peaks and  
456 dips that differed at both stations. The six high-ozone cases were restricted to July and August.

457 The averaged distributions of the differences exhibit oscillations. These oscillations were analysed for coherency  
458 (not shown), but no systematic behaviour was identified. Thus, we ascribe the structure to noise. The noise  
459 contains both an atmospheric and an instrumental component.

460 Beyond the days and years of the comparison there are occasionally extreme cases with 100 to 150 ppb in the  
461 middle and upper troposphere. This can lead to lidar uncertainties even up to more than 20 ppb during daytime,  
462 also because the raw signal becomes comparable with the additional solar background noise. In the severest-most  
463 severe cases the stratospheric ozone rise cannot be seen in the lidar data during daytime, and the ozone profile is  
464 cut off in the upper troposphere for archiving.

465 The analyses for 2018 do not reveal a significant bias-systematic differences between the lidar values and the  
466 offset-corrected sonde data in the entire free troposphere (based on the numbers underlying Fig. 10). This  
467 confirms the conclusion in Sect. 3.1 for the quality of the lidar, now for all seasons. The maximum noise  
468 excursions can be interpreted as maximum combined uncertainties of lidar and corrected sonde in a given  
469 altitude range (slightly overestimated due atmospheric differences in ozone between both sites). The results of  
470 this analysis confirm the estimates in Table 4 of Trickl et al. (2020a).

### 471 3.3 Comparisons of MOHp sonde, IFU lidar and in-situ measurements at summits in 2009

472 The results in Sect. 3.2 suggested to look also at a few earlier years. We select 2009 from the period of routine  
473 measurements as another year of comparison. The lidar raw data were noisier than for the period after 2012 and  
474 a tiny electronic ringing effect had to be removed mathematically. Thus, the uncertainties of the ozone profiles  
475 above 6 km are higher than after the final system upgrading in 2012, particularly in summer. As a consequence,  
476 a lidar validation is desirable at least for the upper troposphere. More importantly, in 2009 high-quality ozone  
477 data still exist for the summit stations Wank (1780 m a.s.l.) and Zugspitze (2962 m a.s.l.). These stations benefit  
478 from more frequent direct advection compared with UFS.

479 In 2009 the lidar was operated just until October which, nevertheless, allows us to make a reasonable number of  
480 comparisons with MOHp. The lidar operation was stopped afterwards since there were more and more cases of  
481 single-bit errors in channel 5 of the transient digitizer system which had to be sent for repair. These errors  
482 induced unrealistic data in the upper troposphere.

483 We identified a total of 23 days suitable for comparisons. On just eight of these days lidar measurements were  
484 made in optimum temporal proximity. We find more deviations in the profiles than for 2018. In part, this can be  
485 explained by atmospheric variability and insufficient air-mass matching. In addition, as mentioned, the raw data  
486 of the lidar are noisier and some weak ringing had to be removed. This caused elevated uncertainties above 6

487 km. Nevertheless, the data allowed us to determine offsets for the MOHp ozone profiles, after verifying the data  
488 quality of the lidar with the Zugspitze and Wank in-situ ozone.  
489 In Fig. 12 we show the results of the analysis for 2009. The difference between IFU DIAL and Zugspitze is  
490  $-0.165 \text{ ppb} \pm 1.36 \text{ ppb}$  (standard deviation), between DIAL and Wank  $+0.714 \text{ ppb} \pm 1.20 \text{ ppb}$ . The DIAL ozone  
491 below the Wank altitude is increasingly uncertain because of alignment issues of the near-field telescope.  
492 -In an earlier comparison for May 1999 (Trickl et al., 2020a) we selected a lower altitude in the DIAL data (2786  
493 m) and found better agreement with the Zugspitze data, but, still, a slight positive offset with respect to the  
494 station. This is not attempted here, although we can see the effect of orographic lifting in some examples.  
495 For 2009 the offsets between DIAL and MOHp sondes were determined primarily by between 2 and 5 km. The  
496 sonde offset obtained in this way is, again, negative on average ( $-1.500 \text{ ppb}$ ), with a standard deviation of 2.67  
497 ppb, both being are less pronounced than in 2018.  
498 Figure 13 shows a comparison on 12 January 2009, demonstrating excellent agreement between both systems  
499 after offset correction, except for the upper troposphere and lower stratosphere. In this case, the first lidar  
500 measurement took place at 9:20 CET, i.e., substantially later than the sonde ascent. Thus, the comparison has its  
501 limits. In the morning of 12 January westerly advection was revealed by HYSPLIT backward trajectories above  
502 at 7 km a.s.l. This air mass originated below 2 km over the subtropical Atlantic. This could explain the slightly  
503 lower ozone level around this altitude in the lidar results.  
504 Another interesting example is August 17 (Fig. 14). The agreement between lidar and ozone sonde is highly  
505 satisfactory up to 5.4 km and quite reasonable up to 10 km. However, between 10 km and 14.5 km the lidar  
506 ozone is extremely low, in contrast to the sonde data. The pronounced ozone increase in the sonde data above 10  
507 km is difficult to explain since the elevated RH values suggest neither a low tropopause nor the presence of a  
508 stratospheric intrusion that typically features RH values of a few per cent at most (Trickl et al., 2014; 2015;  
509 2016). On the other hand, the ozone peak above IMK-IFU descending roughly from 10 to 8 km is attributed by  
510 HYSPLIT calculations to subsiding air, indicating the presence of an intrusion layer. It is interesting that the  
511 rather short delay of the lidar measurements (7:00 CET to 9:15 CET) with respect to the sonde ascent (launch  
512 time 5:57 CET) can result in such a considerable difference.  
513 Again, 350-h LAGRANTO trajectories were calculated for start times above IMK-IFU between 3:00 CET and  
514 8:00 CET (interval: 1 h) and start altitudes within the low-ozone layer. Until 6:00 CET the influence of marine  
515 boundary layers is almost absent. Afterwards, the trajectories reveal a growing import from the first 600 m above  
516 the subtropical Atlantic Ocean. In Fig. 15 the LAGRANTO results for 8:00 CET are shown.  
517 In many-some cases the lidar seems to exhibit a negative bias with respect to the sondes in the upper troposphere.  
518 It is advisable to re-examine a major part of the data between 2007 and 2011, also including strategies developed  
519 later. For example, an exponential decay of the analogue signal was identified with the much lower noise of the  
520 final setup (Trickl et al., 2020a) which must be addressed.

### 521 3.4 Comparisons of MOHp sonde, IFU lidar and in-situ measurements summits in 2000 and 2001

522 The period September 2000 to August 2001 is suitable for another comparison when a large number of STT-  
523 related measurement series were made as a contribution to the STACCATO project (Stohl et al., 2003;  
524 examples: Trickl et al., 2003; 2010; 2011; Zanis et al., 2003). These measurements were made with the noisier  
525 detection electronics of Eisele et al. (1999), but had the advantage that single-photon counting was used for the  
526 “solar blind” “on” detection channels which added linearity above 5 km (starting in spring 1997). The counting

527 system could no longer be computer controlled after 2006. A new one was installed after highly positive results  
528 in other IFU lidar systems (Klanner et al., 2021) in autumn 2018, too late for this comparison effort.

529 The focus on STT during the STACCATO period made the comparisons a challenge because of the pronounced  
530 layering. However, on 11 of the useful 20 days of comparison there was reasonable temporal proximity, due to  
531 running long time series. The agreement between the lidar and the MOHp sonde was much better than expected  
532 in the entire free troposphere. The agreement (after offset-correcting the MOHp profiles) is almost perfect during  
533 the cold season. But also under high-ozone conditions the comparisons do not reveal systematic differences  
534 beyond the sonde offsets.

