

# Shortwave Array Spectroradiometer-Hemispheric (SAS-He): Design and Evaluation

Evgueni Kassianov<sup>1</sup>, Connor J. Flynn<sup>2</sup>, James C. Barnard<sup>3</sup>, Brian D. Ermold<sup>1</sup>, Jennifer M. Comstock<sup>1</sup>

<sup>1</sup>Pacific Northwest National Laboratory, Richland, WA, USA

5 <sup>2</sup>School of Meteorology, University of Oklahoma, Norman, OK, USA

<sup>3</sup>University of Nevada, Reno, NV, USA

*Correspondence to:* Evgueni Kassianov (Evgueni.Kassianov@pnnl.gov)

**Abstract.** A novel ground-based radiometer, referred to as the Shortwave Array Spectroradiometer-Hemispheric (SAS-He), is introduced. This radiometer uses the shadow band technique to report total irradiance and its direct and diffuse components frequently (every 30 sec) with continuous spectral coverage (350-1700 nm) and moderate spectral (~2.5 nm ultraviolet/visible, and ~6 nm shortwave-infrared) resolution. The SAS-He's performance is evaluated using integrated datasets collected over coastal regions during three field campaigns supported by the U.S. Department of Energy's (DOE's) Atmospheric Radiation Measurement (ARM) Program, namely (1) Two-Column Aerosol Project (TCAP; Cape Cod, Massachusetts), (2) Tracking Aerosol Convection Interactions Experiment (TRACER; in and around Houston, Texas), and (3) Eastern Pacific Cloud Aerosol Precipitation Experiment (EPCAPE; La Jolla, California). We compare (i) aerosol optical depth (*AOD*) and total optical depth (*TOD*) derived from the direct irradiance, (ii) the diffuse irradiance and direct-to-diffuse ratio (*DDR*) calculated from two components of the total irradiance. As part of the evaluation, both *AOD* and *TOD* derived from the SAS-He direct irradiance are compared to those provided by collocated Cimel sunphotometer (CSPHOT) at five (380, 440, 500, 675, 870 nm) and two (1020, 1640 nm) wavelengths, respectively. Additionally, the SAS-He diffuse irradiance and *DDR* are contrasted with their counterparts offered by a collocated Multi-Filter Rotating Shadowband Radiometer (MFRSR) at six (415, 500, 615, 675, 870, 1625 nm) wavelengths. Overall, reasonable agreement is demonstrated between the compared products despite the challenging observational conditions associated with varying aerosol loadings and diverse types of aerosols and clouds. For example, the *AOD*- and *TOD*-related values of root-mean-square error remain within 0.021 at 380, 440, 500, 675, 870, 1020 and 1640 nm wavelengths during three field campaigns.

## 1 Introduction

Clouds and atmospheric aerosols are important drivers of the Earth radiation budget (Voigt et al., 2021; Li et al., 2022). They have unique fingerprints across a wide spectral range, which includes ultraviolet (UV), visible (VIS), near-infrared (NIR) and shortwave-infrared (SWIR) spectral bands. Moreover, cloud droplets and aerosol particles with a wide range of sizes and shapes modify distinctly the angular distribution of the scattered solar radiation (Hansen and Travis, 1974; Kokhanovsky,

2004; Yang et al., 2013). Thus, advanced cloud and aerosol retrievals over different surface types involve both multi-spectral and multi-angular measurements from surface, air, and space (Chen et al., 2022; Puthukkudy et al., 2020; Matar et al., 2023; Michalsky and Kiedron, 2022). To illustrate, the aircraft-based sensor named Spectrometer for Sky-Scanning, Sun-Tracking Atmospheric Research (4STAR; wavelength range: 350–1650 nm) with sky-scanning and hyperspectral abilities has offered  
35 valuable information on above-cloud aerosol optical depth (*AOD*) (LeBlanc et al., 2020), while solar irradiances measured by aircraft-based Solar Spectral Flux Radiometer (SSFR; wavelength range: 350–2100 nm) have been used to provide spectral surface albedo (Coddington et al., 2008) and cloud spectral absorption (Kindel et al., 2011), which is a function of cloud optical thickness and droplet effective radius. The combined 4STAR and SSFR airborne measurements have been utilized to derive heating rate profiles over a climate-important region (Cochrane et al., 2022).

40 Airborne measurements can infer valuable properties of clouds and aerosols with high temporal resolution. However, these episodic measurements represent a relatively short period (e.g., several weeks) and a given location (LeBlanc et al., 2020; Cochrane et al., 2022). Conversely, satellite observations have been used successfully to extract a wealth of information about clouds and aerosols near-globally (Platnick et al., 2017; Gumber et al., 2023). Nevertheless, sun-synchronous satellite observations occur infrequently (typically several times a day) and do not capture the diurnal cycle. Combining data from sun-  
45 synchronous and geostationary satellites enhances the temporal resolution of aerosol-related products (Gupta et al., 2024). The airborne and satellite measurements can be supplemented substantially by the long-term ground-based radiation data collected with high temporal resolution at multiple sites with worldwide locations (Remer et al., 2023). For example, Aerosol Robotic Network (AERONET) Program with world-wide distributed sites has provided columnar *AODs* at nine wavelengths (340, 380, 440, 500, 675, 870, 935, 1020, 1640 nm) from the direct-beam irradiance measured by Cimel sunphotometers (CSPHOT;  
50 Holben et al., 1998; Giles et al., 2019). Similar to the AERONET sunphotometers, Multi-Filter Rotating Shadowband Radiometers (MFRSRs) supported by the U.S. Department of Energy’s (DOE’s) Atmospheric Radiation Measurement (ARM) Program (Sisterson et al., 2016; Miller et al., 2016) and the National Oceanic and Atmospheric Administration’s (NOAA’s) Surface Radiation budget network (SURFRAD; Augustine et al., 2008) have provided *AODs* at five wavelengths (415, 500, 615, 675, 870 nm) for many locations from the direct irradiances. These irradiances have been obtained from the MFRSR-  
55 measured total and diffuse solar irradiances. Additionally, the MFRSR data have been used to derive aerosol, cloud, and surface properties (Riihimaki et al., 2021), and to quantify the spectrally resolved radiative impact of clouds (Kassianov et al., 2011). Recently, ARM has added a new channel at 1625 nm wavelength to the ARM-supported MFRSRs.

The limited number of wavelengths coupled with a quite narrow spectral range of the MFRSR prevent improved retrievals of cloud, aerosol, and surface characteristics, and thus preclude advanced understanding of complex cloud-aerosol-surface  
60 interactions (Barthlott et al., 2022; Calderón et al., 2022). To address the outlined limitation, ARM developed a hyperspectral shortwave radiometer, called the Shortwave Array Spectroradiometer-Hemispheric (SAS-He), that has collected data since 2011. This ground-based radiometer is a next-generation of the MFRSR with increased spectral coverage (350-1700 nm) and hyperspectral ability. Here we illustrate its performance by taking advantage of an integrated dataset collected by collocated ground-based sensors over coastal regions during three campaigns: (1) Two-Column Aerosol Project (TCAP; Cape Cod,

65 Massachusetts) (Berg et al., 2016), (2) Tracking Aerosol Convection Interactions Experiment (TRACER; in and around  
Houston, Texas) (Jensen et al., 2022) and (3) Eastern Pacific Cloud Aerosol Precipitation Experiment (EPCAPE; La Jolla,  
California) (Russell et al., 2021). The following four sections cover the SAS-He design and calibration procedures (Sect. 2),  
a concise description of ground-based data collected during three campaigns (TCAP, TRACER, and EPCAPE) (Sect. 3),  
70 evaluation of the SAS-He *AOD*, total optical depth (*TOD*), direct-to-diffuse ratio (*DDR*), diffuse irradiance (Sect. 4), and a  
summary of main results (Sect. 5). It should be emphasized that the spectrally resolved *AODs* offer a valuable avenue for  
estimating aerosol columnar size distributions (e.g., King et al., 1978; Sayer et al., 2012; Kassianov et al., 2021; Torres and  
Fuertes, 2021). Aerosol intensive properties, including single-scattering albedo and asymmetry parameter in the VIS and NIR  
spectral bands of the MFRSR, are possible through retrievals combining the corresponding *AODs* and *DDRs* (e.g., Kassianov  
et al., 2007; Ge et al., 2010). The *DDRs* provided by UV-MFRSR and aerosol properties offered by complementary CSPHOT  
75 inversions, such as particle size distribution and real refractive index at 440 nm wavelength, facilitate the successful retrievals  
of aerosol single-scattering albedo in the UV spectral bands (e.g., Krotkov et al., 2005; Corr et al., 2009; Mok et al., 2016,2018).

Additionally, the favorable comparisons demonstrated in our paper can be considered as foundational for future activities  
including improved understanding of changes in photosynthetically active radiation, and refinement of broadband radiation  
80 measurements and radiative transfer calculations. Finally, the potential exists to retrieve cloud properties, such as cloud optical  
thickness (COT) of water clouds and droplet effective radius (e.g., LeBlanc et al., 2015), as well as cirrus COT and ice particle  
effective diameter (e.g., Segal-Rosenheimer et al., 2013), by examining wavelength-dependent diffuse irradiance under  
overcast conditions. For optically thin clouds, the COT retrievals require correction of strong forward scattering of solar  
radiation into the radiometer's field of view (FOV; e.g., Min et al, 2004; Norgren et al., 2022).

