The High lAtitude sNowfall Detection and Estimation aLgorithm for ATMS (HANDEL-ATMS): a new algorithm for the snowfall retrieval at high latitudes

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Abstract. Snowfall detection and quantification are challenging tasks in the Earth system science field. Ground-based instruments have limited spatial coverage and are scarce or absent at high latitudes. Therefore, the development of satellite-based snowfall retrieval methods is necessary for the global monitoring of snowfall. Passive Microwave (PMW) sensors can be exploited for snowfall quantification purposes because their measurements in the high-frequency channels (> 80 GHz) respond to snowfall microphysics. However, the highly non-linear PMW multichannel response to snowfall, the weakness of snowfall signature and the contamination by the background surface emission/scattering signal make snowfall retrieval very difficult. This phenomenon is particularly evident at high latitudes, where light snowfall events in extremely cold and dry environmental conditions are predominant. ~~ML~~Machine Learning (ML) techniques have been demonstrated to be very suitable to handle the complex PMW multichannel relationship to snowfall. Operational microwave sounders on near-polar orbit satellites such as the Advanced Technology Microwave Sounder (ATMS), and the European MetOp-SG Microwave Sounder in the future, offer a very good coverage at high latitudes. Moreover, their wide range of channel frequencies (from 23 GHz to 190 GHz), allows for the dynamic radiometric characterization of the surface at the time of the overpass along with the exploitation of the high-frequency channels for snowfall retrieval. The paper describes the High lAtitude sNow Detection and Estimation aLgorithm for ATMS (HANDEL-ATMS), a new machine learning-based snowfall retrieval algorithm developed specifically for high latitude environmental conditions and based on the ATMS observations.

HANDEL-ATMS is based on the use of an observational dataset in the training phase, where each ATMS multichannel observation is associated with coincident (in time and space) CloudSat Cloud Profiling Radar (CPR) vertical snow profile and surface snowfall rate. The main novelty of the approach is the radiometric characterization of the background surface (including snow covered land and sea ice) at the time of the overpass to derive multi-channel surface emissivities and clear-sky contribution to be used in the snowfall retrieval process. The snowfall retrieval is based on four different artificial neural networks for snow water path (SWP) and surface snowfall rate (SSR) detection and retrieval HANDEL-ATMS shows very good detection capabilities - POD = 0.83, FAR = 0.18, and HSS = 0.68 for the SSR detection module. Estimation error statistics show a good agreement with CPR snowfall products for SSR > 10^{-2} mm h^{-1} (RMSE 0.08 mm h^{-1}, bias=0,02 mm h^{-1}). The analysis of the results for an independent CPR dataset and of selected snowfall events evidence the unique capability of HANDEL-ATMS to detect and estimate SWP and SSR also in presence of extreme cold and dry environmental conditions typical of high latitudes.

1 Introduction

Snowfall retrieval is one important topic in the atmospheric science field. On a global scale, snowfall represents only 5 % of the total global precipitation but it is predominant above 60-70 ° N/S (see *Levizzani et al, 2011*). In recent years, several studies have highlighted the strong influence of global warming on snowfall distribution and regimes, especially at high latitudes (see *Liu et al, 2009*, *Liu et al, 2012*, *Bintanja & Selten, 2014*, *Vihma et al, 2015*). However, global snowfall quantification is a challenging topic in weather sciences. Ground-based instruments such as raingauges or snowgauges provide only punctual measurements which can not fully capture the spatial variability of precipitation phenomena; moreover, the variability of snowflake shape and density has a strong influence on their fall speed and trajectories and therefore gauge-based measurements of falling snow result to be less accurate than for rain (see *Skofronick-Jackson et al, 2015*). Weather radars can provide areal measurements of precipitation - the rate estimation is based on the conversion of the measured backscattered radiation to precipitating hydrometeors content - but such operation presents some technical limitations (see *Kidd*

& Huffman, 2011). Finally, most of the regions where snowfall is predominant - such as Greenland, Siberia, Canada, and Antarctica - are uninhabited or otherwise sparsely populated areas where weather observation networks are very scarce or totally absent. Therefore, the development of satellite-based methods for snowfall retrieval is necessary for global monitoring of snowfall. Passive Microwave (PMW) sensors on board polar orbiting satellites can be exploited for snowfall detection purposes because the microwave (MW) signal is directly responsive to the spatial distribution and microphysics properties of precipitation-sized hydrometeors in the clouds; at the same time, the use of PMW sensors guarantees a high spatial coverage and high temporal resolution (see *Kidd & Huffman, 2011*).

PMW snowfall detection and quantification is typically based on the ability to interpret the snowfall scattering signature in the high frequency channels (> 90 GHz), which respond more effectively to ice microphysics and are less prone to surface effects than low frequency channels, and to distinguish it from the clear-sky (surface and atmosphere) contribution (e.g., *Panegrossi et al~~.,~~, 2017*). However, several factors make the PMW snowfall signal ambiguous and the relationship between multichannel measurements and surface snowfall intensity highly non-linear, especially in extremely cold/dry environmental conditions (see *Panegrossi et al*, 2022). The snowfall scattering signal is relatively weak and is highly dependent on the complex microphysical properties of snowflakes (*Kim et al, 2008, Kulie et al, 2010, Kongoli et al, 2015*), it is often masked by supercooled liquid water emission signal~~,~~ (*Wang et al, 2013, Battaglia & Delanoe, 2013, Panegrossi et al, 2017, Rysman et al, 2018, Battaglia & Panegrossi, 2020, Panegrossi et al, 2022*), and can be contaminated by the extremely variable background surface emissivity (*Liu and Seo, 2013, Takbiri et al., 2019, Rahimi et al, 2017*), especially in cold and dry conditions typical of the high latitude regions (*Camplani et al~~.,~~, 2021*). In this context, the availability of the ~~last~~latest generation microwave radiometers - such as the conically-scanning radiometer GPM Microwave Imager (GMI) and the cross-track scanning radiometer Advanced Technology Microwave Sensor (ATMS) - whose channels cover a wide range of frequencies - offers new possibilities for global snowfall monitoring. The multi-channel PMW observations can be used for both a dynamic radiometric characterization of the background surface - using the low-frequency channels (< 90 GHz) - and for the detection and the estimation of the snowfall using the high-frequency channels (> 90 GHz) (see *Panegrossi et al, 2022*).

The PMW capability to characterize physically and radiometrically the background surface varies from sea to land, especially for the identification of cold/frozen surfaces. For what concerns the ocean, sea ice detection using PMW observations has been a well-documented topic in the remote sensing science field since the 70s. This is due to the strong contrast between sea ice (≈ 0.9) and open water (≈ 0.5) emissivity values at the MW low-frequency range (~19 GHz) (see *Comiso, 1983*). Other studies highlighted the ability to discriminate between different types of ice using a set of low-frequency window channels, because the differences between the emissivities of the different types of sea ice increase with increasing frequency; in particular, at higher frequencies (30-50 GHz) the contrast between the emissivity of "new" ice and "old" ice increases, with a decrease of the emissivity at higher frequencies for "older" sea ice (see *Comiso, 1983, Ulaby ~~et al~~& Long, 2014*). Moreover, it has been observed that the simultaneous presence of open water and sea ice causes a decrease in the low-frequency channel emissivity; the observed emissivity can be considered as a linear combination of the emissivity spectra of sea ice and open water (see *Ulaby ~~et al~~& Long, 2014*). For what concerns continental areas, the detection of snow-covered land surfaces using MW measurements results to be more difficult. In dry conditions, a snowpack acts as a volume scatterer; the scattering effect is dependent on the grain size and shape and on the depth of the snowpack (see *Clifford, 2010*). However, the presence of liquid water can mask the scattering signature (see *Mätzler & Hüppi, 1989*). At the same time, large areas of Greenland and Antarctica~~ could appear as "scatter-free", although these areas throughout the year are~~, while covered by dry snowpacks throughout the year, do not show a significant difference between the two ATMS low frequency channels. Finally, some snow-free areas, such as rocky mountains and cold deserts, present a scattering signature very similar to that of the snowpack (see *Grody & Basist, 1996*). Therefore, the detection of snow-covered areas is very complex. A set of several tests, each of which identifies snowpacks characterized by different physical and radiometric characteristics, may be used.

This paper describes the development of a machine learning-based algorithm for snowfall retrieval (the High lAtitude sNowfall Detection and Estimation aLgorithm for ATMS, HANDEL-ATMS), exploiting ATMS radiometer multi-channel measurements and using the CloudSat Cloud Profiling Radar (CPR) snowfall products as reference. The algorithm has been developed focusing on the typical conditions of high latitude regions - low humidity, low temperature, presence of snowpack on land or sea ice over ocean, and light snowfall intensity.

The main novelty of the approach is the exploitation of the ATMS wide range of channels (from 22 GHz to 183 GHz) to ~~obìtain~~obtain the dynamic radiometric characterization of the background surface at the time of the overpass. The derived surface emissivities are used to infer the clear-sky contribution to the measured TBs in the high~~ frequency channels in the snowfall retrieval process.~~ frequency channels in the snowfall retrieval process. This approach is similar to the work of *Zhao and Weng, 2002*, for AMSU observations limited to non-scattering surfaces (i.e., ocean and vegetated land), however the application to surfaces with a very complex and time-varying emissivity (such as snow cover and sea ice) required a far-away more advanced algorithm taking advantage of machine learning techniques. Moreover, the algorithm is based on the exploitation of an observational dataset where each ATMS multichannel observation is associated with coincident (in time and space) CloudSat CPR vertical snow profile and surface snowfall rate (hereafter ATMS-CPR coincidence dataset). Several snowfall retrieval algorithms for cross-track scanning radiometers have evolved in the last 20 years starting from the Advanced Microwave Sounder Unit-B (AMSU-B) (*Zhao and Weng, 2002, Kongoli et al, 2003, Skofronick-Jackson et al, 2004, Noh et al~~.~~, 2009, Liu and Seo 2013*), and Microwave Humidity Sounder (MHS) (see *Liu & Seo, 2013, Edel et al, 2020*), and evolving to ATMS (*Kongoli et al, 2015, Meng et al, 2017, Kongoli et al, 2018, You et al, 2022, Sanò et ~~–~~al, 2022*). Some of them are based on radiative transfer simulations of observed snowfall events (*Kongoli et al, 2003, Skofronick-Jackson et al, 2004, Kim et al, 2008*), or on in-situ data (see *Kongoli et al, 2015, Meng et al, 2017, Kongoli et al, 2018*), others on CPR observations (*Edel et al, 2020, You et al, 2022, Sanò et ~~-~~al, 2022*), or a combination of them (*Noh et al, 2009, Liu & Seo, 2013*). In the last five years, there has been an increasing use of machine learning (ML) approaches trained on CPR-based coincidence datasets. These approaches have proven to be very effective for snowfall retrieval. On one side, ML techniques are suitable to handle the complex, ~~nonlinear~~non-linear PMW multichannel response to snowfall (e.g., *Rysman et al~~.~~, 2018, Edel et al~~.~~, 2020, Sanò et al~~.~~, 2022*). On the other ~~side~~hand, the use of CPR-based datasets overcomes some of the limitations deriving from the ~~assumptions to be made in~~ use of cloud-radiation model simulations~~ (e. g., the microphysics scheme, the emissivity of the background surface, scattering properties of ice hydrometeors).~~, which are particularly ~~problematic~~challenging for snowfall ~~estimation~~events. However, some limitations of the radar product used as a reference and issues related to the spatial and temporal matching between the CPR and the PMW radiometer measurements ~~introduces some uncertainty.~~ introduce some uncertainty. Moreover, the 2CSP product is based on assumptions on snow microphysics, uses optimal estimation to retrieve snow parameters, and uses a simplified radar reflectivity equation and is affected by CloudSat CPR limitations as outlined in *Battaglia & Panegrossi, 2020.*

For what concerns ATMS, the ML-based Snow retrievaL ALgorithm fOr gpM–Cross Track (SLALOM-CT) (*Sanò et al~~.~~, 2022*) has been developed within the EUMETSAT Satellite ~~Application~~APplication Facility for Hydrology (H SAF) in preparation for the launch of the EPS-SG Microwave Sounder (MWS). Similarly to HANDEL-ATMS, it is trained on a ATMS-CPR coincidence dataset. SLALOM-CT is the evolution for cross-track scanning radiometers of the Snow retrievaL ALgorithm fOr GMI (SLALOM) (*Rysman et al, 2018, Rysman et al, 2019*) which was the first ML algorithm for snowfall detection and retrieval for GMI trained and tested on GMI-CPR coincident observations made available in the NASA GPM-CloudSat coincidence dataset (*Turk et al~~.~~, 2021a*). One of the novelties in the SLALOM (SLALOM-CT) approach is the use of the GMI (ATMS) low-frequency channels to better constrain the snowfall retrieval to the characteristics of the surface at the time of the overpass (*Turk et al~~.~~, 2021b*). SLALOM-CT is based on a modular scheme, i.e., four separate modules are used for snowfall detection, supercooled water layer detection, snow water path (SWP) and surface snowfall rate (SSR) estimate. The predictor set is composed of the ATMS TBs and some environmental variables (T_{2m}, TPW, and principal components derived from temperature and humidity profiles).

However, none of the algorithms mentioned here were ~~trained~~tailored specifically ~~for~~to the extreme conditions typical of high latitudes. The present work has the aim to develop an algorithm for snowfall detection and estimation by exploiting the large frequency range typical of the last generation radiometers and to obtain a dynamic radiometric characterization of the background surface at the time of the satellite overpass in order to highlight the complex relationship between upwelling radiation and snowfall signature, which makes the detection very difficult in the typical conditions of the high latitudes.

This article is organized as follows: Section 2 provides background information on ATMS and CPR, on the methodology used to build the coincidence dataset and on the machine learning approaches used to develop the algorithm. In Section 3 the algorithm structure is described. In Section 4 the overall performance scores are reported and analyzed; a case study is analyzed and a comparison with SLALOM-CT is reported. Section 5 is dedicated to the summary of the main results and to the conclusions.

2. Instruments and methods

2.1 Advanced Technology Microwave Sounder (ATMS)

ATMS is a total power cross-track scanning radiometer within 52.7° off the nadir direction. It has a total of 22 channels with the first 16 channels primarily used for temperature sounding from the surface to about 1 hPa (45 km) and the remaining channels used for water vapor sounding in the troposphere from the surface to about 200 hPa (10 km~~).~~), and for cloud properties and precipitation retrieval. There are two receiving antennas: one serving channels 1–15 below 60 GHz, and the other for channels above 60 GHz. The beamwidth changes with frequency and is 5.2° for channels 1–2 (23.8–31.4 GHz), 2.2° for channels 3–16 (50.3–57.29 and 88.2 GHz), and 1.1° for channels 17–22 (165.5–183.3 GHz). The corresponding nadir resolutions are 74.78, 31.64, and 15.82 km, respectively. The outmost field of view (FOV) sizes are 323.1 km × 141.8 km (cross-track × along-track), 136.7 km × 60.0 km, and 68.4 km × 30.0 km, respectively~~. The ATMS can be considered the evolution of the three main previous cross-track scanning radiometers: Advanced Microwave Sounding Unit-A (AMSU-A), Advanced Microwave Sounding Unit-B (AMSU-B), and Microwave Humidity Sounder (MHS). Seventeen ATMS channels (channels 1–3, 5–15, 17, 20, and 22) have the same frequencies as its two predecessors AMSU, two ATMS channels (channels 16 and 18) have slightly different frequencies from AMSU channels, and three new channels (channels 4, 19 and 21) have been added to ATMS~~ (see *Weng et al, 2012*). ATMS is currently carried by three near-polar orbiting satellites, Suomi National Polar-orbiting Partnership (SNPP) NOAA-20, and NOAA-21 providing global coverage including polar regions. ~~Moreover, each~~Each satellite revisiting time is equal to 12 hours at the equator, but drops to 100 minutes over the polar regions, ensuring a very high temporal resolution for the research area of interest in this work. Moreover, the operational nature of the mission guarantees observations for the next decades. It is worth noticing that the polarization of ATMS channels is not defined as vertical or horizontal, but as “Quasi-Vertical" or “Quasi-Horizontal”. The “Quasi” prefix is used to indicate that ATMS (and any other cross-track scanner) measures vertical or horizontal polarization only when looking at nadir and a mixture of V and H polarization for off-nadir scan angles.