535 Two examples for elevated ozone are shown in Figs. 16 and 17. The good comparisons support our earlier work  
536 (Trickl et al., 2003, 2010), and we tend to ascribe this to the satisfactory performance of the single-photon  
537 counting system.

538 For several weeks a strange ozone rise towards the ground was observed in the lidar data below 1.5 km. This  
539 effect disappeared after realigning the near-field telescope and the normal early-morning ozone drop returned.

540 However, the offsets of the MOHp mixing ratios necessary to achieve good agreement are, again, quite  
541 substantial (Fig. 18). Due to the larger system noise during that period also the differences between lidar and the  
542 stations are higher than those in the preceding sections, and comparable with those of the mentioned four-day  
543 comparison for May 1999 (Trickl et al., 2020a). The statistical analysis yields the following average differences  
544 and standard deviations:

545 IFU DIAL – Zugspitze:	1.22 ppb ± 1.81 ppb
546 IFU DIAL – Wank	–0.15 ppb ± 2.26 ppb
547 MOHp – IFU DIAL	–5.88 ppb ± 3.35 ppb

#### 548 4. Discussion and Conclusions

549 For some time tropospheric differential-absorption ozone lidar systems had a bad reputation: The method is  
550 highly sensitive to imperfections in the signal acquisition since the ozone number density is obtained by  
551 differentiating the backscatter signals (Trickl et al., 2020a). In addition, a lidar covering the entire troposphere  
552 and the lowermost stratosphere features a dynamic range of the backscatter signal of about eight decades, which  
553 means an extreme challenge for the detection electronics.

554 Based on continual improvements, starting with the 1994 system upgrading, the IFU ozone DIAL gradually  
555 approached a high performance until 2012, but minor potential for improvements remains. Comparison with the  
556 nearby mountain stations quite early demonstrated an uncertainty level of ±3 ppb in the lower troposphere.  
557 Occasional comparisons with ozone sondes launched at the Hohenpeißenberg (1996 to 2001, distance 38 km)  
558 were rather satisfactory up to the tropopause region.

559 Here, we analyse the lidar performance in three periods during its technical development in a more  
560 comprehensive manner. The best agreement was found for the side-by-side comparison with balloon ascents of  
561 ECC ozone sondes, performed by the FZJ team at IMK-IFU in February 2019. Just a small, constant-altitude-  
562 independent offsets had to be subtracted from the sonde data to achieve agreement. The lidar itself agreed with  
563 the three local summit stations. For all three years and all stations we determined a positive bias of the lidar of  
564 just 0.6 ppb ± 0.6 ppb (standard deviation). This value seems to reflect the -1.8-% calibration deficit of the  
565 WMO calibration of the in-situ ozone data. Thus, the lidar could be even free of bias in the lower free  
566 troposphere, reflecting the high quality of the calibration source (Sect. 2.4).

567 For the more distant MOHp sonde the comparisons are more complex because of the high atmospheric  
568 variability (Vogelmann et al., 2011; 2015). This variability is particularly severe in summer when the  
569 atmospheric layering is more pronounced. Nevertheless, there was enough agreement in certain altitude ranges  
570 for examining the reliability of the ozone profiles obtained from the DIAL, also before the final modifications in  
571 2012. Between 2007 and 2011 we suspect an occasional slight negative summertime bias of the lidar of the order  
572 of 5 ppb above 6 km. This could be due to interfering structures on the 292-nm analogue signal (requiring  
573 mathematical correction) that could not be compensated by photon counting (available until 2003) and the  
574 removal of daylight-induced signal distortions at 313 nm (Trickl et al., 2020a). In principle, this calls for a re-  
575 evaluation of the ozone profiles for the wavelength pair 292 nm - 313 nm over the period 2007 to 2011, based on  
576 more recent experience in the signal inversion and the performance of the electronic equipment.

577 Vice versa, the lidar measurements helped us to validate the quality of the sonde measurements. Quite good  
578 agreement could be achieved by applying an altitude-independent offset correction to the ozone values that  
579 strongly varies from sonde to sonde. Most of the ozone differences the two sites are limited to altitudes below 2  
580 km. Thus, the differences between Zugspitze and MOHp (at 3 km) reported earlier by Scheel ~~for 3 km~~ (see  
581 Introduction) are not caused by systematic differences in air composition at both sites. As can be seen from the  
582 figures presented in this paper the shifted ozone mixing ratios for shifted-the sonde and the Zugspitze ozone  
583 mostly agrees to within  $\pm 3$  ppb. Given the frequently substantially higher ozone offsets of the MOHp sondes a  
584 recalibration of the archived sonde data based on comparisons with the Zugspitze or UFS in-situ data is  
585 advisable despite the considerable distance between the sites. Such a recalibration should be avoided in the  
586 presence of pronounced ozone structure around the station altitudes which could ~~be-accounted~~ for by elevated  
587 uncertainties.

588 The comparisons for the three years 2000-2001, 2009 and 2018 reveal just minor performance change of the  
589 MOHp sonde over the years, with a variation of the annual average offset by about  $\pm 2$  ppb. We found a negative  
590 average offset of  $-3.64$  ppb  $\pm 3.72$  ppb (standard deviation) with respect to the IFU ozone DIAL over all three  
591 years. It is reasonable to assume that this offset is applicable to the entire tropospheric time series of the MOHp  
592 sondes. This performance is within the uncertainty range of the literature cited in the Introduction.

593 Remaining tasks for the lidar are a substantial reduction of the solar background at 313.2 nm in summer and to  
594 enhance the moderate 291.8-nm backscatter signal in the upper troposphere. Further reduction of the residual  
595 solar background is difficult since the spectral filtering is already quite narrow. However, replacement of the  
596 rather aged (and partly contaminated) primary mirror of the far-field receiver could help by reducing the  
597 background radiation reflected into the detection system. As mentioned longer averaging is advisable. By longer  
598 averaging, the performance under low-aerosol conditions could almost reach that of in-situ measurements in a  
599 major part of the troposphere. Single-photon counting can also be helpful for longer averaging times, as  
600 demonstrated for our Raman lidar (Klanner et al., 2021). The noise level for counting is still lower than that of  
601 the meanwhile outstanding transient digitizers (Trickl et al., 2020a).

## 602 **5 Data availability**

603 Lidar data and information on the lidar systems can be obtained on request from the IMK-IFU authors of this  
604 paper (thomas@trickl.de, hannes.vogelmann@kit.edu). The 313-nm aerosol backscatter coefficients are archived  
605 in the EARLINET data base, accessible through the ACTRIS data portal <http://actris.nilu.no/>. The  
606 Hohenpeißenberg ozone and humidity data are stored in the NDACC data archive ([https://www-  
607 air.larc.nasa.gov/missions/ndacc/data.html#](https://www-air.larc.nasa.gov/missions/ndacc/data.html#)). The data of the FIRMOS campaign is available via the ESA

608 campaign dataset website <https://earth.esa.int/eogateway/campaigns/firmos>. The hourly Zugspitze and UFS  
609 ozone data are available at the World Data Center for Reactive Gases (WDCRG: <https://ebas.nilu.no/>) and the  
610 TOAR data base (Schultz et al., 2017).

## 611 **6 Author statement**

612 TT carried out most lidar measurements after spring 1997, following U. Kempfer and H. Eisele. He led the  
613 technical development of two ozone DIAL systems since 1990. HV was involved in the system upgrading since  
614 2007 and was responsible for the lidar operation during FIRMOS. DC and CW launched several ECC sondes at  
615 IMK-IFU in February 2019. MA and WS carried out the MOHp ozone sonde measurements. LR performed  
616 ozone in-situ measurements at UFS. MS provided LAGRANTO backward trajectories.