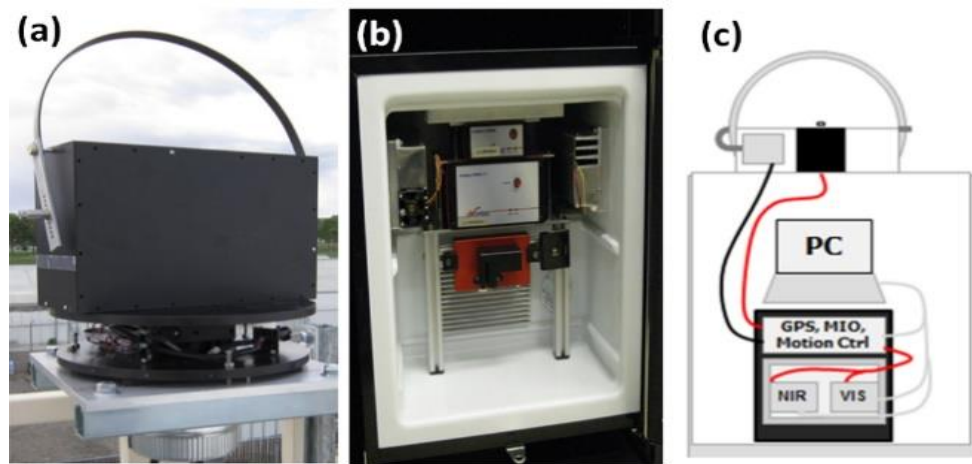
## 85 **2 SAS-He design, calibration and corrections**

The SAS-He is the successor to the MFRSR (Hodges and Michalsky, 2016) and the Rotating Shadow band Spectroradiometer  
(RSS; Michalsky and Kiedron, 2022). The SAS-He employs the shadow band technique (Wesely, 1982; Harrison et al., 1994)  
and its design, operation and calibration are borrowed heavily from the predecessors. The corresponding detailed reviews are  
presented elsewhere (Hodges and Michalsky, 2016; Flynn, 2016; Michalsky and Kiedron, 2022), and here we provide only a  
90 brief description sufficient to acquaint the reader with enhanced capabilities of the SAS-He.

### **2.1 Design**

Figure 1 shows the major elements of the SAS-He instrument. The overall design is composed of an optical collector (Fig. 1a)  
located outdoors connected to a pair of chilled spectrometers (Fig. 1b) and data collections system located indoors within a  
climate-controlled environment (Fig. 1c). Photons incident on the hemispheric diffuser at the fore-optics of the light collector  
95 travel through a large single core optical fiber to a 50/50 bifurcated Y-fiber that diverts the signal to the UV-VIS-NIR and

SWIR spectrometers. Within each spectrometer, the light is spectrally dispersed by a diffraction grating and focused onto a solid-state linear detector array. The array is then read by an electronic interface that passes the data to the computer where it is stored. The data acquisition electronics and spectrometers include an in-line fiber optic shutter for automatic dark signal correction. Dark signals are obtained periodically by closing the in-line shutter and collecting spectra with the same integration time that was used to measure the sky intensity. The thermostatically-controlled ( $\pm 1^\circ\text{F}$ ) refrigerator (Fig.1b) is supplied with dry air and/or desiccant to prevent condensation. The connection of the sky collection optics, rack-mounted data acquisition equipment and fiber-coupled UV-VIS-NIR and SWIR spectrometers is provided by fiber optic and electrical cables (Fig.1c). The optical collector, based strongly on the designs of the MFRSR and RSS, includes a hemispheric diffuser and a moving shadow band (Fig. 1a) for distinguishing direct solar and diffuse sky irradiance at approximately 30 sec temporal resolution. A fiber optic umbilical with an in-line shutter connects the optical collector to a pair of commercial off-the-shelf Avantes spectrometers (Fig. 1b) with a wide spectral coverage and high spectral resolution (Table 1). Certainly, this important capability is superior to the MFRSR, since it increases substantially the opportunity to extend the existing MFRSR-based retrievals to expected multidisciplinary studies with focus on the climate-important properties (Riihimaki et al., 2021). For example, unique absorption and scattering properties of ice and liquid water cloud particles can be retrieved from cloud-transmitted radiance spectra measured with a wide spectral coverage and high spectral resolution (e.g., LeBlanc et al., 2015). The main consideration in the design of the SAS-He instrument was to obtain high radiometric repeatability and efficiency. To address this challenge, several modifications of the MFRSR-like configuration have been made. The next sections highlight these valuable modifications.



115

**Figure 1: The SAS-He design: (a) shadow band and sky collection optics mounted outside, (b) UV-VIS-NIR and SWIR spectrometers housed inside the chiller, (c) instrument layout concept.**

120 **Table 1. A pair of SAS-He spectrometers: Main characteristics**

Spectrometers	Range	Resolution
UV-VIS-NIR fiber-coupled spectrometer (Avaspec ULS 2048 CCD)	350-1040 nm	~2.4 nm
SWIR fiber-coupled spectrometer (Avaspec NIR256-1.7)	990-1700 nm	~ 6 nm

Angular sampling	Range	Field-of-view
Total and diffuse irradiances	Full hemisphere	~ 3.6 deg (shadowband full-angle)

## 2.2 SAS-He calibrations

Several aspects of the SAS-He require careful characterization and/or calibration. Some of these are commonly applied to legacy solar radiometers, but some are unique to the hyperspectral measurements of the SAS-He. These corrections and calibrations are described briefly below.

### 2.2.1 Spectral registration

The Avantes grating array spectrometers, calibrated in terms of wavelength prior to delivery, include a multi-order polynomial fit providing wavelength as a function of pixel index number. We confirmed the wavelength mapping is accurate to within a pixel by confirming the location of known emission lines from a Mercury-Argon discharge lamp. In addition, reference to sharp Fraunhofer lines (emission and absorption lines in the solar spectrum) in the UV and visible spectra and well-known atmospheric absorption features including water vapor bands and the oxygen A-band permit in-field confirmation of the spectral registration, a practice which is not typically feasible for filter-based measurements.

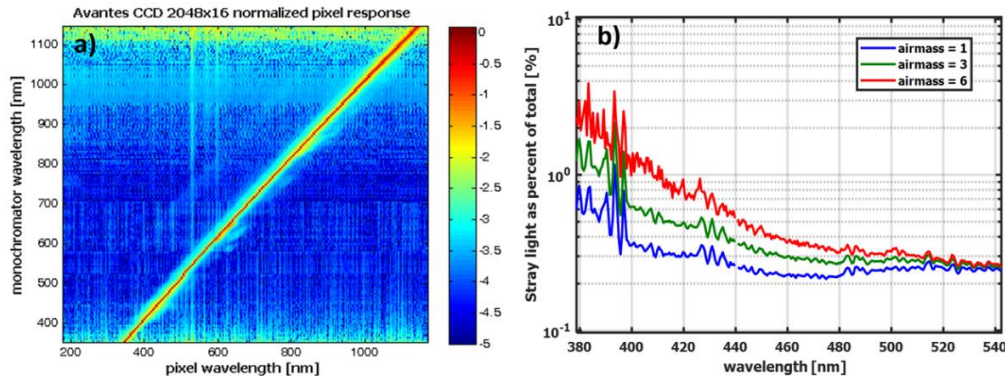
### 2.2.2 Spectral resolution

In addition to the pixel-to-wavelength mapping, Avantes also provides the approximate spectral resolution for each spectrometer configuration. For the Si CCD spectrometer, the nominal spectral resolution is about 2.5 nm full width at half maximum (FWHM). The nominal spectral resolution of the InGaAs array spectrometer is about 6 nm FWHM. Note that the spectral resolution is distinct from the pixel spacing which is the wavelength difference between adjacent pixels as inferred from the spectral registration above. The pixel spacing for the Si CCD is about 0.55 nm. The pixel spacing for the InGaAs array is about 3.5 nm. This means that the spectra from the Si CCD is being over-sampled by about a factor of four, while the InGaAs array is being over-sampled by about a factor of two.

### 2.2.3 Internal stray light within the spectrometer

Stray light in the spectrometer, similar to out-of-band leakage for narrow-band filter measurements, represents signal from other wavelengths detected and ascribed to the intended wavelength. We have measured stray light scattered internally within the spectrometer by scanning a double-slit monochromator positioned in front of a broadband light source over the spectral

145 range of the spectrometer. Except for a few isolated “hot pixels,” the stray-light levels are below 0.1 to 0.01% over most of the spectral range as shown in Figure 2a. The horizontal axis is the wavelength reported by the grating spectrometer. The vertical axis is the source wavelength provided by the scanning monochromator. The vivid diagonal line indicates that most light is detected at the spectrometer pixels corresponding to the monochromator selected wavelength. However, some spectrometer artifacts are apparent as whisps about the diagonal line, and a ghostlike diagonal feature offset from the diagonal by about 200 nm. Although initially assumed to represent a negligible contribution, the accumulation of even these low scattering levels generated aberrant behavior at the wavelength limits of the UV/VIS spectrometer that required empirical correction.



155 **Figure 2:** Panel (a) shows results of a stray light measurement for the SAS-He Si CCD obtained by placing a scanning monochromator at the entrance port of the Si CCD grating spectrometer. The horizontal axis is the wavelength reported by the grating spectrometer. The vertical axis is the source wavelength provided by the scanning monochromator. Each horizontal row in the image has been normalized to the maximum value in that row, and a log-base 10 color scale is applied. Thus a value of -3 (cyan) represents 0.1% stray light relative to the peak signal intensity along the diagonal in red. Panel (b) shows the empirical stray light correction of the SAS-He direct horizontal irradiance as a proportion of the raw uncorrected signal for three airmass values over the short wavelength range where this correction is most significant.

Figure 2b illustrates that the stray light correction is more significant at shorter wavelengths and depends strongly on airmass. The result of the stray light is that the Langley calibrations for short wavelengths become skewed and artificially shallow, leading to low calibration bias and low retrieved *AODs*. The empirical correction shown in Figure 2b yields satisfactory calibration up to 380 nm.