2.2 Cloud Profiling Radar (CPR)

The CPR is a 94 GHz nadir-looking radar onboard CloudSat. CloudSat was launched on April 28, 2006; the W-band (94 GHz) Cloud Profiling Radar (CPR) operations began on June 2, 2006. CPR has been acquiring the first-ever continuous global time series of vertical cloud structures and vertical profiles of cloud liquid and ice water content with a 485-m vertical resolution and a 1.4-km antenna 3-dB footprint. The reference CloudSat snowfall product is the 2C-Snow-Profile (2CSP) product (Version 5 is used in this work). It provides estimates of snowfall characteristics for each observed profile. In particular, it provides an estimate of the Snow Water Path (SWP), i. e., the total snow water content integrated over the atmospheric column, and of the Surface Snowfall Rate (SSR) (see *Stephens et al, 2008*). SWP is estimated also when there is no snowfall at the ground level~~;~~, therefore, the presence of SWP is not always linked to the~~ presence of~~ SSR, especially in warmer near-surface conditions (see *Wood & L'Ecuyer, 2018*). 2CSP has several limitations, such as the contamination of the signal in the lowest 1000 - 1500 m of the profile due to ground-clutter, the underestimation of the heavy snowfall~~ events~~, due to attenuation of the radar signal in these conditions, and the limited temporal sampling (although it is higher in the polar regions), and the day-only operation mode since 2011, which limits its use during the winter seasons (see *Milani and Wood, 2021*, *Panegrossi et al*, 2022). However, 2CSP has been demonstrated to be more accurate than GPM Dual-frequency Precipitation Radar (DPR) snowfall products (see *Casella et al, 2017*) and in good agreement with estimates obtained by ground-based radars (e.g., *Mroz et al, 2021*), although it is affected by underestimation for medium-heavy snowfall events. Moreover, the polar orbit and the W-band high sensitivity make CPR suitable for snowfall monitoring at higher latitudes (as demonstrated in several studies, *Kulie et al, 2016*, *Milani et al, 2018*) typically characterized by light/moderate intensity (*Beranghi et al, 2016*).

2.3 ATMS-CPR Coincidence Dataset

The present study is based on a coincidence dataset between CPR and SNPP ATMS observations between January 2014 and August 2016. The same dataset has been used for the development of SLALOM-CT (*Sanò et al*, 2022). Each coincidence comes from observations from CloudSat CPR and ATMS - onboard SNPP - within a maximum 15-minute time window. Moreover, the elements in the dataset have been selected by removing all corrupted data and by applying an additional filter based on the minimum distance between CPR and ATMS IFOV center which (22 km). The zonal distribution of the coincidences is due to the orbital geometry of CloudSat and SNPP, which are both sun-synchronous with a relatively small difference in the satellite height (i.~~-~~e., about 689 km and 833 km for CloudSat and SNPP respectively). Therefore, the coincidence dataset is built from longer orbit fragments (often semi-orbits) and by a very large number of elements near the poles. There is an asymmetry in the CPR sampling between the Northern and the Southern hemisphere that can be observed in the dataset due to the CPR daytime-only mode operation since 2011, which influences mostly the acquisitions in the Southern Polar region~~.~~ *(Milani and Wood, 2021).*

The database has been built considering the horizontal resolution of the high-frequency channels of ATMS. The CPR snowfall product used as reference is the 2CSP (~~v.5~~V5). Some model-derived variables, specifically Total Precipitable Water (TPW), the 2-m Temperature (T_{2m}), the Skin Temperature, the freezing level height and the temperature and humidity profiles, have been added to the dataset to be used as ancillary ~~variables.~~parameters. Both 2D and 3D environmental variables have been obtained from the European Center Medium Weather Forecast (ECMWF). In particular, they are obtained from the CPR ECMWF-AUX product where the set of ancillary ECMWF atmospheric state variable data is associated with each CloudSat CPR bin (the product is described by *Partain, 2022*). Moreover, a cloud-cover fraction index, which indicates the fraction of CPR observations where cloud is observed on the total CPR observations within each ATMS pixel, is added to the dataset.

Information about the presence of supercooled water is added in the coincidence dataset to be used towards the correct interpretation of the snowfall signal in presence of supercooled water layers. The supercooled water information has been extracted from the DARDAR product (see DARDAR). DARDAR, which stands for raDAR+liDAR, combines CPR radar and Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) lidar observations, onboard Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) satellite, and estimates both the cloud water phase and the ice water content and ice particle effective radius (see *Battaglia & Delanoë, 2013*, *Ceccaldi et al, 2013*). In particular, the coincidence dataset includes an index indicating the presence of supercooled cloud liquid water within each ATMS pixel, calculated as the fraction of DARDAR observations where supercooled water within and on the top of the cloud is observed to the total DARDAR observations within each pixel.

The association of ATMS TBs and CPR products has been done by averaging the CPR snow products with a Gaussian function approximating the ATMS high-frequency antenna pattern (varying with the scan angle). It is worth noting, however, that the ATMS IFOV is under-sampled by the narrow swath of the CPR (see *Sanò et al*~~.,~~ ~~*2022* for details).~~ , *2022* for details). Moreover, it is worth noting that CPR 2CSP product limitations for snowfall detection and estimation (see Section 2.2) might affect the ATMS-based snowfall estimates.

In this work, the dataset has been filtered based on humidity (TPW < 10 mm), temperature (T_{2m} <280 K) and elevation conditions (the working limits of the PESCA algorithm, see *Camplani et al, 2021*) leading to a good representation of the higher latitudes with 80 % of the dataset elements located above 60°N/S. The dataset is made of $2{,}14 \cdot 10^6$ elements, including $1{,}07 \cdot 10^6$ elements with falling snow (2CSP SWP > 0 kg m^{-2}) and $9{,}99 \cdot 10^5$ with snowfall at the surface (2CSP SSR > 0 mm h^{-1}). The training and test phases have been conducted by splitting randomly the dataset, with ⅓ of the elements in the training and ⅔ of the elements in the test dataset.

2.4 Machine Learning approaches

The algorithm is based on different machine-learning (ML) techniques. ~~These techniques are widely applied in Earth observation because of their ability to approximate, to an arbitrary degree of accuracy, complex nonlinear, and imperfectly known functions. A fundamental characteristic of these techniques is that the training process eliminates the need for a well-defined physical or numerical model that describes the relationships between the input values and output results, allowing the identification of these relationships during the learning phase (see *Sanò et al*, 2022).~~ Moreover, clustering techniques have been used to characterize from a radiometric point of view the background surface. In particular, an unsupervised clustering technique has been used to identify emissivity clusters with small internal variability, and a supervised clustering technique has been used to identify an emissivity spectrum based on other parameters.

2.4.1 Artificial Neural Networks

~~An Artificial Neural Network (ANN) is an information-processing system inspired by the functioning of biological neural networks. It is composed of neurons, i. e., elements where the information is processed using an activation function, and the connecting links between the neurons, where a weight multiplies the deriving from the upstream signal. In particular, the~~The HANDEL-ATMS snowfall detection and estimation modules have been developed using feedforward multilayer neural network architectures, i. e., a neural network architecture where the neurons are arranged in layers~~; each neuron belonging to a layer receives, as input to its transfer function, a weighted sum of the outputs of the previous layer.~~. This architecture, which is defined by the number of layers, the number of neurons for each layer, and the transfer function of each neuron, has to be designed beforehand. The weights of connection links and the bias values for each layer are estimated with a training process, based on the Levenberg–Marquardt algorithm *(see Sanò et al, 2015).* The specific networks architecture, and the training and optimization procedure of the HANDEL-ATMS algorithm are described in detail in section 3.2.

2.4.2 Self Organizing Maps

The unsupervised clustering method used for the background surface classification is the Self Organizing Map (SOM) method (see ~~*Kohonen, 2012*). The characteristic of this method is to assume a topological structure among the cluster units: the maps can be represented as a neuron network where each neuron represents a cluster. Similar to the k-means clustering method, the neuron is associated with an input vector by minimizing a distance measurement; however, not only the weight vector of the winning neuron is updated, but also the weight vectors of all the neurons which are considered topologically close (see *Faussett, 2006*). Therefore,~~ *Faussett, 2006, Kohonen, 2012*). The characteristic of this method is that classes that are close to each other from a topological point of view can be considered similar also from a physical and radiometric point of view (see *Munchak et al, 2020*). SOMs have been ~~already~~ used ~~to make a~~in previous studies for the classification of the background surface by creating clusters based on emissivity values (see *Prigent et al, 2001*, *Cordisco et al, 2006*, *Prigent et al, 2008*, *Munchak et al, 2020*).

2.4.3 Linear Discriminant Analysis

Several supervised clustering methods have been tested in this study, such as the linear discriminant analysis, the quadratic discriminant analysis, the classification tree, and the nearest neighbor method. The final choice came down to linear discriminant analysis (LDA, see *Hastie et al, 2009*) because this method guarantees satisfactory accuracy in the results with a difference between the performances of the training and the test phase which is not too significant, and a computational effort which is not too high. ~~Discriminant Analyses are classification methods based on the assumption that each observation is a realization of a normal distribution - if there is a single predictor - or a multivariate normal distribution - if it is based on more than one predictor. In particular, LDA assumes that clusters have a common covariance analysis; therefore, the decision boundary between the clusters results to be linear relationships (see *Hastie et al, 2009*).~~

3 Algorithm description

The configuration of the HANDEL-ATMS is summarized in the Flowchart in Figure 1. The process begins with the classification of the background surface using the PMW Empirical cold Surface Classification Algorithm (PESCA, *Camplani et al, 2021*); then, the surface emissivity spectra are derived through refinement process based on LDA and these are used to estimate clear-sky simulated TB (TB_{sim}) using the ECMWF-AUX atmospheric temperature and water vapor profiles. Then, the differences between the TB_{sim} and the ATMS observed TB (TB_{obs}) are evaluated ($\Delta TB_{obs-sim} = TB_{obs} - TB_{sim}$). Four ANNs are then applied to a predictor set consisting of ATMS TB_{obs}, $\Delta TB_{obs-sim}$, a surface classification flag, and other ~~environmental and~~ ancillary parameters~~.~~ (elevation and ATMS viewing angle for the final version). Finally, the pixels classified with the presence of snowfall by the detection module, are used in the estimation modules while for no-snowfall flagged pixels the snowfall rate value is set to 0 mm/h. In the following sections the main blocks of the algorithm are described in detail.

3.1 Surface Classification and emissivity spectra estimation

3.1.1 PESCA Design and Performances

The dynamic classification and radiometric characterization of the background surface at the time of the satellite overpass is based on PESCA exploiting ATMS low-frequency channels (*Camplani et al*~~.,~~, *2021*). The algorithm discriminates between frozen and unfrozen surfaces (sea ice and open water, snow-covered land and snow-free land), and identifies 10 surface classes (4 over ocean, 5 over land, 1 for coast). The algorithm has been tuned against the ~~NASA~~NOAA AutoSnow product (see *Romanov, 2019*), which gives daily maps of sea ice and snow cover. For each ATMS observation, a flag reporting the AutoSnow class percentage (sea ice, open water, snow-covered land, snow-free land) has been calculated; then, a threshold has been applied to discriminate between sea ice and open water pixels (sea ice AutoSnow class > 10 %) and between snow-covered and snow-free land pixels (snow-covered land AutoSnow class > 50 %). ATMS pixels have been classified into land, ocean, and coast pixels using a land-sea mask.

The land module discriminates between snow-free land and snow-covered land and identifies four different snow cover classes (Perennial, Winter Polar, Thin, and Deep Dry). It is based on a decision tree that makes use of a limited number of inputs (the ratio TB_{23QV}/TB_{31QV} - **ratio**, the difference between TB_{23QV} and TB_{88QV} or Scattering Index - **SI**, 23 GHz pseudo-emissivity (i. e. the ratio between an observed brightness temperature (TB) and a near-surface temperature value) - $\mathbf{pem_{23}}$). The module has been described by *Camplani et al, 2021*.

For what concerns the ocean module, a simple relationship to distinguish between sea ice and open water observations has been identified. In Figure 2 a Cartesian plane where the x-axis represents 23 GHz observed ~~TB~~TBs and the y-axis represents the near-surface temperature (T_{2m}) is shown. ~~-~~ In the figure each point represents a pseudo-emissivity value, and the color describes the mean AutoSnow sea ice percentage within each bin (see Figure 2, left panel). It is possible to observe that open water (0 % of sea ice, blue) and sea ice (100 % of sea ice, red) are characterized by very different pseudo-emissivities. ~~A~~There is a transition area between open water and sea ice pseudo-emissivity values ~~can be observed: these values characterize~~for IFOVs where both open water and sea ice are present. The simple relationship for sea ice identification is reported in the left panel as a green line where the condition for sea ice identification is defined by Equation 1.

$$TB_{23QV} > T_{2m} - 96\ K \tag{1}$$

~~The~~Downstream of the sea ice/open water identification, information about sea ice characteristics is obtained from the analysis of the two low-frequency pseudo-emissivity ~~values has been used to obtain information about sea ice characteristics downstream of the sea ice/open water identification. This is possible because~~($\mathbf{pem_{23}}$ and $\mathbf{pem_{31}}$), which are a good approximation of sea~~-~~ ice ~~surface~~ emissivity for low-frequency channels ~~can be approximated by the pseudo-emissivity, because the interaction between the MW radiation and the atmosphere in the MW low-frequency channels is not significant,~~ especially in cold and dry conditions.~~For that reason, the 23 GHz pseudo-emissivity (pem23,) and the 31 GHz pseudo-emissivity (pem31) have been used.~~ In Figure 3 (top panel) it is possible to observe that there are sea ice classified observations characterized by the contemporary presence of open water and sea ice above the bisector of the plane and in correspondence with low emissivity values. In the center panel, where the color represents sea ice occurrences, it is evident the presence of ~~a~~one cluster, in correspondence with high pseudo-emissivity, with two "tails~~": the one~~" above and below the bisector~~, the other below it~~. This behavior has been used to identify 3 different sea ice classes (New Sea Ice, Broken Sea Ice, and Multilayer Sea Ice~~). This algorithm is based on~~) using a Nearest ~~Neighbour~~Neighbor Method based on a set of reference points that define the areas of interest for each sea ice class. In Figure 3 (bottom panel) a classification representation is reported~~:~~, where the markers represent the reference points~~on which the Nearest Neighbor method is based.~~. The ~~names~~labels of the classes have been chosen by analyzing ~~the~~their physical properties ~~of the classes~~ and by comparing the estimated emissivity spectra with those reported in previous ~~works~~studies (*Hewison & English ,1999, Munchak et al, 2020*).