## 617 **7 Competing interests**

618 The authors declare that they have no conflict of interest.

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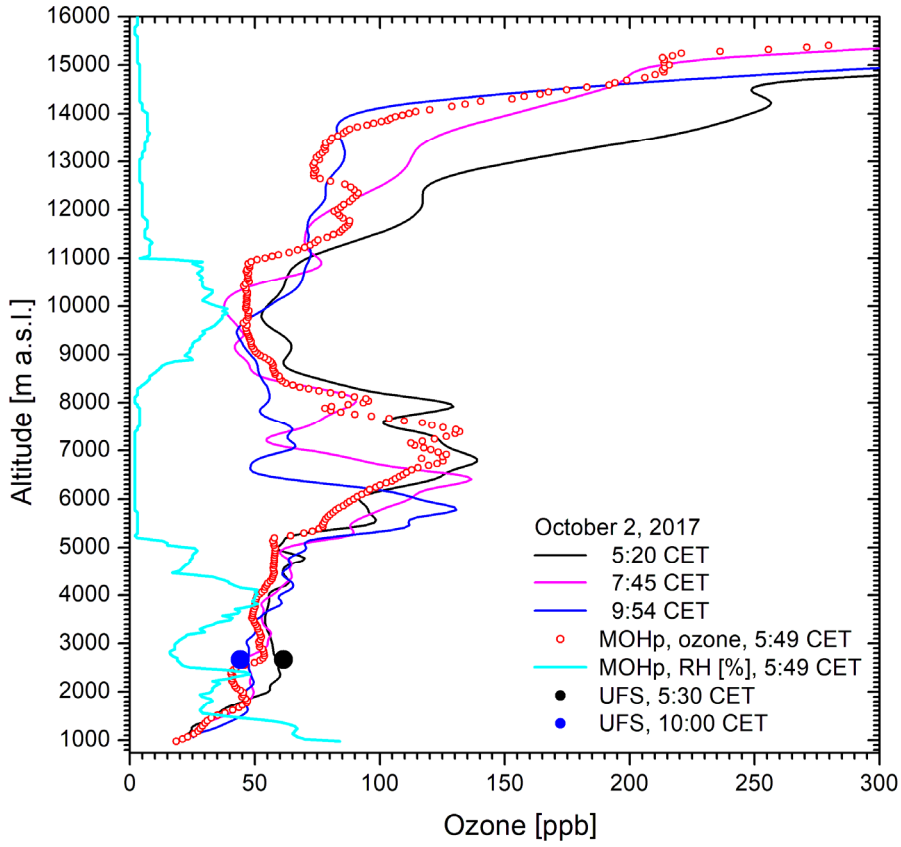
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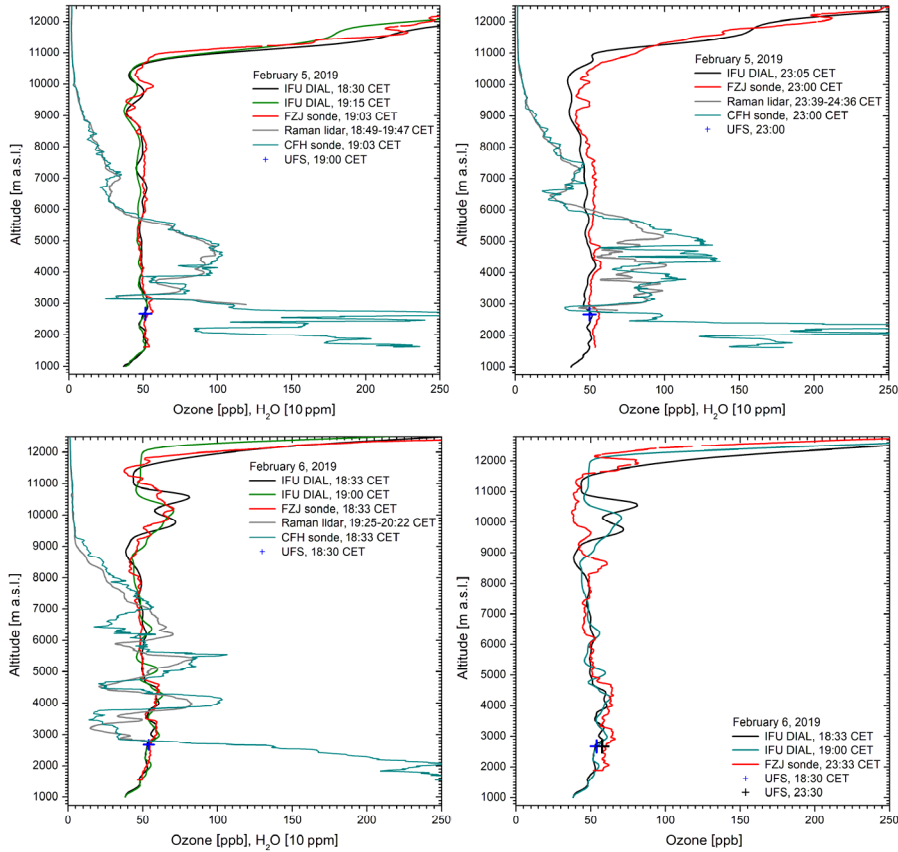
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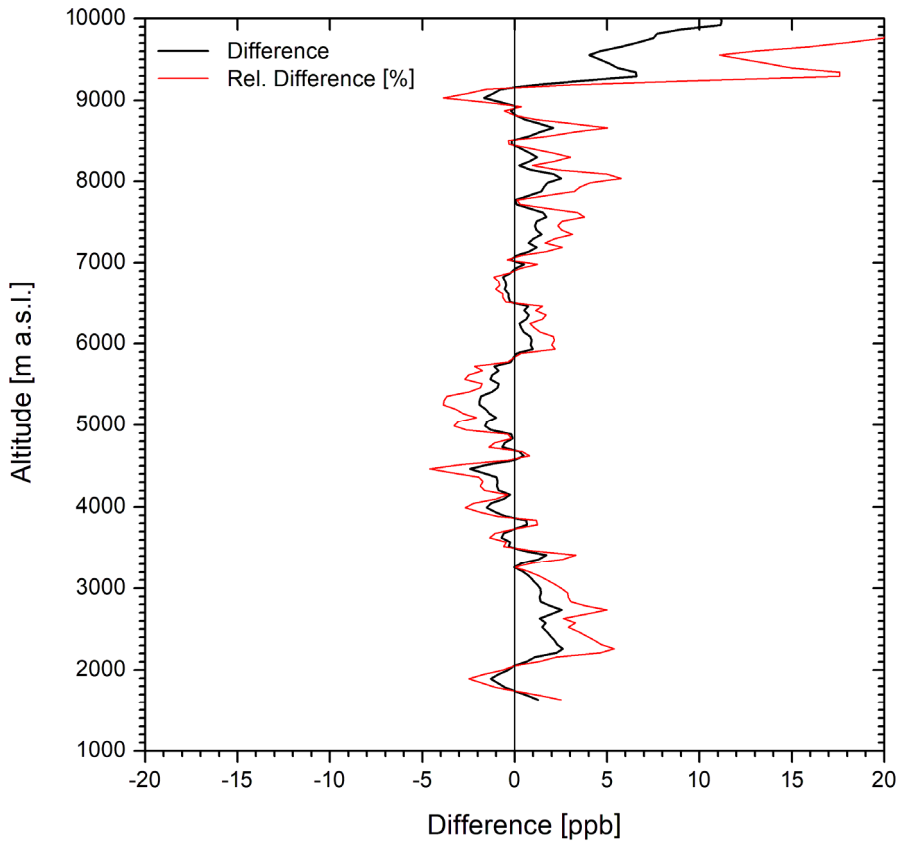
930 **Fig. 1.** Ozone measurements at Garmisch-Partenkirchen (IFU, UFS) and Hohenpeißenberg (MOHp) on 2  
931 October 2017; the low relative humidity between 5.2 and 8.3 km (RH = 2 %) verifies the presence of a  
932 stratospheric air intrusion. The time for MOHp is the launch time of the sonde.

