

# Estimating the turbulent kinetic energy dissipation rate from one-dimensional velocity measurements in time

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**Abstract.** The turbulent kinetic energy dissipation rate is one of the most important quantities characterizing turbulence. Experimental studies of a turbulent flow in terms of the energy dissipation rate often rely on one-dimensional measurements of the flow velocity fluctuations in time. In this work, we first use ~~Direct Numerical Simulation (DNS) of Stationary Homogeneous Isotropic (SHI)~~ [direct numerical simulation of stationary homogeneous isotropic](#) turbulence at Taylor-scale Reynolds numbers  $74 \leq R_\lambda \leq 321$  to evaluate different methods for inferring the energy dissipation rate from one-dimensional velocity time records. We systematically investigate the influence of the finite turbulence intensity and the misalignment between the mean flow direction and the measurement probe, and derive analytical expressions for the errors associated with these parameters. We further investigate how statistical averaging for different time windows affects the results as a function of  $R_\lambda$ . The results are then combined with Max Planck Variable Density Turbulence Tunnel (~~VDTT~~) hot-wire measurements at  $147 \leq R_\lambda \leq 5864$  to investigate flow conditions similar to those in the atmospheric boundary layer. [Finally, practical guidelines for estimating the energy dissipation rate from one-dimensional atmospheric velocity records are given.](#)

## 1 Introduction

Turbulence is fundamental to many natural and engineering processes, such as transport of heat and moisture in the Earth's atmosphere (e.g. Wyngaard, 1992; Garratt, 1994; Muschinski and Lenschow, 2001; Fairall and Larsen, 1986; Hsieh and Katul, 1997), wind energy conversion (Smalikho et al., 2013), entrainment and mixing (e.g. Warhaft, 2000; Sreenivasan, 2004; Deshpande et al., 2009; Gerber et al., 2008, 2013; Siebert et al., 2013; Fodor and Mellado, 2020), and warm rain initiation (e.g. Shaw, 2003; Devenish et al., 2012; Pumir and Wilkinson, 2016; Li et al., 2020), to name just a few. In three-dimensional turbulence, the kinetic energy is typically injected into the flow at the largest scales and successively transferred to smaller eddies by means of the direct energy cascade. At the smallest scales characterized by the Kolmogorov length scale (or the dissipation scale)  $\eta_K$ , kinetic energy is dissipated by viscous effects at the energy dissipation rate  $\epsilon$  [\(a list of all the parameters and symbols used in this study can be found in Tables A1 and A2\)](#). The energy dissipation rate  $\epsilon$  is one of the most fundamental quantities

in turbulence and is used to estimate many relevant features of a turbulent flow, such as the Kolmogorov length scale  $\eta_K$ , the Taylor microscale  $\lambda$ , the Taylor-scale Reynolds number  $R_\lambda$  and, by means of dimensional estimates, the energy injection scale.

25 The instantaneous energy dissipation field  $\epsilon_0(\mathbf{x}, t)$  ~~is~~, which is a function of the fluid kinematic viscosity  $\nu$  and the velocity gradient tensor, is highly intermittent with strong small-scale fluctuations (Pope, 2000; Davidson, 2015, and references therein), which are at the core of the intermittency problem in turbulence (Sreenivasan and Antonia, 1997; Muschinski et al., 2004; Buaria et al., 2019). By “instantaneous” we here want to emphasize that  $\epsilon_0$  is the energy dissipation rate at one point in space and time within the flow. It also plays an important role in turbulent mixing in reacting flows (e.g. Sreenivasan, 2004; Hamlington et al., 2012; Sreenivasan, 2004; Hamlington et al., 2012; Sreenivasan, 2019) or turbulence-induced rain initiation in warm clouds (Devenish et al., 2012).  $\epsilon_0(\mathbf{x}, t)$ , however, is extremely difficult to measure experimentally ~~as it requires the because it requires~~ complete knowledge of the three-dimensional velocity field ~~at high temporal and spatial resolution~~ with spatial/temporal resolution that can resolve scales smaller than or at least comparable to Kolmogorov scales.

Apart from the instantaneous dissipation field  $\epsilon_0(\mathbf{x}, t)$ , the energy dissipation in a turbulent flow can be statistically described by either the local or global mean energy dissipation rate, which are both important. Local volume averages of the instantaneous dissipation field  ~~$\langle \epsilon \rangle_R$   $\langle \epsilon_0 \rangle_R$~~  and related surrogates, e.g., longitudinal, transverse or off-diagonal components of  ~~$\epsilon_0(\mathbf{x}, t)$ , still capture intermittent effects~~ the velocity gradient, can capture intermittency of turbulence (Lefeuvre et al., 2014; Almalkie and de Bruyn Kops, 2012, and references therein). The local volume averages of the dissipation field converge to the global mean energy dissipation rate  $\langle \epsilon \rangle$  for ~~sufficiently large averaging volumes~~ statistically converged sampling.  $\langle \epsilon \rangle$  can be used to ~~describe~~ parameterize the statistics of statistically homogeneous and locally isotropic turbulence based on the Kolmogorov’s phenomenology (K41) (Kolmogorov, 1941). ~~However, Note that~~ even if the global mean energy dissipation rate  $\langle \epsilon \rangle$  is known ~~with a low uncertainty~~, it is ~~of great value also of high interest~~ to know how locally averaged dissipation rates  ~~$\langle \epsilon \rangle_R$  deviate~~  $\langle \epsilon_0 \rangle_R$  differ from the global mean energy dissipation rate  $\langle \epsilon \rangle$ . For example, the local dissipation rate determines whether droplets in a cloud behave as tracer or inertial particles, which in turn can affect the probability of collision/coalescence of the droplets and thus the likelihood of precipitation initiation (e.g., see Shaw, 2003).

45 For a statistically stationary homogeneous isotropic (SHI) turbulent flow,  $\langle \epsilon \rangle$  can be estimated from time-dependent single-point one-dimensional velocity measurements through different methods, such as longitudinal or transverse velocity gradients (Wyngaard and Clifford, 1977; Elsner and Elsner, 1996; Antonia, 2003; Siebert et al., 2006, among others), inertial-range scaling laws comprising the famous 4/5 law (~~Kolmogorov, 1941, 1991~~) (Kolmogorov, 1941, 1991; Muschinski et al., 2001), counting zero-crossings of the velocity fluctuation time series (Sreenivasan et al., 1983; Waclawczyk et al., 2017) or dimensional arguments (e.g. Taylor, 1935; McComb et al., 2010; Vassilicos, 2015). These methods usually invoke Taylor’s hypothesis to map temporal signals onto spatial signals, which requires a *sufficiently small* turbulence intensity. The turbulence intensity is defined as the ratio of the root mean square velocity fluctuations  $\sigma_{u'}$  to the mean velocity  $U$ . When all of these criteria are met, single-point velocity measurements with hot-wire anemometers at a high temporal resolution have been shown to be suitable for accurately estimating the global energy dissipation rate (~~Lewis et al., 2021; Sinhuber, 2015; Elsner and Elsner, 1996; Antonia, 2003~~) (see also below) (Lewis et al., 2021; Sinhuber, 2015; Elsner and Elsner, 1996; Antonia, 2003; Frehlich et al., 2003). However, in atmospheric flows, the assumption of ideal SHI and low-intensity turbulent flows are rarely encountered in natural turbulent

~~flows, such as those in the atmospheric boundary layer~~ stationary homogeneous isotropic turbulence needs to be very carefully considered, as for example thermals, change in local weather conditions and of course the diurnal cycle may lead to non-stationarity and inhomogeneity.

60 ~~In such non-stationary and inhomogeneous flows,~~ Then the global mean energy dissipation rate  $\langle \epsilon \rangle$  ~~alone~~ is not representative as the ~~characteristics of turbulent flows~~ turbulence can be highly time- and space-dependent even at the energy injection scales. As a result, one needs to calculate a local  ~~$\langle \epsilon \rangle_\tau$  and  $\langle \epsilon \rangle_R$~~   $\langle \epsilon \rangle_\tau$  and  $\langle \epsilon \rangle_R$ ,  ~~$\langle \epsilon_0 \rangle_\tau$  and  $\langle \epsilon_0 \rangle_R$~~ , respectively, based on velocity statistics for a *properly chosen* averaging window  $\tau$  in time or  $R$  in space, which is short enough for resolving the temporal or spatial variations but also long enough to obtain statistically representative values with *acceptable* systematic and/or random errors (e.g. Wyngaard, 65 1992; Lenschow et al., 1994). Therefore, a conflict arises with respect to the averaging time between resolving small-scale features of a turbulent flow and statistical convergence under non-stationary and inhomogeneous conditions.

In the case of atmospheric flows, *in situ* measurements made via airborne (Malinowski et al., 2013; Siebert et al., 2006, 2013; Muschinski et al., 2004; Frehlich et al., 2004; Nowak et al., 2021; Dodson and Small Griswold, 2021, e.g.) as well as ground-based (Chamecki and Dias, 2004; O'Connor et al., 2010; Risius et al., 2015; Siebert et al., 2015, e.g.) platforms typically 70 can only resolve the coarse-grained time series of the local mean energy dissipation rate  ~~$\langle \epsilon \rangle_\tau$~~   $\langle \epsilon \rangle_\tau$ . However, ~~since there is no high-resolution three-dimensional velocity measurement available during such *in situ* measurements to serve as the ground truth,~~ it remains unclear how large the errors in estimating the coarse-grained time series of the local mean energy dissipation rate are due to individual choices of the averaging window, since there is currently no high-resolution three-dimensional velocity measurement available during *in situ* measurements to serve as the ground truth. In the absence of a ground-truth refer- 75 ence, the comparison between different methods ~~exposes large deviations (Wacławczyk et al., 2020; Siebert et al., 2006; Risius et al., 2015;~~ was used as the next best benchmark for validity of a given method (Siebert et al., 2010; Wacławczyk et al., 2020; Siebert et al., 2006; Risius , which in some cases makes the interpretation of the data difficult due to the large discrepancies between the estimates obtained by different methods. As an example, Wacławczyk et al. (2020) found deviations of about 5%-50% for estimating the mean energy dissipation rate depending on the method and averaging windows using synthetic data modeled via a von Karman 80 spectrum. Another example is the work of Akinlabi et al. (2019), who found that estimates of mean energy dissipation rate by one-dimensional longitudinal velocity can differ by a factor of 2 to 3 from those calculated using ~~DNS~~ direct numerical simulations (DNS), depending on the method used.

Our literature review indicates that a systematic investigation is still needed to fully understand how the choice of averaging window, analysis methods, turbulence intensity and large-scale random flow velocities can influence estimating the 85 mean energy dissipation rate and its deviations from the instantaneous energy dissipation rate. To this end, we systematically benchmark different techniques available in the literature using fully resolved DNS of statistically stationary, homogeneous, isotropic turbulence. Since the full dissipation field is available from DNS, this approach provides ground-truth reference for comparisons to the various estimation techniques. To bridge the gap between typical  $R_\lambda$  of DNS and atmospheric flows, we use high-resolution measurements of the longitudinal velocity components of the Variable Density Turbulence Tunnel (VDTT) 90 (Bodenschatz et al., 2014; Sinhuber, 2015; Kuchler et al., 2019) at various Taylor Reynolds number  $R_\lambda$  between 140 and 6000. The impact of turbulence intensity, large-scale random sweeping velocities, size of averaging window, Reynolds number and

also possible experimental imperfections, such as anemometer misalignment are investigated in detail. Our work aims to be a step towards the goal of extracting the time-dependent energy dissipation rate from non-ideal naturally-occurring turbulent flows mitigating the impact of non-ideal features of the flow, e.g., anisotropy or inhomogeneity. In Sect. 2, we first define the central statistical quantities and the individual methods for estimating the energy dissipation rate in detail. An analysis of the individual methods including discrepancies, errors due to finite turbulence intensity, and alignment errors are discussed in Sect. 3 followed by a summary of our findings.

## 2 Methods

Let  $\mathbf{u}(\mathbf{x}, t) = u_1(\mathbf{x}, t)\mathbf{e}_1 + u_2(\mathbf{x}, t)\mathbf{e}_2 + u_3(\mathbf{x}, t)\mathbf{e}_3$  denote the three-dimensional velocity vector of the turbulent flow, where  $\mathbf{x} = x_1\mathbf{e}_1 + x_2\mathbf{e}_2 + x_3\mathbf{e}_3$  are the components of the Cartesian coordinate system and  $t$  is the time. We assume that the streamwise direction of the global-mean flow  $\mathbf{U}$  is in the direction of  $\mathbf{e}_1$  such that  $\mathbf{U} = U\mathbf{e}_1$  is (by definition) constant in space and time. We refer to  $\mathbf{e}_1$  as the *longitudinal* direction and the components normal to that, i.e.,  $\mathbf{e}_2$  and  $\mathbf{e}_3$ , as the *transverse* directions of the flow. As mentioned earlier, many experimental setups record only a one-dimensional flow velocity at one location and as a function of time. We consider this one-dimensional velocity time record to be in the longitudinal flow direction unless otherwise stated, e.g., when the probe misalignment is investigated. In the following, we first introduce different averaging principles that can be used to analyze turbulence statistics and Taylor's frozen hypothesis, and then present the commonly used methods for extracting the energy dissipation rate. A preliminary [An](#) introduction of the basic statistical description of turbulent flows is provided in the appendix (Sec. A) for the sake of completeness.

### 2.1 On Averaging, Reynolds Decomposition and Taylor's Hypothesis

Most methods used to retrieve the dissipation rate require spatially resolved velocity statistics although the velocity is recorded only at a single point and as a function of time in many experiments. Therefore, prior to estimating the energy dissipation rate, the one-dimensional velocity time-record should be first mapped onto a spatially resolved velocity field. This is achieved by invoking Taylor's hypothesis ([Taylor, 1938](#)), which requires a Reynolds decomposition of the velocity time-record by separating the velocity fluctuations from the mean velocity. To perform the Reynolds decomposition, we first have to clarify what is meant by the mean velocity.

Generally, we have to distinguish between the global mean velocity  $\mathbf{U} = \langle \mathbf{u}(\mathbf{x}, t) \rangle = U\mathbf{e}_1$ , the volume-averaged velocity  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_R$  over a sphere of radius  $R$ , the time-averaged velocity  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_\tau$  over a time interval  $\tau$ , and the ensemble-averaged velocity  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_N$  over  $N$  realizations (Wyngaard, 2010; Pope, 2000, among others). In this work,  $\langle \cdot \rangle$  denotes the global mean, i.e., for infinitely large averaging windows in time or space. Thus,  $\mathbf{U}$  is by definition independent of time and space, which in reality is valid only when  $\mathbf{u}(\mathbf{x}, t)$  is statistically stationary and homogeneous. Implicitly,  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_R = 3/(4\pi R^3) \iiint_0^R d\mathbf{x} \mathbf{u}(\mathbf{x}, t)$ ,  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_R = 3/(4\pi R^3) \iiint_0^R d\mathbf{x} \mathbf{u}(\mathbf{x}, t)$  and  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_\tau = \frac{1}{\tau} \int_{-\tau/2}^{\tau/2} dt' \mathbf{u}(\mathbf{x}, t')$  are, respectively, local volume and time averages as both  $R$  and  $\tau$  are typically finite. In the limit of  $R, \tau \rightarrow \infty$ ,  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_R$  and  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_\tau$  tend to  $\mathbf{U}$ . For repeatable experiments where identical experimental conditions are guaranteed,  $\langle \mathbf{u}(\mathbf{x}, t) \rangle_N$  tends to  $\mathbf{U}$  when  $N \rightarrow \infty$ .



The mean of a one-dimensional velocity time-record in the longitudinal direction  $U_\tau$  here is defined by

$$125 \quad U_\tau = \langle u_1(t) \rangle_\tau = \frac{1}{\tau} \int_{-\tau/2}^{\tau/2} dt' u_1(t'), \quad (1)$$

such that the global mean  $U = \lim_{\tau \rightarrow \infty} U_\tau$ , where  $\tau$  is the *averaging window*. ~~Here, the velocity means that the measurement is made at a single Eulerian point in the flow, so that the dependence on  $x$  in the longitudinal velocity component  $u_1$  is eliminated.~~ It should be noted that the global mean of the transverse velocity will be equal to zero, i.e.,  $\langle u_{2,3}(t) \rangle_\tau = 0$  when  $\tau \rightarrow \infty$ , since here it is assumed that they are orthogonal to the mean flow direction. According to the Reynolds decomposition, 130 the longitudinal velocity time record is composed of the mean velocity  $U$  and the random velocity fluctuation component  $u'_1(t) = u_1(t) - U$  so that the mean of the longitudinal velocity fluctuations  $\langle u'_1(t) \rangle = 0$ . ~~This is also true for other components of the velocity.~~

In certain circumstances, it is possible to map  $u'_1(t)$  from time to space coordinates by applying the Taylor's (frozen-eddy) hypothesis (Taylor, 1938; Wyngaard, 2010), which relates temporal and spatial velocity statistics. Taylor argues that eddies 135 can be regarded as *frozen* in time if they are passing the probing volume much faster than they evolve in time. This is the case if the turbulence intensity  $I = \sigma_{u'_1}/U$  is much smaller than the unity, i.e.,  $I \ll 1$ , where  $\sigma_{u'_1} = \langle u'^2_1 \rangle^{1/2}$  is the Root-Mean-Square (RMS) velocity fluctuation. Then, the series of time lags  $\Delta t = t - t_0$  relative to the start time  $t_0$  is mapped onto a distance vector with  $\mathbf{x} = \mathbf{x}_0 + U\Delta t \mathbf{e}_1$  (Taylor, 1938), where  $\mathbf{x}_0$  is the initial position at time  $t_0$ . This approach is found to be reliable for  $I \lesssim 0.25$  (Nobach and Tropea, 2012; Wilczek et al., 2014; Risius et al., 2015) while it has been shown to fail when 140  $I > 0.5$  (Willis and Deardorff, 1976). The application of Taylor's hypothesis is inaccurate in case of large-scale variations of the velocity fluctuation field comparable to the mean velocity, which are known as "random sweeping velocity" (Kraichnan, 1964; Tennekes, 1975) ~~and which can be approximated by the turbulence intensity (Wilczek et al., 2014).~~ Complicating the estimation of the mean velocity, random sweeping causes the mean energy dissipation rate to be consistently overestimated (Lumley, 1965; Wyngaard and Clifford, 1977).

145 One way to cope with non-stationary velocity time records is to evaluate the mean velocity for a subset of this signal. If the averaging time  $\tau$  is finite, the time average  $U_\tau$  may differ from the mean velocity  $U$  causing a systematic bias in the subsequent data analysis. The estimation variance of the time average  $U_\tau$  can be analytically expressed as (Wyngaard, 2010; Pope, 2000, among others)

$$\langle (U_\tau - U)^2 \rangle \approx \frac{2\langle u'^2_1 \rangle T}{\tau}, \quad (2)$$

150 where  $T$  is the integral time scale and  $\langle u'^2_1 \rangle$  the variance of the velocity time series. Notably, the size of the averaging window has to be large enough such that it fulfills  $\langle u'_1(t) \rangle_\tau \approx 0$  to apply the Reynolds decomposition. ~~This expression can be converted to space invoking Taylor's hypothesis.~~

## 2.2 Estimating the Energy Dissipation Rate

The energy dissipation rate can be derived from various statistical quantities. A non-exhaustive list of the most common methods applicable to single-point measurements is shown in Table 1. Details of selected methods considered in this study are presented in the following subsections. If not explicitly mentioned, the averages denoted with  $\langle \cdot \rangle$  are defined globally.

range	Dissipation estimate (Eq.)	symbol	definition	assumption
dissipative sub-range	instantaneous (3)	$\epsilon_0$	$2\nu(s_{ij}s_{ij})$	
	(local) volume average (4)	$\langle \epsilon(\mathbf{x}, t) \rangle_R \epsilon_{R}(\mathbf{x}, t)$	$\frac{3}{4\pi R^3} \iiint_{\mathcal{V}(R)} \epsilon_0(\mathbf{x} + \mathbf{r}, t) d\mathbf{r}$	SHI
	(longitudinal) gradient (6)	$\epsilon_G$	$15\nu \left\langle \left( \frac{\partial u'_1(\mathbf{x})}{\partial x_1} \right)^2 \right\rangle$	SHI
	2nd-order SF (dissipation range) * zero-crossings *	$\epsilon_{D2}$ $\epsilon_+$	$15\nu D_{LL}(r)/r^2$ $15\pi^2\nu \langle u_1'^2 \rangle N_L^2$	SHI, $r \lesssim \eta_I$ SHI
inertial sub-range	4/5 law * ((7), $n = 3$ )	$\epsilon_{I3}$	$-5/4 D_{LLL}(r)/r$	SHI, K41
	2nd-order SF (inertial range) ((7), $n = 2$ )	$\epsilon_{I2}$	$(D_{LL}(r)/C_2)^{3/2}/r$	SHI, K41
	spectral (9)	$\epsilon_S$	$\left( \frac{\kappa_1^{5/3} E_{11}(\kappa_1)}{18/55 C_K} \right)^{3/2}$	SHI, K41
	cutoff filter *	$\epsilon_C$	$\left( \frac{2}{3} \frac{2 \langle u_C'^2 \rangle}{18/55 C_K (\kappa_{1,\text{low}}^{-2/3} - \kappa_{1,\text{up}}^{-2/3})} \right)^{3/2}$	SHI, K41
energy injection scale	scaling argument (10)	$\epsilon_L$	$C_\epsilon \sigma_{u_1'}^3 / L_{11}$	SHI
	global mean (5)	$\langle \epsilon \rangle$	$\lim_{R \rightarrow \infty} \langle \epsilon_0(\mathbf{x}, t) \rangle_R$	SHI

**Table 1.** Various definitions of the energy dissipation rate from the dissipative and inertial sub-range to the energy injection range. Here, the definitions for various dissipation estimates are given in the space or wavenumber domain where  $\nu$  is the viscosity,  $s_{ij}$  is the velocity fluctuation strain rate tensor,  $R$  is the radius of the averaging volume  $\mathcal{V}(R)$  (window size for 1D data),  $u'_1(\mathbf{x})$  is the longitudinal velocity fluctuation field along  $\mathbf{x}_1 \mathbf{e}_1$ ,  $D_{L\dots L}(r)$  is the  $n$ th-order longitudinal ~~structure function~~ **Structure Function (SF)** for distance  $r$ ,  $\langle u_1'^2 \rangle$  is the variance of  $u'_1(\mathbf{x})$ ,  $\sigma_{u_1'}$  is the standard deviation of  $u'_1(\mathbf{x})$ ,  $N_L$  is the number of zero crossings of a velocity fluctuation signal per unit length,  $C_2 \approx 2$ ,  $E_{11}(\kappa_1)$  is the one-dimensional energy spectrum with wavenumber  $\kappa_1$ ,  $C_K \approx 1.5$ ,  $\langle u_C'^2 \rangle$  is the variance of a band-pass filtered signal for wavenumbers  $\kappa_1 \in [\kappa_{1,\text{low}}, \kappa_{1,\text{up}}]$ ,  $C_\epsilon$  is the dissipation constant,  $L_{11}$  is the longitudinal integral scale, and  $\eta_K$  is the Kolmogorov length scale. Dissipation estimates indicated with \* are not considered in detail in this work. The assumptions of stationarity (S), homogeneity (H), local isotropy (I) and Kolmogorov's second similarity hypothesis from 1941 (K41) are represented by their individual abbreviations. References are given in the corresponding sections in the main text.