PESCA's upper working limits for T_{2m} and ~~atmospheric total precipitable water (~~TPW~~)~~ have been established to 280 K and 10 mm, respectively (see *Camplani et al, 2021* for details). Moreover, the land module does not work in the high elevation areas outside the polar regions (surface elevation > 2500 m for latitude < 67 ° N/S) because the ATMS low spatial resolution does not allow for depicting the small-scale snow-cover variability that characterizes the orographic regions. ~~Within these well-defined limits, the PESCA manages to optimally discriminate between sea ice, open water, snow-free land and snow-covered land.~~ An analysis carried out using the ATMS-CPR coincidence dataset highlights that the presence of cloud cover does not influence the overall PESCA performances (not shown). Within these well-defined limits, the PESCA manages to optimally discriminate between sea ice, open water, snow-free land and snow-covered land. The statistical scores ~~(POD, FAR, HSS)~~ of PESCA identification of sea ice and snow cover (using AutoSnow as the reference) are summarized in Table 1. In ~~the defined environmental conditions~~particular, the Probability of Detection (POD), the False Alarm Ratio (FAR), and the Heidke Skill Score (HSS) are reported. POD, FAR, and HSS are defined by equations 2,3 and 4.

$$POD=\frac{h}{h+m}$$

(2)

$$FAR=\frac{f}{f+h}$$

(3)

$$HSS=\frac{2(h*cn-f*m)}{(h+m)*(m+cn)+(h+f)(f+cn)}$$

(4)

where *h* represents the hits, *f* represents the false alarms, *m* represents the misses and *cn* represents the correct negatives. PESCA manages to optimally detect the presence of a frozen background (sea ice over the ocean, snow covered land over the continental part) at the time of the satellite overpass. It is important to underline that the ~~difference between~~ variability of the HSS ~~values~~compared to POD and FAR is due to the different number of correct ~~negative observations, which has a strong influence on HSS values.~~ negatives. An analysis of the physical characteristics of the PESCA classes has been conducted by considering the mean T_{2m}, the geographical and seasonal distribution associated with each class. For what concerns the land ~~class characteristics and properties,~~classes, please refer to *Camplani et al~~.~~, 2021*. For what concerns sea ice, the New Sea Ice class, which is detected during the winter~~,~~ at high latitudes~~,~~ and for low temperatures, represents the sea ice that forms during the winter. The Broken Sea Ice class, which is predominant in the lower latitudes and whose occurrence increases during the ~~spring~~Spring season, represents the co-presence of sea ice and water~~ typical of the intermediate seasons and in presence of melting phenomena.~~. The Multilayer Sea Ice class, which is detected only at the high latitudes, for very low temperatures, and ~~with a constant occurrence during~~constantly e throughout the year, represents the ice pack typical of those regions ~~where extremely~~and extreme cold conditions~~ allow its presence during the whole year.~~.

3.1.2 PESCA emissivity spectra estimation

The emissivity spectra of each class have been estimated by applying the PESCA algorithm to the cloud-free (0% CPR cloud mask fraction~~, i.e., clear sky~~) ATMS observations in the ATMS-CPR dataset satisfying PESCA working limits. The ATMS clear-sky TBs measured for each PESCA surface class have been used as input to an inverse radiative transfer model~~.~~ (RTM) based on plane-parallel approximation (*Ulaby & Long, 2014*) and the *Rosenkrantz (1998)* gas absorption model. The emissivity spectra have been estimated by calculating the mean and the standard deviation of the emissivity values for each class (excluding the values lower than the 10th percentile and higher than the 90th percentile). The emissivity spectra dependence on the ATMS viewing angle for polarized surfaces has been neglected because an analysis of such dependence in the ATMS-CPR coincidence dataset has shown that it is not significant ~~only~~(emissivity difference smaller than 0.05 for ~~larger viewing~~ angles ~~(tot for >40~~up to 52.7 °). This is due to the fact that cross-track scanning radiometers measure a signal (off-nadir) which derives from a mixture between the two polarizations (e.g., quasi-vertical, QV, and quasi-horizontal, QH). As a consequence, although the emissivities of polarized surfaces, such as open water surfaces, are strongly influenced by the viewing angle, ~~for the cross-track scanning radiometers~~ the emissivity variation is compensated by the effect of the mixture of the two polarizations (see also *Felde & Pickle, 1995, Prigent et al, 2000, Mathew et al, 2008, Prigent et al, 2017*).

The estimated spectra are shown in Figure 4 and Figure 5 for ~~the land and~~ ocean and land classes~~,~~ respectively~~.~~ (the coast has also been considered as a separate class, however its spectrum is not shown in Figures 4-5). It is possible to observe that the classes are well-characterized from a radiometric point of view, showing distinct behavior of the emissivity spectra (e.g., the mean values). However, all the classes present significant standard deviations at high frequency, and some classes - such as the snow classes and the Broken Sea Ice class - present a high value of standard deviation also at low frequency. ~~The coast observations have been also considered as a class, however its spectrum is not shown in Figures 4-5.~~

The ~~RMSE between simulated~~ clear-sky ~~TBs -~~RTM simulations based on the mean emissivity values estimated for each class~~ - and~~, have been compared to the coincident observed clear-sky TBs. - but the RMSE between simulated and observed clear-sky TBs ~~appears~~appeared to be too high to implement a robust signal analysis (>10 K). For this reason, a refinement process for the emissivity spectra estimation based on machine learning techniques has been developed downstream of the PESCA classification.

The refinement process has been based on a combination of an unsupervised classification technique (SOM) and a supervised technique (LDA). The unsupervised classification identifies clusters characterized by the minimum inner variability from a radiometric point of view. The supervised technique, instead, has the goal to identify the previously obtained clusters, and the associated emissivity spectra, by using only input variables that are not affected by the presence of clouds. The final emissivity spectra are estimated as the mean emissivity for each frequency within each cluster identified by the supervised technique. Therefore, as first step, the emissivity ~~value set has~~spectra have been clusterized in order to minimize the emissivity variability in each cluster by arranging the retrieved emissivity values for six ATMS channels (23.8 GHz, 31.4 GHz, 50.3 GHz, 88.2 GHz, 165.5 GHz, and 183.31±7 GHz) in a one-dimensional SOM architecture. Then, an LDA model has been trained using the previously obtained clusters as reference and using the PESCA input parameters (**pem$_{23}$**, **pem$_{31}$**, **ratio** and **SI**), some environmental parameters (**TPW**, **T$_{2m}$**, surface pressure - **P$_{surf}$**) and ancillary variables (latitude - **lat**, Julian day - **jd**, altitude - **DEM**, the maximum solar height during the day - **H$_{sun}$**) as input. The use of the LDA is necessary to associate an emissivity spectrum to all the observations which are classified by PESCA, independently ~~from~~of the presence of clouds. It is worth noticing that the whole predictor set of the LDA has resulted to be redundant; therefore, a subset of the predictors has been selected for each class. The accuracy of the LDA classification is given by the ratio between the number of hits (observations where LDA identifies the associated SOM class) and the total number of observations; it can be considered as an indicator of the effectiveness of the LDA model in rebuilding the SOM results.

The evaluation of the refinement process is based on the comparison between the simulated clear-skyTBs and the observed clear-sky TBs for each PESCA surface class. ~~An emissivity spectrum, (calculated as the mean of the emissivity values for each cluster), together with ECMWF temperature and water vapor profiles, is used as input in the RTM to simulate the clear-sky TBs.~~ For each PESCA surface class, the number of clusters that simultaneously lowers the errors (RMSE) between the simulated and observed clear-sky TBs at high frequency (without lowering the classification accuracy too much) is chosen.

In Table 2 the number of clusters, the predictors selected, the accuracy, RMSE and percentage normalized root mean squared error (NRMSE$_{\%}$) (*Gareth et al, 2013*) estimated on the test dataset, are reported for the 165.5 GHz channel. NRMSE$_{\%}$ is defined by Equation ~~2~~5.

$$NRMSE_{\%} = (\frac{RMSE}{\sigma} * 100)$$

(~~2~~5)

where σ represents the standard deviation of the measured clear-sky TBs dataset in each PESCA class. It can be considered an indicator of the effectiveness of the refinement process.

For some classes, such as the Ocean class, the refinement process leads to ~~very~~ low RMSE values ~~(≈ 2~~(< 4 K). For other classes, such as Deep Dry Snow and Broken Sea Ice, RMSE remains > 5 K even with a high number of clusters, although there is a significant reduction compared to the initial variance in each class (NRMSE$_{\%}$ < 50). This is due to the variability of snow-covered background within each class; in the worst scenario, the limited number of predictors are insufficient to infer the emissivity spectrum at high frequency. Overall, the refinement process allows to obtain a general improvement of the accuracy of the dynamic emissivity estimation for the PESCA classes; however, for some classes, the high-frequency channel uncertainty remains significant. The emissivity spectra obtained by PESCA refinement are used as inputs of the RTM to obtain clear sky simulated TBs (TB_{sim}) to be compared to the actual observations (TB_{obs}). The comparison between TB_{sim} with TB_{obs} allows to highlight and interpret the MW signal in presence of snowfall.

In Figure 6, the snowfall signal is represented as a function of the SWP for the 165.5 GHz channel and for different PESCA classes. The red line and shaded areas represent the mean values and standard deviations of the difference between ~~the~~ TB_{obs} and ~~the~~ TB_{sim} ($\Delta TB_{obs-sim} = TB_{obs} - TB_{sim}$) for SWP bins calculated for observations where 2CSP SWP > 0 kg m^{-2}. The blue lines represent the uncertainty due to surface emissivity variability for each PESCA. They are centered on the estimated bias for each class (close to 0 K) and the dashed lines correspond to the standard deviation of $\Delta TB_{obs-sim}$ in clear sky conditions. A clear scattering signal ($\Delta TB_{obs-sim} < 0$) is observed over all the classes considered for intense snowfall events (SWP > 1 kg m^{-2}). For lower SWP values, the signal is more ambiguous and changes with the background surface. While over Land there is a clear scattering signal for SWP > 0.1 kg m^{-2}, over the Perennial Snow class a scattering signal can be observed only for SWP > 0.5 kg m^{-2}. For SWP < 0.1 kg m^{-2}, the mean $\Delta TB_{obs-sim}$ for snowfall observations is less than its standard deviation in clear sky. This is due mainly to the emissivity variability for each surface class, and to the error introduced by the use of model-derived temperature and water vapor profiles in the RT simulations. However, while for the Land class the mean $\Delta TB_{obs-sim} < 0$ K can be explained as a predominant scattering effect for all SWP values, for the Perennial Snow class the mean $\Delta TB_{obs-sim} > 0$ K can be interpreted as a predominant emission signal with respect to the radiatively cold background (Figure 5). The Thin Snow class shows an intermediate behavior: for SWP < 0.1 kg m^{-2} the red shaded area within the RMSE limits (blue lines) of the RT simulations denotes the difficulty in interpreting the signal, while a clear scattering signal can be observed for SWP > 0.3 kg m^{-2}. For what concerns ocean and new sea ice classes, a clear scattering signal is visible only for high SWP values (> 1 kg m^{-2}) while for low SWP values a significant emission signal is observed. It is very likely that the emission effect observed over ocean and sea ice is generated by supercooled cloud liquid water. The ubiquitous presence of supercooled water layers in snowing clouds (see *Wang et al, 2013, Battaglia & Panegrossi, 2020*), especially over oceans (see *Battaglia & Delanoe, 2013*), generates an emission effect which is particularly significant over radiatively cold surfaces (such as Perennial Snow, Ocean and New Sea Ice at high frequency, see Figure 4), and can mask or overcome the weak scattering signal generated by falling snow especially in light snowfall events. It is also important to underline that the DARDAR product identifies mostly supercooled water layers at the cloud top (*Rysman et al, 2018, Panegrossi et al, 2017*), while it has been shown that the impact of supercooled water layers embedded in the clouds can be very significant on the measured TBs at MW high frequency window channels (*Battaglia & Panegrossi, 2020, Panegrossi et al, 2022*).

3.2 ANN Design for snowfall retrieval

The snowfall detection and estimation modules have been based on ANNs. Four ANNs have been developed: two for the detection of SWP and SSR and two for the SWP and SSR estimate. The performance of more than 50 architectures have been tested, by varying the number of layers, the number of neurons for each layer, and the activation functions. The final architecture, for all modules, is composed of four layers: an input layer with a neurons number equal to the predictor number, and a hyperbolic tangent function as the activation function, a first hidden layer (60 neurons), and hyperbolic tangent function, a second hidden layer (30 neurons), with a ~~logarithmic tangent function.~~sigmoid function (for more information about the Neural Network characteristics, see *Sanò et al, 2015*). At the same time, several predictor sets have been tested combining in different ways ATMS TB_{obs}, $\Delta TB_{obs-sim}$, PESCA surface class, ATMS angle of view, ancillary information (surface elevation from a Digital Elevation Model), and model-derived environmental variables (T_{2m}, TPW, and freezing level height). In Table 3 the statistical scores of the algorithm performance for the SSR detection module obtained for different predictor sets are reported. It is possible to see that the best performance is obtained when the predictor set is composed of ATMS TB_{obs} and $\Delta TB_{obs-sim}$, (besides PESCA surface flag, the pixel elevation and the cosine of the viewing angle). In particular, it is ~~possible to observe an~~notable the improvement of the detection capabilities with respect to a predictor set composed of ATMS TB_{obs} and environmental parameters. On the other hand, the simultaneous use of both the $\Delta TB_{obs-sim}$ and the environmental parameters show scores almost equal to that obtained by using only $\Delta TB_{obs-sim}$. This indicates that the computation of the multi-channel clear-sky TBs at the time of the overpass through the estimation of the ~~PESCA~~dynamic surface class emissivity spectra and its deviation from the measured TBs~~, derived from the previous surface radiometric characterization obtained by PESCA,~~ plays a fundamental role in snowfall retrieval. It provides essential information to the ANN to be able to exploit the subtle snowfall-related signal in ATMS measurements. This is the most innovative aspect of HANDEL-ATMS.

~~The~~ Based on these results, the final set of predictors for HANDEL-ATMS is composed by ~~the 16 ATMS~~ 16ATMS channels TB_{obs} ~~, by~~(1-9, 16-22, channels 10-15 have not been considered because their weighting function peaks above the tropopause), and the corresponding $\Delta TB_{obs-sim}$ ~~set for the 16 ATMS channels, from,~~ the PESCA classification flag, the pixel elevation (obtained from a DEM) and the cosine of the view angle.

4. Results

4.1 HANDEL-ATMS Performances

In Table 4 the statistical scores of ~~-~~ HANDEL-ATMS detection module performances are reported in terms of POD, FAR and HSS. It is possible to observe good detection capabilities both for SWP and SSR modules (POD > 0.8, FAR < 0.2).