933



935 **Fig. 2.** Four ozone measurements on 5 and 6 February 2019 with lidar (IFU), ECC sonde (FZJ) and an in-situ  
 936 sensor at UFS; for two measurements the FZJ ozone mixing ratios are slightly higher than the lidar results. The  
 937 fourth FZJ ozone measurement took place much later than the final lidar measurements which resulted in slightly  
 938 larger differences [up to 4.8 km, confirmed by the 23:30-CET measurement at UFS](#). The lidar results around 10  
 939 km on 6 February are uncertain due to a cirrus correction. In order to visualize more details on the complex  
 940 layering we also show water-vapour mixing ratios for roughly coinciding measurements of the UFS Raman lidar  
 941 and the FZJ CFH sonde. The tropospheric structures are strongly smoothed for the lidar due to the 1-h data-  
 942 acquisition time. At 3.3 km 250 ppm corresponds to roughly 5 % RH.  
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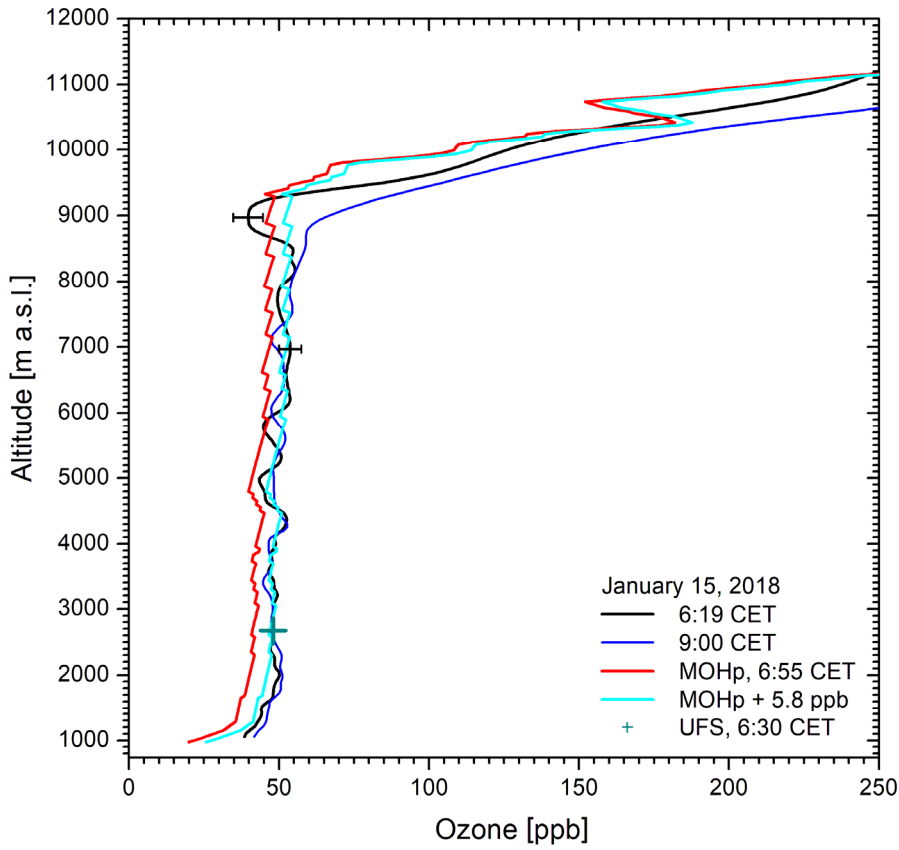
945 **Fig. 3.** Averaged differences between FZJ ozone sonde and IMK-IFU lidar for the first three comparisons after a  
946 slight offset correction of the sonde profiles (see text)

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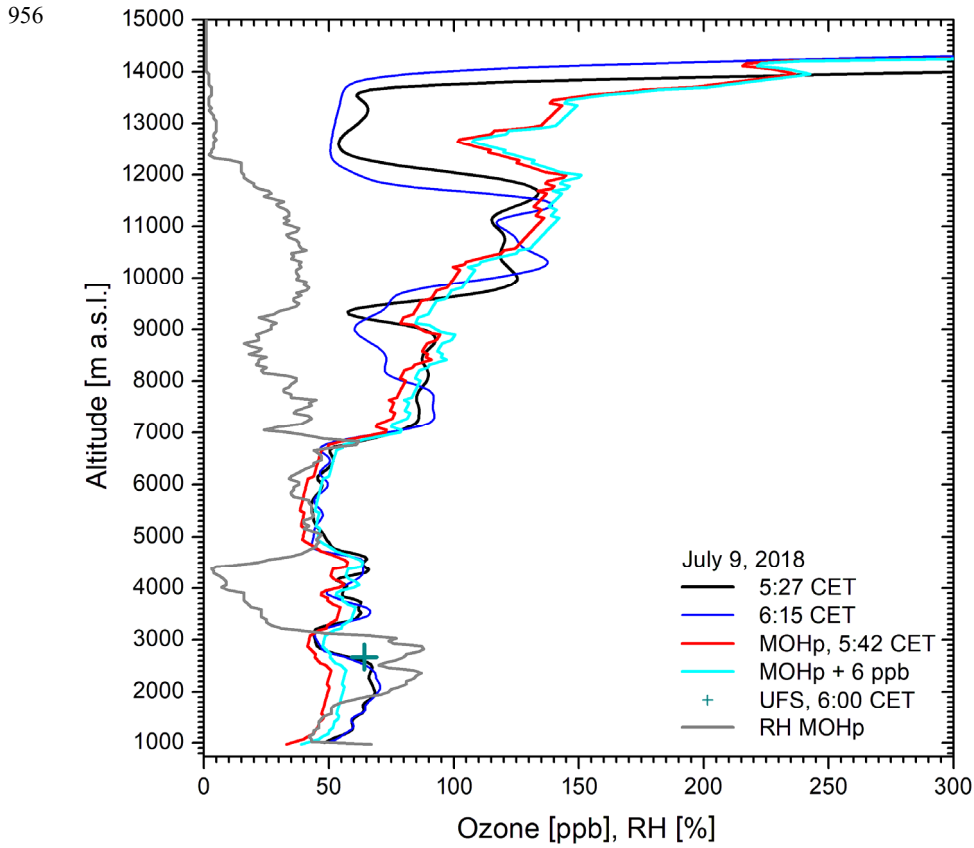
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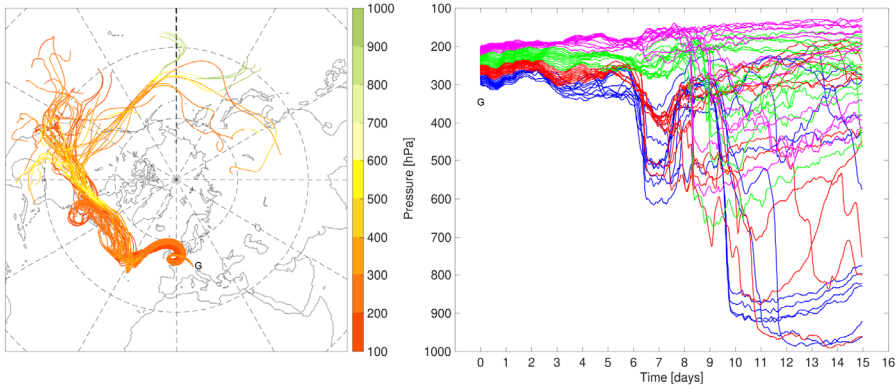