#### 2.2.4 External stray light detected by the spectrometer

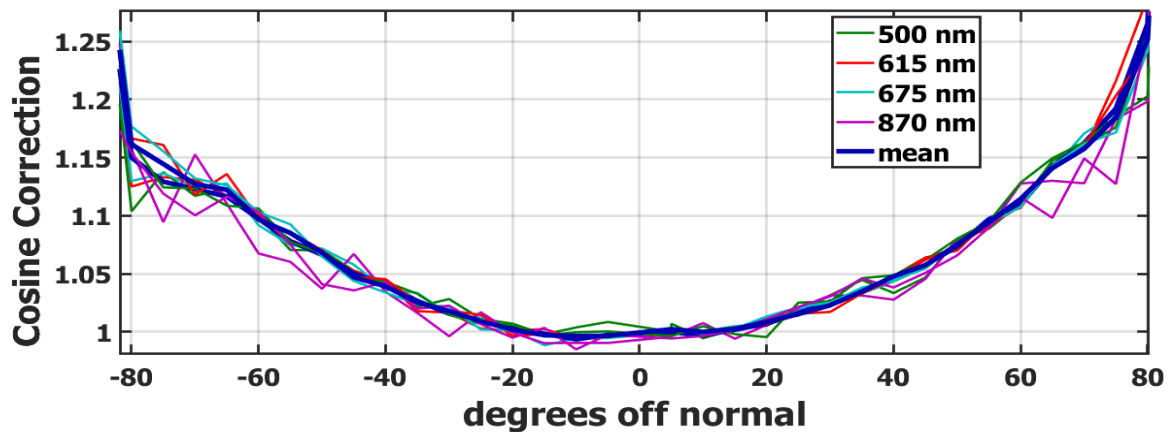
There are two potential sources of external light affecting the SAS-He irradiance measurements. The first is direct sunlight leaking through the fiber-optic jacketing of the umbilical. By exposing and shading the optical collector under direct solar exposure we have confirmed that leakage, if it exists at all, is at undetectable levels. In addition, since 2019 the in-line shutter

(the red device in Fig. 1b) has been moved out of the chiller and incorporated into the collector head such that fiber leakage would represent common signal and be subtracted as “dark” counts.

175 The second potential source of stray light is from reflective objects near the collector head producing glint detectable by the SAS-He in the diffuse hemispheric irradiance measurement. This was observed and documented for the Go-Amazon  
180 deployment (not part of this study) due to the proximity of the SAS-He adjacent to a 10-meter-high stainless-steel aerosol sampling stack. This unfortunate configuration was avoided for the deployments in this study.

### 2.2.5 Non-Lambertian response of the optical collector, “cosine correction”

Hemispheric collectors used by the MFRSR and the SAS-He exhibit a dependence on the angle of incidence of incoming light. For an ideal Lambertian diffuser this dependence is exactly the cosine of the incident angle. The angular response of the SAS-  
180 He collector is carefully characterized in lab measurements on a “cosine bench” where the collector is mounted on a rotating stage and exposed to a stationary quartz-tungsten lamp light source. The cosine bench is designed such that the rotational axis passes through the diffuser so the distance between the light source and the center of the diffuser is fixed but the angle of incidence is allowed to vary from 0° (normal incidence) to 90° (grazing incidence) along each of four orthogonal arcs that align with the four cardinal directions (N,S,E,W) when the SAS-He is installed for operation. In the ideal case of a perfect  
185 collector, the optical signal would vary in direct proportion to the cosine of the incident angle as a consequence of the projected area of the collector surface. In reality, the collector is non-ideal so the signal differs from a pure cosine dependence. The ratio between the ideal cosine response and the observed variation with incident angle is computed and termed the “cosine correction” (Figure 3).



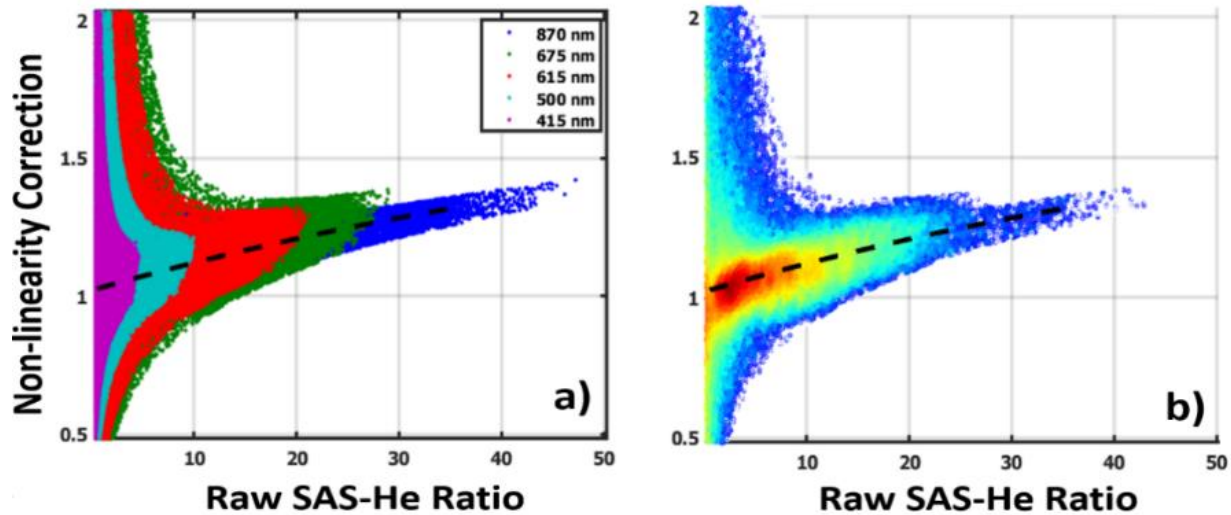
190 **Figure 3: Example of cosine correction as a function of angle of incidence and wavelength (green, red, light blue and magenta lines). To recover perfect cosine dependence, the SAS-He direct beam is multiplied by the cosine correction. No systematic dependence on wavelength was observed, thus the spectral mean (thick dark blue line) is applied.**

The lab-derived “cosine correction” is applied to the direct beam measurements, and a variant of the cosine correction is also applied to the diffuse field as the average of the modeled response to isotropic, clear-sky, and overcast conditions. For the ARM deployments detailed in this paper, unlike the MFRSR which is installed on a radiometer stand on the ground and therefore potentially subject to settling, the SAS-He was mounted on a rigid steel bench on the roof-top of the ARM Mobile Facility Instrument Van which rests on hard-packed fill material and is expected to be quite stable. A precision bubble level was used during installation to achieve level better than 0.05 degrees. The SAS-He is continually synchronized with ARM network time servers to within a few milliseconds, eliminating errors in solar tracking due to timing errors. For these reasons, it was not deemed necessary to apply corrections for tilt/misalignment as described in Alexandrov et al. (2007).

### 2.2.6 Spectrometer signal non-linearity

Photodiodes used in the MFRSR and CSPHOT instruments have demonstrated excellent signal linearity spanning several orders of magnitude. In contrast, the SAS-He spectrometers require careful linearity characterization. By varying incident light levels and integration times, we have documented the non-linearity for each grating spectrometer. The non-linearity is small, though not negligible. To first order, the non-linearity of the spectrometer becomes incorporated in the cosine correction for the direct irradiance described above. The optical signal reported by the spectrometer varies in conjunction with incident angle. The “cosine correction” described in the preceding section incorporates the departure from a perfect cosine dependence into an angular-dependent correction factor, but some fraction of the departure is actually due to the spectrometer non-linear response and not intrinsically a cosine response. To the extent that the cosine dependence drives the dynamic range of the clear sky direct irradiance, combining these effects into one correction is acceptable for measurements of the direct beam. However, the diffuse hemispheric component requires further correction. To infer this correction, we apply the following two-step approach. First, we calculate the direct-to-diffuse ratio by dividing the direct-normal irradiance by diffuse hemispheric irradiance at a given wavelength. We use the direct-normal irradiances and diffuse hemispheric irradiances measured by two collocated ground-based instruments, namely the SAS-He and MFRSR. It should be emphasized that the calculated SAS-He and MFRSR direct-to-diffuse ratios are calibration-independent in the sense that this is a unitless ratio. While biases of these ratios associated with coarse-mode aerosol and optically thin cirrus could be substantial (Min et al., 2004), these biases should be the same for both the SAS-He and MFRSR due to identical band and diffuser geometry. Ideally, one should expect the ratios from these two instruments agree. However, the SAS-He spectrometer is less linear than the MFRSR photodiodes leading to differences in both the direct and diffuse irradiances. As noted, the cosine correction implicitly includes the effects of non-linearity on the direct beam. Thus, comparison of the ratios exposes the impact of the SAS-He spectrometer nonlinearity on its diffuse irradiance measurement. Therefore, we obtain an empirical non-linearity correction to the SAS-He diffuse hemispheric irradiance by dividing the calculated SAS-He ratio by its MFRSR counterpart and then applying the second order polynomial fit (Fig. 4). We have confirmed that this correction is consistent for a given spectrometer and independent of location. We apply this correction to the SAS-He diffuse hemispheric irradiance. Its corrected values are used for the corresponding assessment of the SAS-He products (Sect. 4).