### 2.2.1 Dissipative sub-range

Proceeding from the Navier-Stokes equations for an incompressible, Newtonian fluid, the instantaneous energy dissipation rate is given by (e.g. Pope, 2000; Davidson, 2015)

$$\epsilon_0(\mathbf{x}, t) = 2\nu(S_{ij}S_{ij}).$$

~~The contribution of the fluctuating part to the energy dissipation is much larger than~~  $2\nu(S_{ij}S_{ij})$  (e.g., Pope, 2000; Davidson, 2015). ~~As the velocity gradients are dominated by small-scale fluctuations, turbulent kinetic energy is dissipated into heat at small scales. Therefore, the contribution of the mean flow in the case of high-Reynolds number turbulent flows large-scale variations of the velocity is small compared to the contribution of the small scales~~ (Pope, 2000; Elsner and Elsner, 1996). Hence, the

165 instantaneous energy dissipation rate can be defined in terms of the velocity fluctuations only (Pope, 2000) where, in Eq. (3) and Table 1, i.e., replacing  $S_{ij}$  is replaced by the fluctuation strain rate tensor  $s_{ij} = (\partial u'_i(\mathbf{x}, t)/\partial x_j + \partial u'_j(\mathbf{x}, t)/\partial x_i)/2$  (Pope, 2000):

$$\epsilon_0(\mathbf{x}, t) = 2\nu (s_{ij}s_{ij}). \quad (3)$$

Averaged over a sphere with radius  $R$  and volume  $\mathcal{V}(R)$ , the (local) volume average of the instantaneous energy dissipation rate is (Pope, 2000)

$$\epsilon_R(\mathbf{x}, t) = \langle \epsilon_0(\mathbf{x}, t) \rangle_R = \frac{3}{4\pi R^3} \iiint_{\mathcal{V}(R)} \epsilon_0(\mathbf{x} + \mathbf{r}, t) d\mathbf{r}. \quad (4)$$

The local volume average  $\epsilon_R(\mathbf{x}, t)$  converges to the global mean energy dissipation rate if  $R$  tends to infinity (Pope, 2000):

$$\langle \epsilon \rangle = \lim_{R \rightarrow \infty} \langle \epsilon_0(\mathbf{x}, t) \rangle_R = -\nu \lim_{|\mathbf{r}| \rightarrow 0} \partial_{r_j}^2 R_{ii}(\mathbf{r}, t), \quad (5)$$

where the right-hand-side follows from partial integration and  $R_{ii}$  is the diagonal component of the velocity covariance tensor, see Eq. (A1) and Table A1. In experiments, it is often not possible to measure  $\epsilon_0(\mathbf{x}, t)$ . Under the assumption of statistically homogeneous and isotropic turbulence, the volume/time-averaged energy dissipation rate are typically inferred from one-dimensional surrogates (Taylor, 1935; Elsner and Elsner, 1996; Siebert et al., 2006; Almalkie and de Bruyn Kops, 2012; Champagne, 1978; Donzis et al., 2008, among others), such as from the longitudinal velocity gradient (hence, the subscript G):

$$180 \quad \epsilon_G = -15\nu \lim_{|\mathbf{r}| \rightarrow 0} \partial_{r_1}^2 R_{11}(\mathbf{r}, t) = 15\nu \left\langle \left( \frac{\partial u'_1(\mathbf{x}, t)}{\partial x_1} \right)^2 \right\rangle = \frac{15\nu}{U^2} \left\langle \left( \frac{\partial u'_1(t)}{\partial t} \right)^2 \right\rangle, \quad (6)$$

where the mapping between space and time domains is possible by applying Taylor's hypothesis if  $\sigma_{u'_1}/U \ll 1$  and  $R_{11}$  is the longitudinal component of the velocity covariance tensor defined in Eq. (A1) (Siebert et al., 2006; Muschinski et al., 2004). The relationship shown in Eq. (6) is often called the "direct" method in the literature (Muschinski et al., 2004; Siebert et al., 2006, e.g.) and requires a spatial resolution higher than the Kolmogorov length scale  $\eta_K$  to be accurate to  $\sim 10\%$  (cf. Fig. A8).  
185 The deviation of  $\epsilon_G$  from its global mean  $\langle \epsilon \rangle$  depends quadratically on the turbulence intensity (Lumley, 1965; Wyngaard and Clifford, 1977; Champagne, 1978; Muschinski et al., 2004).

### 2.2.2 Inertial sub-range: indirect estimate of energy dissipation rate structure functions

Kolmogorov's second similarity hypothesis from 1941 (Kolmogorov, 1941) provides another method for estimating the energy dissipation rate in the inertial range. Based on the inertial range scaling of the  $n$ th-order longitudinal structure function, the

190 mean energy dissipation rate can be calculated by (Pope, 2000)

$$D_{L\dots L}(r) = C_n(\epsilon_{In}r)^{\zeta_n} \Leftrightarrow \epsilon_{In} = \left(\frac{D_{L\dots L}(r)}{C_n}\right)^{1/\zeta_n} \frac{1}{r}, \quad (7)$$

where  $C_n$  is a constant, e.g.,  $C_2 \approx 2$  (Pope, 2000), and  $\zeta_n = n/3$  according to K41 by dimensional analysis. In practice,  $\epsilon_{I2}$  (Table 1) is retrieved either by fitting a constant to the compensated longitudinal second-order structure function  $D_{LL}(r)$ ,  $n = 2$  in Eq. (7), or a power law ( $\propto r^{2/3}$ ) to the inertial range of  $D_{LL}$ , defined in Eq. (A3), if the inertial range is pronounced over at  
 195 least a decade. Accounting for intermittency, the scaling exponent of the  $n$ th-order structure function is modified to  $\zeta_n = \frac{n}{3}[1 - \frac{1}{6}\mu(n-3)]$  where  $\mu$  is the internal intermittency exponent (Kolmogorov, 1962) (Kolmogorov, 1962; Obukhov, 1962; Pope, 2000). The inertial range is bounded by the energy injection scale  $L$  at large scales and by the dissipation range at small scales. That is why the fit-range has to be chosen such that  $\eta_K \ll r \ll L$ . If the inertial range is not sufficiently pronounced, the extended  
 self-similarity self-similarity may be used to extend the inertial range (Benzi et al., 1993b, a). Otherwise,  $\epsilon_{I2}$  can also be ap-  
 200 proximated by the maximum of Eq. (7) (for  $n = 2$ ) within the same range as before. This is possible because the maximum lies on the plateau in case of a perfect K41 inertial range scaling.

In the inertial range, the transverse second-order structure function  $D_{NN}(r)$  is equal to  $4D_{LL}(r)/3$  in a coordinate system where  $\mathbf{r} = r\mathbf{e}_1$  is parallel to the longitudinal flow direction (Pope, 2000) highlighting the importance of the measurement direction.

### 205 2.2.3 Inertial sub-range: spectral method

According to K41 (Kolmogorov, 1941), the inertial sub-range of the energy spectrum function scales as  $E(\kappa) \propto \langle \epsilon \rangle^{2/3} \kappa^{-5/3}$  with the wavenumber  $\kappa$  by dimensional analysis. In isotropic turbulence, the energy spectrum function can be converted into a one-dimensional energy spectrum  $E_{11}(\kappa_1)$ , see Eq. (A7). The wavenumber space is not directly accessible from one-dimensional velocity time-records. Relying on Taylor's hypothesis, the one-dimensional energy spectrum  $E_{11}(\kappa_1)$  transforms  
 210 to the frequency domain with  $F_{11}(f) = 2\pi E_{11}(\kappa_1)/U$  where  $\kappa_1 = 2\pi f/U$  (e.g. Wyngaard and Clifford, 1977; Oncley et al., 1996) (e.g., Wyngaard and Clifford, 1977; Oncley et al., 1996) yielding:

$$F_{11}(f) = 18/55 C_K \left(\frac{U}{2\pi} \epsilon_S\right)^{2/3} f^{-5/3}, \quad (8)$$

which yields

$$\epsilon_S = \frac{2\pi}{U} \left(\frac{f^{5/3} F_{11}(f)}{18/55 C_K}\right)^{3/2}, \quad (9)$$

215 with the Kolmogorov constant  $C_K = 1.5$  (Sreenivasan, 1995; Pope, 2000). Depending on the Fourier transform convention, the prefactor of  $C_K$ , i.e., in the convention used here  $18/55$  has to be adapted accordingly (Wyngaard, 2010, e.g.) (e.g., Wyngaard, 2010). Applying Taylor's hypothesis to a flow with a randomly-sweeping mean velocity causes the Kol-

220 mogorov constant to be systematically overestimated whereas the scaling of power-law spectra remains unaffected (Wilczek and Narita, 2012; Wilczek et al., 2014). Hence, Eq. (9) is still valid for a randomly-sweeping mean velocity although  $\epsilon_S$  is overestimated if  $C_K$  is not corrected for random sweeping.

$F_{11}$  has the units of a power spectral density  $\text{m}^2 \text{s}^{-1}$  and  $\langle u_1'^2 \rangle = \int_0^\infty F_{11}(f) df$ . Under the assumption of Kolmogorov scaling in the inertial sub-range, this identity can be adopted to estimate the mean energy dissipation rate from low and moderate resolution velocity measurements of a finite averaging window (Fairall et al., 1980; Siebert et al., 2006; O'Connor et al., 2010; Waclawczyk et al., 2017).

## 225 2.2.4 Energy injection scale

In equilibrium turbulence, the rate at which turbulent kinetic energy is transported across eddies of a given size is constant in the inertial range assuming high enough Reynolds numbers (e.g. Lumley, 1992). By (e.g., Lumley, 1992). By a dimensional argument, this rate is proportional to  $u^3(l)/l$ , where  $u(l)$  is the characteristic velocity scale of eddies of length  $l$ . Considering the integral scale  $L_{11}$  and its characteristic velocity scale  $u(L_{11})$ , namely the RMS velocity fluctuation  $\sigma_{u_1'}$ , the mean energy 230 dissipation rate can be calculated by (Taylor, 1935)

$$\epsilon_L = C_\epsilon \frac{\sigma_{u_1'}^3}{L_{11}}, \quad (10)$$

where  $C_\epsilon$  is the dissipation constant and for time- and space-varying turbulence, it depends on both initial as well as boundary conditions and the large-scale structure of the flow (Sreenivasan, 1998; Sreenivasan et al., 1995; Burattini et al., 2005; Vassilicos, 2015).  $C_\epsilon$  is found to be about 0.5 for shear turbulence (Sreenivasan, 1998; Pearson et al., 2002) and 1.0 (Sreenivasan, 235 1984; Sreenivasan et al., 1995) or 0.73 ~~Sreenivasan (1998)~~ (Sreenivasan, 1998) for grid turbulence. In this work,  $C_\epsilon$  is assumed to be 0.5 which holds approximately in a variety of flows (Risius et al., 2015; Sreenivasan, 1995, and references therein).

Usually, the longitudinal integral length scale  $L_{11}$  is defined as (Pope, 2000)

$$L_{11} = \lim_{r_0 \rightarrow \infty} \int_0^{r_0} dr f(r) = \frac{\pi E_{11}(0)}{2 \langle u_1'^2 \rangle}, \quad (11)$$

240 where  $f(r) = R_{11}(r)/R_{11}(0)$  is the longitudinal autocorrelation function (see also Eq. (A1) and Table A1). However, due to experimental limitations,  $r_0$  is often given by the first zero-crossing of  $f(r)$  in both laboratory and *in situ* measurements (Risius et al., 2015; e.g.) (e.g., Risius et al., 2015), or, alternatively, by the position where  $f(r) = 1/e$  (Tritton, 1977; Bewley et al., 2012). Griffin et al. (2019) carried out an integration for  $r \rightarrow \infty$  performing an exponential fit in the vicinity of  $f(r) = 1/e$ . Notably,  $E_{11}(0) = \int_0^\infty d\kappa E(\kappa)/\kappa$  so that the estimation of  $L_{11}$  from the power spectrum is only recommended if  $E(\kappa) = \frac{1}{2} \kappa^3 \frac{d}{d\kappa} \left( \frac{1}{\kappa} \frac{dE_{11}(\kappa)}{d\kappa} \right)$  (Pope, 2000) is accurately determined like in DNS. This approach not only requires a fully resolved velocity 245 measurement but also a well converged  $E_{11}(\kappa_1)$  as the conversion is highly sensitive to statistical scatter. Ultimately, the choice

of  $L_{11}$  strongly affects  $\epsilon_L$ . In this work, we integrate  $f(r)$  to the first zero-crossing because it does not depend on assumptions on the decay of  $f(r)$  and the choice of the fit-range.

### 2.3 Simulations of homogeneous isotropic turbulence

In this study, the direct numerical simulations of statistically homogeneous isotropic turbulent flow with  $74 \leq R_\lambda \leq 321$  are used as the basis for evaluating the different methods for determining the dissipation rate, see Table 2. Thereby, the performance of the different methods to estimate the energy dissipation rate is not affected by violating fundamental assumptions, e.g., anisotropy or inhomogeneity. The simulations are carried out with the ~~parallelized-parallel~~ solver TurTLE (Lalescu et al., 2022), which solves the Navier-Stokes equations on a periodic domain using a pseudo-spectral method with a third-order Runge-Kutta time stepping. Here, we use a forcing scheme with a fixed energy injection rate on large scales. ~~With this, we reach a statistically stationary state of homogeneous isotropic turbulence within approximately two to three integral times.~~

To mimic an ensemble of single-point measurements, we introduced 1000 virtual probes into the flow (one-way coupled, i.e., without back-reaction on the flow), which move with a given constant speed in randomly-directed straight paths to record the local flow velocity. Since the trajectories of the virtual probes are randomly oriented and the probability that they are exactly aligned with the simulation boundaries is low, the effect of periodic boundaries on the recorded velocity signal is expected to be small. We assume that the virtual probe records idealized velocity time series neglecting the effect of transfer functions ~~(e.g. Horst and Oncley, 2006; Freire et al., 2019, regarding sonic anemometry)~~ (e.g., Horst and Oncley, 2006; Freire et al., 2019, regarding or noise (Lenschow and Kristensen, 1985; Antonia, 2003; Lewis et al., 2021). While the ~~root-mean-square-root-mean-square~~ velocity fluctuation is determined by the Navier-Stokes simulation, we can control the mean flow speed through the speed of the virtual probe. The range of used constant speeds corresponds to turbulence intensities of 1-50%. Along the trajectories, we then sample the local three-dimensional velocity field (see Fig. 1) as well as the velocity gradient field, where we use spline-interpolation ~~of order 5~~ to determine values in between grid points, see also (Lalescu et al., 2010, 2022). By projecting the velocity vector on the direction of the trajectory,  $e_1$ , and the orthogonal directions,  $e_2$  and  $e_3$ , we split the velocity field in longitudinal and transverse components, respectively. From the sampled velocity gradient tensor, we compute the local instantaneous dissipation  $\epsilon_0$ . The time step is limited either by the stability requirements of the flow solver or, for smaller turbulence intensities, by the required sampling frequency to capture the underlying flow. Here, we choose the time step such that the distance traveled by the probe within one step is around a tenth of the grid spacing,  $U\Delta t \approx 0.1\Delta x$ . The grid spacing  $\Delta x$  is chosen such that the highest wavenumber  $k_{\max}$  satisfies  $k_{\max}\eta_K \approx 3$ .

Using Taylor's hypothesis, the longitudinal velocity time series correspond ~~on average to  $\sim 3000\eta_K$  to at least  $13L_{11}$~~  (for more details see Table 2) so that second- and third-order moments of both longitudinal velocity fluctuations and increments are reasonably converged (see Fig. A3). To estimate  $\epsilon_{I3}$ ,  $\epsilon_{I2}$  and  $\epsilon_S$ , the longitudinal structure functions are evaluated for scales  $20\eta_K \leq r \leq 500\eta_K$  or in frequency domain for  $\frac{U}{500\eta_K} \leq f \leq \frac{U}{20\eta_K}$ . The ground-truth reference for the mean energy dissipation rate per virtual probe is given by  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{\text{VP}}$ , i.e., the average of the dissipation field along the trajectory of each virtual probe. The global mean energy dissipation rate can be approximated by the ensemble average of all  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{\text{VP}}$  from all virtual probes, i.e.,  $\langle \langle \epsilon_0(\mathbf{x}, t) \rangle_{\text{VP}} \rangle_N$ .



case id	box size	$R_\lambda$	$\dot{E}$ e.u. $\cdot k_{\max}\eta_K\eta_K$ [c.u.]	$I$	$L_{11}/\eta_K$	$\mathcal{L}/L_{11}N_p\#$
DNS 1.1	512	74	0.430.015	0.01	41.2	161 10000
DNS 1.2	512	74	0.430.015	0.05	41.4	160 10000
DNS 1.3	512	74	0.430.015	0.10	41.3	160 10000
DNS 1.4	512	74	0.430.015	0.24	41.3	21 10000
DNS 1.5	512	74	0.430.015	0.50	41.4	16 10000
DNS 2.0	1024	142	0.430.007	0.11	99.0	332.8 1000
DNS 2.1	1024	219	0.430.007	0.01	147.8	15.6 1000
DNS 2.2	1024	217	0.430.007	0.06	147.6	15.7 1000
DNS 2.3	1024	216	0.430.007	0.11	147.9	15.6 1000
DNS 2.4	1024	212	0.430.007	0.27	146.8	15.7 1000
DNS 2.5	1024	207	0.430.007	0.53	145.5	15.8 1000
DNS 3.1	2048	302	0.530.003	0.01	260.9	13.6 1000
DNS 3.2	2048	299	0.530.003	0.05	258.2	13.8 1000
DNS 3.3	2048	295	0.530.003	0.11	254.8	14.0 1000
DNS 3.4	2048	314	0.530.004	0.26	275.6	20.2 1000
DNS 3.5	2048	321	0.530.004	0.53	282.9	14.7 1000

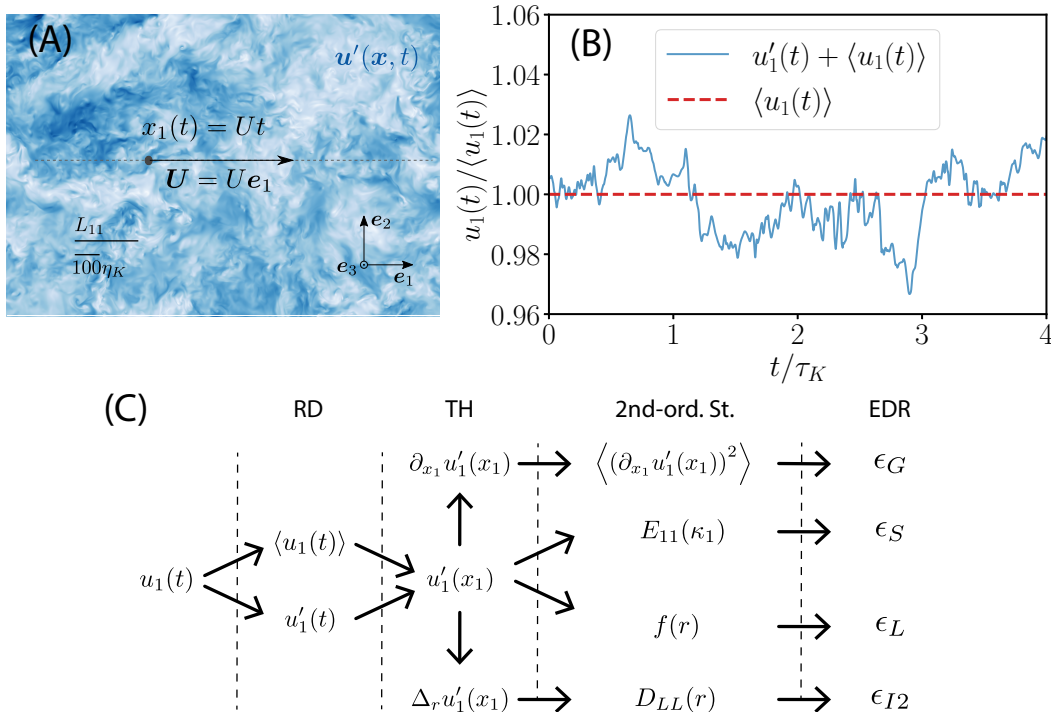
**Table 2.** Parameter overview for each DNS.  $R_\lambda$  is the Taylor scale Reynolds number,  $\dot{E}$  the energy injection rate in code units (c.u.),  $k_{\max}$  the largest resolved wavenumber,  $\eta_K$  the Kolmogorov length scale,  $I = \sigma_{u'_1}/U$  is the turbulence intensity,  $L_{11}$  the longitudinal integral length scale derived from  $E(\kappa)$ ,  $\mathcal{L}$  is the average probe track distance and  $N_p$  the number of virtual probes. The turbulence intensity  $I$  is controlled by setting the probe mean velocity where  $\sigma_{u'_1} \approx 1$  is the root mean square longitudinal velocity fluctuation. For all cases  $k_{\max}\eta_K \approx 3$ , with  $k_{\max}$  being the largest resolved wavenumber. For DNS 1.x and 2.x, the energy injection rate  $\dot{E}_m$  in code units is 0.4, while for DNS 3.x it is set to 0.5. The number of virtual probes  $N_p$  for DNS 1.x is 10000, whereas for DNS 2.x and DNS 3.x  $N_p = 1000$ .