951 **Fig. 4.** Ozone measurements on 15 January 2018: The MOHp ozone (red) is also shown shifted by 5.8 ppb to  
952 match the lidar ozone (cyan) and the UFS value (cyan), in part the black, in part the blue curve. Differences exist  
953 in the tropopause region, which is frequently the case. The sawtooth structure in the MOHp data is due to  
954 insufficient digital resolution in the NDACC data base.  
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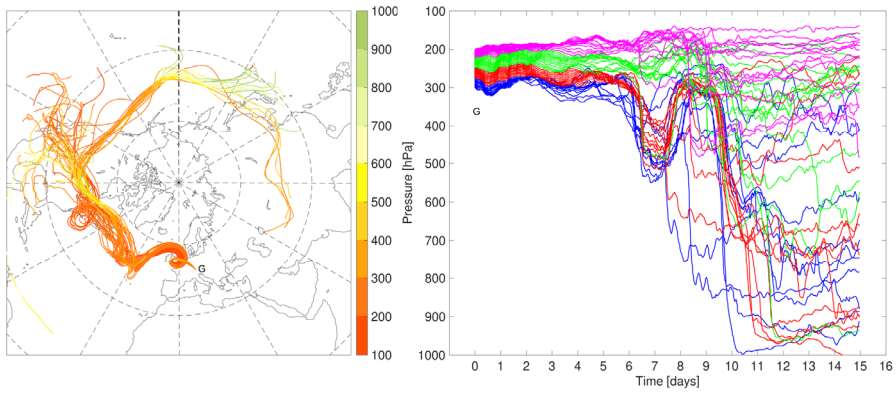


957 **Fig. 5.** Summertime ozone measurements (July 9, 2018) with pronounced layering; the sonde ozone (red) is  
 958 brought to reasonable agreement with the lidar (black curve) above 2.7 km by adding 6 ppb (cyan curve). Above  
 959 9 km the air masses are no longer comparable. The particularly strong discrepancy of the UFS in-situ ozone can  
 960 be explained by orographic lifting of the ozone edge at 2.7 km. The low to moderate RH (grey) in parts of the  
 961 free troposphere indicates that the very high/elevated ozone values could be due to a stratospheric air component.  
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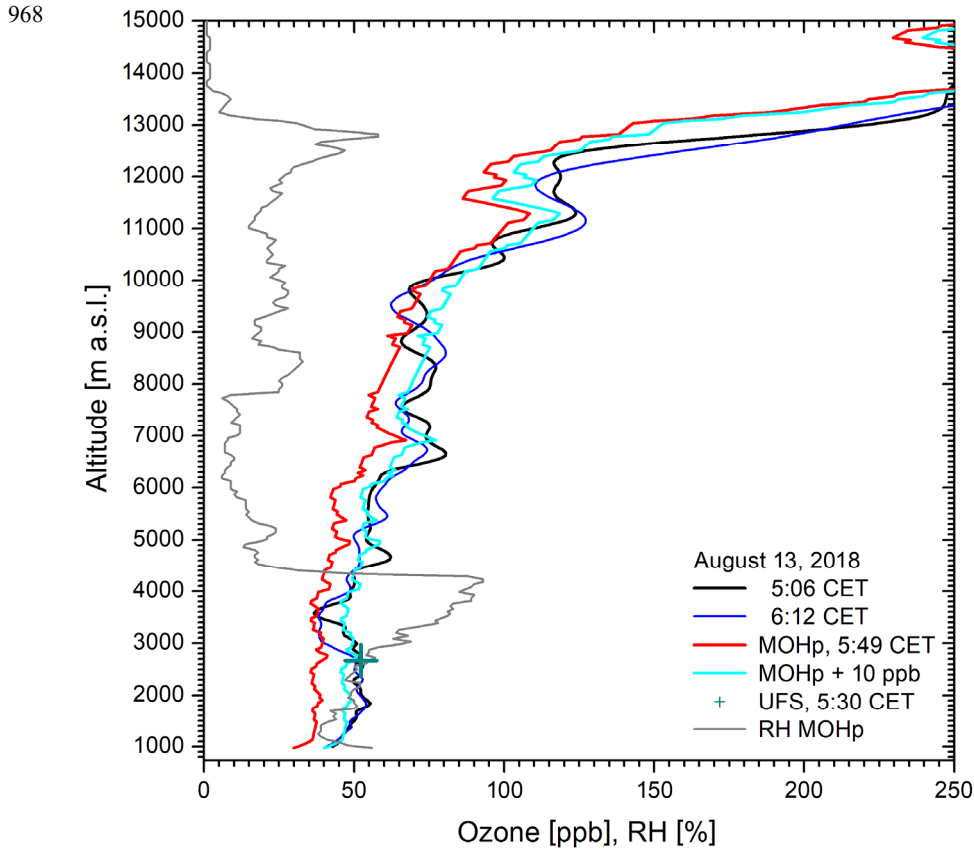
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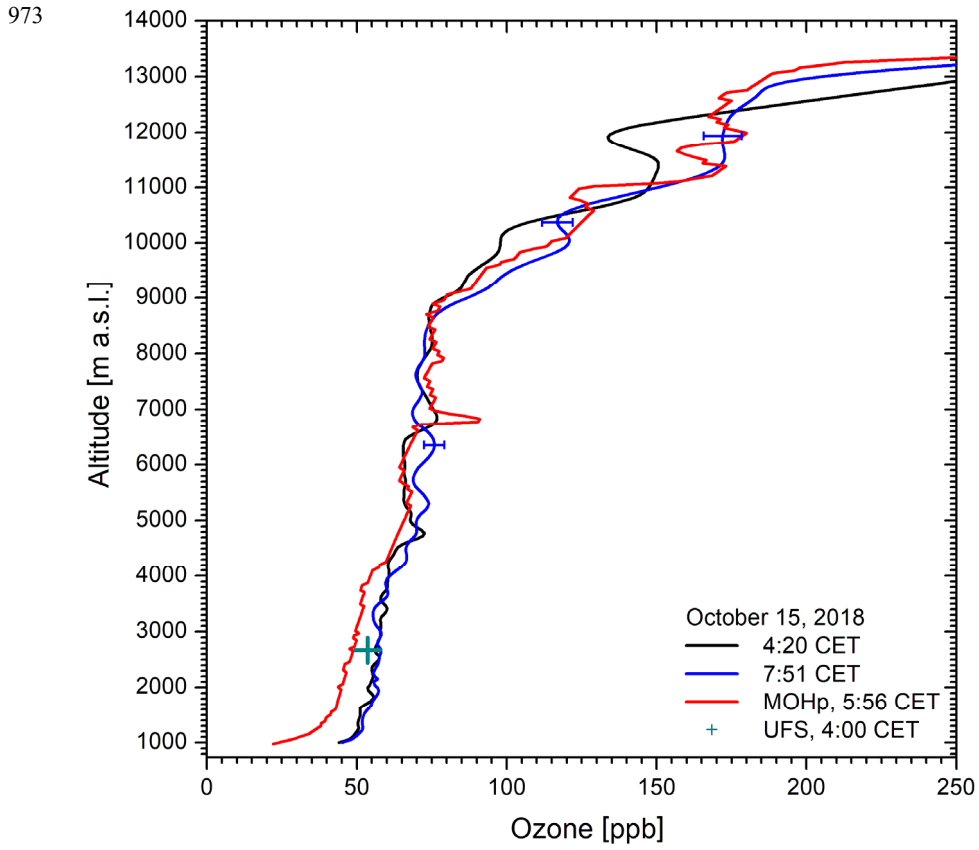
964 **Fig. 6.** 350-h LAGRANTO backward trajectories, started above Garmisch-Partenkirchen (G) on 9 July 2018 at  
965 7:00 CET