In Figure 7 and in Figure 8 the dependence of HANDEL-ATMS snowfall detection statistical scores on TPW and on T_{2m} is reported. In both figures, it is possible to observe that the SWP detection capabilities improve (with an increase of POD and HSS and a decrease of FAR) with increasing humidity and temperature. This is due to the combined effect of a stronger scattering signal associated with more intense snowfall events - linked to moister and warmer environmental conditions - and to the lower transmissivity of the atmosphere which masks the background surface signal, reducing its impact and the uncertainties linked to its variability. On the other hand, colder and drier conditions are usually linked to background surface types characterized by high radiometric variability such as Perennial Snow and Winter Polar Snow classes, which cause uncertainty in emissivity estimation. It is possible to observe that in Figure 7 SSR detection capabilities show a maximum HSS value for TPW between 3 mm and 5 mm, and then there is a slight decrease due to the decrease of POD. A similar situation can be observed in Figure 8, where ~~HANDEL-ATMS SSR~~the HSS reaches a maximum between 250 K and 275 K ~~and then decreases,~~ and it is lower than for SWP. This is due to the fact that PMW measurements respond mostly to the snow in the atmospheric column and in moister/warmer conditions the presence of snow in the atmosphere is not always linked to surface snowfall. In both cases, it is worth noting that also considering very dry (TPW ≈ 2 mm) or very cold (T_{2m} ≈ 240 K) conditions, HANDEL-ATMS shows good detection capabilities, in spite of the uncertainties linked to the modeling of the background surface and the weakness of the signal in such conditions. Moreover, ~~it is worth noticing that,~~ also considering very low SWP and SSR values (SWP ≈ 0.001 kg m^{-2}, SSR ≈ 0.001 mm h^{-1}), HANDEL-ATMS manages to detect around 60 % of the snowfall events. Similar considerations can be done also for the different background surfaces. The detection capabilities are influenced both by the typical environmental conditions of each PESCA class and by the uncertainties linked to the emissivity estimation. In ~~Table 6~~Figure 9 the statistical scores of the algorithm performance by considering each PESCA class for both the SWP and the SSR detection module are reported. It can be observed that, also considering specifically the classes associated to extremely dry and cold environmental conditions such as Perennial Snow or Winter Polar Snow (see *Camplani et al, 2021*), where the detection is more problematic ~~both for~~due to the uncertainties ~~linked to~~in the emissivity retrieval (see Table 2~~), for the extremely dry and cold environmental conditions)~~ , and ~~for~~to the low ~~intensity of the~~ snowfall ~~events, such as Perennial Snow or Winter Polar Snow,~~intensity, HANDEL-ATMS has good detection capabilities (POD and FAR values greater than 0.7 and less than 0.25, respectively, for both SWP and SSR). ~~These~~On the other hand, for surface classes characterized by the highest emission estimation uncertainties, such as Deep Dry Snow, the statistical scores are coherent with the general scores and better than those obtained in presence of extremely dry/cold environmental conditions. So, it is possible to conclude that the extremely cold/dry environmental conditions - have more influence on the detection than the uncertainties on clear sky emissivity estimation. Generally, these results provide evidence that HANDEL-ATMS can be used to analyze snowfall occurrence in the polar regions.

The error statistics of the two estimation modules are reported in Table 5 in terms of bias, RMSE and the coefficient of determination R^2, which is defined by Equation ~~3~~6.

$$R^2 = 1 - \frac{RMSE^2}{std^2}$$

(~~3~~6)

It is worth noticing that the biases are negligible for both modules while RMSE values are comparable to the light events recorded in the dataset. Moreover, as expected, RMSE and R^2 values are respectively higher and lower for the SSR module than for the SWP module~~; this is due to the fact that the PMW signature is mainly related to the presence of snow in the atmosphere rather than to the surface snowfall rate.~~. In Figure ~~9~~10 the density scatterplots between the SWP and SSR values retrieved by HANDEL-ATMS and the 2CSP corresponding values are reported. For both modules~~,~~ an overestimation can be observed for very light snowfall (SWP < 10^{-2} kg m^{-2} and SSR < 10^{-2} mm h^{-1}), while there is a very good agreement for higher SWP and SSR values. In order to relate these results to the environmental conditions, Figure 11 shows the dependence of HANDEL-ATMS snowfall estimation error statistics, as well of SWP and SSR, on TPW. The curves represent, for each 1-mm TPW bin, the mean 2-CSP SWP or SSR computed, the RMSE and the relative bias (the ratio between the bias and the SWP/SSR mean value for each bin). As expected, TPW and snowfall intensity are strongly correlated. An increase of the absolute RMSE can be observed as TPW increases, and it is larger than the SWP/SSR mean value for TPW < 8 mm. A similar behavior can be observed by analyzing the dependence of HANDEL-ATMS snowfall estimation error statistics on T_{2m} (not shown). A very moderate overestimation is observed for TPW < 8 mm and for lower SWP and SSR values (< 0.1 mm/h), with relative bias around 5%, (up to 8% only for extremely low TPW values and very low number of observations (see Figure 7)), while underestimation (relative bias up to -5%) is observed for higher TPW values and higher SWP and SSR values. Generally, light snowfall events are linked to the very cold/dry environmental conditions typical of high-latitude regions. So, the algorithm manages to estimate also the very light SWP and SSR typical of high latitudes but tends to slightly overestimate snowfall intensity in such conditions.

~~. Generally~~From the analysis of Figure 7-11, it can be ~~observed~~concluded that~~, although~~ HANDEL-ATMS ~~is able to detect~~has good detection capabilities (also for extremely light snowfall~~ events,~~) but it ~~does not have the sensitivity to~~shows some limitations in correctly ~~estimate their~~estimating its intensity~~.~~, with slight overestimation of the very light snowfall typical of high latitudes.

4.2 A Case Study: Greenland-2016/04/24

The case study reported corresponds to the observation of a moderately light snowfall event over the central part of Greenland that occurred on 24 April 2016. ATMS overpass is between 14:51:23 ~~U.T.C~~UTC. and 14:57:47 ~~U.T.C~~UTC., while the CPR overpass is between 15:05:25 ~~U.T.C~~UTC. and 15:11:45 ~~U.T.C~~UTC., with a time difference of 14 minutes and 2 seconds. This event presents several characteristics typical of high latitudes, such as light snowfall rate, dry and cold atmospheric conditions, and presence of a frozen background surface, a typical case of interest for the application of HANDEL-ATMS.

In Figure ~~10~~12 PESCA classification is reported. The entire territory of Greenland, except for a narrow area on the southwestern coast, is identified as a snow-covered surface; PESCA identifies the Perennial Snow class in the central part of Greenland and along CloudSat track, and the Polar Winter Snow class near the northern shoreline. CloudSat overpasses the central part of the island, and CPR track is along the central part of the ATMS swath.

In Figure ~~11~~13 a synopsis of the event along the CPR track is reported~~: the environmental parameters,~~ showing T_{2m} and TPW, the 2CSP SWP and SSR values, the cross-section of CPR reflectivity, with the DARDAR supercooled water information superimposed (in magenta). Moreover, the PESCA surface classification, and the TBs of the main ATMS high-frequency channels along the CloudSat track are ~~reported~~also shown. The event is characterized by dry conditions (TPW < 5 mm) and T_{2m} below 273 K, except over the coast. CPR observes a cloud system ~~linked~~associated to the snowfall event between 68~~-~~°N and 76~~-~~°N; DARDAR detects the presence of a supercooled water layer at the cloud top between 68~~-~~°N and 72~~-~~°N and indicates the presence of supercooled droplets embedded in the deeper cloud associated to the more intense snowfall. According to the 2CSP ~~detects~~product, a light shallow snowfall ~~event~~system is found in the inner part of the island ~~and a~~while deeper, more intense ~~event~~snowfall, with a peak of intensity between 72~~-~~°N and 76~~-~~°N, is found near the shoreline. For what concerns the associated ATMS observations, an increase of the 88 GHz and 165 GHz TBs is observed in ~~coincidence~~correspondence with the supercooled water layer~~; on the other hand,~~, while only a slight decrease of 165.5 and 183.3+7 GHz TBs can be observed in coincidence with the snowfall intensity peak.

In figure 12 the maps of the TB_{obs} at 165.5 GHz (top panel) and the $\Delta TB_{obs-sim}$ at 165.5 GHz (bottom panel) are reported. In the top panel, it is possible to observe that, despite the snowfall event, there is not a clear TB scattering signal in the area where 2CSP detects snowfall (70°N–76°N, 40°W–70°W~~);~~), instead a slight increase in the TBs can be observed in the area where DARDAR detects the supercooled water layer~~.~~ at the cloud top. The ~~simulation~~map of ~~the clear-sky TBs (TB_{sim})~~$\Delta TB_{obs-sim}$ allows to observe an emission signal ($\Delta TB_{obs-sim} > 0$) over the central part of the ATMS swath due to the combined effect of the emission by the supercooled liquid water ~~emission (~~layers at the cloud top, as evidenced by DARDAR ~~supercooled water layers)~~), (evidently exceeding the scattering signal of the weak and shallow snowfall), over a radiatively cold surface background. Only near the shoreline, the TB_{obs} ~~is~~are slightly lower than the TB_{sim} ($\Delta TB_{obs-sim} < 0$~~).~~) due to the stronger scattering signal of the deeper snowfall system. In Figure ~~13~~15 the results of the HANDEL-ATMS four modules are reported. It is worth noting that both detection modules find snowfall in the central region of Greenland and near the northern coast. The estimated snowfall intensity ~~of~~for this event is generally ~~light~~low (SWP < 0.1 kg m^{-2} and SSR < 0.1 mm h^{-1}) except over the western coast, where SWP reaches 0.5 kg m^{-2} and SSR reaches 1 mm h^{-1}. It is worth noticing that HANDEL-ATMS detects snowfall also where there is an emission signal ($\Delta TB_{obs-sim} >$ 0~~).~~) and that discontinuities in snowfall retrievals are not observed in correspondence with surface class changes. Finally, a comparison between the HANDEL-ATMS and the 2CSP is reported in Figure ~~14. It is worth noting that there~~16. There is a substantial agreement on the snowfall detection of the two products. It can be observed that HANDEL-ATMS tends to overestimate ~~both~~very light SWP and SSR in presence of ~~very light snowfall~~shallow system (2CSP SWP < 0.05 kg m^{-2} and SSR <0. 1 mm h^{-1}, between 68°N and 72°N), consistently with what shown in ~~Fig. 9; on the other hand,~~Figure 10, while there is a good agreement between 72°N and 76°N, where snowfall intensity increases.

The analysis of this case study demonstrates that the algorithm can interpret the ambiguity of the emission/scattering signal often associated with snowfall events at high latitudes (as described in Section 4.1) and ~~so~~ efficiently detect, and, to a less extent, quantify snowfall even in extreme cold and dry conditions.

4.3 Comparison with SLALOM-CT

SLALOM-CT has been introduced in Section 1. It presents some similarities with HANDEL-ATMS: it is based on an ANN approach and uses CPR-2CSP product as reference. On the other hand, substantial differences have to be highlighted: SLALOM-CT was designed to operate on a global scale, while HANDEL-ATMS has been developed specifically for the ~~extreme~~environmental conditions typical of high latitudes. Moreover, the predictor sets are different: in addition to TB observations, SLALOM-CT relies on several model derived environmental parameters, while HANDEL-ATMS relies on differences between simulated clear-sky TBs ~~and observed TBs ($\Delta TB_{obs-sim}$), and therefore~~, based on the dynamic estimation of the background surface emissivity (i.e., at the time of the satellite overpass~~,~~), and observed TBs ($\Delta TB_{obs-sim}$), as described in Section 3.

In Table ~~7~~6 a comparison between the statistical scores of the detection performances of the two algorithms is reported for different environmental conditions. The comparison has been carried out considering the same ~~observations~~elements of the ATMS-CPR coincidence dataset. It can be observed that~~, as in colder and drier conditions,~~ the differences between the two algorithm performances increase~~: HANDEL-ATMS shows~~ as the environmental conditions become more extreme (i.e., lower T_{2m} and TPW), with consistently better snowfall detection capabilities of HANDEL-ATMS than SLALOM-CT. Considering the working limits of HANDEL-ATMS, POD increases by 2 % and FAR decreases by 8 ~~%; however, if only~~%, while for very cold/dry conditions ~~are considered~~ (T_{2m} < 250 K, TPW < 5 mm), POD increases by 7 % and FAR decreases by 16 %; for extremely dry/cold conditions (T_{2m} < 240 K, TPW < 3 mm), typical of the inner part of Greenland and Antarctica, POD increases by 18 % and FAR decreases by 16 %.

5 Conclusions and Future Perspectives

In this paper a new snowfall retrieval algorithm, the High lAtitude sNow Detection and Estimation aLgorithm for ATMS (HANDEL-ATMS), is described. The algorithm is based on machine learning techniques~~, and it has been~~ trained ~~against~~with CPR 2CSP snowfall ~~products. It has been developed~~product and it is designed specifically for the ~~extreme~~cold and dry environmental conditions typical of high latitude regions. The driving and innovative principle in the algorithm development is the exploitation of the full range of ATMS channel frequencies to characterize the ~~frozen~~ background surface radiative properties at the time of the overpass to be able to better isolate and interpret the snowfall-related contribution to the measured multi-channel upwelling radiation. A similar approach has been used by *Zhao &Weng, 2002*; however, their application was limited to non-scattering surfaces and was based on empirical relationships. This approach is proven to be effective for snowfall detection and quantification at high latitudes, particularly in presence of a frozen (snow-covered land or sea ice) background surface, also compared to other state-of-the art machine learning based methods.

HANDEL-ATMS can detect snowfall at high latitudes in good agreement with CPR. The estimation modules tend to slightly overestimate the intensity of light snowfall events (SWP < 10^{-2} kg m^{-2}~~)~~), with mean relative bias < 5% for SSR < 0.1 mm/h, but it shows good accuracy for more intense snowfall events (SWP > 10^{-2} kg m^{-2}, SWP < 1 kg m^{-2}). It is worth noting, however, that the uncertainty associated with the surface emissivity estimation in some conditions affects the capabilities of HANDEL-ATMS to correctly interpret the snowfall signature. Such uncertainty~~, related to the difficulty in correctly modeling the intrinsic variability of snow cover surface emissivity,~~ propagates in the ~~radiative transfer~~RTM simulation of ~~the~~ clear-sky TBs used as input in the algorithm. Despite these limitations, it is worth noticing that the development of an algorithm capable of retrieving snowfall at high~~-~~ latitudes ~~conditions~~ with good accuracy is an important development in the climate science field. The possibility to exploit ~~a big amount~~the high temporal sampling of ~~data guaranteed by~~the near-polar operational satellites carrying ATMS radiometers allows ~~obtaining snowfall estimates characterized by a~~to achieve full coverage of the polar ~~areas and a high temporal resolution~~regions. Moreover, the future European MetOp Second Generation (MetOp-SG) mission, with the launch of the Sat-A Microwave Sounder (MWS), with characteristics very similar to ATMS, will soon provide ~~another instrument~~additional coverage to improve global snowfall monitoring. The HANDEL-ATMS methodology will be ~~also~~ adapted to be able to exploit MWS measurements in the future. ~~The possibility to exploit a wide range of microwave channels allows obtaining a characterization of the background surface at the time of the overpass. This element is fundamental to obtain a characterization of the snowfall signature (especially for the extreme environmental conditions typical of high latitudes), and an accurate snowfall retrieval.~~ The capability to estimate snowfall ~~events with a~~at high temporal resolution is ancillary to the development of a ~~continuous~~ snowfall monitoring system ~~over~~for the high ~~latitude areas~~latitudes and to ~~analyze~~the analysis of the snowfall climatology in these areas~~. This research could have important impacts~~, with possible applications in climate change studies~~; snowfall is predominant over rain~~ in the ~~high-latitude areas, and it has been proven that climate change has a strong impact on snowfall regime in these areas~~polar regions.