230 **Figure 4: Example of non-linearity correction as a function of the SAS-He direct-to-diffuse ratio obtained during the TRACER. (a) Relationship displayed for five MFRSR filter wavelengths (415, 500, 615, 675, 870nm) shows a progression along a common tendency. (b) A density plot of all corrections irrespective of filter wavelength. Dashed lines indicate the polynomial fits (a,b).**

### 2.2.7 Spectrometer signal temperature sensitivity

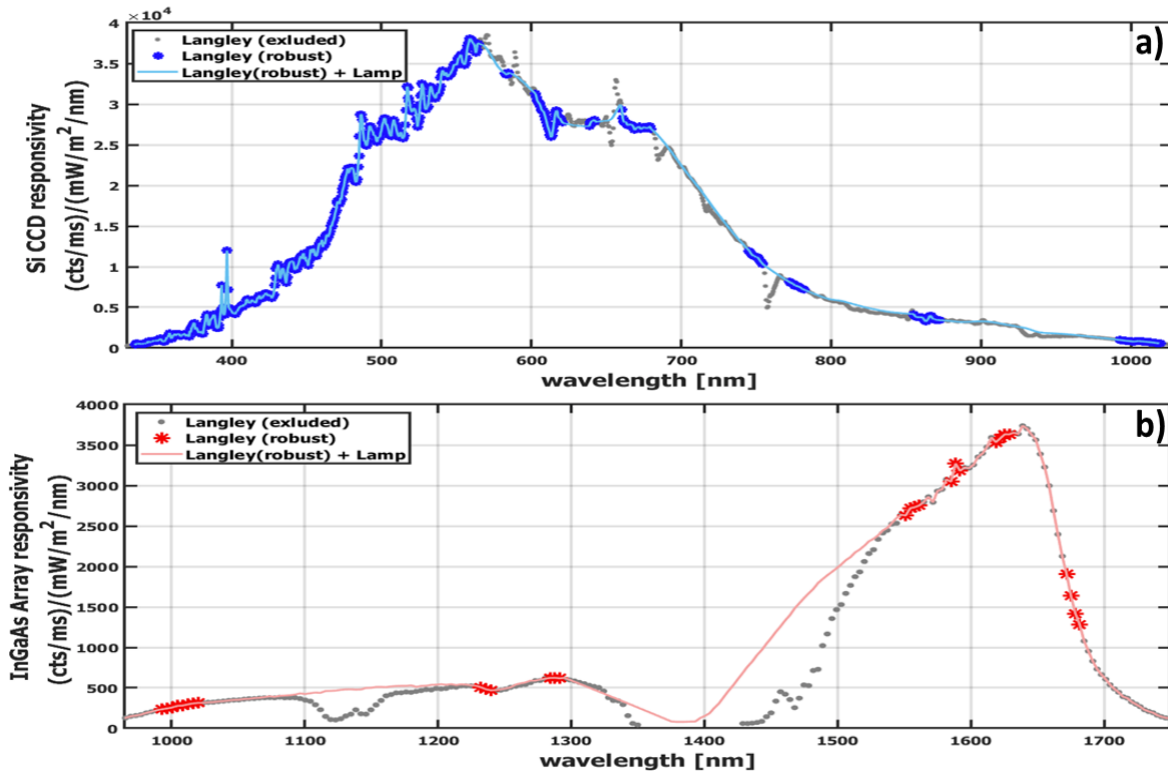
The CCD spectrometers have been confirmed to exhibit a temperature ( $^{\circ}\text{F}$ ) response of less than 0.1% per degree. The InGaAs spectrometers show higher temperature dependent sensitivity, but this is mostly due to changes in thermal background levels that we address through frequent ( $\sim 30$  sec interval) dark measurements. We have also identified that the InGaAs spectrometers show a trough in their temperature response, so we operate our chiller centered near this minimum in temperature sensitivity.

235

### 2.2.8 Spectrometer responsivity

A Newport Oriel OPS-Q250 and 200 W QTH lamp with NIST-traceable spectral calibration from 250-2400 nm was used to measure the SAS-He relative spectral response. Based on reproducibility, the absolute uncertainty of the measurement is estimated to be up to several percent, insufficient for independent irradiance calibration. However, comparison of normalized responsivity curves shows relative variation less than 0.1% over the full spectral range after a 10-min lamp settling time. The relative spectral responsivity is scaled to agree with Langley calibration to top-of-atmosphere solar irradiance (Fig. 5) using the conventional approach (Kindel et al., 2001; Michalsky and Kiedron, 2022).

240



245

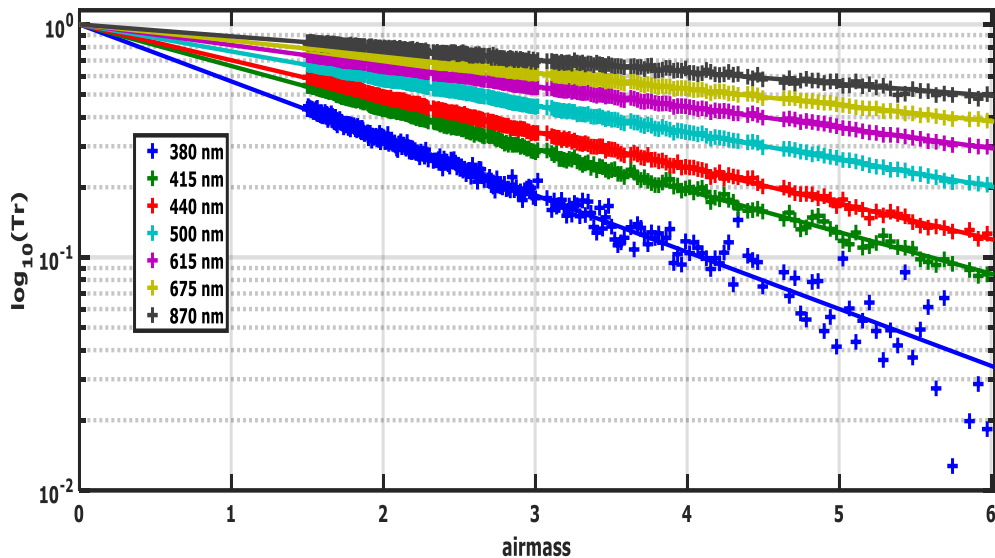
Figure 5: The spectral responsivity of the Si CCD (a) and InGaAs (b) array in counts per ms  $/(W/m^2/nm)$ . In both figures (a,b), the gray dots show the spectral responsivity obtained by dividing the Langley regression Y-intercept by top-of-atmosphere solar irradiance at each wavelength. The colored asterisks represent those wavelengths where Langley regressions are anticipated to be dependable under placid atmospheric conditions. The fine blue (Si CCD) and pink (InGaAs Array) lines represent the responsivity obtained as a combination of Langley calibration with lamp calibration. These lines are labeled as “Langley + Lamp.”

250

### 2.2.9 Langley calibration (direct irradiance)

To determine  $AODs$  from the SAS-He measurements, in-field calibration with Langley regressions is applied. Recall that the Langley regressions are linear regressions of log of the measured irradiance versus airmass and they are computed on a twice daily basis. The output of these regressions (Fig. 6) is used for field calibration of the SAS-He. Since the daily Langley regressions exhibit significant noise mostly due to atmospheric variability, several weeks of SAS-He operational measurements are required to accumulate enough acceptable Langley regressions with small (below 1% per day) statistical variability. Application of a stable daily calibration to the SAS-He radiometric measurements allows one to calculate time series of  $TOD$  for each wavelength.

255



260

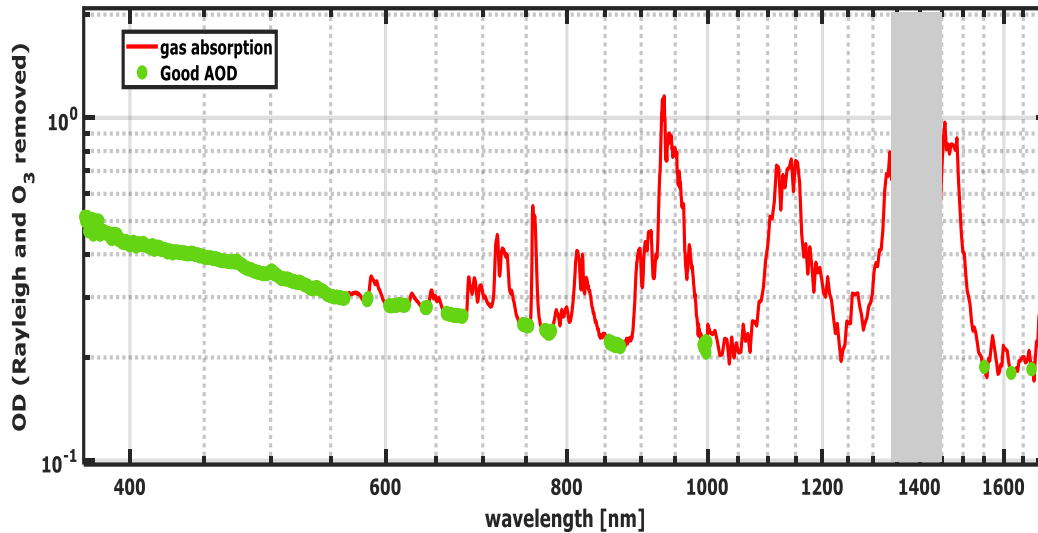
**Figure 6: Example of a SAS-He Langley plot from July 18, 2021 at several CSPHOT and MFRSR wavelengths during TRACER. To demonstrate the expected monotonic behavior with wavelength (with shorter having the steepest slopes and largest optical depths) more clearly, the signal for each wavelength is normalized against the Y-intercept of the Langley regression line forcing each to intersect at 0 airmass.**

265

### 2.2.10 Total optical depth and gaseous absorption

Figure 7 shows an example of the *TOD* spectra obtained from the SAS-He “UV-VIS-NIR” spectrometer. The first “UV/VIS” segment (from about 400 to 450 nm) does not include gaseous absorption. The exception is very small  $\text{NO}_2$  absorption, which can be neglected for many practical applications. Thus, the *TOD* spectrum in this spectral range represents sufficiently well the actual *AOD*. Note that the impact of  $\text{NO}_2$  absorption on aerosol SSA retrievals is most pronounced for low aerosol loading (e.g., Mok et al., 2018). The second segment (from about 450 to 750 nm) includes substantial ozone absorption in the Chappuis band. The finding of the ozone optical depth requires an estimate of the columnar amount of ozone. Data from the Total Ozone Mapping Spectrometer (TOMS; <https://acd-ext.gsfc.nasa.gov/anonftp/toms/>; [https://disc.gsfc.nasa.gov/datacollection/TOMSN7L3dtoz\\_008.html](https://disc.gsfc.nasa.gov/datacollection/TOMSN7L3dtoz_008.html)) or from the Ozone Monitoring Instrument (OMI; Bhartia, 2012) can be used for obtaining the required ozone amount for a given SAS-He location. We continue with spectra obtained from the SAS-He “SWIR” spectrometer (Fig. 7). The gaseous absorption is quite large for the majority of spectral regions, and thus it precludes the straightforward inference of *AOD* from the measured *TOD* spectrum. There are, however, several spectral areas with minimal gaseous absorption (e.g., segments around 1020 and 1620 nm wavelengths) where the gaseous absorption can be accounted for.