## 280 2.4 Variable Density Turbulence Tunnel (VDTT)

To evaluate the performance of different methods at Reynolds numbers applicable to atmospheric flows, we use the high-resolution hot-wire measurements of the longitudinal velocity components in the MPIDS VDTT (VDDT, Bodenschatz et al., 2014). The VDTT datasets used here are associated with  $R_\lambda$  between 147 and 5864  $147 \leq R_\lambda \leq 5864$ , which enables us to bridge the gap between DNS ( $74 \leq R_\lambda \leq 321$ ) and atmospheric  $R_\lambda \sim \mathcal{O}(10^3)$  Risius et al. (2015).

285 The VDTT is a recirculating wind tunnel where the working gas  $\text{SF}_6$  is pressurized up to 15 bar ~~to increase its density and thereby enhancing the Taylor-scale Reynolds number. To achieve the same density in air, the pressure would have had to be about 100 bar, which would have required a much thicker tunnel wall and more expensive construction.~~ The VDTT has a horizontal length of 11.68 m and an inner diameter of 1.52 m where the rotation frequency of the fan sets the mean flow velocity ranging from 0.5 m/s to 5.5 m/s (Bodenschatz et al., 2014). Long-range correlations of the turbulent flow determine its anisotropy. These long-range correlations are shaped with the help of an active grid consisting of 111 independently rotating winglets (Küchler et al., 2019; Küchler, 2021). ~~The angular orientation of each winglet  $\vartheta(t, y, z)$  can be individually adjusted in space and time by a control software depending on spatio-temporal grid correlations (Griffin et al., 2019).~~

$$\vartheta(t, y, z) = \int K(t', y', z') A(t - t', y - y', z - z') dt' dy' dz',$$



**Figure 1.** From single-point velocity time records to the energy dissipation rate. (A) Virtual probe sampling the three-dimensional velocity field of the DNS 3.1 (see Table 2) in time and space at a mean velocity  $U$  along its  $e_1$ -direction corresponding to turbulence intensity of 1%. (B) One-dimensional velocity time series  $u_1(t)$  (blue solid) with corresponding time average  $U = \langle u_1(t) \rangle$  (red dashed line, i.e. Eq. (1); red dashed line) of the same DNS 3.1, where both  $u_1(t)$  and  $\langle u_1(t) \rangle$  are re-scaled by  $U/\langle u_1(t) \rangle$ . (C) Visualization of the workflow from one-dimensional velocity time record  $u_1(t)$  to the energy dissipation rate via different methods. First,  $u_1(t)$  is decomposed in its mean and fluctuating part according to Reynolds decomposition (RD). Then, the velocity time series is converted into a one-dimensional velocity field invoking Taylor's hypothesis (TH). Subsequently, second-order statistics (2nd-ord. St.) of the longitudinal velocity fluctuations, its increments and first spatial derivative are inferred from which the energy dissipation rate is estimated with the help of different methods.

295 where  $A$  is a three-dimensional random matrix of winglet angles and  $K$  is the correlation kernel. The turbulence in the experiments with  $R_\lambda \in \{4141, 5006, 5865\}$  was driven in an anisotropic way (Küchler, 2021).

Longitudinal velocity fluctuations are temporally recorded with 30  $\mu\text{m}$  to 60  $\mu\text{m}$  long nanoscale thermal anemometry probes NanoScale Thermal Anemometry Probes (NSTAP; Bailey et al., 2010; Vallikivi et al., 2011, among others) or a 450  $\mu\text{m}$  long conventional hot-wire from Dantec (Jørgensen, 2001) corresponding to a resolution of  $< 3\eta_K$  and  $< 5\eta_K$ , respectively (Küchler et al., 2019) at variable distances from the active grid ranging from  $\approx 6\text{--}9$  m. The velocity measurements have been extensively characterized in terms of the mean flow profiles (Küchler, 2021) as well as the decay of turbulent kinetic energy (Sinhuber et al., 2015; Sinhuber, 2015) exposing velocity probability distribution functions (PDF) being flatter than Gaussian (Küchler, 2021). The inertial-range-inertial-range scaling exponent  $\zeta_2$  of the longitudinal second-order structure function is in agreement with Kolmogorov's revised phenomenology from 1962 ( $\zeta_2 = 0.693 \pm 0.003$  for  $R_\lambda > 2000$ ) for a large variety of wake generation schemes (Küchler et al., 2020). In the case of hot-wire measurements in the VDTT, the ground-truth energy

300

305 dissipation rate for a given averaging window  $R$  is given by the gradient method  $\langle \epsilon_G \rangle_R$ , which converges fastest as shown in Sec. 3.5.

## 2.5 Strategies for the evaluation of systematic and random errors

~~Virtual~~ The virtual probes record one-dimensional time records of the DNS longitudinal velocity component, from which the mean energy dissipation rate can be estimated by various methods and compared with the energy dissipation rate obtained directly from the DNS dissipation field. Generally, there are two different errors when estimating the mean energy dissipation rate, namely the systematic errors and random errors. The latter is related to the estimation variance of the mean energy dissipation rate, i.e., the statistical scatter of the  ~~$\langle \epsilon \rangle$~~   $\langle \epsilon \rangle_R$ -estimates around the ~~true mean~~ ground truth of the local mean energy dissipation rate defined in Eq. 4. The systematic error of the mean energy dissipation rate estimates expresses itself in a non-vanishing ensemble average of the deviations from the ground-truth ~~, i.e., the global volume average defined in Eq. 5.~~

315 Systematic errors are an inherent feature of the methods used for estimating the dissipation rate, but are also affected by experimental limitations and imperfections such as averaging windows and finite turbulence intensity parameterized by  $R$  and  $I$ , respectively. One way to estimate these errors is to compare the estimated mean energy dissipation rate for a given averaging window  $R$  to the ground-truth of the DNS defined by the mean energy dissipation rate per virtual-probe track, i.e.,  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$ . Another possibility ~~would be~~ is to compare the estimates to the ensemble average of the mean energy dissipation rate from all virtual probes, i.e.,  $\langle \langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R} \rangle_N$ , where  $N = 1000$  is the total number of virtual probes. Either of these possibilities is valid and would be interesting to understand. However, our analysis ~~shows (not presented here)~~ yields that the second approach is associated with a slightly higher ~~absolute value~~ value for the systematic error and a slightly higher standard deviation. For that reason, we have chosen the second approach to make a conservative assessment of the systematic errors, i.e., we compare the estimates of each method against  $\langle \langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R} \rangle_N$ , by

$$325 \quad \beta_i = \frac{\langle \epsilon_i \rangle_R}{\langle \langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R} \rangle_N} - 1, \quad (12)$$

where  $i \in \{G, I3, I2, S, L\}$  and  $\langle \epsilon_i \rangle_R$  is the estimate of the energy dissipation rate via method  $i$  under the experimental limitation and imperfection such as size of averaging window or finite turbulence intensity. To distinguish between the different error terms in this manuscript, we refer to  $\beta$  as “reference-compared” systematic error.

In addition, the systematic error can be evaluated by comparing the estimates of the energy dissipation rate obtained by a method with imperfect data against the estimates obtained by the same method with optimal data. We denote these types of errors with  $\delta$  and refer to them as “self-compared” errors. An experimental imperfection we considered here is the sensor misalignment, which is a non-zero angle of incidence  $\theta$  between the longitudinal flow direction that sensor expect and  $U$ . To investigate the isolated effect of sensor misalignment, we consider a specific set of DNS with constant turbulence intensity ( $I = 1\%$ ) and the entire track length for each virtual probe. The self-compared systematic error of each method due to misalignment

335 is defined as

$$\delta_i(\theta) = \frac{\epsilon_i(\theta)}{\epsilon_i(0)} - 1, \quad (13)$$

where  $\epsilon_i(\theta)$  is the estimate of the energy dissipation rate via method  $i \in \{G, I3, I2, S, L\}$  from data with misalignment  $\theta$  and  $\epsilon_i(0)$  is the estimated dissipation rate from the same method and flow conditions but with an aligned sensor, i.e.,  $\theta = 0$ .

340 Estimates of the mean energy dissipation rate are susceptible not only to systematic errors, but also to random errors due to statistical uncertainty. For the averaging window, errors given by Eq. (12) would be the best indicator of systematic errors. However, random errors due to size of averaging window can also be significant. When the spatial averaging window  $R$  (or temporal averaging window  $\tau$ ) is finite, we capture the self-compared random error for each individual method by

$$\delta_i(R) = \sqrt{\left\langle \left( \frac{\langle \epsilon_i \rangle_R}{\langle \langle \epsilon_i \rangle_R \rangle_N} - 1 \right)^2 \right\rangle_N}, \quad (14)$$

345 where  $\langle \epsilon_i \rangle_R$  is the local mean energy dissipation rate based on the averaging window  $R$  normalised by its ensemble average, i.e.,  $\langle \langle \epsilon_i \rangle_R \rangle_N$ . Eq. (14) indeed calculates the standard deviation of the normalized  $\langle \epsilon_i \rangle_R$ , which is used here as a proxy for the random error. Table 3 provides an overview of the different error types and terminologies used here.

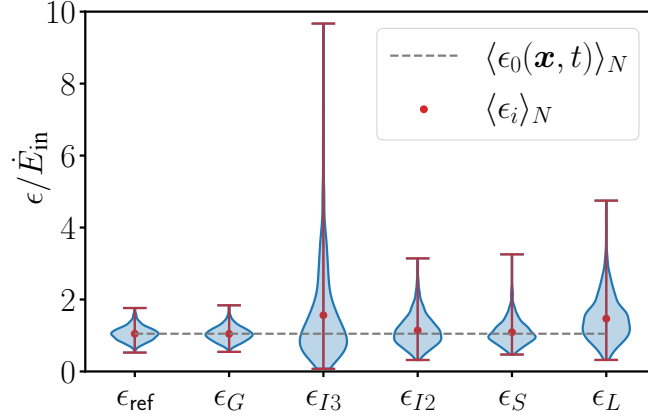
Symbol	Definition	Equation
$\beta_i$	reference-compared systematic error, i.e., relative to ground-truth reference $\langle \langle \epsilon_0(\mathbf{x}, t) \rangle_R \rangle_N$	(12)
$\delta_i(\theta)$	self-compared (systematic) error of each method at a given misalignment angle $\theta$ relative to the estimates provided by the same method but at $\theta = 0$	(13)
$\delta_i(R)$	self-compared (random) error at a given averaging window of $R$ or $\tau$ relative to the average value from all virtual probes at the same averaging window of $R$ or $\tau$	(14)

**Table 3.** Overview of different types of investigated errors investigated in this study and their definitions.  $i \in \{G, I3, I2, S, L\}$ , where  $G$  stands for gradient method,  $I3$  for 4/5 law,  $I2$  for second-order structure function in the inertial range,  $S$  for the spectral method, and  $L$  for the scaling argument. The averaging window is denoted spatially by  $R$  and temporally by  $\tau$ . The misalignment angle is represented by  $\theta$ .

### 3 Results and Discussion

350 In the following, we first focus on the DNS data to calculate  $\epsilon_G, \epsilon_{I3}, \epsilon_{I2}, \epsilon_S$ , and  $\epsilon_L$  from the entire longitudinal velocity time records of all virtual probes and compare these estimates against the ground-truth reference. Then, we systematically investigate the impact of turbulence intensity, (virtual) probe orientation, and averaging window size for all methods of interest. The influence of flow Reynolds number on the presented results are then discussed by taking into account the VDTT data together with the DNS data. Finally, we provide a proof of concept for a time-dependent dissipation rate calculation by comparing the dissipation time series measured by  $\epsilon_G, \epsilon_{I2}$ , and  $\epsilon_L$  and its coarse-grained surrogate. In the following, we use the definitions of systematic and random errors as mentioned in Sec. 2.5 and Table 3.

To verify the implementation of our methods, only data from cases with a low turbulence intensity of 0.01 and an averaging window covering the entire size of the probe track are used in this section. Furthermore,  $\epsilon_{I2}$  and  $\epsilon_{I3}$  are obtained by a fit according to Eq. (7) with  $n = 2$  and  $n = 3$ , respectively, in the inertial range with  $r \in [20\eta_K, 500\eta_K]$  for DNS 2.1 and 3.1. Analogously,  $\epsilon_S$  is inferred from the inertial range fit, Eq. (9), in the range  $f \in [U/(500\eta_K), U/(20\eta_K)]$ . For DNS 1.1 with  $R_\lambda = 74$ , due to the absence of an inertial range for low Taylor-scale Reynolds number (see Fig. A7), the maximum of Eq. (7) is used to infer  $\epsilon_{I2}$  and  $\epsilon_{I3}$  instead of fitting the inertial range.



**Figure 2.** Validation of estimating the energy dissipation rate from  $\epsilon_G, \epsilon_{I2}, \epsilon_{I3}, \epsilon_S$ , and  $\epsilon_L$  re-scaled by the energy injection rate  $\dot{E}_{in}$ . The data are taken from DNS 3.1 with 1000 probes,  $R_\lambda = 302$ ,  $I = 1\%$ ,  $\theta = 0^\circ$  and maximal available averaging window ( $R \approx 3550\eta_K$ ). The ensemble mean of each method  $\langle \epsilon_i \rangle_N$  is denoted by red dots where the whiskers extend from the minimal to maximal estimate of  $\epsilon_i$  where  $i \in \{G, I3, I2, S, L\}$ . The reference mean energy dissipation rate for each probe is given by  $\epsilon_{ref}$ . The dashed line represents the re-scaled global mean energy dissipation rate of DNS 3.1 which is approximated by the ensemble average of the true mean energy dissipation rate along the trajectory of each virtual probe.

$\beta$ errors	mean [%]	median [%]	std. dev. [%]	range [%]
$\beta_{ref}$	0.0	-0.7	18.6	-49.8...68.2
$\beta_G$	-0.5	-1.7	19.3	-48.1...75.4
$\beta_{I2}$	8.8	3.1	41.7	-69.6...199.8
$\beta_{I3}$	49.2	10.1	123.1	-93.1...822.2
$\beta_S$	4.1	-2.0	32.1	-55.2...210.4
$\beta_L$	40.0	31.5	59.6	-69.5...352.9

**Table 4.** Statistics (mean, median, standard deviation and range) of the reference-compared systematic errors for different methods and case DNS 3.1 with  $R_\lambda = 302$ . Here  $\beta_{ref}$  is defined as  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP} / \langle \langle \epsilon_0(\mathbf{x}, t) \rangle_N \rangle_N - 1$ , which shows the actual variability in the dissipation rate field. The last column shows the range of minimum to maximum percentage deviation from the mean.

The distribution of the mean energy dissipation rate estimated by  $\epsilon_G, \epsilon_{I2}, \epsilon_{I3}, \epsilon_S$ , and  $\epsilon_L$  for each probe at  $R_\lambda = 302$  is shown in Fig. 2 and Table 4. Estimations for other  $R_\lambda$  are shown in supplementary Fig. A1. **The ground-truth reference for the mean energy dissipation rate for each probe is given by  $\epsilon_{ref} = \langle \epsilon_0(\mathbf{x}, t) \rangle_{VP}$  along the probe trajectory  $\mathbf{x}$ , which is the average**

365 of the instantaneous energy dissipation rate along the trajectory of each individual virtual probe (mean, median, standard deviation and range of  $\beta_{\text{ref}}$ : 0%, -0.7%, 18.6%, -50%...68.2% where  $\beta_{\text{ref}} = \langle \epsilon_0(\boldsymbol{x}, t) \rangle_{\text{VP}} / (\langle \epsilon_0(\boldsymbol{x}, t) \rangle_{\text{VP}} - 1)$ ). The best performing method is the gradient method  $\epsilon_G$  (mean, median, standard deviation and range of  $\beta_G$ : -0.5%, 1.7%, 19.3%, -48.1%...75.4%). The range of  $\beta_G$  is with the range being also very close to the range of  $\beta_{\text{ref}}$ . The method with highest error is, where as  $\epsilon_{I3}$  (mean, median, standard deviation, range of  $\beta_{I3}$ : 49.2%, 10.1%, 59.6%, -93.1%...822.2%). is associated with the mean highest deviation. The superior performance of  $\epsilon_G$  compared to others is mainly due to the fact that it relies on (dissipation-range) second-order dissipative statistics that can be captured with fast statistical convergence within a short sampling interval. Hence, the distribution of  $\epsilon_G$  and  $\epsilon_{\text{ref}}$  are similar.  $\epsilon_{I3}$ , on the other hand, relies on third-order moments of the velocity increments of inertial scales associated with slower statistical convergence compared to  $\epsilon_G$ . Therefore,  $\epsilon_{I3}$  requires longer velocity records than  $\epsilon_G$  to converge under stationary conditions. For this reason, the third-order structure function is not considered further in this study, as one of the main objectives of this study is to evaluate different methods suitable for extracting the time-dependent energy dissipation rate.

370 Fig. 2 Figure 2 and Table 4 also shows that the estimates of the energy dissipation rate provided by  $D_{LL}(r)$  and  $E_{11}(\kappa_1)$  are close to each other, which can be explained by the fact that they are both second-order quantities (in real and Fourier space, respectively) connected by  $f(r)$ . Furthermore, Unlike  $\epsilon_G$ , both  $\epsilon_{I2}$  tends to and to a lesser extent  $\epsilon_S$  tend to overestimate the energy dissipation rate as the mean is 8.8% higher than  $\langle \epsilon(\boldsymbol{x}, t) \rangle_N$  (median  $\beta_{I2} \sim 3.1\%$ , standard deviation 41.7%, -69.6% <  $\beta_{I2}$  < 199.8%).  $\epsilon_S$  exhibits a similar overestimation (mean  $\beta_S \sim 4.1\%$ , median  $\beta_S \sim -2.0\%$ , standard deviation 32.1%, -55.2% <  $\beta_S$  < 210.4%), though to a lesser extent. However,  $\epsilon_S$  depends much stronger on properly setting the fit-range than  $\epsilon_{I2}$  (supplementary Fig. A2). The spectral method  $\epsilon_S$  can differ by a factor of 2 from  $\epsilon_{I2}$  depending on the high-frequency limit. This factor of 2 is in accordance with a comparison of  $\epsilon_{I2}$  and  $\epsilon_S$  by a linear fit resulting in a slope close to 0.5 (Akinlabi et al., 2019). In the DNS, the power spectrum is subject to strong statistical uncertainty at high frequencies without ensemble-averaging the spectra of each virtual probe or longer DNS runtimes. As the high-frequency limit of the inertial range of the spectrum is hardly distinguishable from its dissipation range, the choice of the fit-range range for  $\epsilon_S$  is related to the fit-range of the longitudinal second-order structure function by  $f \in [U/(500\eta_K), U/(20\eta_K)]$  as mentioned above. Waclawczyk et al. (2020) found that the estimation of the energy dissipation rate from the power spectral density is generally robust at small wavenumbers (i.e. larger length scales) whereas the second-order structure function performs better at larger wavenumbers small length scales (i.e. larger wavenumbers). With our choice of the fit-range  $r \in [20\eta_K, 500\eta_K]$  for DNS 3.1 dataset shown in Fig. 2, we confirm that  $\epsilon_{I2}$  is already reliable at the lower end of the inertial range where dissipative effects are negligible.

395 At last,  $\epsilon_L$  overestimates  $\langle \langle \epsilon_0(\boldsymbol{x}, t) \rangle_{\text{VP}} \rangle_N$  by 40% on average (median  $\beta_L \sim 31.5\%$ , -69.5% <  $\beta_L$  < 352.9%). This, this systematic overestimation might be due to the difficulty in determining  $L_{11}$  as different methods for estimating the integral length  $L_{11}$  can contribute to the systematic bias of  $\epsilon_L$ . As mentioned above, we infer the longitudinal integral length from fitting  $f(r)$  to the first zero crossing which yields, at least in the DNS of this work, a systematic underestimation due to the scatter in both  $\sigma_{u_1}$  and  $L_{11}$ , as illustrated in Fig. A3. Figure A3 and A4 suggest that the scatter of  $\epsilon_L$  is affected by the scatter of both  $\sigma_{u_1}$  and  $L_{11}$ . However, the accuracy of the dissipation constant  $C_\epsilon$ , which is a function of large-scale forcing and



400 initial conditions (Vassilicos, 2015; Sreenivasan, 1998; Sreenivasan et al., 1995; Burattini et al., 2005), can potentially cause larger mean deviations of  $\epsilon_L$  from  $\langle\langle\epsilon_0(\mathbf{x}, t)\rangle\rangle_{VP,N}$ . Advantageously, the large-scale estimate  $\epsilon_L$  is applicable to low-resolution measurements and only weakly biased with respect to the ground-truth of DNS 1.1 where the variance is better converged (see Table A3 and Fig. A1). [Figure A1 and](#) Table A3 gives an overview of the systematic errors of the different methods at different Reynolds numbers, showing that the above conclusions are also valid for lower  $R_\lambda$ .

405 DNS  $\langle\beta_G\rangle_N \langle\beta_{I3}\rangle_N \langle\beta_{I2}\rangle_N \langle\beta_S\rangle_N \langle\beta_L\rangle_N$  1.1  $-0.003 \pm 0.001$  0.132  $\pm 0.005$   $-0.047 \pm 0.002$  0.011  $\pm 0.002$   $-0.044 \pm 0.003$  2.1  $-0.002 \pm 0.011$   $\pm 0.014$  0.074  $\pm 0.010$  0.313  $\pm 0.017$  3.1  $-0.005 \pm 0.006$  0.492  $\pm 0.039$  0.088  $\pm 0.013$  0.041  $\pm 0.010$  0.400  $\pm 0.020$  The systematic error of each method  $\beta_i$  relative to the global mean energy dissipation rate, Eq. (12), of each DNS where  $i \in \{G, I3, I2, S, L\}$ . The error is given by the standard error, which is defined as the standard deviation divided by the square root of the number of samples. In both DNS 2.1 and 3.1,  $\epsilon_{I2}$  and  $\epsilon_{I3}$  were obtained by fitting Eq. (7) for  $n=2$  and  $n=3$ , respectively, in the range  $r \in [20\eta_K, 500\eta_K]$ . This fit range is also used for calculating  $\epsilon_S$  and it was converted into frequency domain by  $f = U/r$ , where  $U$  is the mean velocity. In the case of DNS 1.1, the maximum of Eq. (7) was used to infer  $\epsilon_{I2}$  due to the absence of a pronounced inertial range. We used the maximum available window size  $R$  in all cases, fixed turbulence intensity  $I = 1\%$  and considered perfect alignment, i.e.  $\theta = 0^\circ$ .