Future research ~~activities~~ will ~~tackle~~address some open issues. The estimation of the surface emissivity and the simulated clear-sky multi-channel TBs needs to be further improved, either by considering other predictor sets or by using a different technique for the emissivity spectra ~~refinement process, or by using~~definition including a more advanced ~~radiative transfer models.~~RTM. Another important aspect is the quantification of the error linked to the background surface emissivity estimation on the snowfall detection capabilities. This would be also useful for the development of modules for mountainous areas, which have not been ~~analyzed in this study.~~considered in the current version of the algorithm. Moreover, the effect on the algorithm snowfall detection capabilities of the uncertainties linked to the model-derived environmental variables (e.g., temperature and water vapor profile), which are used in the clear-sky TB simulations, should be investigated. The use of the ATMS water vapor (183 GHz band) and temperature (50 GHz band) sounding channels to characterize the atmospheric conditions at the time of the overpass in order to complement or avoid the use of model-derived data is another subject of future research. Moreover, the ~~possible~~ development of a separate supercooled liquid water detection module ~~could~~will be also ~~be~~ evaluated, similarly to what is done in other PMW snowfall detection and estimation algorithms (*Rysman et al~~.~~, 2018*, *Sanò et al~~.~~, 2022*). Such information can be exploited to improve snowfall detection and estimation capabilities since the emission by the cloud droplets in dry conditions tends to mask the snowfall scattering signal (see *Panegrossi et al, 2017*, *Panegrossi et al, 2022*), and adds larger uncertainties in the CPR snowfall products ~~(*Battaglia & Panegrossi, 2021*).~~used as reference (*Battaglia & Panegrossi, 2021*). Moreover, recent studies have highlighted that TBs correlate more strongly with lagged surface precipitation (with a time lag of 30-60 min for snowfall) than the simultaneous precipitation rate (see *You et al, 2019*). Therefore, an analysis based on a coincident dataset characterized by different time lags will be conducted. The results of this analysis will be compared with HANDEL-ATMS performances in order to identify a way to exploit this information towards the improvement of SSR detection and estimation. Finally, since the algorithm has been developed only for specific environmental conditions typical mostly of high latitudes~~ (dry and cold atmosphere)~~ an integration with other approaches, such as SLALOM-CT, designed for global estimation of snowfall, could be considered in the future to improve global snowfall monitoring based on ATMS and on future cross-track scanning radiometers.

Data availability

ATMS data are provided by the NOAA CLASS facility www.avl.class.noaa.gov/ (last access 4 april 2023), CPR data are distributed by the CloudSat data processing center https://www.cloudsat.cira.colostate.edu/ (last access 4 april 2023), DARDAR data are available from the ICARE FTP server of the University of Lille (ftp.icare.univ-lille1.fr, last access 4 april 2023) and ECMWF operational forecasts are distributed by ECMWF through the MARS facility via the ECGATE cluster. ~~AutoSonw~~AutoSnow data are provided by the NOAA Satellite and Information Service https://satepsanone.nesdis.noaa.gov/northern_hemisphere_multisensor.html (last access 4 april 2023).

Author Contribution

Conceptualization, A.C., P.S., D.C.; methodology, A.C., P.S., D.C.; software, A.C.; validation, A.C.; formal analysis, A.C.; investigation, A.C., P.S., D.C., G.P.; data curation, A.C. and D.C.; writing—original draft preparation, A.C.; writing—review and editing, A.C., P.S., D.C., and G.P.; visualization, A.C.; supervision, G.P.; project administration, G.P.; funding acquisition, G.P. All authors have read and agreed to the published version of the manuscript.

Competing Interests

The authors declare no conflict of interest. The funders had no role in the design of the study; in the collection, analyses, or interpretation of data; in the writing of the manuscript, or in the decision to publish the results.

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Figures

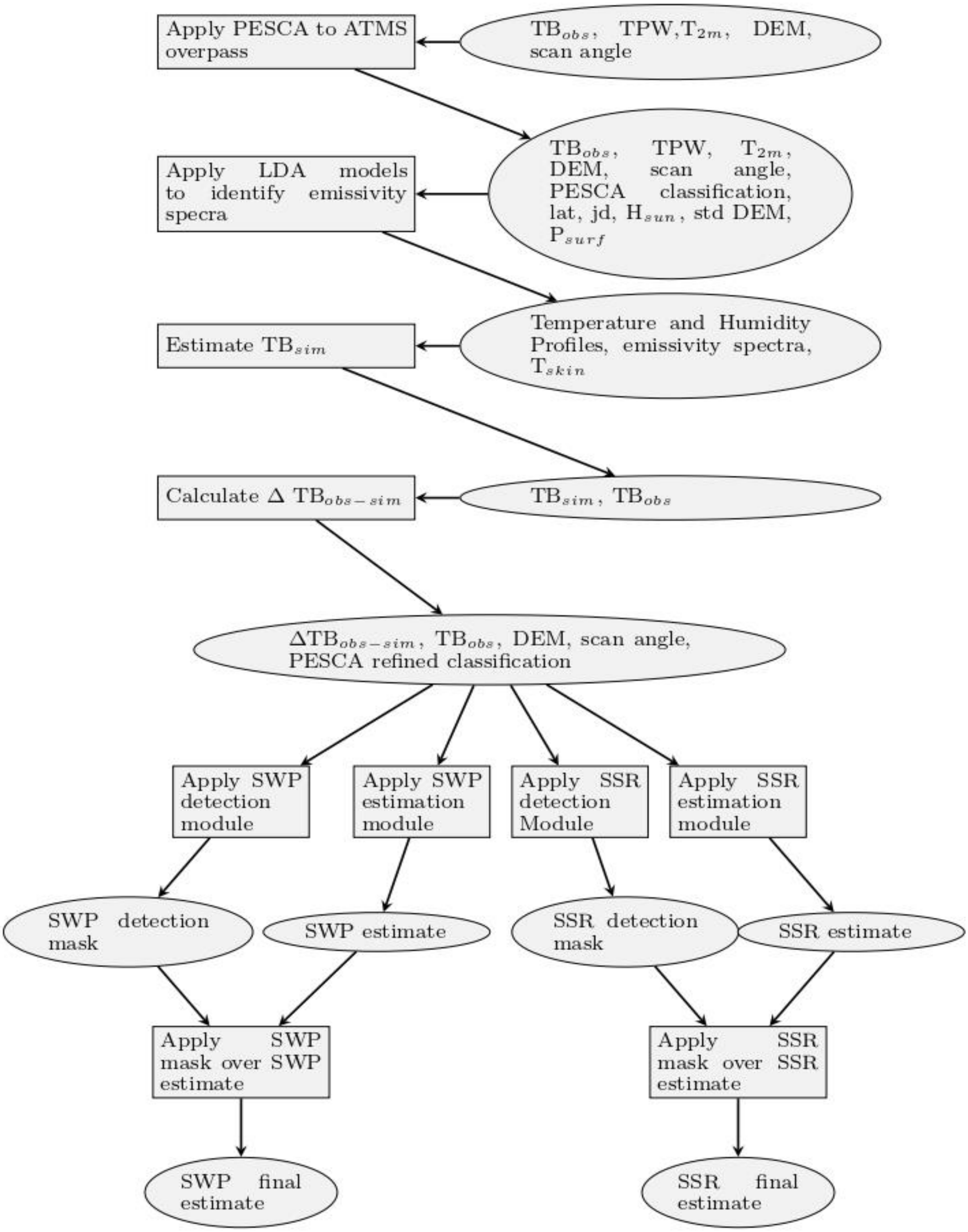

Figure 1: HANDEL-ATMS workflow diagram (~~read~~please, refer to the text for details)

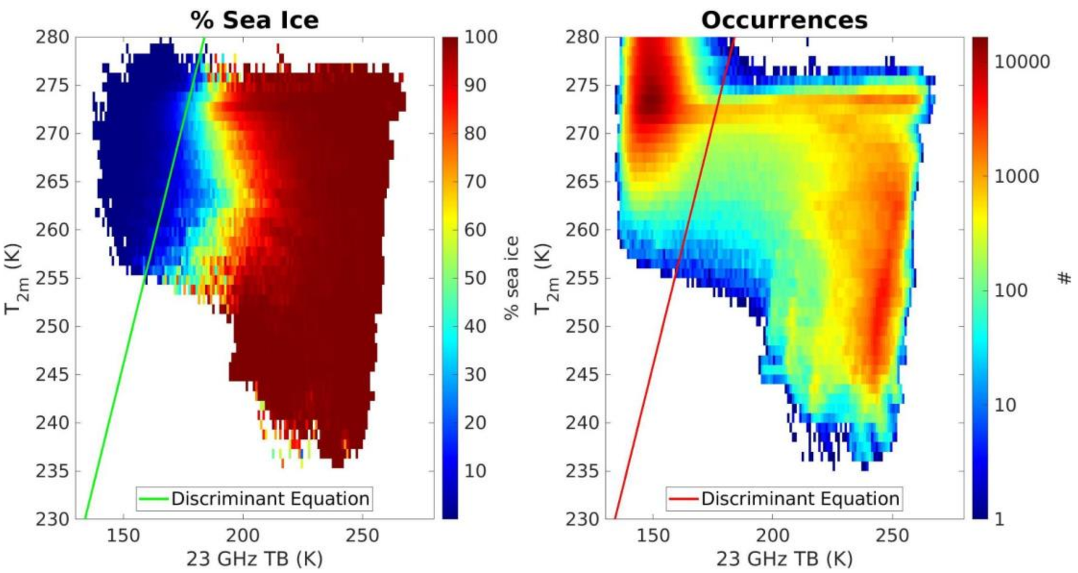

Figure 2: Sea Ice ~~Detection:~~detection representation on a 23 TB-T_{2m} ~~Plan~~Plane. The color represents the mean AutoSnow sea ice percentage within each bin (left) and the observation occurrence (right). The green (left) and red (right) lines represent the discriminant Equation (Equation 1) between sea ice and ocean.

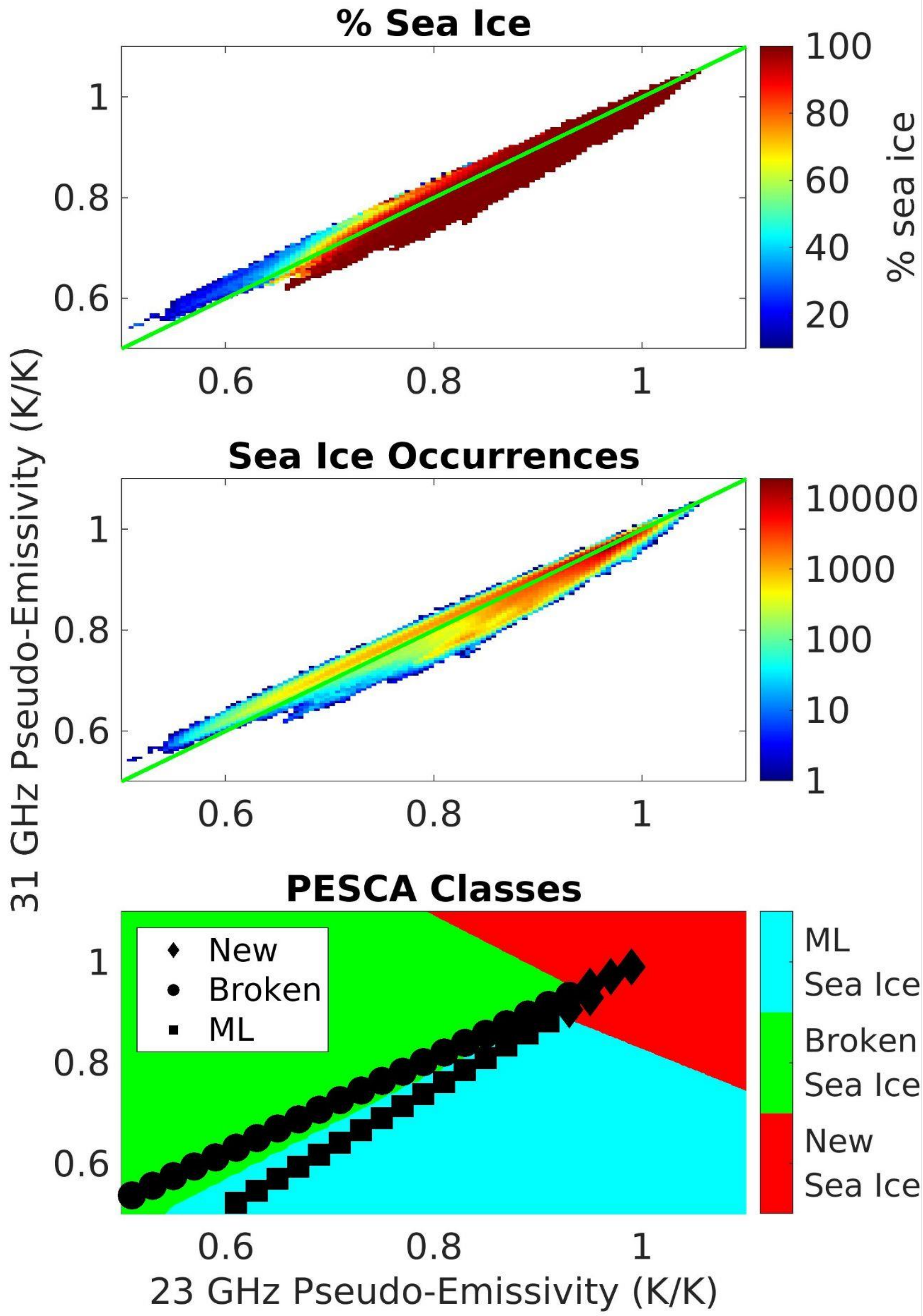

Figure 3: Sea Ice ~~Detection~~detection and classification: relationship between 31 GHz Pseudo-Emissivity (y-axis) and 23 GHz Pseudo-Emissivity (x-axis). The color represents the mean AutoSnow sea ice percentage within each bin (top~~) and~~ panel), the observation occurrence (~~center)~~middle panel), and the PESCA classification (Multi-Layer (ML), Broken and New sea ice) with the Nearest Neighbor markers (bottom panel).

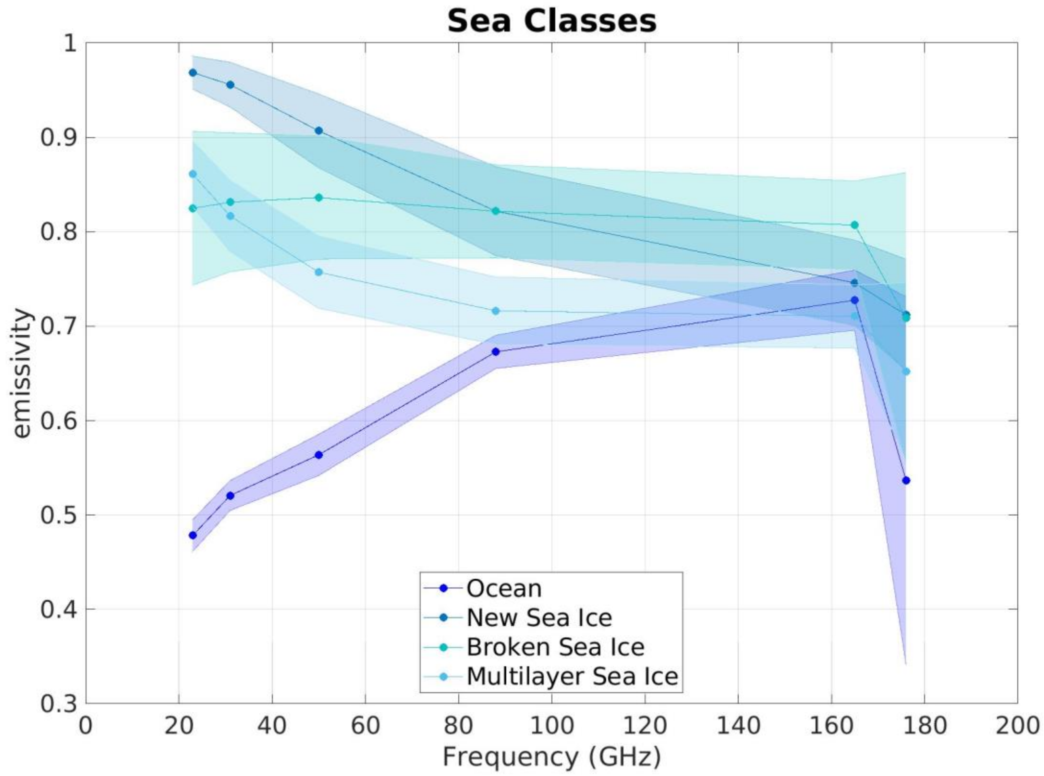

Figure 4: Emissivity Spectra for PESCA Sea Classes. The continuous lines represent the mean values of the emissivity while the shaded areas represent the standard deviation calculated at the ATMS reference frequencies (23.8 GHz, 31.4 GHz, 50.3 GHz, 88.2 GHz, 165.5 GHz, and 183.3 ±7 GHz) represented by the dots.