275



280

Figure 7: Example of Optical Depth ( $OD$ ) spectrum with the Rayleigh component and  $O_3$  removed during the TRACER. The green symbols show approximate spectral regions where “good”  $AOD$  may be effectively retrieved since trace gas contributions are minimal.  $AODs$  may be found across short wavelength segments from about 400 to 675 nm and about 778 and 870 nm. Near-infrared segments suitable for retrieving of  $AOD$  are centered on 1020 nm and 1623 nm wavelengths, but care must be used to avoid regions of strong gaseous absorption. The SAS-He fiber optics are opaque near 1400 nm thus this region has been greyed out.

285

### 3 ARM-supported campaigns

The evaluation of a new instrument requires two main considerations. The first consideration is a wide range of observational conditions associated with different aerosol and cloud types and strong variability of aerosol loading. The second consideration is availability of good-quality data offered by collocated and coincident instruments with different designs and independent operations. The three ARM Mobile Facility (AMF) campaigns selected for this analysis provide ground-based instruments for measuring aerosol, cloud, precipitation, and atmospheric state properties and satisfy these challenging considerations. The interested reader can find detailed descriptions of these campaigns at [www.arm.gov](http://www.arm.gov), which are of significant scientific interest, their suites of ground-based instruments, with state-of-the-art capabilities, and the corresponding data (Berg et al., 2016; Jensen et al., 2022; Russell et al., 2021). Here we summarize these campaigns conducted over climatologically important regions and highlight only data used for our evaluation, specifically, the spectrally resolved  $AOD$ ,  $TOD$ , as well as the diffuse irradiance and  $DDR$ .

290

295

For each campaign, we compare data provided by MFRSR, CSPHOT and SAS-He. The quality assured (level 2)  $AODs$  measured at seven (380, 440, 500, 675, 870, 1020, 1640 nm) wavelengths by CSPHOT with a sun-pointing design are used as a “reference” for evaluation of SAS-He  $AODs$  (Sect. 4.1). The spectrally resolved  $DDRs$  and diffuse irradiances provided by MFRSR are used as a “reference” for evaluation of their SAS-He counterparts (Sect. 4.2, 4.3). Recall, MFRSR and SAS-He

300

are sensors with hemispherical receptors that are periodically shaded by rotating bands. The evaluation involves two groups of the *DDRs* and diffuse irradiances offered by the MFRSR measurements. The first group defines the MFRSR products obtained at the *five available* wavelengths (415, 500, 615, 675, 870 nm) during the TCAP only. The second group defines the MFRSR products obtained at the *six available* wavelengths (415, 500, 615, 675, 870, 1625 nm) during the TRACER and EPCAPE. Similar to previous studies related to hyperspectral measurements (e.g., Shinozuka et al., 2013), a level of agreement between the SAS-He data and those offered by the reference instruments is demonstrated through the basic statistics, such as bias and root-mean-square error.

### 310 **3.1 TCAP**

The main objective of the TCAP was to examine the evolution of optical and microphysical properties of atmospheric aerosol transported from North America to the Atlantic and their impact on the radiation energy budget (Berg et al., 2016). To achieve this goal, the AMF site (42.03°N; 70.05°W) has been deployed on Cape Cod from July, 2012 through June, 2013. Cape Cod is an arm-shaped peninsula situated on the easternmost portion of Massachusetts, along the U.S. East Coast and is generally downwind of several metropolitan areas. The AMF site was located nearby off-shore Martha's Vineyard Coastal Observatory (41.30°N, 70.55°W) with CSPHOT (<https://aeronet.gsfc.nasa.gov>).

### **3.2 TRACER**

The main objective of the TRACER was to examine aerosol-cloud interactions in deep convection over the Houston metropolitan area of Texas (Jensen et al., 2022). To achieve this goal, the AMF site (29.67°N; 95.06°W) has been deployed near La Porte, Texas airport from October, 2021 through September, 2022. This area with frequent isolated convective systems is characterized by distinct aerosol types originated, for example, from urban, industrial, and marine sources. The CSPHOT was collocated with the MFRSR and SAS-He as part of the AMF.

### **3.3 EPCAPE**

The main objective of the EPCAPE is to characterize the radiative properties, aerosol interactions, precipitation characteristics, and extent of stratocumulus clouds in the Eastern Pacific across all four seasons (Russell et al., 2021). To achieve this goal, a 12-month deployment of the AMF site (32.87°N; 117.26°W) on Scripps Pier (La Jolla, California) was started on February, 2023. This area with coastal orography and frequently observed transitions from overcast cloud layers to broken clouds is influenced by distinct aerosol types originated from the Los Angeles-Long Beach urban port megacity. The CSPHOT is collocated with the MFRSR and SAS-He as part of the AMF.

This section contains comparison of the aerosol-related (both *AOD* and *TOD*) and radiative (both diffuse irradiance and *DDR*) properties provided by the SAS-He with those offered by the collocated ground-based instruments, specifically the MFRSR and CSPHOT, during three selected campaigns highlighted above (Sect. 3). We start with assessment of *AOD* and *TOD* measured at different wavelengths by the SAS-He and CSPHOT (Sect. 4.1). Then, evaluation of spectrally resolved *DDR* offered by the SAS-He and MFRSR is presented (Sect. 4.2). Finally, the diffuse irradiances measured at different wavelengths by the SAS-He and MFRSR are contrasted (Sect. 4.3).

#### 4.1 AOD: SAS-He versus CSPHOT

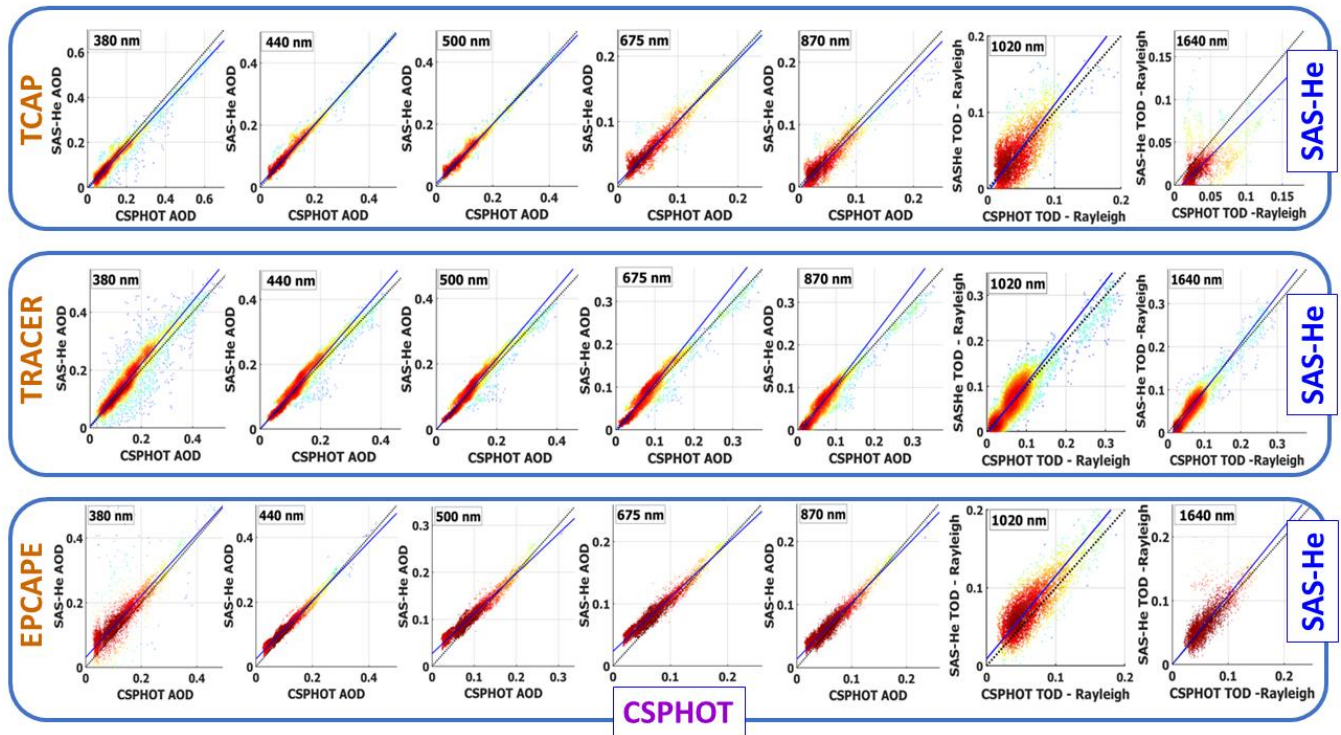
When a straight line between the Sun and the ground-based instrument is cloud-free, one can calculate *AOD* from *TOD* at a given wavelength (e.g., Giles et al., 2019):

$$340 \quad AOD(\lambda) = TOD(\lambda) - \tau_{Ray}(\lambda) - \tau_{gas}(\lambda), \quad (1)$$

where  $\tau_{Ray}(\lambda)$  is Rayleigh optical depth due to molecular scattering and  $\tau_{gas}(\lambda)$  is optical depth due to absorption of atmospheric trace gases, such as carbon dioxide, methane, and water vapor. The gaseous absorption is relatively small in comparison to *AOD* at five wavelengths (380, 440, 500, 675, 870 nm). In contrast, gas absorption is comparable with *AOD* at other two wavelengths (1020, 1640 nm). Thus, the corresponding corrections of the gas absorption are required for *AOD* calculations at these wavelengths (1020, 1640 nm). The implementation of the required corrections to the SAS-He *AOD* is underway. Here, we compare the available total optical depth adjusted to the Rayleigh scattering, namely  $TOD(\lambda) - \tau_{Ray}(\lambda)$ , at these wavelengths (1020, 1640 nm). The same  $\tau_{Ray}(\lambda)$  is used for such adjustment. The collocated SAS-He and CSPHOT measurements provide the needed *TOD*( $\lambda$ ). Below, both scatterplots (Fig. 8) and the corresponding main statistics (Table 2) illustrate level of agreement between the SAS-He and CSPHOT products (both *AOD* and *TOD*).