966 **Fig. 7.** 350-h LAGRANTO backward trajectories, started above Garmisch-Partenkirchen (G) on 9 July 2018 at  
967 8:00 CET



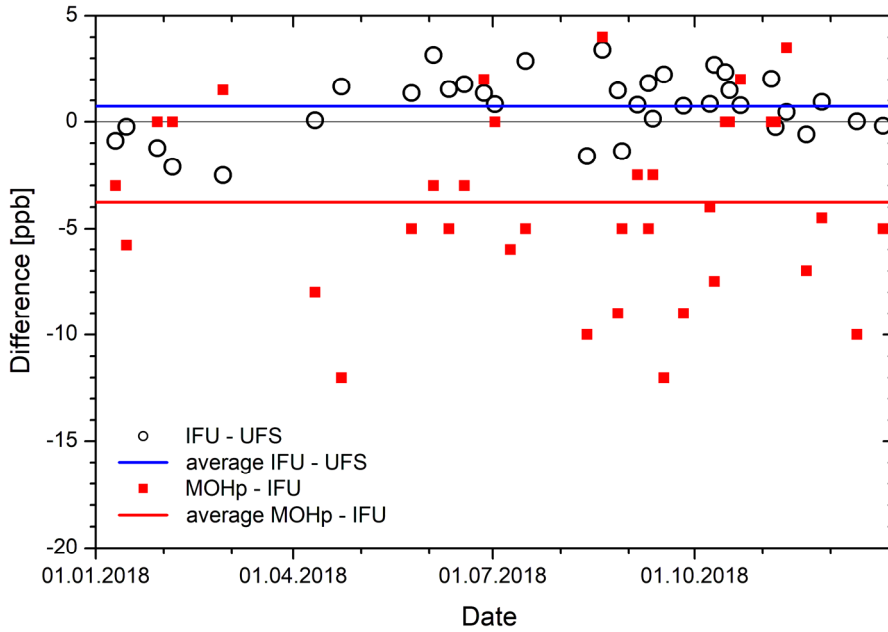
969 **Fig. 8.** Ozone measurements on 13 August 2018: The agreement of the shifted MOHp ozone profile (cyan) with  
 970 the lidar curves is rather good up to 12 km given the high summertime variability. The low to moderate RH  
 971 above 4.4 km (grey) indicates that the elevated ozone is partially caused by stratospheric air.  
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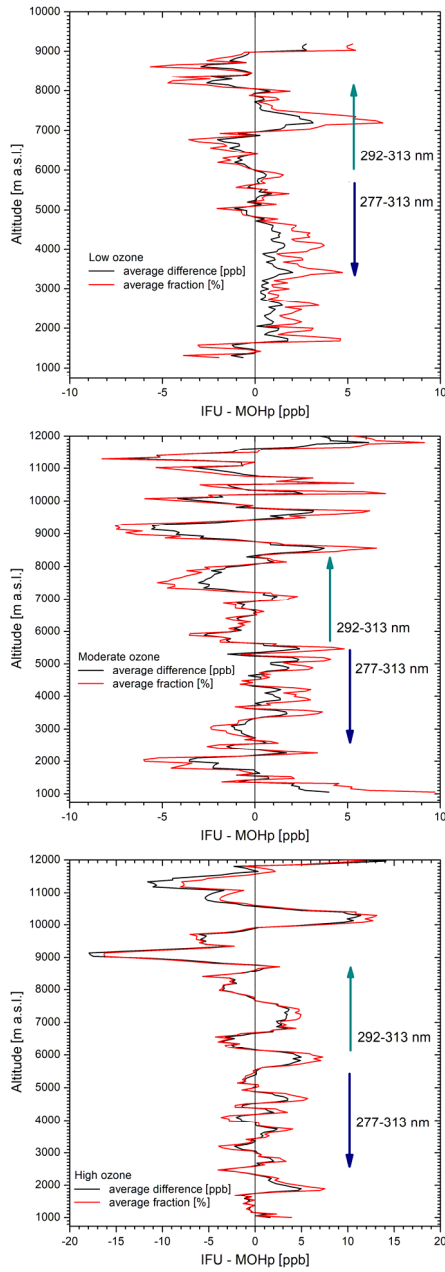
974 **Fig. 9.** Ozone measurements on 15 October 2018: The MOHp ozone (red) is not shifted. The agreement above  
 975 4.3 km is better with the earlier lidar measurement (black), above 7 km better with the blue curve. The lidar data  
 976 are strongly smoothed in the stratosphere, as can be seen from the more detailed ozone structure in the sonde  
 977 data. This example is one of the two examples with a pronounced low-altitude discrepancy between lidar and  
 978 sonde extending to more the 3 km.  
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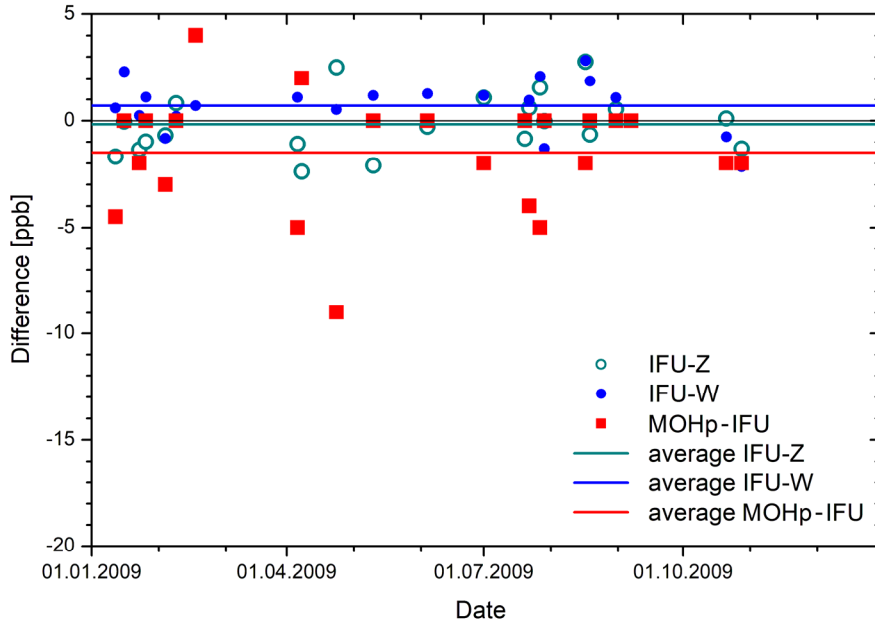