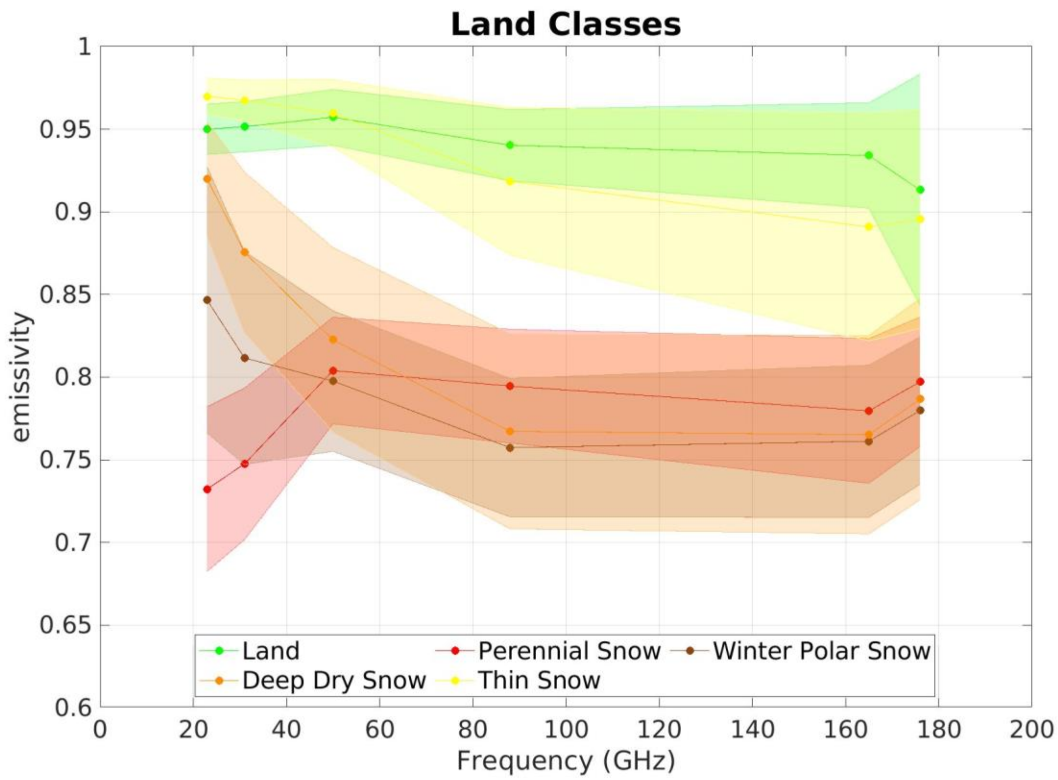

Figure 5: Same as Figure 4 but for PESCA Land Classes.

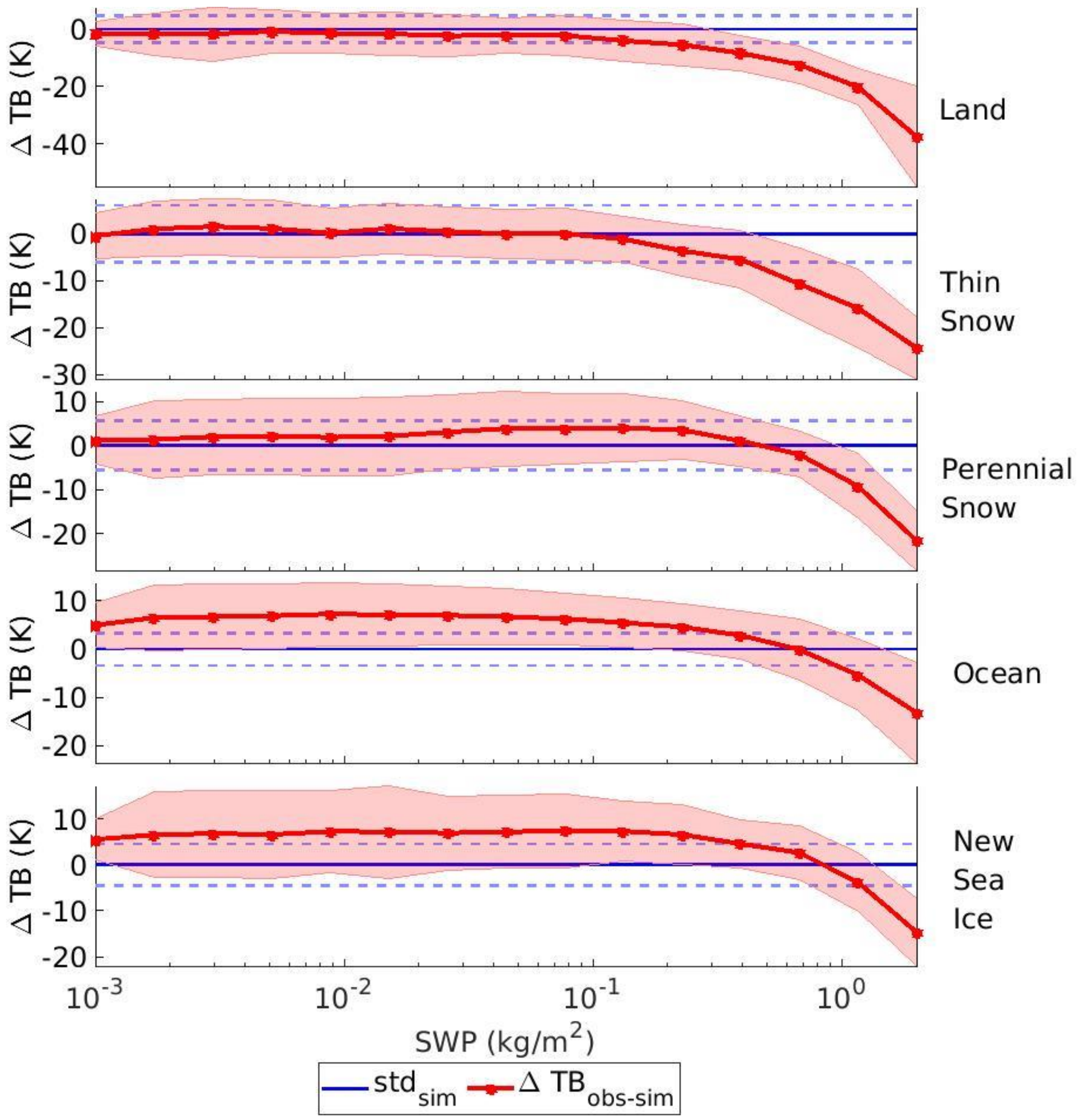

Figure 6: ~~165.5 GHz~~ **Snowfall Signature at 165.5 GHz as a function of SWP for** ~~three Land~~**five PESCA surface** ~~Classes~~**classes. The red line and shaded areas represent the mean values and standard deviations of $\Delta TB_{obs-sim}$ (i.e., the snowfall signature) while the blue lines are centered on the estimated bias and standard deviation of $\Delta TB_{obs-sim}$ in clear sky conditions for the corresponding PESCA surface class.**

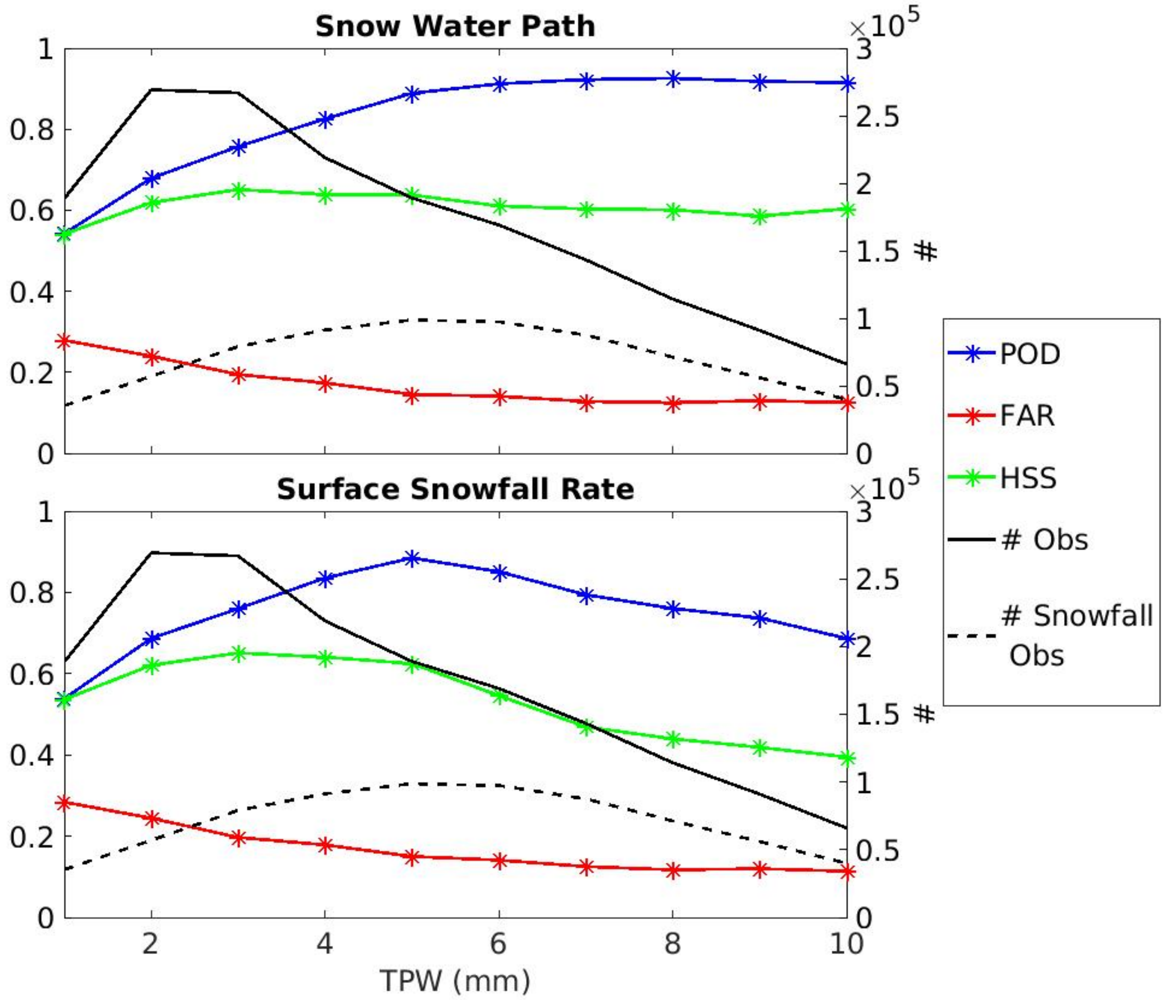

Figure 7~~-~~: Dependence of HANDEL-ATMS SWP and SSR ~~Detection Performances~~detection statistical scores on TPW. Each star represents the statistical score value for different ~~bins~~1-mm t bin of TPW. The left y-axis reports POD, FAR and HSS ~~vales~~values, while the right y-axis reports the ~~total~~ number of total and snowfall observations in the validation dataset.~~POD-tot, FAR-tot and HSS-tot (dotted lines) represent the statistical scores estimated on the total dataset (values reported in Table 2).~~

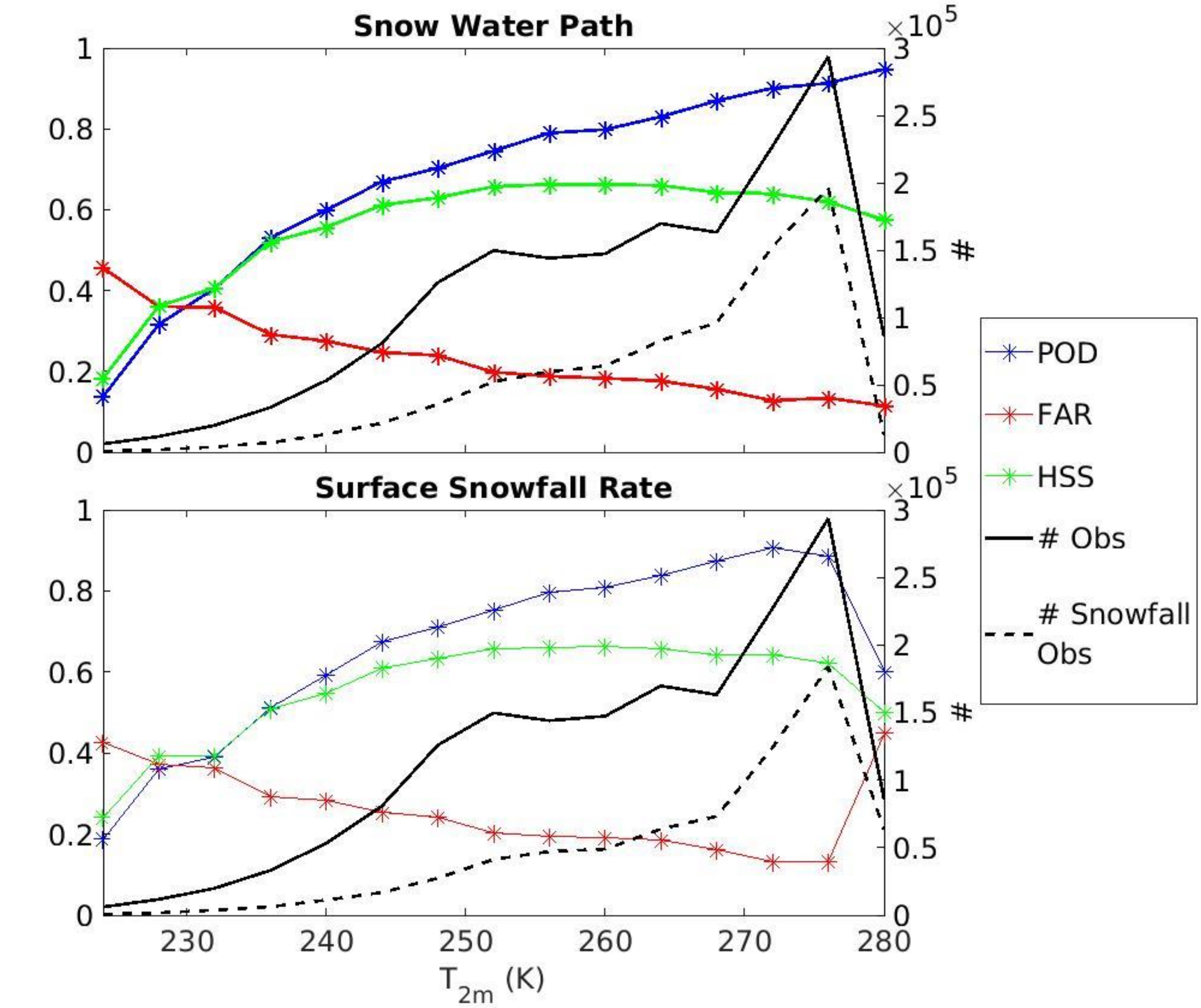

Figure 8: Same as Figure 7 but for T_{2m} bins.