350 Substantial changes of aerosol loading are observed during the TCAP (Fig. 8, top). For example, *AODs* measured by both SAS-He and CSPHOT at 500 nm wavelength can vary over a wide range (roughly from 0.05 to 0.5). The proximity of Cape Cod to the major urban and industrial sources and its frequently downwind location is mainly responsible for the observed substantial changes of aerosol loading. The majority of points are packed around the 1:1 line (Fig. 8, top). The corresponding slopes are close to one (about 0.95), absolute values of intercept are small (about 0.01 or less), and root-mean-square errors (RMSEs) are within the expected measurement uncertainty of *AOD* (0.01-0.02) (Table 2a). Both scatterplots and main statistics indicate a strong agreement between SAS-He and CSPHOT *AODs* at the wavelengths considered here. The measurements at longer wavelengths (1020, 1640 nm) show increased scatter (Fig. 8, top) mostly due to reduced signal-to-noise ratio at these wavelengths. For example, mean value of *TOD* measured by CSPHOT at 1640 nm wavelength (0.033) is about three times smaller than the mean value of *AOD* measured by CSPHOT at 500 nm wavelength (0.103). As a result, agreement for *TODs* at longer wavelengths (1020, 1640 nm) is slightly weaker than that for *AODs* at shorter wavelengths (380, 440, 500, 675, 870

nm). To illustrate, the smaller value of slope (0.863) is obtained for *TOD* (SAS-He vs. CSPHOT) at 1640 nm wavelength in comparison with that (0.96) acquired for *AOD* (SAS-He vs. CSPHOT) at 500 nm wavelength. (Table 2a).



365 **Figure 8: Density plots of SAS-He *AOD* versus CSPHOT *AOD* measured at five (380, 440, 500, 675, 870 nm; first five columns) wavelengths during the TCAP (top row), TRACER (middle row) and ECAPE (bottom row), respectively. The corresponding scatterplots of *TOD* adjusted to the Rayleigh scattering at two (1020, 1640nm) wavelengths are also included (last two columns). The short-dashed black line is the 1:1 correspondence line and the solid blue line is the linear regression. Points with light color represent outliers. Note that scales for x- and y-axes depends on wavelength. Table 2 provides the basic statistics of the comparison.**

370

Similar to the TCAP, the comparisons during the TRACER and ECAPE show substantial changes of aerosol loading (Fig. 8). To take an example, *AODs* measured by both SAS-He and CSPHOT at 500 nm wavelength during the TRACER can vary over a wide range (roughly from 0.05 to 0.4) (Fig. 8, middle). In contrast to the TCAP, the TRACER and ECAPE show slightly different relationships between SAS-He and CSPHOT *AODs* in terms of slope. The slopes calculated for the SAS-He and CSPHOT *AODs* at different wavelengths (380-870 nm) are either larger (1.08-1.18) or smaller (0.85-0.95) than 1.0 for TRACER and ECAPE, respectively (Table 2b,c). In other words, SAS-He *AODs* tend to be slightly larger than CSPHOT *AODs* during TRACER (Fig. 8, middle). The opposite is true for the ECAPE (Fig. 8, bottom). The highlighted overestimation and underestimation are likely associated with slightly different SAS-He calibrations performed during the TRACER and ECAPE. It appears that these trends have only a minor impact on the corresponding RMSEs. The latter are within 0.021 at

375

380 380, 440, 500, 675, 870, 1020 nm wavelengths despite these trends (Table 2b,c). Also, small RMSEs (0.012-0.013) are obtained for *TOD* at 1640 nm wavelength (Table 2b,c).

**Table 2a. Parameters of linear regressions (Fig. 8) obtained for CSPHOT and SAS-He AODs measured at seven wavelengths during the TCAP. Root-mean-square error (RMSE) and number of points (N) are also included.**

	380 nm	440 nm	500 nm	675 nm	870 nm	1020 nm	1640 nm
Slope	0.941	0.97	0.96	0.945	0.95	1.14	0.863
Intercept	-0.007	0.008	0.009	0.005	-0.005	-0.005	-0.01
Bias (y-x)	-0.02	0.004	0.004	0.002	-0.007	0.002	-0.01
Mean (x)	0.144	0.12	0.103	0.064	0.045	0.043	0.033
Mean (y)	0.129	0.124	0.105	0.066	0.038	0.044	0.019
RMSE	0.016	0.008	0.008	0.007	0.01	0.02	0.007
N	4213	4298	4222	4328	4481	4269	3220

385 **Table 2b. The same as Table 2a except for the TRACER.**

	380 nm	440 nm	500 nm	675 nm	870 nm	1020 nm	1640 nm
Slope	1.08	1.08	1.08	1.15	1.18	1.12	1.12
Intercept	0.004	-0.003	-0.002	-0.006	-0.014	-0.006	-0.016
Bias (y-x)	0.02	0.008	0.007	0.004	-0.003	0.001	-0.008
Mean (x)	0.153	0.127	0.108	0.072	0.059	0.063	0.064
Mean (y)	0.169	0.135	0.115	0.077	0.055	0.064	0.055
RMSE	0.021	0.015	0.013	0.012	0.011	0.018	0.013
N	7804	8503	8435	8219	8058	7974	7954

**Table 2c. The same as Table 2a except for the EPCAPE.**

	380 nm	440 nm	500 nm	675 nm	870 nm	1020 nm	1640 nm
Slope	0.95	0.904	0.85	0.867	0.895	1.06	1.08
Intercept	0.03	0.023	0.027	0.023	0.013	0.009	0.0
Bias (y-x)	0.02	0.01	0.01	0.01	0.007	0.013	0.004
Mean (x)	0.116	0.099	0.087	0.068	0.059	0.06	0.057
Mean (y)	0.14	0.113	0.101	0.082	0.066	0.073	0.061
RMSE	0.017	0.01	0.008	0.006	0.007	0.016	0.012
N	7008	7513	7411	7405	7490	4434	4462



## 4.2 DDR: SAS-He versus MFRSR

The *DDR* exhibits even wider range of changes (Fig. 9) than the *AOD* (Fig. 8). These significant changes of *DDR* (about two orders of magnitude) are attributed mainly to two factors. First, the *DDR* comparison (Fig. 9; Table 3) includes both clear- and cloudy-sky conditions where a straight line between the Sun and the ground-based instruments was either cloud-free or blocked by a cloud. Here, the term “cloudy-sky” defines all cloud types observed during the selected campaigns. Typically, different cloud types have distinct and highly variable cloud properties, such as cloud amount and cloud optical thickness, in time and space. Second, the direct and diffuse irradiances vary differently depending on the plume and/or cloud properties. For instance, the *DDR* is small (close to zero; Fig. 9) during the presence of dense plumes associated with strong air pollution emissions or overcast and optically thick clouds. In this case, the direct irradiance is negligible in comparison with the diffuse irradiance. During clean and clear-sky conditions, the direct irradiance reaches large values, while the diffuse irradiance drops off. These conditions are characterized by large *DDRs* (Fig. 9).

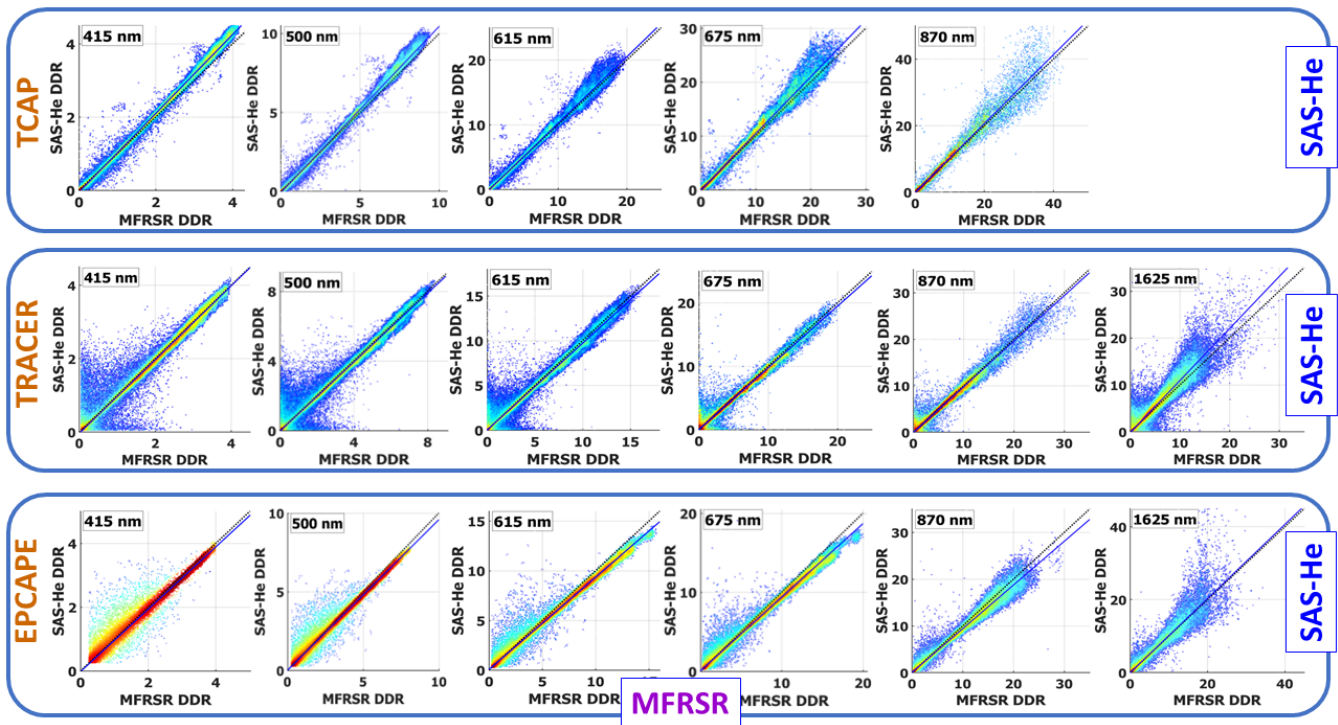


Figure 9: Density plots of SAS-He *DDR* versus MFRSR *DDR* obtained from the direct and diffuse irradiances measured at five (415, 500, 615, 675, 870 nm) wavelengths during the TCAP (top row), and at six (415, 500, 615, 675, 870, 1625 nm) wavelengths during the TRACER (middle row) and EPCAPE (bottom row), respectively. Note that the *DDR* is dimensionless.