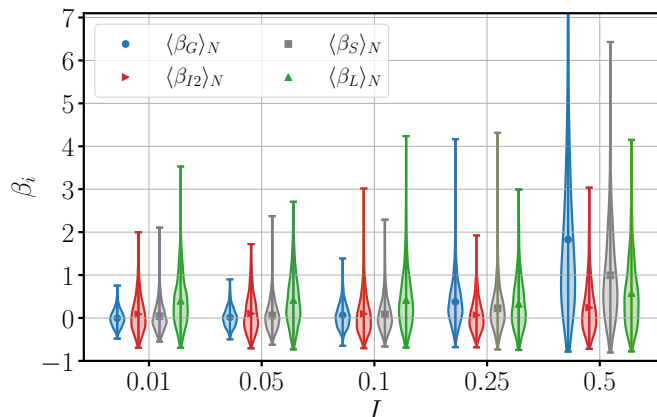
### 3.2 Validity of Taylor’s hypothesis and impact of random sweeping effects

415 A finite turbulence intensity causes the time to space conversion of velocity statistics to be inaccurate and, hence, affects the energy dissipation rate. In the case of high turbulence intensity ( $I \gtrsim 0.5$ ), the eddy turnover time is on the same order as the advection time. As a result, the [For a large turbulence intensity the](#) local speed and direction of the flow is significantly varying in time and space, which hinders the applicability of the Taylor’s hypothesis. Here, we quantify the impact of random sweeping on the accuracy of determining the mean energy dissipation rate. Therefore, we set the mean speed of the virtual probes in each DNS so that the turbulence intensity, and in consequence the random sweeping, is a control parameter.

420 [Fig. Figure](#) 3 shows the systematic errors  $\beta_i$  for  $\epsilon_G$ ,  $\epsilon_{I2}$ ,  $\epsilon_S$ , and  $\epsilon_L$  at different turbulence intensities for DNS 3.1-5. For each virtual probe taken into account in Fig. 3, we used the entire time series so that the size of the averaging window is maximal. While each method has a different systematic error and scatter, Fig. 3 indicates that the mean relative deviation of each estimate from the global mean  $\langle\langle\epsilon_0(\mathbf{x}, t)\rangle\rangle_{VP,N}$  increases with turbulence intensity. This is particularly strong for the gradient method. For  $I = 1\%$  and  $I = 10\%$ , the gradient method has the lowest scatter in terms of the standard deviation  $\sigma_{\beta_G}$  (19.3% and 27.3%) and the lowest systematic error in terms of the  $\langle\beta_G\rangle_N$  ( $-0.5\%$  and  $6.1\%$ ), respectively. At higher turbulence intensities,  $\epsilon_{I2}$  is the least affected method with  $\langle\beta_{I2}\rangle_N = 6.5\%$  and  $\sigma_{\beta_{I2}} = 37.2\%$  for  $I = 25\%$  as well as  $\langle\beta_{I2}\rangle_N = 24.5\%$  and  $\sigma_{\beta_{I2}} = 56.9\%$  for  $I = 50\%$ . At the highest turbulence intensities, both  $\epsilon_L$  and  $\epsilon_S$  are associated with lower mean  $\beta$  than that of  $\epsilon_G$ .

430 The fraction of track samples that can lead to a deviation of larger than 100% increases from 0% to  $\sim 60\%$  for  $\epsilon_G$  as the turbulence intensity increases from 1% to 50%. We hypothesize that these deviations of the mean are the result of random sweeping effects, which limit the applicability of Taylor’s hypothesis. In frequency space, Taylor’s hypothesis ([Taylor, 1938](#)) establishes a one-to-one mapping between the frequency and the streamwise wavenumber, i.e.,  $\omega = \kappa_1 U$ . As the turbulence intensity grows, a randomly sweeping mean velocity smears out this correspondence between frequencies and wavenum-

bers. For the spectrum, this smearing out effectively moves energy from larger scales to smaller and less energetic ones  
 435 [\(Lumley, 1965; Tennekes, 1975\)](#). Therefore, it leads to an overestimation in the inertial and dissipation range of the spec-  
 trum, thus, affecting the inertial range and gradient method. To visualize this overestimation, we evaluate the effect of random  
 sweeping on the spectrum (Eq. (B2)) numerically for different turbulence intensities at the example of a model spectrum  
 (Eq. (B4)). The result is shown in Fig. 4, where the spectrum is pre-multiplied by  $\kappa_1^2$  to later highlight the effect on the gradient  
 method. Here, the overestimation is most pronounced in the dissipative range.



**Figure 3.** Systematic error  $\beta_i$ , Eq. (12), as a function of turbulence intensity  $I \in \{0.01, 0.05, 0.1, 0.25, 0.5\}$  for  $\epsilon_G$  ( $\bullet$ ),  $\epsilon_{I2}$  ( $\blacktriangleright$ ),  $\epsilon_S$  ( $\blacksquare$ ), and  $\epsilon_L$  ( $\blacktriangle$ ). The energy dissipation rates are estimated from each longitudinal velocity time series of DNS 3.1-5 with ideal alignment ( $\theta = 0^\circ$ ) where the maximal available window size was used. The fit-range for the inertial range of the power spectral density is chosen to be within  $U/(500\eta_K) \leq f \leq U/(20\eta_K)$  where  $\eta_K$  is the Kolmogorov length scale, and, equivalently in space domain,  $20\eta_K \leq r \leq 500\eta_K$  for the longitudinal second-order structure function. The upper limit of the y-axis is chosen to be 7.1 for improving the plot visibility (there are some outliers of  $\epsilon_G$  for  $I = 50\%$ ).

440 To quantify the impact of random sweeping on estimates of  $\epsilon(\epsilon)$ , we first consider the influence of random sweeping on  
 the gradient method. For the gradient method, Lumley (1965) and Wyngaard and Clifford (1977) have shown that in isotropic  
 turbulence random sweeping leads to a relative deviation of the volume-averaged mean energy dissipation rate by

$$\frac{\epsilon_G}{\langle \epsilon \rangle} - 1 = \beta_G = 5I^2 \quad \text{with} \quad \epsilon_G = 15\nu \int \kappa_1^2 E_{11}(\kappa_1) d\kappa_1 = \langle \epsilon \rangle [1 + 5I^2]. \quad (15)$$

We illustrate this result in the appendix Sec. B, where we consider a model wavenumber-frequency spectrum (Wilczek and  
 445 Narita, 2012; Wilczek et al., 2014), which is based on the same modeling assumptions used in Wyngaard and Clifford (1977).  
 Due to the  $\kappa_1^2$ -weighting of the gradient method, the mean dissipation rate estimate is highly sensitive to the viscous cutoff  
 of the energy spectrum, which is overestimated by random sweeping effects, see Fig. 4. As a consequence, deviations of the  
 estimated dissipation rate are growing rapidly with turbulence intensity. In the right panel of Fig. 4, we compare the effect  
 of random sweeping on the gradient method obtained with a model spectrum, the one computed by Lumley (1965), and  
 450 the observed deviations by measurements of the virtual probes in a DNS flow; here shown are the DNS 3.1-5. In fact, the  
 estimate from [\(Lumley, 1965\)](#) [Lumley \(1965\)](#) can explain the magnitude of deviations observed by the virtual probes in case

of  $\epsilon_G$  up to  $I = 25\%$ . The strong deviation of  $\beta_G$  at  $I = 50\%$  is likely due to the sensitivity of the gradients on the space-to-time conversion via Taylor's hypothesis: at high turbulence intensities, the mean velocity becomes smaller compared to the fluctuations. Therefore, the error of estimating the mean velocity due to finite averaging window, Eq. (2), increases **relatively**  
455 **relative** to the mean velocity. Larger relative errors in the estimated mean velocity lead - applying Taylor's hypothesis - to both under- and overestimated spatial gradients for the individual averaging windows, additional to the effect of random sweeping. Similarly this results in an additional overestimation of the dissipation rate. These deviations do not appear in evaluating random sweeping effects based on a model spectrum as there the mean velocity is a parameter we choose.

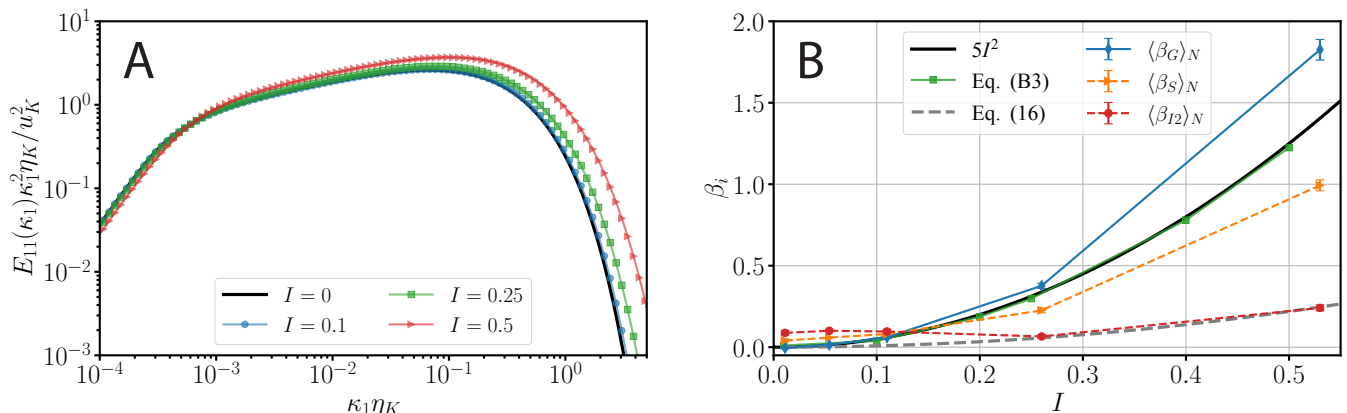
Now let us consider the two inertial sub-range methods. Here, as one can see in Fig. 3 and 4, the increase of the mean  
460 relative deviation,  $\beta_i$ , is less pronounced. In the inertial sub-range, random sweeping causes an overestimation of the spectrum of merely several percent while the inertial range scaling is preserved as shown in Wyngaard and Clifford (1977); Wilczek et al. (2014). As both the second-order structure function and the spectral method are based on the inertial sub-range of the energy spectrum, the effect of a randomly sweeping mean velocity is expected to be small on  $\epsilon_{I2}$  and  $\epsilon_S$ . Here, the overestimation of the spectrum can be used to express the relative systematic deviation of both  $\epsilon_{I2}$  and  $\epsilon_S$  for different turbulence intensities  
465 analytically:

$$\frac{\epsilon_{I2/S}}{\langle \epsilon \rangle} - 1 = \beta_{I2/S} = (C_T(I))^{3/2} - 1 \quad \text{with} \quad C_T(I) = \frac{5}{6} \int_0^\infty \underline{dy} \left[ \operatorname{erf} \left( \frac{y+1}{\sqrt{2I}} \right) - \operatorname{erf} \left( \frac{y-1}{\sqrt{2I}} \right) \right] y^{2/3} \underline{dy}, \quad (16)$$

where  $C_T(I)$  quantifies the spectral overestimation as function of mean wind and fluctuations defined as in (Wilczek et al., 2014). In Fig. 4B we compare the observed deviations from the DNS to Eq. (16). This shows that Eq. (16) underestimates  $\beta_{I2}$  for  $I \in \{0.01, 0.05, 0.1\}$  (i.e. DNS 3.1, 3.2 and 3.3). The underestimation is most likely due to additional random errors  
470 associated with finite averaging window lengths. It is obvious from Table 2 that DNS 3.3 has statistically the shortest probe tracks  $\sim 3440\eta_K$  (DNS 3.1:  $\sim 3550\eta_K$ , DNS 3.2:  $\sim 3560\eta_K$ ). Nonetheless,  $\beta_{I2}$  matches the prediction of Eq. (16) for  $I \in \{0.25, 0.5\}$  where the corresponding probe tracks statistically amount to  $\sim 5570\eta_K$  and  $\sim 4260\eta_K$ , respectively. The effect of the averaging window size on  $\epsilon_{I2}$  is explored in Sec. 3.4. We conclude that Eq. (16) can be used to estimate the error introduced by random sweeping of  $\epsilon_{I2}$ .

475 For the spectral method, Eq. (16) underestimates the relative error  $\beta_S$  for all turbulence intensities. This may be due to the strong dependence of  $\epsilon_S$  on the  $U$ -based fitting range, i.e.,  $f \in [U/(500\eta_K), U/(20\eta_K)]$ , which can differ significantly between virtual probes at high turbulence intensities. Further work is needed to assess the dependence of the spectral method on the choice of the fit-range for finite turbulence intensities.

Overall, random sweeping effects explain why the gradient method is more sensitive to turbulence intensity than inertial-  
480 range methods. Here, random sweeping accurately captures the deviations of the second-order structure function method as a function of turbulence intensity whereas it can only partially account for the observed deviations for the spectral method.



**Figure 4.** The effects of random sweeping on the energy dissipation  $\epsilon$  estimates. ~~(B2), assuming a model spectrum.~~ (A) Premultiplied model energy spectrum with random sweeping effects, Eq. (B2), for turbulence intensities  $I \in \{0.1, 0.25, 0.5\}$  where the original energy spectrum corresponds to  $I = 0$ . In Eq. (B4),  $\langle \epsilon \rangle$  is chosen to be equal to  $1 \text{ m}^2 \text{ s}^{-3}$ , the Kolmogorov length scale to  $\eta_K \approx 0.00038 \text{ m}$ .  $u_K = (\nu \langle \epsilon \rangle)^{1/2}$  is the Kolmogorov velocity scale. (B) Systematic over-prediction illustrated by the relative error  $\beta_i$ , Eq. (12), at different turbulence intensities. The systematic over-prediction by (Lumley, 1965) (solid black) matches with the numerically obtained systematic error  $\beta_G$  for the gradient method relative to the ground-truth reference  $\langle \epsilon \rangle$  by using the model spectrum (Eq. (B3), green squares). Both reasonably estimate the data obtained from DNS 3.1-5 (blue diamonds) up to a turbulence intensity of  $I = 25\%$ . Also, we show the systematic over-prediction of inertial sub-range methods ( $\beta_S$ : orange triangles, and  $\beta_{I2}$ : red circles, both from Eq. (12)) compared to the analytically derived error obtained by the random sweeping model ( $\beta_{I2,S}$ , Eq. (16), grey dashed).

### 3.3 Probe Misalignment

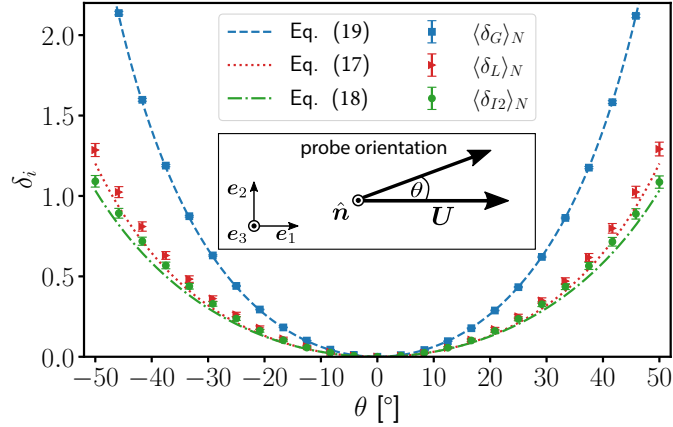
In this section, we assess the influence of probe misalignment with respect to the mean flow direction on estimating the energy dissipation rate at the energy injection scale, the inertial range and the dissipation range. Here, we assume the angle  $\theta$  between  
 485 the (virtual) anemometer and the global mean wind direction  $\frac{U}{|U|}$  to be constant throughout the sampling trajectory. As it can be seen from Eq. (C5),  $\epsilon_L$  depends on  $\theta$ . Then, the analytically derived error for  $\epsilon_L$  due to misalignment of the sensor and the longitudinal wind direction is given by

$$\delta_L(\theta) = \frac{\epsilon_L(\theta)}{\epsilon_L(0)} - 1 = \frac{2}{\cos\theta(1 + \cos^2\theta)} - 1, \quad (17)$$

where  $\epsilon_L(\theta)$  represents the energy dissipation that is derived given an angle of incidence  $\theta$  and  $\epsilon_L(0)$  is the reference value for  
 490 perfect alignment of the mean flow direction and the probe, i.e., when  $\theta = 0$ .

Analogously, the second-order structure function tensor is ~~effected~~-affected by misalignment (cf. appendix C). Thus, it can be shown that the analytically derived error  $\delta_{I2}(\theta)$  as a function of  $\theta$  reads

$$\delta_{I2}(\theta) = \frac{\epsilon_{I2}(\theta)}{\epsilon_{I2}(0)} - 1 = \left( \frac{4 - \cos^2\theta}{3} \right)^{3/2} \frac{1}{\cos\theta} - 1, \quad (18)$$



**Figure 5.** Influence of misalignment between probe orientation and the mean flow direction  $\mathbf{U}$  in terms of the average error of the energy dissipation rate  $\delta_i(\theta)$  as a function of the angle of attack  $\theta$ . The energy dissipation rates are derived from DNS 3.1 with a turbulence intensity of 1%,  $R_\lambda = 293$  and maximally available window size. The error bars are given by the standard error of the mean. The analytically derived errors  $\delta_G(\theta)$ ,  $\delta_L(\theta)$ ,  $\delta_{I2}(\theta)$  and  $\delta_L(\theta) - \delta_G(\theta)$  are given by Eqs. (19), (17), and (18) and (19) respectively. The ordinate is limited from 0 to 2.2 to guarantee a better visibility for  $\delta_L(\theta)$  and  $\delta_{I2}(\theta)$ . The inset visualizes the misalignment angle  $\theta$  between the probe orientation and the mean flow direction  $\mathbf{U}$ . The rotation axis is denoted by  $\hat{n}$ . As mentioned above, the mean flow direction  $\mathbf{U}$  is considered as the longitudinal direction of the flow.

where  $\epsilon_{I2}(\theta)$  represents the energy dissipation that is derived given an angle of incidence  $\theta$  and  $\epsilon_{I2}(0)$  is the reference value for perfect alignment of the mean flow direction and the probe. As outlined in Appendix C and with Eq. (5), the analytically derived error of  $\epsilon_G$  as a function of  $\theta$  can be calculated to

$$\delta_G(\theta) = \frac{\epsilon_G(\theta)}{\epsilon_G(0)} - 1 = 2 \left( \frac{1}{\cos^2 \theta} - 1 \right), \quad (19)$$

where  $\epsilon_G(\theta)$  represents the energy dissipation that is derived given an angle of incidence  $\theta$  and  $\epsilon_G(0)$  is the reference value for perfect alignment of the mean flow direction and the probe.

To compare the analytical expressions to DNS results, the sensing orientation of the virtual probes is rotated around the  $e_3$ -axis in the coordinate system of each the virtual probe by an angle  $\theta$  relative to their direction of motion, i.e., the  $e_1$ -axis. Then,  $\epsilon_L(\theta)$ ,  $\epsilon_{I2}(\theta)$ , and  $\epsilon_G(\theta)$  are inferred from the new longitudinal velocity component. The ensemble-averaged relative errors of the estimated energy dissipation rates  $\delta(\theta)$  due to misalignment is shown as a function of  $\theta$  in Fig. 5 in the range of  $\pm 50^\circ$  both for DNS and the analytically derived Eqs. (19), (17), and (18). In general, the ensemble-averaged systematic errors follow the analytically derived errors reliably in terms of the limits of accuracy for all  $R_\lambda$  at turbulence intensity  $I = 1\%$ . The longitudinal second-order structure function is the best performing method with a systematic error  $\langle \delta_{I2} \rangle_N$  of lower than 20% for  $\theta \in [-25^\circ, 25^\circ]$ , which increase to 100% at  $\theta = \pm 50^\circ$ .  $\langle \delta_L \rangle_N$  is similarly affected by misalignment but slightly larger than  $\langle \delta_{I2} \rangle_N$ . Despite its rapid statistical convergence,  $\epsilon_G$  is the most vulnerable method by misalignment compared to the other two methods.

510 In experiments where the sensor can be aligned to the mean wind direction within  $\theta \in [-10^\circ, 10^\circ]$  over the entire record time,  $\delta_i(\theta)$  is expected to be small. Further work is needed to evaluate the impact of a time-dependent misalignment angle  $\theta(t)$ . We suppose that keeping the angle of attack  $\theta$  fixed over the entire averaging window, here the entire time record of each probe, potentially leads to overestimation of  $\delta_i(\theta)$  with  $\theta$  being a function of time in practice.

### 3.4 Systematic errors due to finite averaging window size $R$

515 Here, our goal is to investigate how the accuracy of estimating the global mean energy dissipation rate depends on the averaging window size by investigating the associated systematic and random errors individually. To do this, we select an averaging window of size  $R$  from the beginning of each track of virtual probes for case DNS 3.1. In this way, we obtain 1 subrecord for each virtual probe, which amounts to a total of 1000 subrecords for each averaging window  $R$ . From each of these subrecords a mean value of  $\epsilon_0$  (i.e.  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP, R}$ ),  $\langle \epsilon_G \rangle_R$ ,  $\langle \epsilon_L \rangle_R$  and  $\langle \epsilon_{I2} \rangle_R$  are then evaluated. The smallest  $R$  considered for these  
 520 analyses is  $501\eta_K$ , which is limited by the upper bound of the fitting range  $r \in [20\eta_K, 500\eta_K]$  for estimating  $\epsilon_{I2}$ . The largest window size considered in this section is  $3000\eta_K$ , which is limited by the total length of the virtual-probe track (Table 2).