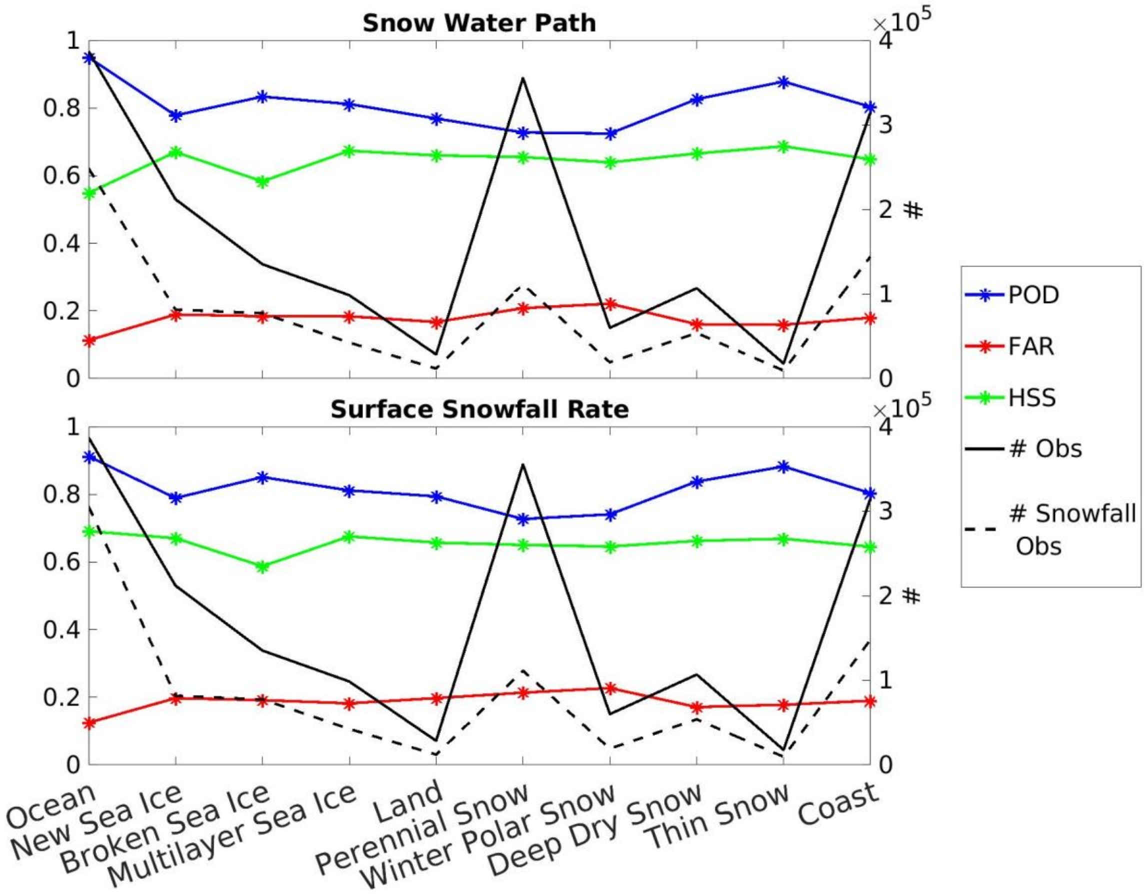

Figure 9: Same as Figure 7 but for PESCA surface classes. Each star represents the value of the statistical score for each surface category.

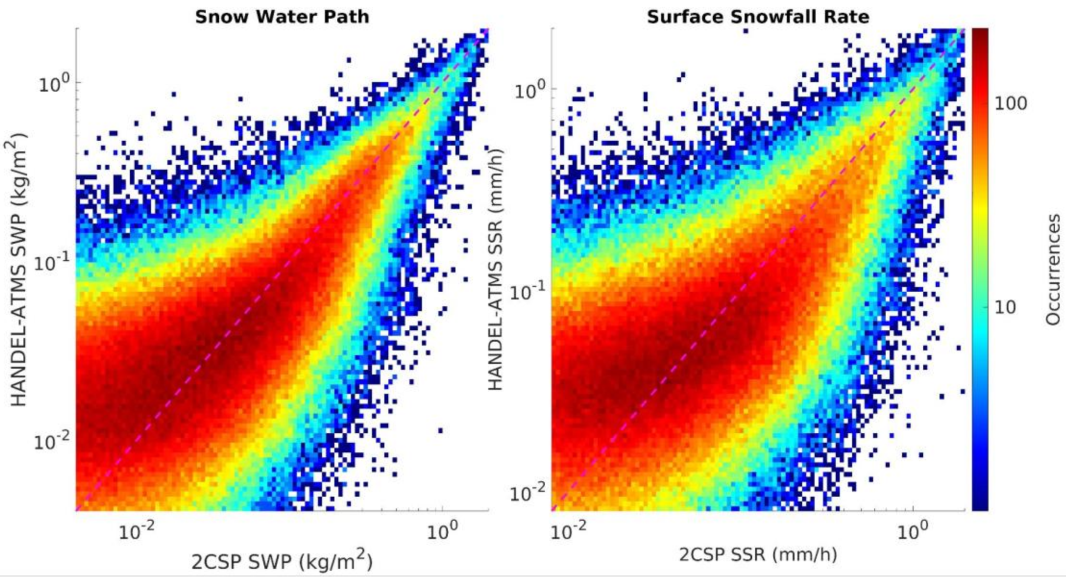

Figure 10: 2D Histogram reporting HANDEL-ATMS SWP (left) and SSR (right) estimation (y-axis) and 2CSP~~.~~ estimation (x-axis). The ~~colorabar~~colorbar represents the ~~observation~~ number of observations for each HANDEL-ATMS/2CSP bin. The violet dashed line represents the bisector.

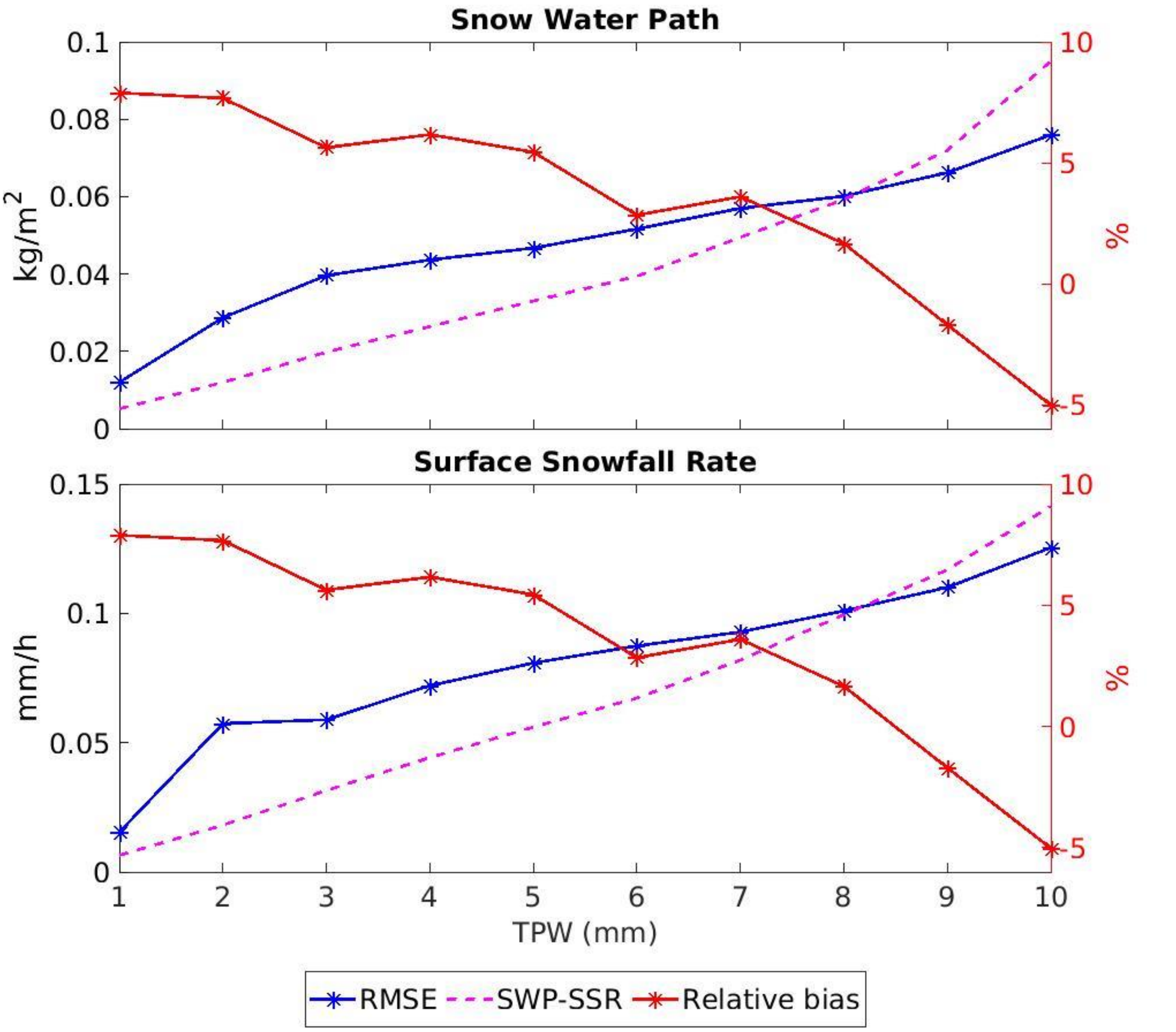

Figure 11: Dependence of HANDEL-ATMS SWP and SSR estimation on TPW. Each star represents the value of the statistical score for different 1-mm TPW bins. The left y-axis reports the RMSE and the mean intensity SWP and SSR value for each 1-mm TPW bin, while the right y-axis reports the relative bias, calculated as the ratio between the bias and the SWP/SSR mean value for each bin.

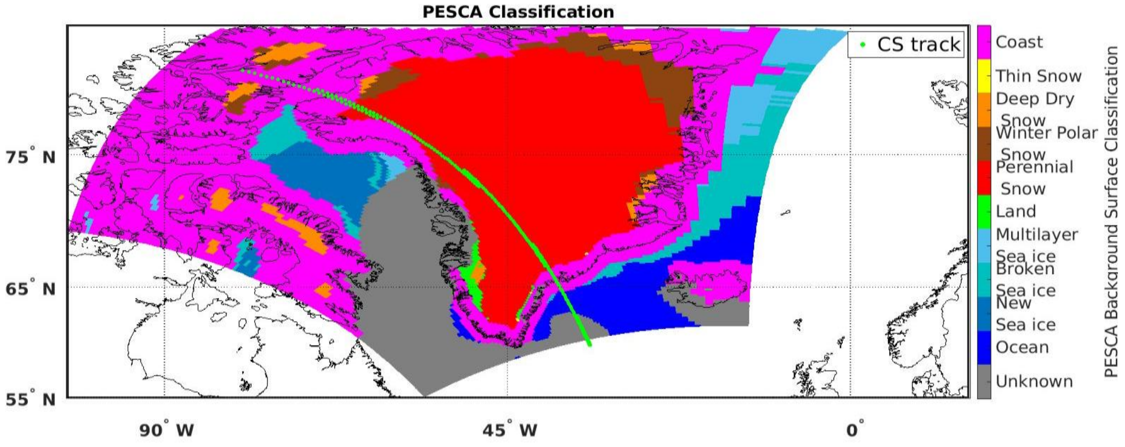

Figure 12: Greenland - 2016/04/24 - ~~PESCA~~ ATMS overpass is between 14:54 UTC and 14:58 UTC, while the CPR overpass is between 15:05 UTC and 15:12 UTC. Map of the PESCA Background Surface Classification. The green dotted line represents the CloudSat track.

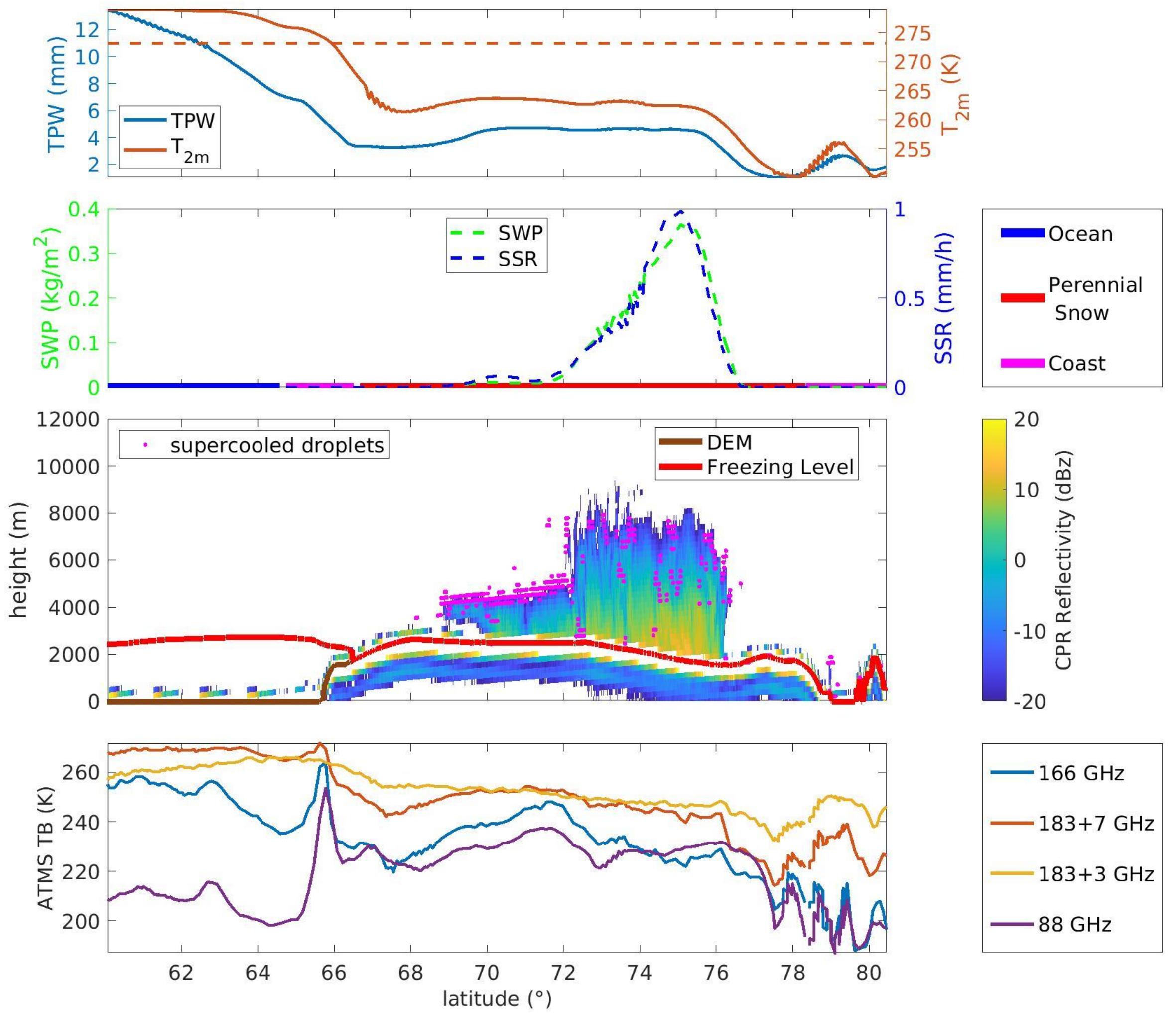

Figure ~~11~~13: Greenland - 2016/04/24 - Synopsis along CloudSat Track. ~~The first~~First panel~~ shows the~~: ECMWF TPW and T_{2m} values along the CloudSat track. ~~In the second~~Second panel~~,~~: the 2CSP SWP (left) and the SSR (right~~) values are reported, besides~~), and the PESCA classification along CloudSat track. ~~In the third~~Third panel~~, the~~: CPR reflectivity (values are reported in the colorbar ~~below),~~on the right), and supercooled water droplets detected by DARDAR (magenta points~~) are shown. Also the~~), Digital Elevation Model (brown line) and the ECMWF Freezing Level (red line) along CloudSat track~~ are reported. In the bottom~~. Bottom panel: the ~~observed~~ATMS TBs of the ~~main~~ high-frequency channels (88 GHz, 166 GHz, 183+3 GHz, 183+7 GHz) along CloudSat track~~ are shown~~.