981 **Fig. 10.** Differences between the ozone values of the IFU DIAL at 2670 m and the UFS routine measurements as  
982 well as the offsets of the MOHp profiles with respect to the DIAL for 35 of the 36 measurement days of the 2018  
983 comparison. The blue and red horizontal lines are the arithmetic averages for the full year (for the values see  
984 text). The blue curve represents a ±2 point running average of the differences between lidar and station.  
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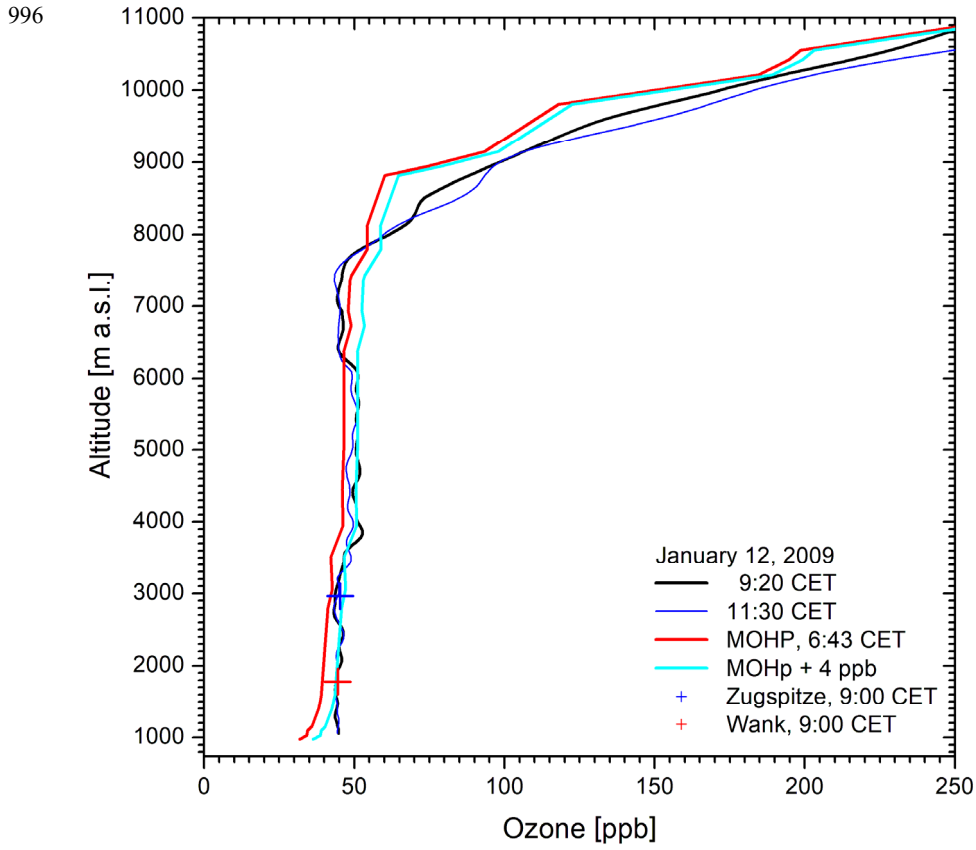


987 **Fig. 11.** Average differences between IFU lidar and offset-corrected MOHp sonde in 2018 for low-, moderate  
 988 and high-conditions (based on six, seven and six comparisons, respectively); the uncertainties may be estimated  
 989 from the maximum differences around the respective altitudes. We also indicate the approximate altitude ranges  
 990 of the two wavelength pairs used for the lidar data evaluation.  
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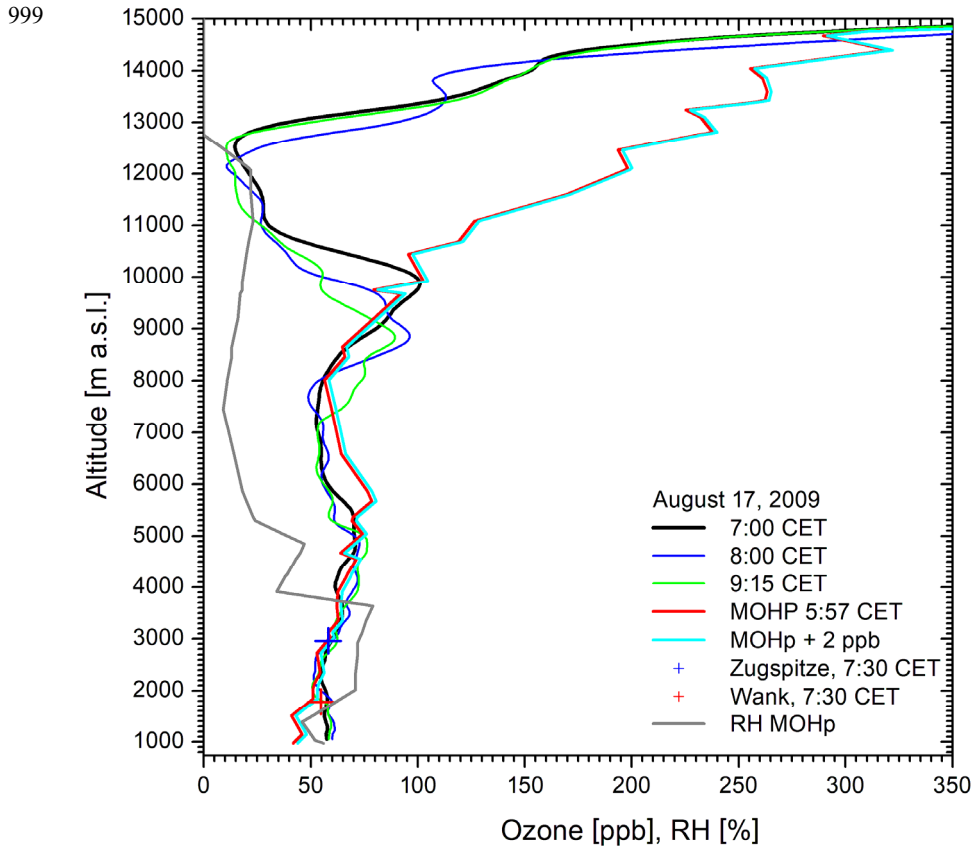


993 **Fig. 12.** Differences between the ozone mixing ratios of the lidar (IFU) and the stations Zugspitze (Z), Wank  
994 (W) at the summit altitudes, and offsets between lidar and MOHp sonde for 2009  
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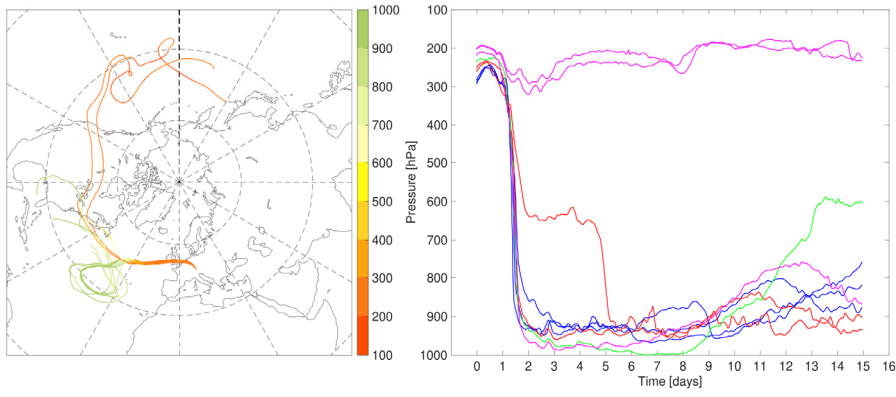
997 **Fig. 13.** Ozone measurements on 12 January 2009