Before comparing estimates of the energy dispersion rate using different methods, let us first compare the locally averaged energy dispersion rate  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP, R}$  with the instantaneous energy dispersion rate, which is shown in Fig. 6A. All averaging window sizes create PDFs with similar shape, but significantly different from the shape of the instantaneous field. The larger  
 525 the volume over which the dissipation field is averaged, the more the PDF( $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP, R}$ ) converges to a peak at the global mean energy dissipation rate normalised by  $\dot{E}$ , i.e.  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP, R} / \dot{E} \approx 1.0$   $\dot{E}_{in}$ , i.e.  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP, R} / \dot{E}_{in} \approx 1.0$ .

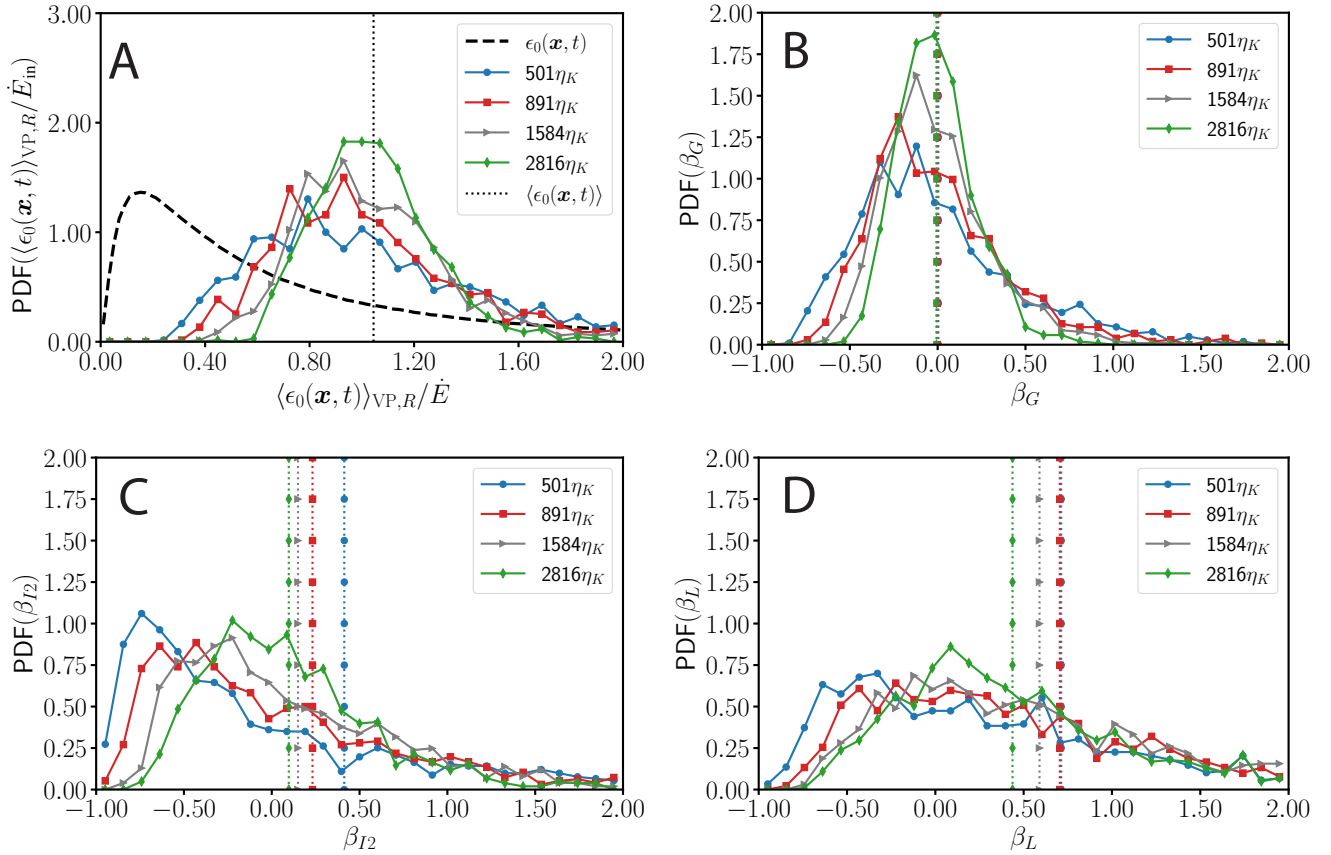
We can further explore the influence of averaging window  $R$  for each method by examining the distribution of systematic errors, i.e.,  $\beta_i$ , as shown in Fig. 6B-D. ~~First~~ The first main point to note is the fact that all methods at small  $R$  tend to peak at a dissipation rate lower than the global. Hence, the mean energy dissipation rate is most likely underestimated. All PDF( $\beta_i(R)$ )  
 530 become narrower and the mean relative errors  $\beta_i(R)$  converge to 0 as  $R$  increases. The second main point to consider is the statistical uncertainty, causing a random error in estimating the local mean energy dissipation rate  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP, R}$ . As it can be seen in Fig. 6B-D, the width of the distribution is wide with asymmetric long tails, especially for  $\beta_{I2}$  and  $\beta_L$ . This is an indication that high random errors are to be expected in the estimation of the mean energy distribution rate.

### 3.5 Random errors due to finite averaging window size $R$

535 We now focus on random errors associated with  $\epsilon_G$ ,  $\epsilon_L$  and  $\epsilon_{I2}$  analytically. We denote  $\langle \epsilon_G \rangle_R$ ,  $\langle \epsilon_L \rangle_R$  and  $\langle \epsilon_{I2} \rangle_R$  the energy dissipation rates that are estimated for a longitudinal velocity time record for a window of size  $R$ . For the calculation of random errors caused by the choice of the size of the averaging window, we consider DNS 1.3, 2.3, and 3.3, as well as wind tunnel experiments that all have a comparable turbulence intensity of  $I \approx 10\%$ .

Both the second-order structure function, Eq. (A3), and the scaling argument, Eq. (10), depend on the variance  $\langle u_1'^2 \rangle$  of the  
 540 longitudinal velocity time record.  $\epsilon_G$  is also related to  $\langle u_1'^2 \rangle$  through Eqs. (6) and (A1). The variance  $\langle u_1'^2 \rangle$  itself is subject to both systematic and random errors in case of a finite averaging window  $R < \infty$ . Assuming an ergodic, hence, a stationary velocity-fluctuation time-record with a vanishing mean, the systematic error in estimating the variance over an averaging





**Figure 6.** The effect of the averaging window size  $R$  (A) on the distribution of  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R} / \dot{E}_m$  ( $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R} / \dot{E}_m$ ); and on the accuracy of estimates obtained via (B)  $\langle \epsilon_G \rangle_R$ , (C)  $\langle \epsilon_{I2} \rangle_R$ , and (D)  $\langle \epsilon_L \rangle_R$  in terms of the systematic errors  $\beta_G$ ,  $\beta_{I2}$ , and  $\beta_L$ , respectively, from the ground-truth reference  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$  as given by Eq. (12). The velocity time records of the longitudinal component are taken from DNS 3.1 ( $R_\lambda = 302$ ,  $I = 1\%$ ,  $\theta = 0^\circ$ ). In (A), the distribution of the instantaneous dissipation rate  $\epsilon_0(\mathbf{x}, t) / \dot{E}_m$  sampled by all virtual probes is shown by the dashed line, and the global average energy dissipation rate normalized by  $\dot{E}_m$  is shown by the dotted vertical line. The other PDFs in A are from the local average of the energy dissipation rate obtained from a window of size  $R$  at the beginning of each virtual probe, i.e., 1000 averaged values for a given  $R$ . In (B), (C) and (D) the vertical dotted lines correspond to ensemble averages of the systematic errors  $\beta_i$ . The ensemble average of  $\beta_G$  slightly decreases from 0.4% for  $R = 501\eta_K$  to  $-0.7\%$  for  $R = 2815\eta_K$  where the standard deviation of  $\beta_G$  decreases from 50% to 22%. The ensemble average of  $\beta_{I2}$  decreases from 41% to 10% and the standard deviation from 185% to 5%.  $\beta_L$  exhibits stronger deviations (mean  $\beta_L$  of  $\sim 44\%$  and standard deviation  $\sim 67\%$  for  $R = 2816\eta_K$ ).

window of size  $R$  is given by (following Lenschow et al., 1994, while applying Taylor's hypothesis)

$$\Delta_{\langle u_1'^2 \rangle} = \left\langle \left( \frac{\langle u_1'^2 \rangle_R}{\langle u_1'^2 \rangle} - 1 \right) \right\rangle_N \approx -2 \frac{L_{11}}{R}, \quad (20)$$

545 where  $\langle u_1'^2 \rangle_R$  is the estimated variance based on the (finite) averaging window  $R$ ,  $\langle u_1'^2 \rangle$  is the true variance and it is assumed  $R \gg L_{11}$ . The always negative error predicted by [equation-Eq. \(20\)](#) indicates that, for finite averaging window sizes, the variance  $\langle u_1'^2 \rangle$  is always statistically underestimated, which agrees with [FigureFig. A3A](#). Eq. (20) furthermore indicates that the systematic error of the variance estimates can be neglected for *sufficiently* long averaging windows  $R \gg L_{11}$ .

The variance estimates are also subject to statistical uncertainty, which is also known as the random error of variance  
550 estimation (Lenschow et al., 1994). Assuming that  $u_1'(t)$ , which has a zero mean, can be modeled by a stationary Gaussian process and that its autocorrelation function is sufficiently well represented by an exponential, the random error of estimating the variance can be expressed as (following Lenschow et al., 1994, while applying Taylor's hypothesis)

$$e_{\text{rand}} = \underbrace{\sqrt{\left\langle \left( \frac{\langle u_1'^2 \rangle_R - \langle \langle u_1'^2 \rangle_R \rangle_N}{\langle u_1'^2 \rangle} \right)^2 \right\rangle_N}}_{\text{relative random error of } \langle u_1'^2 \rangle_R} \underbrace{\sqrt{\left\langle \left( \frac{\langle u_1'^2 \rangle_R - \langle \langle u_1'^2 \rangle_R \rangle_N}{\langle u_1'^2 \rangle} \right)^2 \right\rangle_N}}_{\text{absolute random error of } \langle u_1'^2 \rangle_R} \approx \underbrace{\sqrt{\left\langle \left( \frac{\langle u_1'^2 \rangle_R}{\langle \langle u_1'^2 \rangle_R \rangle_N} - 1 \right)^2 \right\rangle_N}}_{\text{relative random error of } \langle u_1'^2 \rangle_R} \underbrace{\sqrt{\left\langle \left( \frac{\langle u_1'^2 \rangle_R}{\langle \langle u_1'^2 \rangle_R \rangle_N} - 1 \right)^2 \right\rangle_N}}_{\text{absolute random error of } \langle u_1'^2 \rangle_R} \quad (21)$$

where it is assumed  $R \gg L_{11}$  such that the systematic error can be neglected and, hence,  $\langle \langle u_1'^2 \rangle_R \rangle_N \approx \langle u_1'^2 \rangle$ . Here,  $\langle \langle u_1'^2 \rangle_R \rangle_N$   
555 is the ensemble average of the variance estimates  $\langle u_1'^2 \rangle_R$  for an averaging window  $R$ . It can be seen that  $e_{\text{rand}}$  is larger than the systematic error, (20), when  $R > L_{11}$ .

Consequently, the estimation of the mean energy dissipation rate by the scaling argument, Eq. (10), is affected by the (absolute) random error of the variance estimation given by the product of  $e_{\text{rand}}$  and  $\frac{\langle \langle u_1'^2 \rangle_R \rangle_N}{\langle \langle u_1'^2 \rangle_R \rangle_N}$ . Invoking the Gaussian error propagation, the analytically derived error reads

$$560 \quad \delta_L(R) = \frac{1}{\langle \epsilon_L \rangle_R} \frac{\partial \langle \epsilon_L \rangle_R}{\partial \langle u_1'^2 \rangle_R} \underbrace{\frac{\text{abs. rand. err. of } \langle u_1'^2 \rangle_R}{\langle \langle u_1'^2 \rangle_R \rangle_N}}_{\text{relative random error of } \langle u_1'^2 \rangle_R} \underbrace{\langle \langle u_1'^2 \rangle_R \rangle_N}_{\text{absolute random error of } \langle u_1'^2 \rangle_R} = \frac{3}{2} \sqrt{\frac{2L_{11}}{R}} \quad (22)$$

where  $e_{\text{rand}}$  is the relative random error of the variance estimate of the velocity fluctuations  $\langle u_1'^2 \rangle_R$  defined in Eq. (21), and  $\langle u_1'^2 \rangle_R$  is the variance estimate of  $u_1'$  based on the averaging window  $R$ . Then, the absolute random error of the variance estimate of the velocity fluctuations  $\langle u_1'^2 \rangle_R$  is given by  $e_{\text{rand}} \langle u_1'^2 \rangle_R$ .  $\delta_L(R)$  is a relative error itself, hence the prefactor  $1/\langle \epsilon_L \rangle_R$ .

Notably,  $\delta_L(R)$  scales as  $R^{-1/2}$ .

565 Similarly, the longitudinal second-order structure function is also affected by the estimation variance of the variance,

$$e_{D_{LL}} = \sqrt{\left\langle \left( \frac{D_{LL}(r; R)}{\langle D_{LL}(r; R) \rangle_N} - 1 \right)^2 \right\rangle_N} = \sqrt{\left\langle \left( \frac{2\langle u_1'^2 \rangle_R (1 - f(r))}{2\langle \langle u_1'^2 \rangle_R \rangle_N (1 - f(r))} - 1 \right)^2 \right\rangle_N} \approx \sqrt{\frac{2L_{11}}{R}}, \quad (23)$$

where  $D_{LL}(r; R)$  is the longitudinal second-order structure function evaluated over an averaging window of size  $R$  and under the assumption that the longitudinal auto-correlation function  $f(r)$  is well-sufficiently converged over the range of the averaging window. This is a simplistic assumption that may be questionable in some cases, but a more robust evaluation of the validity of the assumption is complex and beyond the scope of this study.

Thus, the uncertainty of estimating the variance propagates to  $\langle \epsilon_{I2} \rangle_R$  relying on  $D_{LL}(r; R)$  (Eq. (7) for  $n = 2$ ). The random error  $\delta_{I2}(R)$  can be analytically inferred from the random error of the second-order structure function  $\sigma_{D_{LL}}$  by Gaussian error propagation yielding

$$\delta_{I2}(R) = \frac{1}{\langle \epsilon_{I2} \rangle_R} \frac{\partial \langle \epsilon_{I2} \rangle_R}{\partial D_{LL}} e_{D_{LL}} \langle D_{LL}(r; R) \rangle_N = \frac{3}{2} \sqrt{\frac{2L_{11}}{R}}, \quad (24)$$

which shows that  $\delta_{I2}(R)$  scales as  $R^{-1/2}$  similar to  $\delta_L(R)$ . Considering Eqs. (6) and (A1), the gradient method can also be expressed as a function of the variance  $\langle u_1'^2 \rangle \langle u_1'^2 \rangle$ . Hence, Gaussian error propagation yields:

$$\delta_G(R) = \frac{1}{\langle \epsilon_G \rangle_R} \frac{\partial \langle \epsilon_G \rangle_R}{\partial \langle u_1'^2 \rangle_R} e_{\text{rand}} \langle \langle u_1'^2 \rangle_R \rangle_N = -15\nu \frac{1}{\langle \epsilon_G \rangle_R} \lim_{|r| \rightarrow 0} \partial_r^2 f(r) e_{\text{rand}} \langle \langle u_1'^2 \rangle_R \rangle_N = \sqrt{\frac{2L_{11}}{R}}, \quad (25)$$

assuming  $R \gg L_{11}$  such that the systematic error is negligible such that  $\langle \langle u_1'^2 \rangle_R \rangle_N \approx \langle u_1'^2 \rangle$ .

Equations (22), (24), and (25) are expressed as a function of  $R$  and  $L_{11}$ , which do not reveal the dependency of random errors on the Reynolds number. In addition, this expression relies on large scales that depend on the scale of the energy injection, which makes it difficult to fairly compare the errors between different flows as it is not a universal feature. Therefore, we want to link the averaging window to the Kolmogorov length scale  $\eta_K$ , which only depends on the viscosity and the mean energy dissipation rate. We can rewrite these equations in terms of  $\eta_K$ ,  $R$  and  $R_\lambda$  as follows:

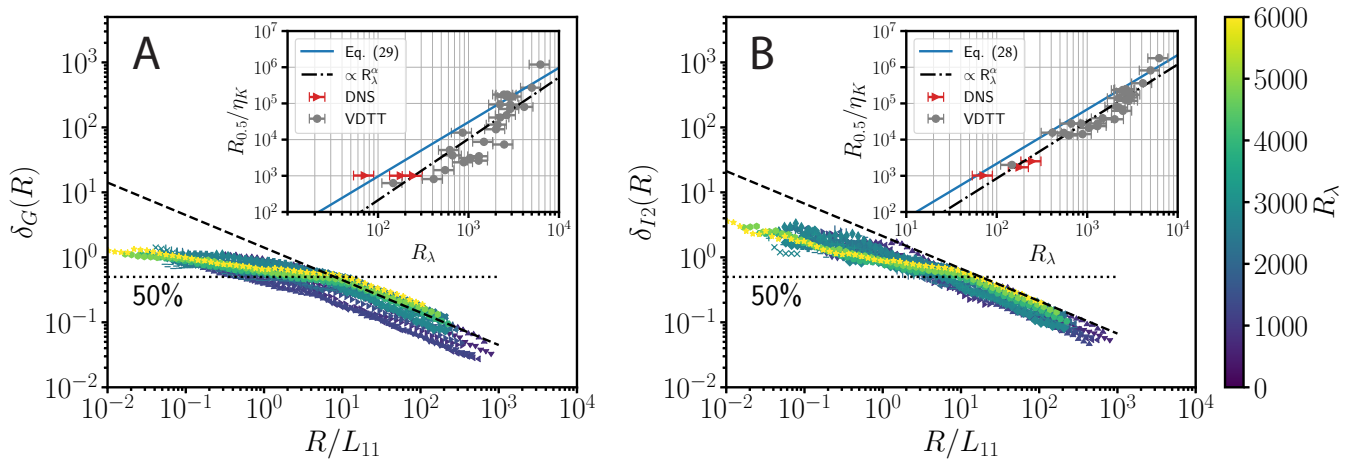
$$\delta_{I2}(R) = \delta_L(R) = \frac{3}{2} \sqrt{\frac{2L_{11}}{R}} = \frac{3}{2} \sqrt{2 \frac{\eta_K}{R} \frac{L_{11}}{L} \left( \frac{3}{20} R_\lambda^2 \right)^{3/4}} \approx \frac{3}{2} \sqrt{\frac{\eta_K}{R} \left( \frac{3}{20} R_\lambda^2 \right)^{3/4}} \quad (26)$$

$$\delta_G(R) = \sqrt{\frac{2L_{11}}{R}} \approx \sqrt{\frac{\eta_K}{R} \left( \frac{3}{20} R_\lambda^2 \right)^{3/4}} \quad (27)$$

where we have invoked  $L_{11}/L \sim 1/2$ , which is valid at sufficiently high  $R_\lambda$  and used the relationship  $L/\eta_K = \left( \frac{3}{20} R_\lambda^2 \right)^{3/4}$  (Pope, 2000). Following the intuition, the longer the averaging window, the smaller the random error of each method.

Furthermore, Eqs. (26) and (27) provide a mean to choose a suitable averaging window size to achieve a given random error threshold  $a$ . Let  $R_a$  be the averaging window of size  $R$  such that  $\delta_i(R) < a$ . Then, the required averaging window  $R_a$  for  $\epsilon_{I2}$  and  $\epsilon_L$  is

$$R_a/\eta_K = \frac{9}{4} \left( \frac{3}{20} R_\lambda^2 \right)^{3/4} \frac{1}{a^2}, \quad (28)$$



**Figure 7.** Random errors  $\delta_G(R)$  (A) and  $\delta_{I2}(R)$  (B) as a function of re-scaled averaging window size  $R/L_{11}$  obtained from VDTT data at various  $R_\lambda$  shown by the colorbar. The analytical results for  $\delta_G(R)$  (A, Eq. (25)) and  $\delta_{I2}(R)$  (B, Eq. (24)) are shown by the dashed black lines. The dotted black line annotated with “50%” in each subplot corresponds to 50% error-threshold. The insets show the sizes of the averaging windows in terms of  $\eta_K$  when  $\delta_{G,I2}(R) \leq 0.5$  as a function of Taylor microscale Reynolds number  $R_\lambda$ . The inset plots include data from both DNS (red triangles) and the VDTT (grey circles). DNS data used for the inset plots are from cases 1.3, 2.3 and 3.3 with  $I = 10\%$  and  $\theta = 0^\circ$ . The solid, blue lines show the prediction of the required averaging window according to Eq. (29) (A-inset) and Eq. (28) (B-inset). The black dash-dotted line in inset plots is a fit to the data:  $\log R/\eta_K = \frac{3}{4} \log \frac{3}{20} - 2 \log a_{\text{fit}} + \alpha \log R_\lambda$  yielding  $\alpha = 1.70 \pm 0.18$  and  $a_{\text{fit}} = 1.67 \pm 0.64$  (A-inset);  $\log R/\eta_K = \log \frac{9}{4} \frac{3}{20}^{3/4} - 2 \log a_{\text{fit}} + \alpha \log R_\lambda$  yielding  $\alpha = 1.57 \pm 0.09$  and  $a_{\text{fit}} = 0.95 \pm 0.32$  (B-inset).

where the required averaging window size  $R_a$  scales with  $R_\lambda^{3/2}$ . Similarly, the required averaging window for  $\epsilon_G$  is

$$R_a/\eta_K = \left( \frac{3}{20} R_\lambda^2 \right)^{3/4} \frac{1}{a^2}. \quad (29)$$

For example, for the random errors of  $\epsilon_{I2}$  and  $\epsilon_L$  to be less than 10% at  $R_\lambda = 1000$ , the averaging window should be  $R \sim 2 \times 10^6 \eta_K \sim 2 \times 10^4 L_{11}$ , while for  $\epsilon_G$  the required averaging window is  $R \sim 8 \times 10^5 \eta_K \sim 10^4 L_{11}$ .