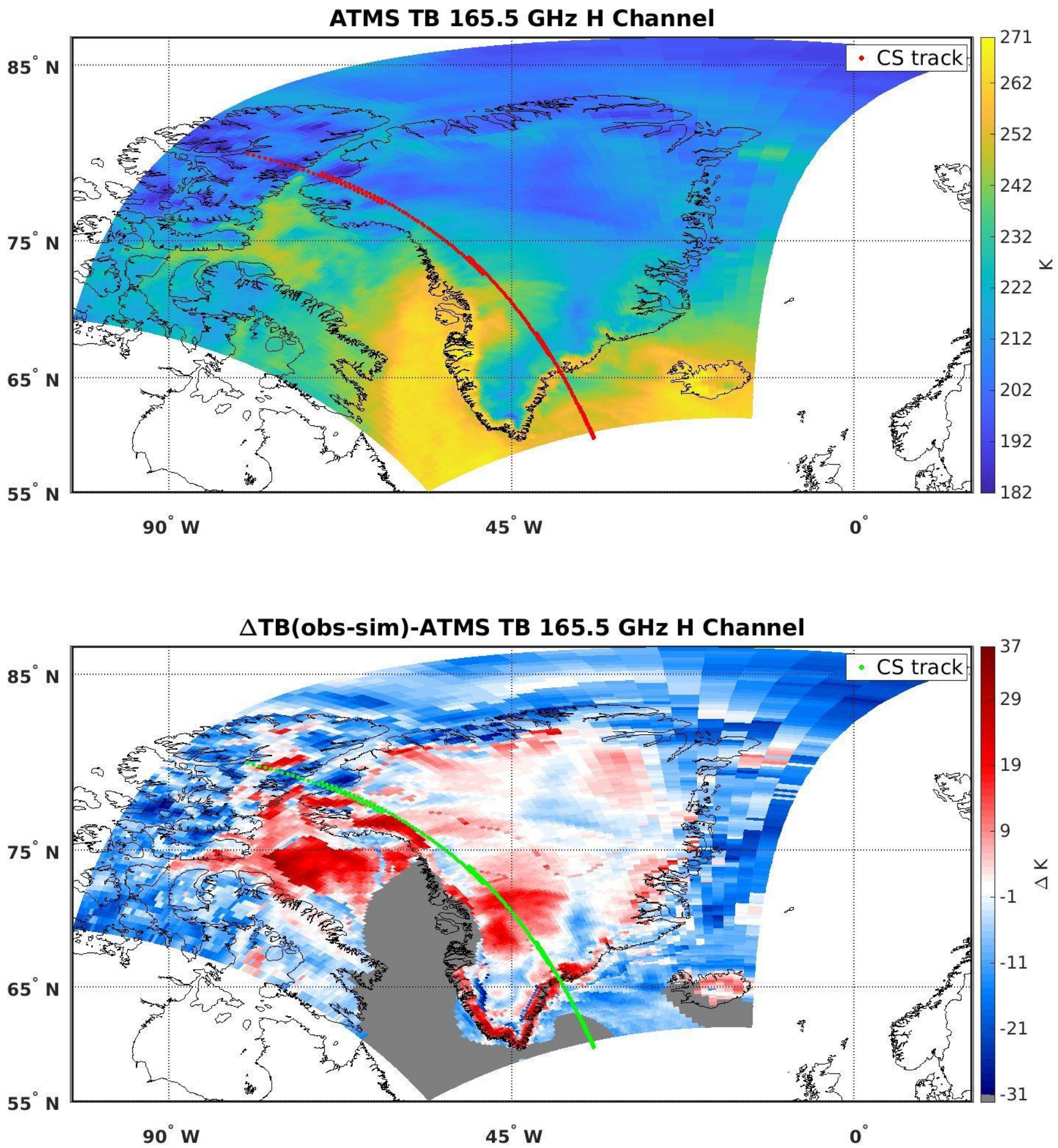

Figure ~~12~~14: Greenland - 2016/04/24 - 165 GHz Channel measured TB (TB_{obs}) (top panel) and the deviation of TB_{obs} from the simulated clear-sky TBs ~~(Δ~~($\Delta TB_{obs-sim}$) (bottom panel~~)~~). The red dotted line (top panel) and the green dotted line (bottom panel) represent the CloudSat track.

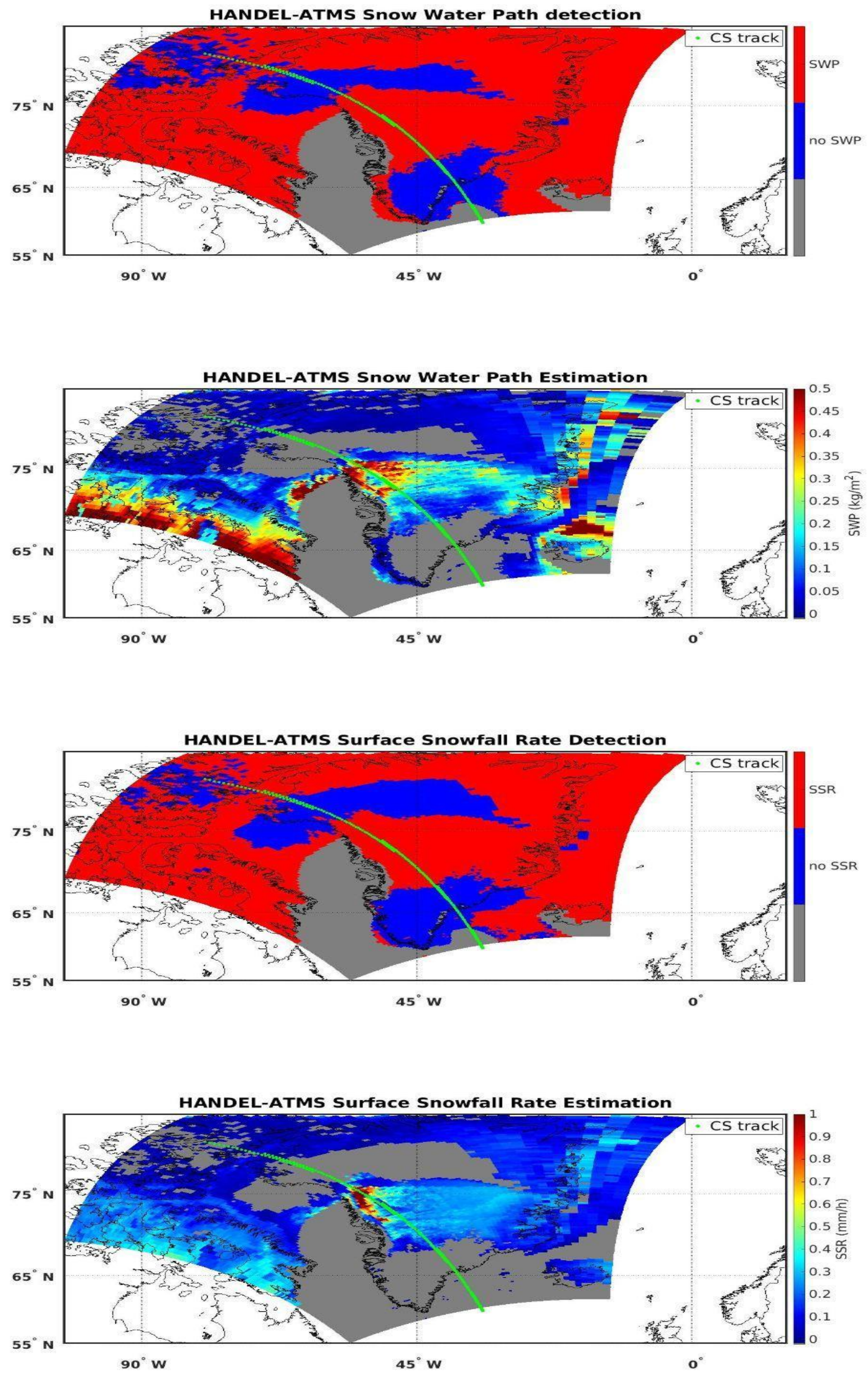

Figure ~~13~~15: Greenland - 2016/04/24 - Maps of the HANDEL-ATMS module's output: the SWP detection mask (top panel), the estimated SWP (kg m^{-2}) (second panel), the SSR detection mask (third panel), the estimated SSR (mm h^{-1}) (bottom panel). The green dotted lines (bottom panel) represent the CloudSat track.

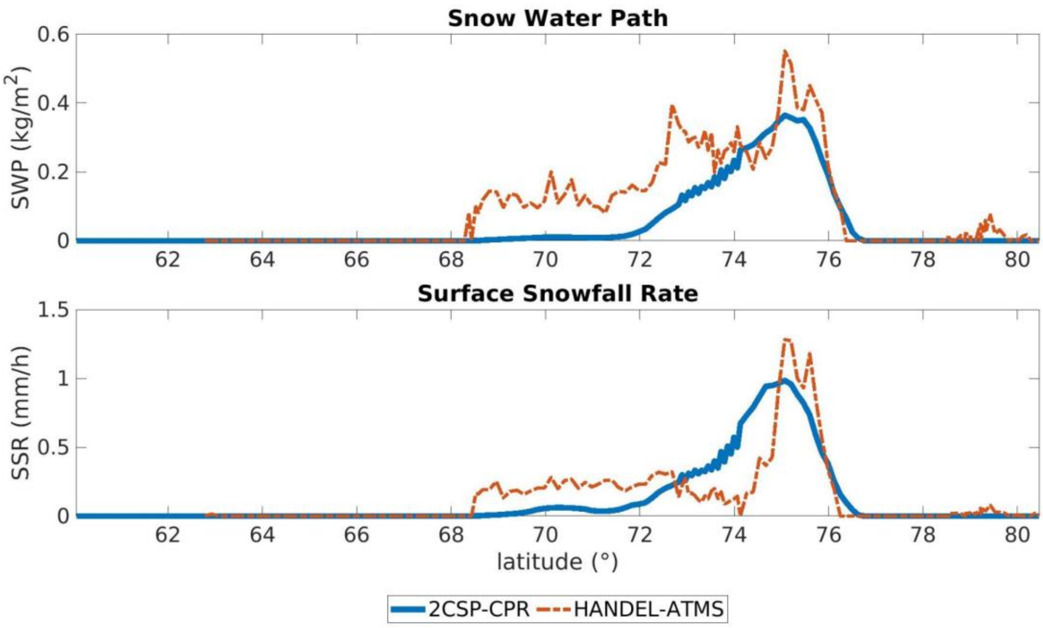

Figure ~~14~~16: Greenland - 2016/04/24 - Comparison between CPR 2C-SNOW-PROFILE and HANDEL-ATMS SWP and SSR estimates along the CloudSat track.

Tables

	OCEAN MODULE	LAND MODULE
POD	0.99	0.98
FAR	0.01	0.01
HSS	0.98	0.72

Table 1: PESCA Overall ~~Statistics~~Statistical Scores

Class	n clusters	accuracy	165.5 GHz RMSE (K)	165.5 GHz $NRMSE_{\%}$	Predictor Set
Ocean	2	0.9	3.37	44	P_{surf} - TPW - T_{2m}
New Sea Ice	3	0.74	4.52	48	SI - T_{2m} - -P_{surf}- ratio - jd - pem_{23}
Broken Sea Ice	16	0.56	5.34	41	pem_{23} - TPW - SI - -P_{surf}
Multilayer Sea Ice	9	0.53	4.38	34	pem_{31} - SI - TPW - T_{2m} - pem_{23} - -P_{surf}
Land	2	0.87	4.57	52	DEM - jd - TPW
Perennial Snow	8	0.65	5.98	54	pem_{23} - jd - SI - pem_{31} - lat
Winter Polar Snow	5	0.76	5.87	37	pem_{31} -SI - lat -H_{sol} - pem_{31} - jd
Deep Dry Snow	15	0.34	6.77	45	SI - pem_{31} - ratio
Thin Snow	3	0.78	6.03	39	SI -ratio - lat
Coast	13	0.43	6.80	44	SI - -pem_{23} - pem_{31} - DEM - T_{2m}

Table 2: Classification Refinement - Parameters.

Predictor Set	POD	FAR	HSS
$\Delta TB_{obs-sim}$+ ancillary parameters	0.75	0.29	0.48
TB_{obs}+ ancillary parameters	0.81	0.18	0.65
TB_{obs}+environmental var+ ancillary parameters	0.82	0.17	0.68
TB_{obs}+$\Delta TB_{obs-sim}$+ ancillary parameters	0.84	0.16	0.69

Table 3: HANDEL-ATMS SSR Detection Performance: Statistical scores for different Predictor Sets

	POD	FAR	HSS
SWP	0.85	0.15	0.70
SSR	0.84	0.16	0.69

Table 4: HANDEL-ATMS detection Performance - SWP and SSR Detection Modules Statistical Scores

	RMSE	bias	R^2
SWP (kg m^{-2})	0.047	0.001	0.72
SSR (mm h^{-1})	0.079	0.002	0.61

Table 5: HANDEL-ATMS Estimation Performance - SWP and SSR Estimation Module Error Statistics

	POD		FAR	
	SLALOM-CT	HANDEL-ATMS	SLALOM-CT	HANDEL-ATMS
TPW<10 mm T_{2m}<280 K (*)	0.82	0.84	0.19	0.16
TPW<5 mm T_{2m}<250 K	0.64	0.68	0.28	0.23
TPW<3 mm T_{2m}<240 K	0.45	0.54	0.33	0.28

Table ~~7~~6: Comparison between HANDEL-ATMS and SLALOM-CT detection Performances for Different Environmental Conditions (* HANDEL-ATMS working limits).

In this document, changes to Article:
amt-2023-94
Title: The High lAtitude sNowfall Detection and Estimation aLgorithm for ATMS (HANDEL-ATMS): a new algorithm for the snowfall retrieval at high latitudes
Author(s): Andrea Camplani et al.
MS type: Research article

because of the referees' comments are shown.

- The changes reported in the responses to the referees were introduced.
- The text has been modified and deeply revised, simplifying some sentences and reducing not relevant parts in the methodology section, according to referee # 2 suggestions.
- 2 figures have been added (Figure 9, Figure 11), all Captions have been modified accordingly.
- Figures 2, 6, 7, 8, 11 (now 13), and 14 (now 16) have been modified.
- Table 6 has been removed and replaced with Figure 9; all Captions have been modified accordingly.