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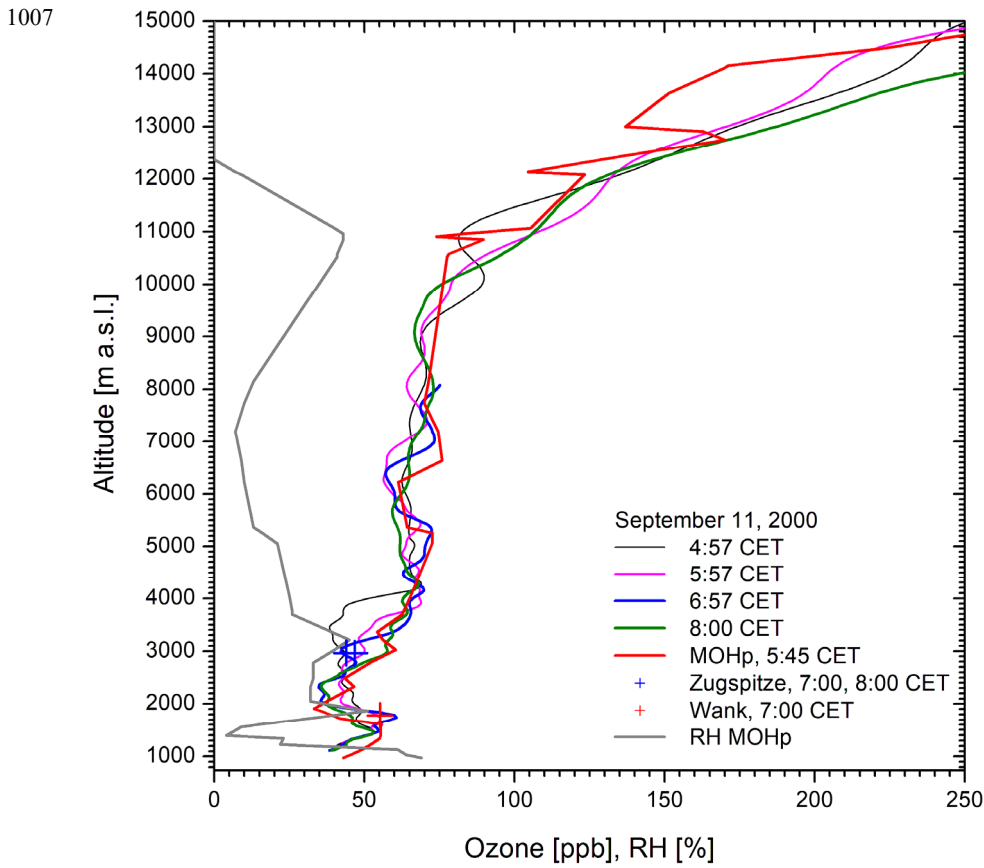


1000 **Fig. 14.** Ozone measurements on 17 August 2009; the structure in the upper troposphere is strongly influenced  
 1001 by smoothing. The bias between 5.5 and 8 km has not been explained.  
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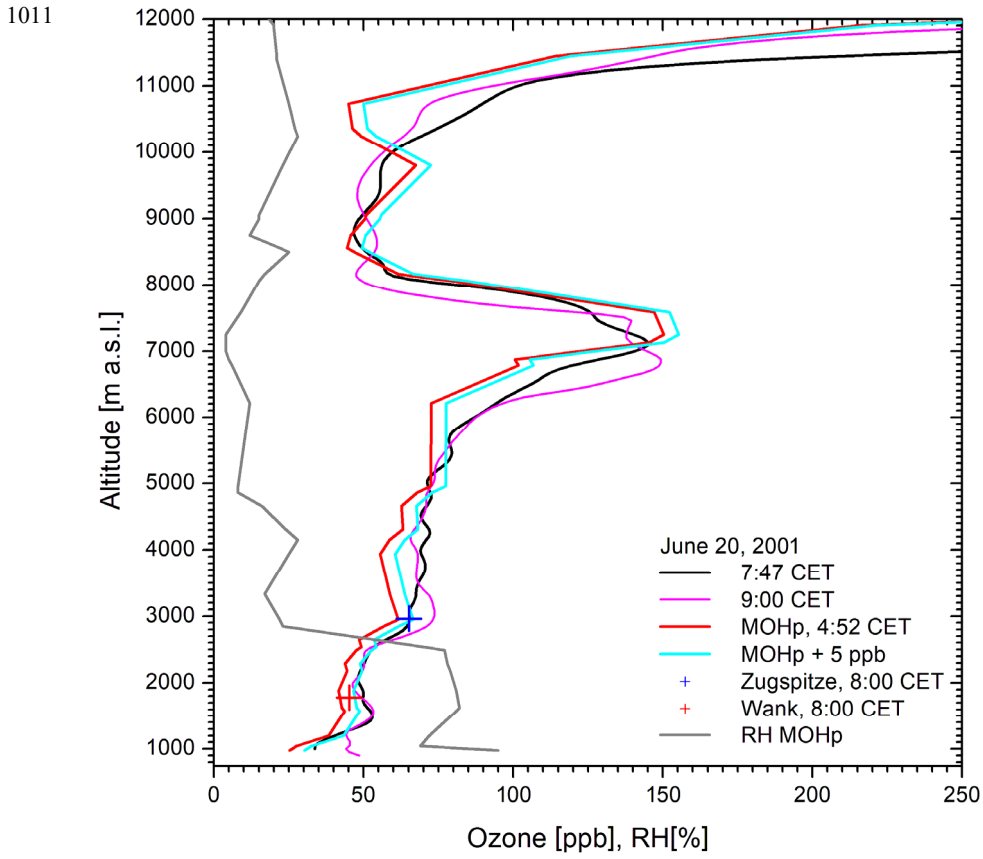


1004 **Fig. 15.** 350-h LAGRANTO backward trajectories, started above Garmisch-Partenkirchen (G) on 9 July 2018 at  
1005 7:00 CET  
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1008 **Fig. 16.** Ozone measurements on 11 September 2000 (see Fig. 13 of Trickl et al., 2003); in this case no offset  
 1009 was determined.

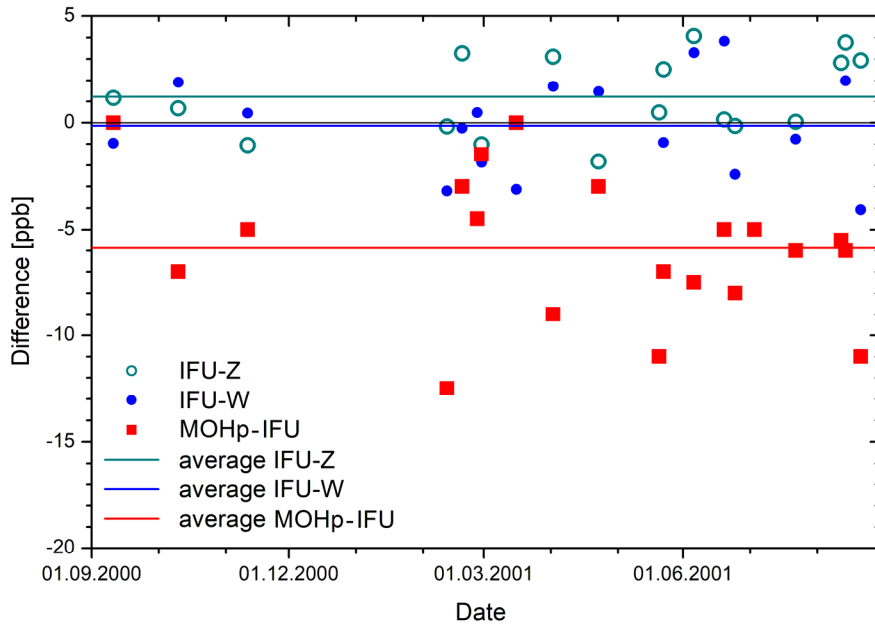
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1012 **Fig. 17.** Ozone measurements on 20 June, 2001; the entire temporal development of the stratospheric air  
 1013 intrusion around 7.3 km is depicted in Fig. 6 of Zanis et al., 2003, and Fig. 3 of Trickl et al., 2010)  
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1015



1016 **Fig. 18.** Differences between the ozone mixing ratios of the lidar (IFU) and the stations Zugspitze (Z), Wank  
1017 (W) at the summit altitudes, and between lidar and MOHp sonde, determined by shifting the sonde profile, for  
1018 [the period September 2000 to August 2001](#).