Figure 7 shows the empirical random errors  $\delta_G(R)$  (Fig. 7A) and  $\delta_{I2}(R)$  (Fig. 7B) as a function of the averaging window size for various  $R_\lambda$  based on VDTT data (for  $\epsilon_L$  see supplementary Fig. A5). To do this, we select an averaging window of size  $R$ , where  $1000\eta_K < R < \mathcal{O}(10^6\eta_K)$ , from the beginning of each 30 s time-segment from the VDTT longitudinal velocities are recorded (a total of 47 to 597 time-segments depending on  $R_\lambda$ ).

The scaling of  $\delta_G(R)$  and  $\delta_{I2}(R)$  is well predicted for  $R \gtrsim 10L_{11}$  as expected from Eqs. (25) and (24) and the assumptions we made to derive them. However, for smaller  $R$  a statistical convergence of  $\epsilon_G$ ,  $\epsilon_{I2}$  or  $\epsilon_L$  against the mean energy dissipation rate cannot be expected, in particular when  $R/L_{11} < 1$ .

Furthermore, it is evident from Fig. 7 that the random errors do not fully collapse on each other for different Reynolds numbers and at a given  $R/L_{11}$ . Moving horizontally on a line of constant random error, e.g., the dashed line of 50% error, the required window size increases with  $R_\lambda$ , as shown in the insets of Figs. 7A and B. Predictions of Eqs. (28) and (29) are also shown in these plots via solid/blue lines.

For both  $\epsilon_G$  and  $\epsilon_{I2}$ , the theoretical expectation for  $R_a$  tends to overestimate the actual averaging window size at which a random error of 50% is achieved. This overestimation is expected as the theoretical expectation for  $R_a$ , Eqs. (28) and (29), are derived assuming that large-scale quantities such as  $f(r)$  and  $L_{11}$  are fully converged. However,  $\epsilon_G$  is technically relying on small scales.  $\epsilon_G$  depends on velocity fluctuation gradients, which are numerically obtained by central differences. Hence, each increment in the velocity record contributes to the average in the gradient method, Eq. (6). In the case of  $\epsilon_{I2}$ , the number of possible increments reduces for larger separations for a finite averaging window. By definition, the exact computation of  $L_{11}$  requires even a fully converged  $f(r)$  for all  $r$ .

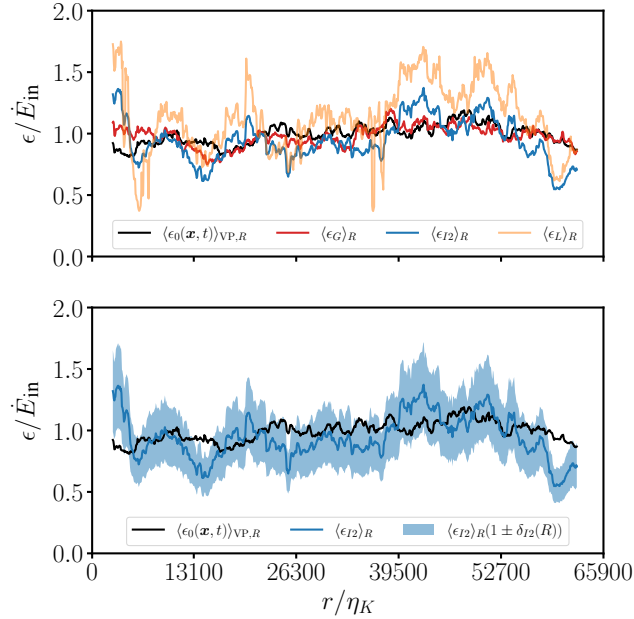
However, VDTT experiments with  $R_\lambda > 3000$  underestimate the prediction of Eq. (20) by about a factor of 2. This is particularly clear for  $\epsilon_L$  shown in Fig. A5. This deviation at high  $R_\lambda$  can be explained, at least in part, by the strong assumptions made for the derivation of the random errors, i.e., the equations (24), (22), and (25). In particular, for experiments with high  $Re$  in VDTT, the assumption of Gaussian velocity fluctuations with zero skewness is questionable, as shown in Fig. A6. Lenschow et al. (1994) has already established that the size of the averaging window for a skewed Gaussian process (see Eq. (19) in Lenschow et al., 1994) must be twice as large as for a Gaussian process with vanishing skewness. However, further work is needed to investigate these deviations and improve the theoretical prediction.

### 3.6 Estimating the transient energy dissipation rate

As it has been shown in previous Figs. 6 and 7, both systematic and random error decrease with the size of the averaging window. For a correct estimate of the magnitude, it is therefore advantageous to choose the averaging window as large as possible, but this has the price that the transient trend smaller than the selected window size cannot be reproduced. In addition, it is also important to know to what extent the estimated trend correlates with the actual trend. Given a certain averaging window size  $R$ , here, we empirically evaluate if trends in the coarse-grained time-series are physical or rather statistical. In other words, we ask the question if local estimates of the mean energy dissipation rate follow the ground-truth reference  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$  or not. Respecting the intermittent nature of turbulence and energy dissipation, the standard deviation of  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$  is a first proxy for the variability of the trend in  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$ . Hence, detecting the true trend requires that  $\beta_i$  and  $\delta_i(R)$  are smaller than the standard deviation of  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$ .

It can be already concluded from Figs. 2, 7, A1 and A5 that  $\epsilon_G$  is the most promising candidate to capture the true trend. However, to fully answer the above questions, we need to conduct [more in-depth a more in-depth](#) analysis. The upper plot in Fig. 8 shows the re-scaled and coarse-grained dissipation field  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$  for a sliding window of size  $R \approx 5500\eta_K$  and a turbulence intensity  $I = 10\%$  obtained from [track-the time series](#) of one virtual probe for case DNS 2.0 (“probe 0”). Consistent with results shown earlier,  $\langle \epsilon_G \rangle_R$  follows  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$  best in comparison with  $\langle \epsilon_{I2} \rangle_R$  and  $\langle \epsilon_L \rangle_R$ . Both  $\langle \epsilon_{I2} \rangle_R$  and  $\langle \epsilon_L \rangle_R$  are associated with substantial scatter, although  $\langle \epsilon_{I2} \rangle_R$  has smaller deviations from the ground-truth overall. Other probe tracks sample different portions of the flow which is why a quantitative conclusion is not possible from one single probe. A more comprehensive evaluation of which method is able to capture the true trend is conducted below.

The lower plot in Fig. 8 shows  $\langle \epsilon_{I2} \rangle_R$  together with the random error of  $\epsilon_{I2}$  as defined by Eq. (24). Despite the strong scatter, the ground-truth reference is nearly always within the errorbar of  $\epsilon_{I2}$  with some exceptions, e.g.  $r/\eta_K < 5000$  or



**Figure 8.** (Upper plot) Proof of concept for estimating the coarse-grained energy dissipation rate  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$  re-scaled by the energy injection rate  $\dot{E}_{in}$  via the one-dimensional surrogates  $\langle \epsilon_G \rangle_R$ ,  $\langle \epsilon_{I2} \rangle_R$ , and  $\langle \epsilon_L \rangle_R$  for  $R_\lambda = 142$ ,  $R/\eta_K \approx 5500$ ,  $\theta = 0^\circ$  and a turbulence intensity  $I = 10\%$  (DNS 2.0). All estimates are re-scaled by the energy injection rate  $\dot{E}_{in}$ , too. We narrowed the fit-range to  $20\eta_K \leq r \leq 200\eta_K$  ensuring optimal fit results. (Lower plot) Comparison between  $\langle \epsilon_{I2} \rangle_R / \dot{E}_{in}$  with estimated random error according to Eq. (24) for the averaging window  $R$  and  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$ .

$r/\eta_K \approx 44000$ . It can also be seen that  $\langle \epsilon_{I2} \rangle_R$  is, if at all, only weakly correlated with the ground-truth reference  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$  for a window size of  $R/\eta_K \approx 5500$ . This shows that it is extremely difficult, if at all possible, to track the true trend with low-resolution time records, which prevents the use of the gradient method.

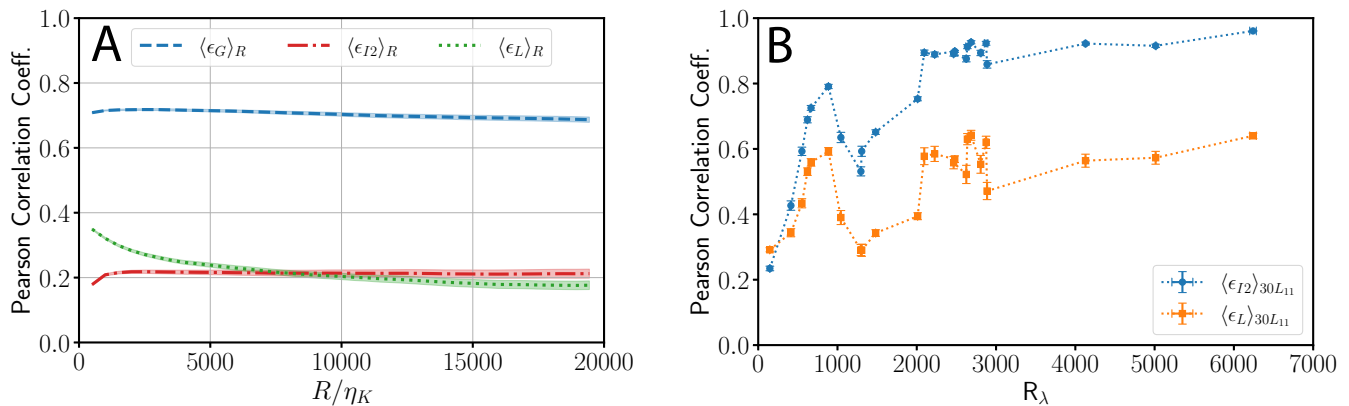
To assess this correlation more quantitatively, we evaluate Pearson's correlation coefficient between the ground-truth reference  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$  and  $\epsilon_G$ ,  $\epsilon_{I2}$  as well as  $\epsilon_L$ , respectively, as a function of the re-scaled averaging window size  $R/\eta_K$  for all virtual probes of case DNS 2.0. As an example, Pearson's correlation coefficient between  $\epsilon_0(\mathbf{x}, t) \rangle_R$  and  $\epsilon_{I2}$  is 0.33 in Fig. 8 (upper plot). Figure 9A shows the ensemble averages of Pearson's correlation coefficient together with the standard error (shaded area). While  $\langle \epsilon_G \rangle_R$  has a pronounced correlation with the ground-truth reference  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP,R}$ , both  $\langle \epsilon_{I2} \rangle_R$  and  $\langle \epsilon_L \rangle_R$  are only very weakly correlated with  $\langle \epsilon_G \rangle_R$ .

The effect of  $R_\lambda$  on Pearson's correlation coefficient is shown in Fig. 9B also for the VDTT experiments at various  $R_\lambda$ . Here, we compare  $\epsilon_{I2}$  and  $\epsilon_L$  to  $\epsilon_G$  in the absence of a ground-truth reference. To ensure a negligible systematic error, we chose a fixed averaging window of  $R = 30L_{11}$  for each  $R_\lambda$ . Figure 9B shows that the correlation for  $\epsilon_{I2}$  is always higher than that of  $\epsilon_L$  except for very low  $R_\lambda$ . There is a non-monotonic behavior in the correlation coefficients in Fig. 9B that seems to be related to the skewness values shown in Fig. A6. Nonetheless, there is a clear increase in correlation coefficients



655 with  $R_\lambda$ . Firstly, the random error of  $\delta_{I_2}(R)$  ranges from 20% to 40% at  $R = 30L_{11}$ . Secondly, the kurtosis of the instantaneous energy dissipation field scales with  $R_\lambda^{3/2}$  (Kolmogorov, 1962; Pope, 2000) (Pope, 2000) which is why the variability in the instantaneous energy dissipation field increases with  $R_\lambda$ . Hence, at small  $R_\lambda^{3/2}$  and  $R = 30L_{11}$ ,  $\langle \epsilon_{I_2} \rangle_{30L_{11}}$  scatters only randomly around the global mean energy dissipation rate (with a 3% standard deviation of  $\langle \epsilon_G \rangle_{30L_{11}}$ ), which is why the correlation coefficient is low. In contrast, at large  $R_\lambda$  and  $R = 30L_{11}$ , the locally averaged mean energy dissipation rate  $\langle \epsilon_G \rangle_{30L_{11}}$  fluctuates stronger ( $\approx 30\%$  standard deviation of  $\langle \epsilon_G \rangle_{30L_{11}}$ ) where  $\delta_{I_2}(R)$  is already comparable.

660



**Figure 9.** A: Dependence of the Pearson correlation coefficient between  $\langle \epsilon_i \rangle_R$  and  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP, R}$  as a function of the re-scaled averaging window  $R/\eta_K$  where  $i \in \{G, I_2, L\}$ . Time records of the longitudinal velocity by all virtual probes and  $\langle \epsilon_0(\mathbf{x}, t) \rangle_{VP, R}$  are taken from DNS 2.0 with  $R_\lambda = 142$ , turbulence intensity  $I = 10\%$  and perfect alignment ( $\theta = 0^\circ$ ). The shaded region is given by the standard error. B: Dependence of the Pearson correlation coefficient between  $\langle \epsilon_{I_2, L} \rangle_R$  and  $\langle \epsilon_G \rangle_R$  as a function  $R_\lambda$  for a fixed re-scaled averaging window  $R = 30L_{11}$ . The error bars of the ensemble-averaged coefficients are given by the standard error.

#### 4 Practical guidelines

Up to this point, we have presented the results largely as is, so that one can interpret them with minimal bias. However, the amount of data given and details may make the use of the results in practice difficult. Therefore, we propose here practical guidelines for measuring the energy dissipation rate from one-dimensional velocity records in atmospheric flows.

665 The gradient method should be preferred over other methods for conditions where the turbulence intensity is low and the probe could be perfectly aligned in the direction of the mean wind. In particular, the gradient method is more sensitive to turbulence intensity than inertial-range methods due to random sweeping effects. Low values of turbulence intensity and ideal alignment of probes can be best controlled in ground-based measurements. Measurements aboard research aircraft traveling at true speed of  $100 \text{ ms}^{-1}$  can satisfy these conditions also, but the spatial/temporal resolution required to measure the velocity

670 gradients (see Fig. A8) is challenging to achieve, i.e., a robust probe with a wire length ideally smaller than 1 mm (or in the same order as  $\eta_K$ ) and a true response frequency of  $10^5 \text{ Hz}$  is needed. Other airborne platforms, such as helicopters, balloons and kites, would encounter higher turbulence intensities making Taylor's frozen flow assumption more difficult to satisfy. Most importantly, however, they all suffer from probe alignment into the local wind at the scale of the measurement platform.

675 Other estimates based on inertial sub-range methods (cf. Table 1) are less sensitive to a high turbulence intensity and probe alignment. Regarding the impact of a high turbulence intensity, we find the the most reliable method for most field applications to be the second-order structure function. However the accuracy is least 10% even in most ideal conditions considered here (Table 4). Considering experimental imperfections, the actual deviation is expected to be of the order of 100% (Figs. 3 and 5).

680 Given atmospheric turbulence with  $\eta_K \sim 1$  mm and  $L_{11} \sim 100$  m, the inertial range of the second-order structure function extends from about  $60\eta_K$  (Pope, 2000) to at most the integral scale, if at all. However, for applying the 2nd-order SF (inertial range), at least one to two decades of the inertial range are needed. Assuming that the fitting range is chosen from 0.1 m to 10 m, a measurement platform with a true air speed of  $10$ - $100$   $\text{ms}^{-1}$  requires an anemometer with a sampling frequency of 100-1000 Hz in order to provide about two decades of data within the inertial range.

685 Our analysis further shows that estimating the transient energy dissipation in atmospheric clouds ( $L_{11} \sim 100$  m,  $\mathcal{O}(R_\lambda) \sim 10^3 - 10^4$ ) via the 2nd-order structure function (inertial range) with an averaging window of  $R = 100$  m is prone to random errors of the order 100% (Fig. 7B). Shorter averaging windows involve even higher random errors. It is therefore recommended to choose the averaging window as large as possible but still smaller than length and time scales on which the atmosphere remains homogeneous and stationary, respectively. This recommendation is not simple to be realized in a atmosphere. for example, measurements in the marine boundary layer with intermittent shallow cumulus clouds stationary conditions needed to be carefully considered Then, two approaches can be recommended. On the one hand, one can choose a *very long* averaging window to average over many individual clouds associated with a small random error but potentially violating the stationary and homogeneity condition. On the other hand, one could investigate the transient mean energy dissipation rate (as a proxy for the local mean energy dissipation rate per cloud) while accepting a large random error.

690 The large random errors also preclude the possibility of interpreting trends in the measured energy dissipation rate via the second-order structure function when we consider the results shown in Figs. 8 and 9A. Even if we consider the promising correlations shown in Fig. 9B at high Reynolds numbers, interpreting trends and patterns in atmospheric data from one-dimensional time records remains a challenge, especially in an atmosphere with intermittent cloudiness. As an example, in a cloud with a horizontal extent of 1 km, one can obtain 10 non-overlapping data blocks with a window length of 100 m, which corresponds to a random error of about 100% to be combined with a systematic error of the same order of magnitude. With 10 data points combined with such large uncertainties, it would be extremely difficult to interpret the change in dissipation rate in this cloud.

700 Interpretations of trends in the energy dissipation rate would be particularly flawed if the size of the averaging window is  $< 10L_{11}$ . At best, an average value can be determined for such small clouds, which would still deviate from the global mean by at least 50-100% if (and this is a big if. However, the limitations imposed by the validity of the Taylor hypothesis can be mitigated by using an array of high-resolution anemometers. The array should be oriented so that the anemometers point in the mean local wind direction. The calculation of transverse velocity gradients or second-order structure functions would no longer

705 depend on the applicability of the Taylor hypothesis, since the distances between the sensors are predefined by the design.

## 5 Summary

We have presented an extensive review on the analysis procedure for estimating the energy dissipation rate from single-point one-dimensional velocity time records along with an overview of the advantages and disadvantages (see Table 1). To conclude, this paper provides means to estimate errors of the global and local mean energy dissipation rates due to experimental imperfections and limitations. These error estimated can be used to assess the quality and accuracy of the measurement. Furthermore, error estimates of the global and local mean energy dissipation rates can be used to assess the error of other turbulence and cloud droplet parameters, e.g., various turbulence length scales and the cloud droplet Stokes number, with the help of Gaussian error propagation. A set of practical guidelines for measurement/analysis strategies are provided in the previous section, the following presents a technical summary of the main results.

715 The main methods considered in this study are the gradient method  $\epsilon_G$ , the 2nd-order SF (inertial range) method  $\epsilon_{I2}$ , the spectral method  $\epsilon_S$ , and the scaling argument  $\epsilon_L$ . We have provided a systematic assessment of the accuracy of inferring the energy dissipation rate from such one-dimensional velocity time series as a function of turbulence intensity, probe orientation with respect to the longitudinal direction, and the effect of a finite averaging window size. We used DNS data with Reynolds numbers in the range  $74 \leq R_\lambda \leq 321$  as well as experimental data from high-resolution one-dimensional wind tunnel measure-  
720 ments with Reynolds numbers in the range  $147 \leq R_\lambda \leq 5864$  to evaluate the performance of different methods against robust benchmark values. The results presented in this study help to assess the accuracy of the energy dissipation rate estimates as a function of several parameters, such as finite turbulence intensity, misalignment between sensor and longitudinal flow direction, and finite size of the averaging window. The main results are:

- 725 – Each method could reproduce the ground-truth reference  $\langle \epsilon(\mathbf{x}, t) \rangle$  to within less than 10 % for well converged statistics and at low turbulence intensity. The most accurate method is the gradient method ( $\epsilon_G$ ) and the least accurate method is the one based on the 4/5 law ( $\epsilon_{I3}$ ) (see [FigureFig. 2](#)). The “reference-compared” systematic error tend to be overestimated due to the global choice of the fit-range, e.g., lower systematic errors for  $\epsilon_{I2}$  can be obtained by choosing a fit-range for each DNS dataset that is in a range where the scaling of the structure function is closest to the expected scaling.
- 730 – In the case of finite turbulence intensities,  $\epsilon_G$ ,  $\epsilon_S$  and  $\epsilon_{I2}$  systematically overestimate the ground-truth energy dissipation rate. The gradient method ( $\epsilon_G$ ) is most affected by a finite turbulence intensity  $I$  whereas  $\epsilon_{I2}$  is the least affected (see Figs. 3 and 4B). The overestimation can be captured by a random advection model (Fig. 4). Regarding the small-scale estimate  $\epsilon_G$ , the error formula provided by Lumley (1965) ( $\beta_G \propto 5I^2$ ) captures the effect of random advection.
- 735 – Considering the probe orientation, the gradient method ( $\epsilon_G$ ) is most affected by misalignment between the probe orientation and the longitudinal flow direction whereas  $\epsilon_{I2}$  is the least affected ([FigureFig. 5](#)) (compare Eqs. (19), (17), and (18)).
- We provide scaling arguments  $\delta_i(R)$  to estimate the required averaging window size optimized for a desired random error threshold for  $\epsilon_G$ , Eq. (29),  $\epsilon_{I2}$ , Eq. (28), and  $\epsilon_L$ , Eq. (28). With this, we can estimate a coarse-grained energy

dissipation rate to within a predicted uncertainty as shown in Fig. 8. Systematic errors  $\beta_i$  are smaller than random errors  $\delta_i(R)$  for  $R > 2L_{11}$ .

- 740
- The random error of the gradient method  $\delta_G(R)$  converges at least 4-5 times faster than  $\epsilon_{T2}$  (compare Eqs. (28) and (29)).
  - Only  $\epsilon_G$  reliably estimates the transient energy dissipation rate  $\langle \epsilon \rangle_R \approx \langle \epsilon_0 \rangle_R$  although it is most vulnerable to experimental imperfections/limitations.

*Code availability.* The code can be shared by the corresponding author upon request.

*Data availability.* The data can be shared by the corresponding author upon request.

745 *Author contributions.* TB, MW and EB provided the velocity data. MS, EB, MW, and GB conceptualized the study. MS developed, validated and ran the analysis code. The theoretical modeling was performed by MS, TB, and MW. MS, TB, MW, and GB analyzed and interpreted the data. MS, TB, MW, and GB wrote the initial draft. MS, TB, EB, MW, and GB proofread and edited the final manuscript.