405

**Table 3a. Parameters of linear regressions (Fig. 9) obtained at five (415, 500, 615, 675, 870nm) wavelengths for MFRSR and SAS-He *DDRs* during the TCAP. Root-mean-square error (RMSE) and number of points (N) are also included.**

	415 nm	500 nm	615 nm	675 nm	870 nm
Slope	1.08	1.05	1.03	1.05	1.04
Intercept	-0.058	-0.079	-0.067	-0.1	-0.12
Bias (y-x)	0.09	0.1	0.05	0.2	0.07
Mean (x)	1.88	3.28	4.1	4.88	5.06
Mean (y)	1.97	3.37	4.15	5.03	5.12
RMSE	0.06	0.109	0.126	0.172	0.209
N	62829	57178	40538	37489	29199

410 **Table 3b. Parameters of linear regressions (Fig. 9) obtained at six (415, 500, 615, 675, 870, 1625nm) wavelengths for MFRSR and SAS-He *DDRs* during the TRACER. Root-mean-square error (RMSE) and number of points (N) are also included.**

	415 nm	500 nm	615 nm	675 nm	870 nm	1625 nm
Slope	1.0	0.99	0.972	0.973	0.98	1.11
Intercept	-0.039	-0.035	-0.003	0.001	-0.027	-0.18
Bias (y-x)	-0.03	-0.07	-0.1	-0.1	-0.1	0.3
Mean (x)	2.01	3.26	4.44	4.92	5.76	4.81
Mean (y)	1.98	3.19	4.32	4.79	5.62	5.16
RMSE	0.072	0.119	0.18	0.208	0.29	0.52
N	106443	102537	96660	94278	78564	61001

**Table 3c. The same as Table 3b except for the EPCAPE.**

	415 nm	500 nm	615 nm	675 nm	870 nm	1625 nm
Slope	0.979	0.958	0.93	0.931	0.935	1.02
Intercept	-0.027	-0.004	0.057	0.063	0.049	-0.048
Bias (y-x)	-0.08	-0.2	-0.4	-0.5	-0.5	0.04
Mean (x)	2.44	4.27	6.51	7.43	8.5	5.08
Mean (y)	2.36	4.08	6.11	6.98	7.99	5.12
RMSE	0.044	0.079	0.178	0.231	0.466	0.513
N	36308	35993	37455	36588	32087	14765

415 A moderate scattering of points with well-defined clustering along 1:1 correspondence line (Fig. 9) indicates that the SAS-He  
measurements offer the spectrally resolved *DDR* in a reasonable manner. Visually, the scattering of points has campaign-  
dependent features (Fig. 9). To illustrate, a noticeable number of points are located along the x- and y-axis during the TRACER  
(Fig. 9, middle). Alternatively stated, the *DDRs* offered by two instruments, namely the SAS-He and MFRSR, occasionally  
can be quite different when the *DDR* values are small-to-moderate (less than 3). Combination of several potential reasons,  
420 such as cloud-induced variability of both the direct and diffuse irradiances at small scales, a minor temporal mismatch due to  
different reporting times, and the spatial separation of these neighboring instruments (~120 m), could be responsible for  
different observational conditions for the two instruments (sunlit vs. shadow cases for two instruments spaced slightly apart),  
and thus could contribute to the highlighted differences. It appears that the level of agreement between the SAS-He *DDR* and  
MFRSR *DDR* (Table 3) is scarcely affected by the campaign-dependent variability of cloud and aerosol properties: the slope  
425 is close to one (0.93-1.1), the mean values are comparable, and these values exceed the RMSE substantially (about ten times  
or more).

### 4.3 Diffuse irradiance: SAS-He versus MFRSR

Scatterplots generated for the diffuse irradiances measured by the SAS-He and MFRSR illustrate clearly that these irradiances,  
on average, are in a good agreement (Fig. 10). It should be emphasized that the diffuse irradiances rely on the calibration.  
430 Thus, potential calibration-related issues could have a profound impact on the statistical relationship between these irradiances.  
The MFRSR lamp calibration issue at 1625 nm wavelength is documented for the EPCAPE, and this issue is responsible for  
the significant disagreement between the diffuse irradiances measured by the SAS-He and MFRSR at 1625 nm wavelength  
(Fig. 10; bottom). The corresponding slope is very small (0.075) and the difference between mean values of the diffuse  
irradiances is enormous (0.942 vs. 0.066) (Table 4). It is also vital to note that the accompanying *DDRs* offered by the SAS-  
435 He and MFRSR at 1625 nm wavelength are in very good agreement (Fig. 9; bottom), because the *DDRs*, in contrast to the  
diffuse irradiances, do not depend on calibration. Similar to the *DDR*-related scatterplots (Fig. 9), the scatterplots generated  
for the diffuse irradiances (Fig. 10) display the campaign-dependent features of points scattering around the 1:1 correspondence  
line. For example, the TRACER in comparison with the EPCAPE has a wider spread of points (Fig. 10, middle vs. bottom).  
The fraction of points contributing to this spread is small relative to the fraction of points clustering around the 1:1  
440 correspondence line (Fig. 10, middle vs. bottom). Thus, the level of agreement between the main statistics (Table 4) depends  
slightly on these spread-contributed points.

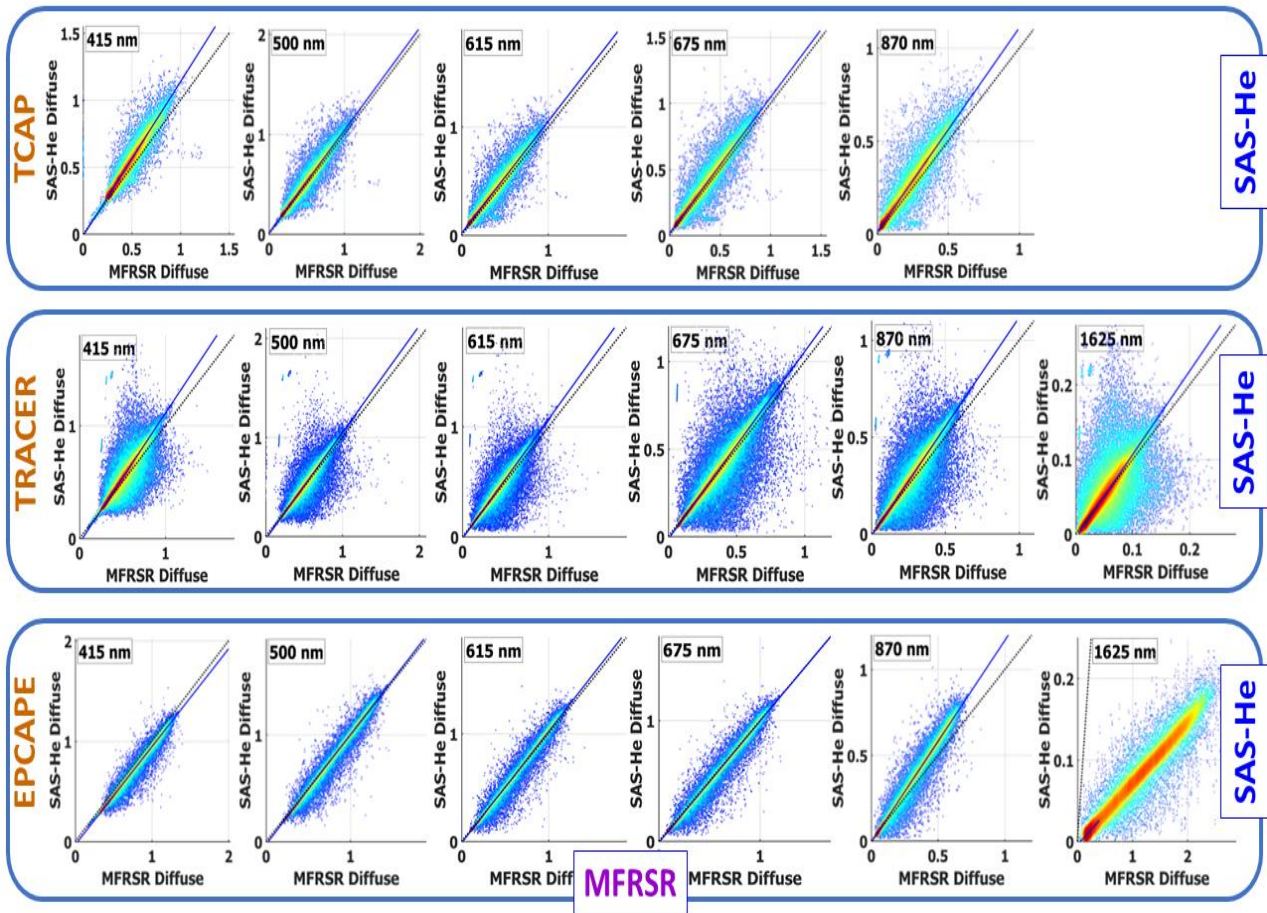


Figure 10: The same as Figure 9 except for the diffuse irradiance ( $\text{Wm}^{-2}\mu\text{m}^{-1}$ ).