*Competing interests.* The authors declare that they have no conflict of interest.

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## 755 **Appendix A: Preliminaries on Second-Order Statistics**

As discussed in detail below, the mean energy dissipation rate can be related to second-order statistics of the velocity field, either in terms of velocity gradients or in terms of velocity increments. In any case, the two-point velocity covariance tensor turns out to be the central quantity of interest, from which the second-order structure function tensor, the spectral energy tensor and the velocity gradient covariance tensor can be obtained.

Symbol	Definition	Equation	Dimensions
$A$	large-scale anisotropy parameter	$3\langle u_1^2 \rangle / (2k)$	
$C_K$	Kolmogorov constant related to $E(\kappa)$	1.5	
$C_\epsilon$	dissipation constant	0.5	
$D_{ij}(\mathbf{r})$	second-order velocity structure function tensor	(A2)	$L^2T^{-2}$
$E(\kappa)$	energy spectrum function	$\iint_{-\infty}^{\infty} \frac{1}{2} \Phi_{ii}(\boldsymbol{\kappa}) \delta( \boldsymbol{\kappa}  - \kappa) d\boldsymbol{\kappa}$	$L^3T^{-2}$
$E_{11}(\kappa_1)$	one-dimensional energy spectrum	(A7)	$L^3T^{-2}$
$\dot{E}_{\text{in}}$	energy injection rate of the DNS		$L^2/T^{-3}$
$F_{11}(f)$	power spectral density of longitudinal velocity	$\frac{\Delta t}{N} \mathcal{F}(u_1(t)) \mathcal{F}^*(u_1(t))$	$L^2T^{-1}$
$\mathcal{F}(x)$	(discrete) Fourier transform	$\sum_{j=0}^{N-1} x(t_j) \exp(-2\pi i t_j / \Delta t)$	
$I$	turbulence intensity	$\sigma_{u_1} / U$	
$L$	length scale characteristic of large eddies, e.g. energy injection scale	$k^{3/2} / \epsilon$	$L$
$L_{11}$	longitudinal integral length scale of the turbulent flow	(11)	$L$
$R_{ij}(\mathbf{r})$	velocity (two-point, one-time velocity auto-) covariance tensor	(A1)	$L^2T^{-2}$
$R_{ijkl}(\mathbf{r})$	velocity gradient covariance tensor	(A4)	$T^{-2}$
Re	Reynolds number	$\frac{UL}{\nu}$	
$Re_\lambda$	Taylor-scale Reynolds number	$\frac{U}{\sqrt{\frac{15\sigma_{u_1}^4}{\nu(\epsilon)}}}$	
$Re_L$	turbulence Reynolds number	$\frac{UL}{k^{1/2}L}$	
$S_{ij}$	strain rate tensor	$(\frac{\partial u_i(\mathbf{x},t)}{\partial x_j} + \frac{\partial u_j(\mathbf{x},t)}{\partial x_i})/2$	$T^{-1}$
$T$	longitudinal integral time scale of the turbulent flow	$\int_0^\infty f(\tau) d\tau$	$T$
$U, U_\tau$	global-mean velocity vector of the flow and the local-mean of the longitudinal velocity component for averaging window of duration $\tau$ relative to the virtual probe	(1)	$LT^{-1}$
$a_{\text{fit}}$	fit parameter related to Eq. (28)		
$f$	frequency		$T^{-1}$
$f(r)$	longitudinal velocity auto-correlation [coefficient] function	$R_{11}(r) / R_{11}(0)$	
$g(r)$	transverse velocity auto-correlation [coefficient] function	$f(r) + r \partial_r f(r) / 2$	
$k$	turbulent kinetic energy	$(u_1^2 + u_2^2 + u_3^2) / 2$	$L^2T^{-2}$
$\mathbf{r}, r$	distance vector (or rather radial coordinate) and its absolute value		$L$
$s_{ij}$	[velocity] fluctuation strain rate tensor	$(\frac{\partial u_i'(\mathbf{x},t)}{\partial x_j} + \frac{\partial u_j'(\mathbf{x},t)}{\partial x_i})/2$	$T^{-1}$
$t$	time		$T$
$\mathbf{u}$	(Eulerian) velocity vector of the flow	$u_1 \mathbf{e}_1 + u_2 \mathbf{e}_2 + u_3 \mathbf{e}_3$	$LT^{-1}$
$\mathbf{u}'$	velocity fluctuation vector of the flow	$\mathbf{u} - U$	$LT^{-1}$
$\langle u_1^2 \rangle$	variance of longitudinal velocity fluctuations	$\int_0^\infty E_{11}(\kappa_1) d\kappa_1$	$L^2T^{-2}$
$\mathbf{x}$	position vector	$x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2 + x_3 \mathbf{e}_3$	$L$
$\Phi_{ij}(\boldsymbol{\kappa})$	energy tensor (velocity spectrum tensor)	(A5)	$L^5T^{-2}$
$\alpha$	fit parameter related to Eq. (28)		
$\delta_{ij}$	Kronecker delta		
$\Delta t$	time increment	$\min\{t_{j+1} - t_j\}$	$T$
$\epsilon$	energy dissipation rate		$L^2T^{-3}$
$\epsilon_0(\mathbf{x}, t)$	instantaneous energy dissipation rate	(3)	$L^2T^{-3}$
$\epsilon_R$	locally volume averaged energy dissipation rate	(4)	$L^2T^{-3}$
$\langle \epsilon \rangle$	global-mean energy dissipation rate (rate of dissipation of turbulent kinetic energy)	(5)	$L^2T^{-3}$
$\epsilon_{ijk}$	Levi-Cevita tensor		
$\zeta_n$	$n$ th-order structure function exponent	$\frac{d \log D_{L...}(r)}{d \log r}$	
$\eta_K$	Kolmogorov length scale	$(\nu^3 / \langle \epsilon \rangle)^{1/4}$	$L$
$\theta$	angle of incidence between probe orientation and longitudinal flow direction		$\circ$
$\boldsymbol{\kappa}$	wave vector		$L$
$\lambda$	longitudinal Taylor (micro-)scale	$\sqrt{\frac{30\nu u_1^2}{\langle \epsilon \rangle}}$	$L$
$\nu$	kinematic viscosity		$L^2T^{-1}$
$\sigma_x$	standard deviation of quantity $x$		
$\sigma_{u_1'}$	root mean square of longitudinal velocity fluctuations		$LT^{-1}$
$\omega$	angular frequency	$2\pi f$	$T$
$\langle \dots \rangle_N$	ensemble average over trajectories of $N$ virtual probes or $N$ realizations		
$\langle \dots \rangle_R$	volume average [line average for 1D signal]		
$\langle \dots \rangle_{\text{VP}}$	average over trajectory of a virtual probe		

**Table A1.** Nomenclature for the turbulent flow. If our naming convention differs from the terminology in (Pope, 2000), we add the convention of Pope in parentheses. Equations are either directly given or referenced from definitions below.

Symbol	Definition
1, 2, 3	indices of vectors and tensors
$C$	cutoff
$D$	dissipation range
$G$	gradient
$I2$	inertial range of second-order structure function
$I3$	inertial range of third-order structure function
$L$	longitudinal
$N$	<u>ensemble (e.g. <math>\langle \cdot \rangle_N</math> for ensemble average) number of virtual probes used in the DNS simulations, number of realizations</u>
$N \dots$	transverse (e.g. $NN$ for transverse second-order structure function)
$R$	averaging window size in space
$S$	inertial range of the power spectral density
$p$	probe
ref	(ground-truth) reference
VP	virtual probe
$\tau$	averaging window size in time

**Table A2.** Nomenclature for the subscripts.

<u>DNS</u>	<u><math>\langle \beta_G \rangle_N</math></u>	<u><math>\langle \beta_{I3} \rangle_N</math></u>	<u><math>\langle \beta_{I2} \rangle_N</math></u>	<u><math>\langle \beta_S \rangle_N</math></u>	<u><math>\langle \beta_L \rangle_N</math></u>
1.1	$-0.003 \pm 0.001$	$0.132 \pm 0.005$	$-0.047 \pm 0.002$	$0.011 \pm 0.002$	$-0.044 \pm 0.003$
2.1	$-0.002 \pm 0.006$	$0.506 \pm 0.038$	$-0.011 \pm 0.014$	$0.074 \pm 0.010$	$0.313 \pm 0.017$
3.1	$-0.005 \pm 0.006$	$0.492 \pm 0.039$	$0.088 \pm 0.013$	$0.041 \pm 0.010$	$0.400 \pm 0.020$

**Table A3.** The systematic error of each method  $\beta_i$  relative to the global mean energy dissipation rate, Eq. (12), of each DNS where  $i \in \{G, I3, I2, S, L\}$ . The error is given by the standard error, which is defined as the standard deviation divided by the square root of the number of samples. In both DNS 2.1 and 3.1,  $\epsilon_{I2}$  and  $\epsilon_{I3}$  were obtained by fitting Eq. (7) for  $n = 2$  and  $n = 3$ , respectively, in the range  $r \in [20\eta_K, 500\eta_K]$ . This fit-range is also used for calculating  $\epsilon_S$  and it was converted into frequency domain by  $f = U/r$ , where  $U$  is the mean velocity. In the case of DNS 1.1, the maximum of Eq. (7) was used to infer  $\epsilon_{I2}$  due to the absence of a pronounced inertial range. We used the maximum available window size  $R$  in all cases, fixed turbulence intensity  $I = 1\%$  and considered perfect alignment, i.e.,  $\theta = 0^\circ$ .

760 In the following, we assume zero-mean SHI turbulence so that two-point quantities depend only on the separation vector  $\mathbf{r}$ , all averages are invariant under rotations of the coordinate system, and the mean squared velocity fluctuation is identical for all velocity components, i.e.,  $\langle u'^2 \rangle = \langle u'_1{}^2 \rangle = \langle u'_2{}^2 \rangle = \langle u'_3{}^2 \rangle$ . We provide an overview of the most relevant definitions, their notation and conventions. This section does not explicitly discuss the effect of the averaging window, but the definitions presented can be applied to windowed inputs with no or straightforward modifications.

765 Under the given assumptions, the two-point velocity covariance tensor takes the form (e.g. Pope, 2000; Robertson, 1940; Batchelor, 1953)

$$R_{ij}(\mathbf{r}) = \langle u'_i(\mathbf{x} + \mathbf{r}, t) u'_j(\mathbf{x}, t) \rangle = \langle u'^2 \rangle \left( g(r) \delta_{ij} + [f(r) - g(r)] \frac{r_i r_j}{r^2} \right), \quad (\text{A1})$$

where  $f(r) = R_{11}(r)/R_{11}(0)$  and  $g(r) = f(r) + r \partial_r f(r)/2$  are the longitudinal and transverse autocorrelation functions, respectively, with  $f(0) = g(0) = 1$ . Notably, if one chooses  $\mathbf{r} = r \mathbf{e}_1$ ,  $R_{11}(r) = \langle u'^2 \rangle f(r)$ ,  $R_{22}(r) = R_{33}(r) = \langle u'^2 \rangle g(r)$ , and  
770 all other components vanish (e.g. Pope, 2000) (e.g., Pope, 2000). As a remarkable consequence,  $R_{ij}(\mathbf{r})$  is uniquely defined by

$f(r)$  in isotropic turbulence. As mentioned below, the integral length scale as well as the Taylor microscale are determined by  $f(r)$  (Pope, 2000).

Analogously, a covariance tensor can be defined for velocity increments, i.e. the second-order velocity structure function tensor (Pope, 2000; Davidson, 2015)

$$775 \quad D_{ij}(\mathbf{r}) = \langle [u'_i(\mathbf{x} + \mathbf{r}, t) - u'_i(\mathbf{x}, t)] [u'_j(\mathbf{x} + \mathbf{r}, t) - u'_j(\mathbf{x}, t)] \rangle = D_{NN}(r)\delta_{ij} + [D_{LL}(r) - D_{NN}(r)] \frac{r_i r_j}{r^2}. \quad (\text{A2})$$

The longitudinal second-order structure function  $D_{11}(r)$  is related to  $f(r)$  by (e.g. Pope, 2000; Davidson, 2015) (e.g., Pope, 2000; David

$$D_{11}(\mathbf{r} = r\mathbf{e}_1) = D_{LL}(r) = \langle (u'_1(\mathbf{x} + r\mathbf{e}_1, t) - u'_1(\mathbf{x}, t))^2 \rangle = 2\langle u'^2 \rangle (1 - f(r)). \quad (\text{A3})$$

As explained below, measuring the longitudinal second-order structure function  $D_{LL}(r)$ , the mean energy dissipation rate can be inferred from the inertial-range scaling of the longitudinal structure function (cf. Eq. (7)).

Furthermore, the velocity gradient covariance tensor can also be defined in terms of the velocity covariance tensor

$$R_{ijkl}(\mathbf{r}) = \left\langle \frac{\partial u'_i(\mathbf{x}, t)}{\partial x_k} \frac{\partial u'_j(\mathbf{x}, t)}{\partial x_l} \right\rangle = -\lim_{r \rightarrow 0} \partial_{r_k} \partial_{r_l} R_{ij}(\mathbf{r}). \quad (\text{A4})$$

Since the local and instantaneous energy dissipation rate (cf. Eq. (3)) is defined in terms of the strain rate tensor  $S_{ik} = (\partial u'_i(\mathbf{x}, t)/\partial x_k + \partial u'_k(\mathbf{x}, t)/\partial x_i)/2$ , the mean energy dissipation rate can be directly related to contractions of the velocity gradient covariance tensor. Note that in a turbulent flow with zero-mean velocity, the strain rate tensor  $S_{ik}$  equals the fluctuation strain rate tensor  $s_{ik}$ .

The two-point velocity covariance tensor can be expressed in Fourier space through the spectral energy tensor (Pope, 2000)

$$\Phi_{ij}(\boldsymbol{\kappa}) = \frac{1}{(2\pi)^3} \iiint_{-\infty}^{+\infty} R_{ij}(\mathbf{r}) e^{-i\boldsymbol{\kappa} \cdot \mathbf{r}} d\mathbf{r}, \quad (\text{A5})$$

where  $\boldsymbol{\kappa}$  is the wave vector. For SHI turbulence,  $\Phi_{ij}(\boldsymbol{\kappa})$  takes the form

$$790 \quad \Phi_{ij}(\boldsymbol{\kappa}) = \frac{E(\kappa)}{4\pi\kappa^2} \left( \delta_{ij} - \frac{\kappa_i \kappa_j}{\kappa^2} \right) \quad (\text{A6})$$

where  $E(\kappa)$  is the energy spectrum function.

Since access to the full energy spectrum function is not always available, one-dimensional spectra are of interest, too. The mean energy dissipation rate can be estimated from the inertial range scaling of the longitudinal one-dimensional spectrum (as shown in Eq. (9)), which can be calculated by both the energy spectrum function and the velocity covariance tensor (Pope,



$$E_{11}(\kappa_1) = \int_{\kappa_1}^{\infty} \frac{E(\kappa)}{\kappa} \left(1 - \frac{\kappa_1^2}{\kappa^2}\right) d\kappa = \frac{1}{\pi} \int_{-\infty}^{\infty} R_{11}(e_1 r_1) e^{-i\kappa_1 r_1} dr_1, \quad (\text{A7})$$

with the wavenumber  $\kappa_1$  corresponding to the  $e_1$ -direction and  $R_{11}(0) = \langle u'^2 \rangle = \int_0^\infty E_{11}(\kappa_1) d\kappa_1$ .

This concludes the second-order statistics in terms of the velocity that we consider in the following to determine the mean energy dissipation rate.

## 800 Appendix B: Impact of random sweeping effects on gradient method

In the following, we illustrate how one obtains [the expression in terms of the turbulence intensity an expression](#) for the impact of random sweeping effects on the dissipation rate estimate [in terms of the turbulence intensity](#) using the gradient method  $\epsilon_G = \langle \epsilon \rangle [1 + 5I^2]$  Lumley (1965); Wyngaard and Clifford (1977). We consider a model wavenumber-frequency spectrum (Wilczek and Narita, 2012; Wilczek et al., 2014), which is based on the same modeling assumptions used in Wyngaard and  
805 Clifford (1977). It enables us to conduct a systematic assessment of the interplay between Taylor's hypothesis and the random sweeping effects. The model wavenumber-frequency spectrum tensor  $\Phi_{ij}(\boldsymbol{\kappa}, \omega)$  can be derived from an elementary linear random advection model (Kraichnan, 1964; Wilczek and Narita, 2012; Wilczek et al., 2014), which in case of SHI turbulence can be expressed in terms of the energy spectrum tensor  $\Phi_{ij}(\boldsymbol{\kappa})$ :

$$\Phi_{ij}(\boldsymbol{\kappa}, \omega) = \frac{\Phi_{ij}(\boldsymbol{\kappa})}{\sqrt{2\pi\kappa^2 I^2 U^2}} \exp\left(-\frac{(\omega/U - \kappa_1)^2}{2\kappa^2 I^2}\right). \quad (\text{B1})$$

810 Within the model, the wavenumber-frequency spectrum  $\Phi_{ij}(\boldsymbol{\kappa}, \omega)$  consists of the energy spectrum tensor in wavenumber space  $\Phi_{ij}(\boldsymbol{\kappa})$  multiplied by a Gaussian frequency distribution.  $\Phi_{ij}(\boldsymbol{\kappa}, \omega)$  has a mean value determined by  $\omega = \kappa_1 U$ , i.e., Taylor's hypothesis expressed in Fourier space, and a variance proportional to the turbulence intensity. When the turbulence intensity tends to zero at fixed mean velocity, the frequency distribution tends to a delta function, re-establishing the one-to-one correspondence between the frequency and the wavenumber in the direction of the mean flow. To establish the connection to the different  
815 methods using longitudinal components and Taylor's hypothesis, we consider the  $i = j = 1$  component of Eq. (B1). One obtains the estimate for the longitudinal wavenumber spectrum based on Taylor's hypothesis, which includes the effect of random sweeping, by first integrating over the wavevector space. This leads to the frequency spectrum  $\tilde{F}_{11}(\omega)$ , which corresponds to the one obtained from temporal single-point measurements of the longitudinal velocity component. Then, one applies Taylor's hypothesis, corresponding to the substitution  $\omega = \kappa_1 U$  which leads to

$$820 \quad E_{11}(\kappa_1) d\kappa_1 \stackrel{\omega=\kappa_1 U}{=} \frac{1}{U} \tilde{F}_{11}(\omega) d\omega = \frac{2}{U} \left[ \int \Phi_{11}(\boldsymbol{\kappa}', \omega) d\boldsymbol{\kappa}' \right] d\omega. \quad (\text{B2})$$

Finally, this enables us to evaluate the influence of random sweeping on the gradient method since it is closely related to the wavenumber spectrum. Expressed in wavenumber space, the relation, Eq. (6), takes the following form, where we insert Eqs. (B1)-(B2) and solve the corresponding Gaussian integral over  $\omega$  in the second step:

$$\epsilon_G = 15\nu \int \kappa_1^2 E_{11}(\kappa_1) d\kappa_1 = \langle \epsilon \rangle [1 + 5I^2]. \quad (\text{B3})$$

825 Hence, we recover, as expected, the result by Lumley (1965) and Wyngaard and Clifford (1977).

To numerically assess how random sweeping smears out the spectrum at finite turbulence intensities (see Fig. 4), we assumed a model wavenumber spectrum, (Pope, 2000, Eq. 6.246 ff.):

$$E(\kappa) = C_K \langle \epsilon \rangle^{2/3} \kappa^{-5/3} \left( \frac{\kappa L}{[(\kappa L)^2 + c_L]^{1/2}} \right)^{5/3+p_0} \exp(-\beta \kappa \eta_K), \quad (\text{B4})$$

830 where  $L$  is the energy injection scale and  $c_L = 6.78$ ,  $p_0 = 2$  and  $\beta = 2.094$  are positive constants. Based on this model wavenumber spectrum we first obtain  $E_{11}(\kappa)$  through Eq. (A7). ~~Where one~~ One can then evaluate Eq. (B2) resulting in the spectrum being smeared out by random sweeping.

### Appendix C: Effect of Probe Misalignment

Here, we derive estimates for the systematic error due to misalignment between the (virtual) anemometer and the global mean wind direction  $\frac{U}{|U|}$ . This misalignment is captured by an angle  $\theta$ , which is assumed to be constant in time and space. In general, the rotation matrix around an arbitrary rotation axis  $\hat{n}$  with  $n_i n_i = 1$  is given by  $\mathcal{R}_{ij}^{\hat{n}}(\theta) = (1 - \cos\theta)n_i n_j + \cos\theta \delta_{ij} + \sin\theta \epsilon_{ijk} n_k$  (e.g. Cole, 2015; Hanson, 2014) (e.g., Cole, 2015; Hanson, 2011), where  $\epsilon_{ijk}$  is the Levi-Cevita tensor and  $\delta_{ij}$  the Kronecker delta. At first, we consider the covariance tensor  $R_{ij}(\mathbf{r}')$  as since the integral length scale, the second-order structure function tensor as well as the velocity gradient covariance tensor depend on  $R_{ij}(\mathbf{r}')$  (Eqs. (A1), (A2) and (A4), respectively). In the sensor frame of reference, the covariance tensor is given by

$$840 \quad R_{ij}(\mathbf{r}') = \langle u'^2 \rangle \left( g(r') \delta_{ij} + [f(r') - g(r')] \frac{r'_i r'_j}{r'^2} \right), \quad (\text{C1})$$

where  $r'_i = \mathcal{R}_{ij}^{\hat{n}}(\theta) r_j$  and  $r' = r$ . As only the longitudinal component in the sensor frame of reference is measured, Eq. (C1) reads for  $i = j = 1$  and  $\mathbf{r} = r \mathbf{e}_1$

$$R_{11}(\mathbf{r}') = \langle u'^2 \rangle \left( g(r) + [f(r) - g(r)] \frac{\mathcal{R}_{11}^{\hat{n}}(\theta) r_l \mathcal{R}_{1k}^{\hat{n}}(\theta) r_k}{r^2} \right) \quad (\text{C2})$$

$$= \langle u'^2 \rangle (g(r) + [f(r) - g(r)] (\cos^2 \theta + n_1^4 (1 - \cos\theta)^2 + 2n_1^2 (1 - \cos\theta) \cos\theta)). \quad (\text{C3})$$

845 For further simplification, we assume without loss of generality that the mean wind changes direction only in the horizontal plane. With this we can set  $\hat{n} = \mathbf{e}_3$ , which yields for  $\mathbf{r}' = r' \mathbf{e}'_1$

$$R_{11}(r' \mathbf{e}'_1) / \langle u'^2 \rangle = f(r') = \langle u'^2 \rangle (g(r) + [f(r) - g(r)] \cos^2 \theta), \quad (\text{C4})$$

which we interpret as the measured autocorrelation function. Then, the measured longitudinal integral length scale, Eq. (11), amounts to

$$850 \quad L'_{11}(\theta) = \int_0^\infty dr' f(r') = \int_0^\infty dr \cos \theta (\cos^2 \theta f(r) + (1 - \cos^2 \theta) g(r)) = \frac{1}{2} L_{11} \cos \theta (1 + \cos^2 \theta), \quad (\text{C5})$$

where the integration of  $f(r)$  and  $g(r)$  is carried out in the last step, see Eq. (11), while considering the fact that  $L_{22} = L_{11}/2$  for isotropic turbulence (Pope, 2000).

An analogous argument also holds for the second-order structure function tensor, Eq. (A2):

$$D_{11}(r') = D_{NN}(r) + [D_{LL}(r) - D_{NN}(r)] \cos^2 \theta = D_{LL}(r) \left( \frac{4 - \cos^2 \theta}{3} \right), \quad (\text{C6})$$

855 where the transverse second-order structure function  $D_{NN}(r) = D_{22}(r) = D_{33}(r)$  is expressed as  $D_{NN}(r) = 4D_{LL}(r)/3 = 4C_2(r\epsilon)^{2/3}/3$  in SHI turbulence (Pope, 2000).

The misalignment error for the gradient method can be estimated analytically starting from the longitudinal component of the velocity gradient covariance tensor  $R_{1111}$  — it can be also expressed in terms of the velocity covariance tensor Eq. (A4).

860 Following similar arguments as above and starting from Eq. (A4), assuming  $\mathbf{r} = r \mathbf{e}_1$  and applying the rotation about an axis  $\hat{n}$  with  $n_i n_i = 1$ , we obtain

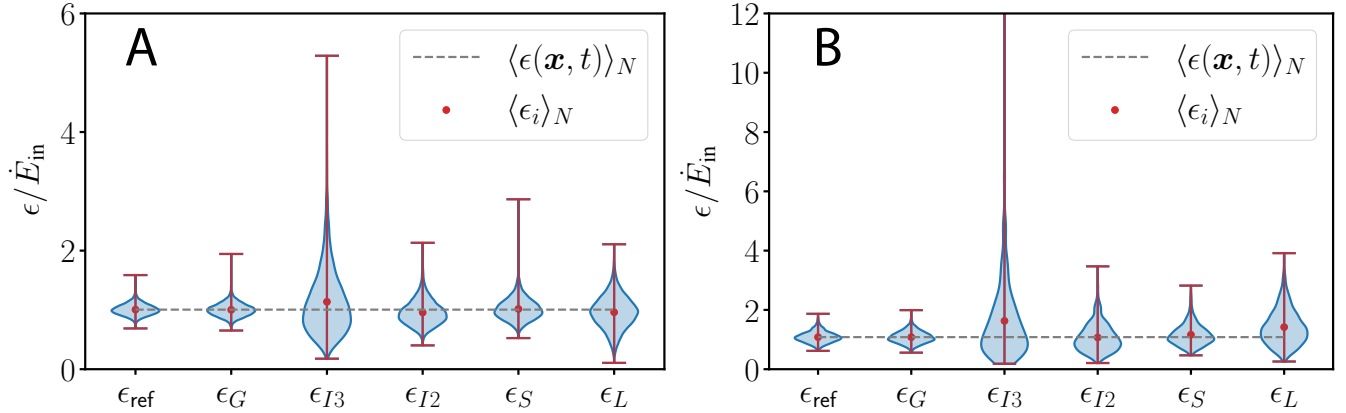
$$R_{1111}(0) = - \lim_{r' \rightarrow 0} \partial_{r'} \partial_{r'} R_{11}(r' \mathbf{e}'_1) = - \frac{u'^2}{\cos^2 \theta} \lim_{r \rightarrow 0} \partial_r^2 \left[ g(r) + [f(r) - g(r)] \frac{r^2 \cos^2 \theta}{r^2} \right], \quad (\text{C7})$$

where  $\partial_{r'} = \partial_r / \cos \theta$  due to the rotation. Using  $\partial_r^2 g(r) = 2\partial_r^2 f(r) + \frac{r}{2} \partial_r^3 f(r)$  (Pope, 2000), the velocity gradient covariance tensor reduces to

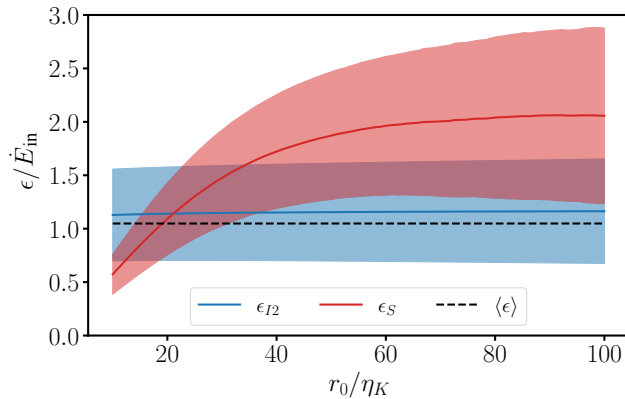
$$R_{1111}(0) = - \frac{u'^2}{\cos^2 \theta} \lim_{r \rightarrow 0} \left[ (2 - \cos^2 \theta) \partial_r^2 f(r) + (1 - \cos^2 \theta) \frac{r}{2} \partial_r^3 f(r) \right] \quad (\text{C8})$$

$$865 \quad = \left\langle \left( \frac{\partial u}{\partial x_1} \right)^2 \right\rangle \frac{2 - \cos^2 \theta}{\cos^2 \theta}, \quad (\text{C9})$$

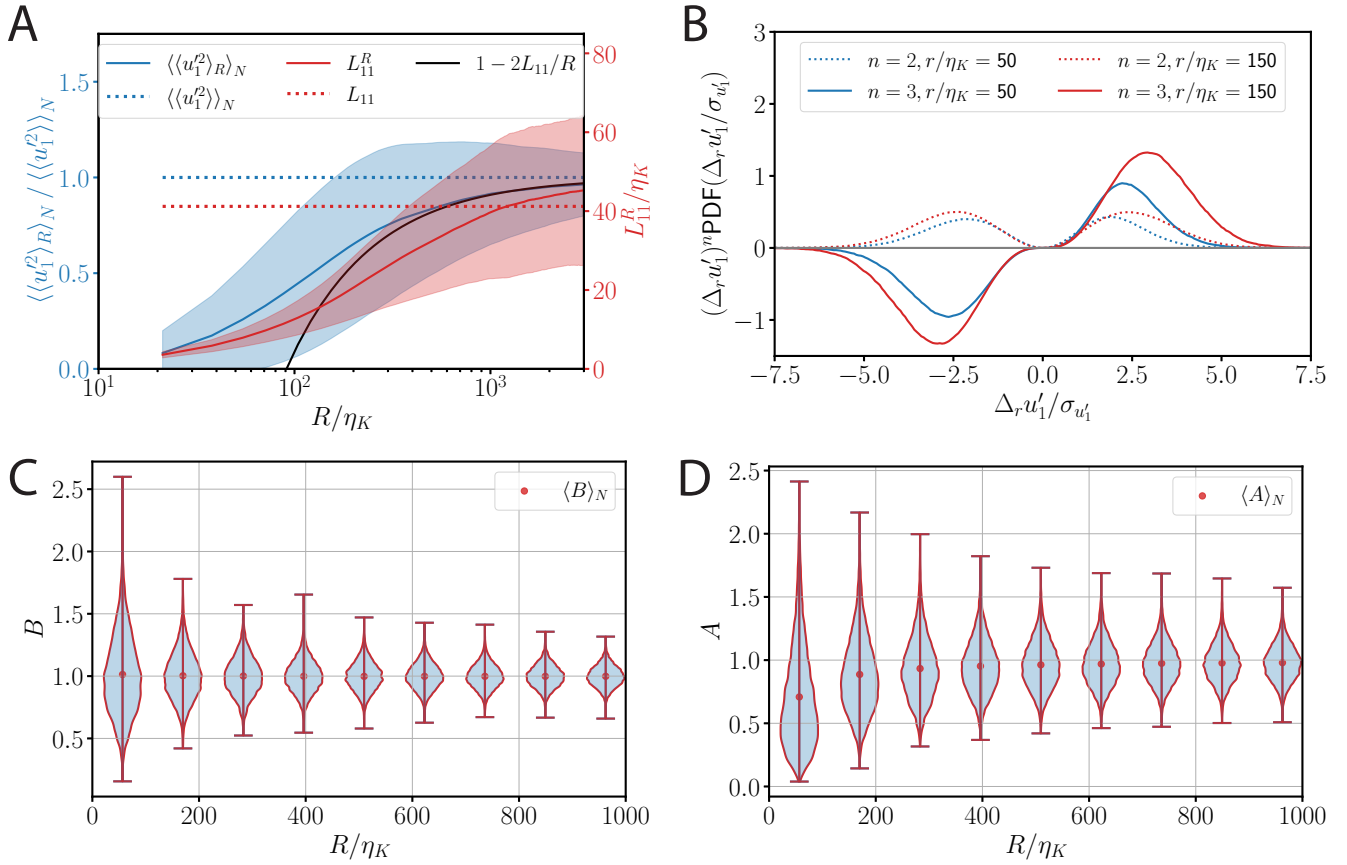
where  $-u'^2 \lim_{r \rightarrow 0} \partial_r^2 f(r) = \langle (\partial u / \partial x_1)^2 \rangle$  (Pope, 2000) is used for the last step.



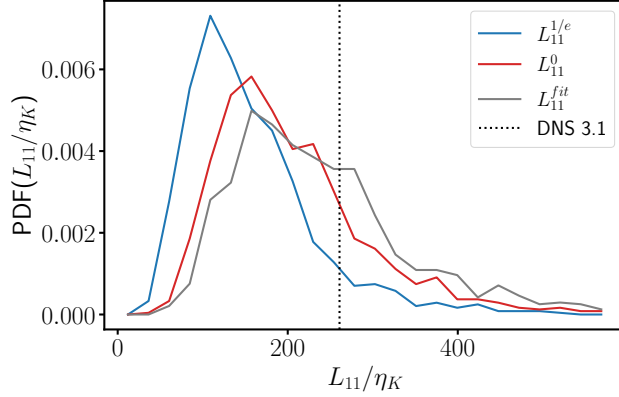
**Figure A1.** Validation of estimating the energy dissipation rate from  $\epsilon_G, \epsilon_{I2}, \epsilon_{I3}, \epsilon_S$ , and  $\epsilon_L$ . All estimates are re-scaled by the energy injection rate  $\dot{E}_{in}$ . The data is taken from DNS 1.1 (A) and 2.1 (B), turbulence intensity  $I = 1\%$ . The ensemble mean of each method  $\langle \epsilon_i \rangle_N$  is denoted by red dots where the whiskers extend from the minimal to maximal estimate of  $\epsilon_i$  where  $i \in \{G, I3, I2, S, L\}$ . As the inertial range of DNS 1.1 ( $I = 1\%$ ,  $\theta = 0^\circ$  and maximal available averaging window) is not well pronounced due to the low  $R_\lambda \sim 74$ , we used the maximum of Eq. (7) in order to retrieve  $\epsilon_{I2,3}$ . The dashed line represents the global mean energy dissipation rate of DNS 1.1 and 2.1 ( $R_\lambda = 219$ ,  $I = 1\%$ ,  $\theta = 0^\circ$  and maximal available averaging window), respectively, which is approximated by the ensemble average of the true mean energy dissipation rate along the trajectory of each virtual probe.  $\epsilon_{ref}$  is the reference distribution of ground-truth global mean energy dissipation field originating from the dissipation field along the trajectory of each virtual probe.



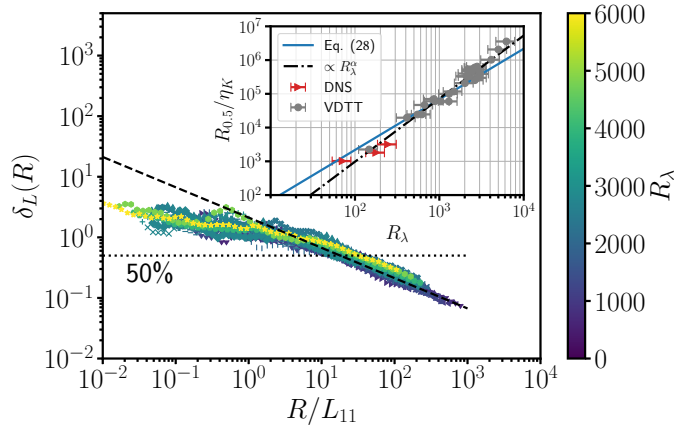
**Figure A2.** Estimates of the mean energy dissipation rate as a function of the fit-range for  $\epsilon_{I2}$  and  $\epsilon_S$  for DNS 3.1 (1000 probes,  $R_\lambda = 302$ ,  $I = 1\%$ ,  $\theta = 0^\circ$  and maximal available averaging window) re-scaled by the energy injection rate  $\dot{E}_{in}$ . The solid line represents the ensemble average whereas the shaded region is given by the standard deviation.  $r_0 \in [10\eta_K, 100\eta_K]$  is the lower boundary of the fit-range for  $\epsilon_{I2}$  where the upper boundary is fixed at  $r_1 = 500\eta_K$ . For  $\epsilon_S$ , the fit-range is given by  $f \in [U/r_1, U/r_0]$ . The dashed line denotes the global mean energy dissipation rate.



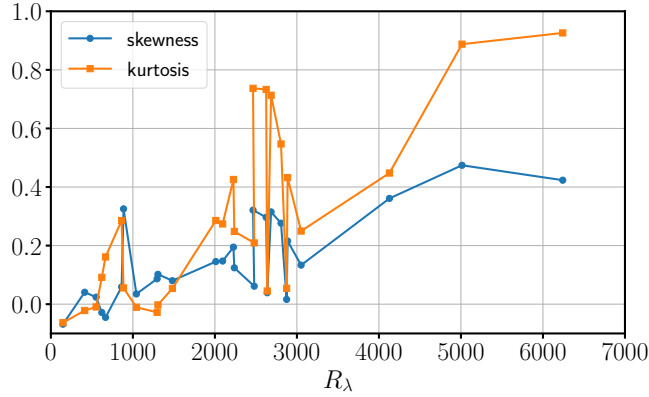
**Figure A3.** Convergence of higher-order statistical quantities and longitudinal integral length scale as well as small and large-scale anisotropy obtained from all virtual probes of DNS 1.1 ( $R_\lambda = 74$ ,  $I = 1\%$ ,  $\theta = 0^\circ$ ). (A) The variance of the longitudinal velocity fluctuations  $\langle u_1'^2 \rangle$  and the longitudinal integral length scale  $L_{11}^R$  as a function of averaging window size  $R$  normalized by the Kolmogorov length scale  $\eta_K$ .  $\langle u_1'^2 \rangle$  is defined by Eq. (20) and re-scaled by the ensemble-averaged variance of the longitudinal velocity fluctuations. For large  $R$ ,  $\langle\langle u_1'^2 \rangle\rangle_N$  converges to  $\langle\langle u_1'^2 \rangle\rangle_N \approx \langle\langle u_1'^2 \rangle\rangle_N$  (blue-dotted line) and the systematic error of the variance (solid-black line), Eq. (20), decays to 0.  $L_{11}^R$  is the longitudinal integral length scale obtained from averaging windows of size  $R$ . For large  $R$ ,  $L_{11}^R$  should converge to  $L_{11}$  (red-dotted line) which is not fully achieved in this range of  $R$ . (B) Premultiplied PDFs of second and third-order velocity increments over distances  $r = 50\eta_K$  and  $r = 150\eta_K$ . The tails of the pre-multiplied PDFs have decayed to zero for large (and re-scaled) increments  $\Delta_r u_1' / \sigma_{u_1'}$  so that they can globally considered to be converged. (C) Small-scale anisotropy based on the ratio of longitudinal gradients to the instantaneous energy dissipation  $B = \epsilon_G / \langle \epsilon_0(\mathbf{x}, t) \rangle$ . In isotropic turbulence,  $B = 1$  in on average. (D) Large-scale anisotropy parameter  $A = 3\langle u_1'^2 \rangle / (2k)$  as a function of averaging window  $R$  where  $k$  is the turbulent kinetic energy and  $\langle u_1'^2 \rangle$  the variance of the longitudinal velocity fluctuations. In isotropic turbulence,  $A = 1$  in on average.



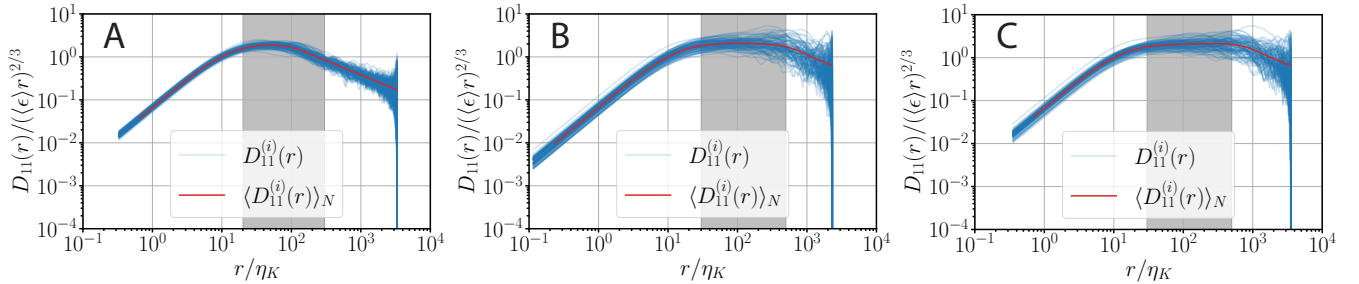
**Figure A4.** Different estimates of the integral length from DNS 3.1 with  $R_\lambda = 302$ ,  $I = 1\%$ ,  $\theta = 0^\circ$  and maximal available averaging window.  $L_{11}^0$  is inferred from integrating  $f(r)$  to its first zero whereas  $L_{11}^{1/e}$  refers to the integration of  $f(r) > 1/e$ .  $L_{11}^{fit}$  extends  $f(r)$  with an exponential tail where the integration is performed up to infinity. The black dotted line is the reference from DNS 3.1 obtained by Eq. (11). All estimates are re-scaled by  $\eta_K$ .



**Figure A5.** Convergence of energy dissipation rate estimates for  $\epsilon_L$ . The empirical random error  $\delta_L(R)$  is plotted as a function of re-scaled averaging window size  $R/L_{11}$  from VDTT experiments at various  $R_\lambda$ . The analytical result for the random error (Eq. (22)) is shown by the dashed black line. (Inset) The insets show the length of the averaging window in terms of  $\eta_K$  where  $\hat{\delta}_L^R$  is less than 50% as a function of Taylor microscale Reynolds number  $R_\lambda$ . The inset plot shows data from DNS 1.3, 2.3 and 3.3 (red triangles) and the VDTT (grey circle). The three red dots mark the experiments with the highest  $R_\lambda$  where the isotropy of the grid forcing in the VDTT is not guaranteed anymore. The solid, blue line shows Eq. (28) resolved for  $R_{0.5}/\eta_K$ . The double logarithmic fit ( $\log R/\eta_K = \frac{3}{4} \log \frac{9}{4} \frac{3}{20} - 2 \log a_{\text{fit}} + \alpha \log R_\lambda$ ) is performed for the scaling argument resulting in  $\alpha = 1.87 \pm 0.06$  and  $a_{\text{fit}} = 1.75 \pm 0.22$  (black dash-dotted line).

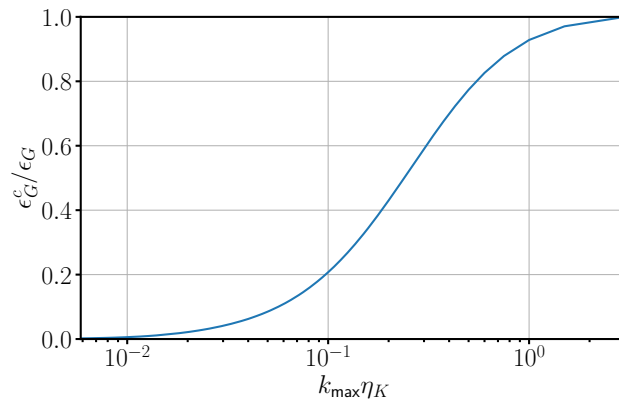


**Figure A6.** Skewness and kurtosis of all VDTT experiments as a function of  $R_\lambda$ . The skewness vanishes for normally distributed velocity time records. Similarly, the kurtosis equals  $\theta$  zero for normally distributed velocity time records, according to Fisher's convention, which is a measure for the excess kurtosis.



**Figure A7.** Compensated longitudinal second-order structure functions for DNS 1.1 (A), DNS 2.1 (B), and DNS 3.1 (C). The grey gray shaded region represents the fit-range (Eq. (7)) for each DNS. The individual longitudinal second-order structure functions are calculated from the velocity time records along the  $e_1$ -direction of each virtual probe (blue lines). The ensemble-averaged longitudinal second-order structure functions are shown in red.





**Figure A8.** Resolution effect on  $\epsilon_G$ .  $\epsilon_G^c$  refers to the coarse-grained velocity time record. Coarse-graining is realized by taking only every  $n$ th value of the fully resolved velocity time record where  $n \in [1, 512]$ , thereby controlling the resolution  $k_{\max} \eta_K$ . Velocity data are taken from DNS 2.0 ( $R_\lambda = 142$ ,  $R \approx 32000 \eta_K$ ,  $I = 10\%$ ,  $\theta = 0^\circ$ ).

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