445 **Table 4a. The same as Table 3a except for the diffuse irradiance ( $\text{Wm}^{-2}\mu\text{m}^{-1}$ ).**

	415 nm	500 nm	615 nm	675 nm	870 nm
Slope	1.15	1.03	1.03	1.03	1.1
Intercept	-0.016	0.011	0.019	0.017	0.012
Bias (y-x)	0.04	0.02	0.03	0.02	0.02
Mean (x)	0.393	0.34	0.221	0.186	0.113
Mean (y)	0.438	0.361	0.247	0.208	0.136
RMSE	0.014	0.009	0.008	0.008	0.008
N	62252	55624	55053	54895	58773

**Table 4b. The same as Table 3b except for the diffuse irradiance ( $\text{Wm}^{-2}\mu\text{m}^{-1}$ ).**

	415 nm	500 nm	615 nm	675 nm	870 nm	1625 nm
Slope	1.15	1.08	1.09	1.07	1.13	1.12
Intercept	-0.04	-0.029	-0.019	-0.016	-0.012	-0.006
Bias (y-x)	0.02	0.001	0.005	0.002	0.009	-0.001
Mean (x)	0.429	0.389	0.282	0.244	0.165	0.041
Mean (y)	0.453	0.39	0.287	0.246	0.175	0.042
RMSE	0.014	0.013	0.011	0.01	0.009	0.004
N	122849	115156	112052	111491	116957	104351

**Table 4c. The same as Table 3c except for the diffuse irradiance ( $\text{Wm}^{-2}\mu\text{m}^{-1}$ ).**

	415 nm	500 nm	615 nm	675 nm	870 nm	1625 nm
Slope	0.975	1.03	1.04	1.01	1.18	0.075
Intercept	-0.033	-0.028	-0.016	-0.014	-0.01	-0.005
Bias (y-x)	-0.05	-0.01	-0.002	-0.01	0.03	-0.9
Mean (x)	0.598	0.525	0.394	0.355	0.218	0.942
Mean (y)	0.551	0.514	0.392	0.344	0.247	0.066
RMSE	0.008	0.008	0.006	0.005	0.006	0.004
N	54988	51920	49595	49338	54685	45482

## 5 Summary

We introduce a ground-based radiometer, the so-called Shortwave Array Spectroradiometer-Hemispheric (SAS-He), with an  
455 increased spectral coverage (350-1700 nm) and improved spectral resolution. The latter is about 2.4 nm and 6 nm in the UV-  
VIS-NIR (350-1040 nm) and SWIR (990-1700 nm) spectral ranges, respectively. The SAS-He measures the spectrally resolved  
total irradiance and its direct and diffuse components with high temporal (30 sec) resolution. Both aerosol optical depth (*AOD*)  
and total optical depth (*TOD*) are derived from the direct irradiance measured by the SAS-He, while direct-to-diffuse ratio  
(*DDR*) is calculated using two components of the measured total irradiance. We assess performance of the SAS-He using  
460 integrated datasets collected during three field campaigns supported by the U.S. Department of Energy's (DOE's) Atmospheric  
Radiation Measurement (ARM) Program: (1) Two-Column Aerosol Project (TCAP) (Berg et al., 2016), (2) Tracking Aerosol  
Convection Interactions Experiment (TRACER) (Jensen et al., 2022), and (3) Eastern Pacific Cloud Aerosol Precipitation  
Experiment (EPCAPE) (Russell et al., 2021). These campaigns represent climatologically important regions with different  
types of aerosols originated from the major marine, urban and industrial sources.

465 For our assessment we use data offered by three collocated ground-based instruments, namely Multi-Filter Rotating  
Shadowband Radiometer (MFRSR), Cimel sunphotometer (CSPHOT) and SAS-He, as part of the ARM Mobile Facility  
(AMF). Our assessment involves (i) *AOD* measured at five (380, 440, 500, 675, 870 nm) wavelengths and *TOD* measured at  
two wavelengths (1020 and 1640 nm) by the SAS-He and CSPHOT, (ii) the diffuse irradiance and *DDR* provided by the SAS-  
He and MFRSR at five (415, 500, 615, 675, 870 nm) wavelengths during the TCAP, and (iii) the diffuse irradiance and  
470 *DDR* provided by the SAS-He and MFRSR at six (415, 500, 615, 675, 870, 1625 nm) wavelengths during the TRACER and  
EPCAPE. The measurements of the diffuse irradiance and *DDR* define all-sky observational conditions when a straight line  
between the Sun and the ground-based instruments was either cloud-free or blocked by a cloud. Data provided by the CSPHOT  
and MFRSR are considered as “reference” during our assessment.

We compare the spectrally resolved parameters related to aerosol loading (both *AOD* and *TOD*) and radiative properties  
475 (both diffuse irradiance and *DDR*) supplied by the SAS-He with those provided by the CSPHOT and MFRSR using scatterplots  
and the main statistics, such as slope and intercept of linear regression, and root-mean-square error (RMSE). Our comparison  
demonstrates that, on average, the SAS-He properties match closely their MFRSR and CSPHOT counterparts despite the  
challenging observational conditions associated with large variability of aerosol loading and distinct types of aerosols and  
clouds. In particular, the *AOD*- and *TOD*-related RMSEs are within 0.02 for the majority of cases. Moreover, the slope is  
480 mostly close to one (0.85-1.18) and absolute values of intercept are mostly near zero (less than 0.07) for both the aerosol and  
radiative properties considered here. It is expected that SAS-He data collected for a period exceeding 10 years (since 2011)  
will be used to derive previously unavailable or enhanced data products of aerosol, clouds, surface (e.g., Riihimaki et al., 2021)  
at multiple sites with worldwide locations and these ground-based products combined with those offered by aircraft and  
satellite observations (Remer et al., 2023) will be imperative in the context of evaluation and improvements of model  
485 predictions.

## Data availability

Data can be downloaded from the ARM data archive (<https://www.arm.gov/data/>).

CSPHOT: <http://dx.doi.org/10.5439/1461660>

MFRSR: <http://dx.doi.org/10.5439/1356805>

490 MFRSR7nch: <http://dx.doi.org/10.5439/1756632>

SAS-He vis: <http://dx.doi.org/10.5439/1110768>

SAS-He nir: <http://dx.doi.org/10.5439/1110710>

## Author contribution

495 Conceptualization, E.K. and C.J.F. with input from J.C.B. Data processing, B.D.E. Formal Analysis, E.K. and C.J.F. Writing – Original Draft, E.K.; Writing-Review & Editing, E.K. and C.J.F. with input from J.M.C.

## Competing interest

The author declare that they have no conflict of interest.

## Acknowledgements

500 This research was supported by the U.S. Department of Energy (DOE), Office of Science Biological and Environmental Research, as part of the Atmospheric Radiation Measurement (ARM) user facility. The Pacific Northwest National Laboratory is operated for DOE by the Battelle Memorial Institute under Contract DEAC05-76RL.

## References

- Alexandrov, M.D., Kiedron, P., Michalsky, J.J., Hodges, G., Flynn, C.J., and Lacis, A.A.: Optical depth measurements by shadow-band radiometers and their uncertainties, *Appl. Opt.* 46, 8027-8038, 2007.
- 505 Augustine, J.A., Hodges, G.B., Dutton, E.G., Michalsky, J.J., and Cornwall, C.R.: An aerosol optical depth climatology for NOAA's national surface radiation budget network (SURFRAD), *J. Geophys. Res.*, 113, D11204, 2008.
- Barthlott, C., Zarbo, A., Matsunobu, T., and Keil, C.: Impacts of combined microphysical and land-surface uncertainties on convective clouds and precipitation in different weather regimes, *Atmos. Chem. Phys.*, 22, 10841–10860, <https://doi.org/10.5194/acp-22-10841-2022>, 2022.
- 510 Berg, L.K., Fast, J.D., Barnard, J.C., Burton, S.P., Cairns, B., Chand, D., Comstock, J.M., Dunagan, S., Ferrare, R.A., Flynn, C.J., Hair, J.W., Hostetler, C.A., Hubbe, J., Johnson, R., Kassianov, E.I., Kluzek, C.D., Mei, F., Miller, M.A., Michalsky, J., Ortega, I., Pekour, M., Rogers, R.R., Russell, P.B., Redemann, J., Sedlacek III, A. J., Segal-Rosenheimer, M., Schmid, B., Shilling, J.E., Shinozuka, Y., Springston, S. R., Tomlinson, J., Tyrrell, M., Wilson, J. M., Volkamer, R., Zelenyuk, A., and Berkowitz, C.M.: The Two-Column Aerosol Project: Phase I overview and impact of elevated aerosol layers on aerosol optical depth, *J. Geophys. Res.*, 121, 336–361, <https://doi.org/10.1002/2015JD023848>, 2016.
- 515 Bhartia, P.K.: OMI/Aura TOMS-like ozone and radiative cloud fraction L3 1 day 0.25 degree x 0.25 degree V3, NASA Goddard Space Flight Center, Goddard Earth Sciences Data and Information Services Center (GES DISC), Accessed, <https://doi.org/10.5067/Aura/OMI/DATA3002>, 2012. Calderón, S. M., Tonttila, J., Buchholz, A., Joutsensaari, J., Komppula, M., Leskinen, A., Hao, L., Moisseev, D., Pullinen, I., Tiitta, P., Xu, J., Virtanen, A., Kokkola, H., and Romakkaniemi, S.: Aerosol–stratocumulus interactions: towards a better process understanding using closures between observations and large eddy simulations, *Atmos. Chem. Phys.*, 22, 12417–12441, <https://doi.org/10.5194/acp-22-12417-2022>, 2022.
- 520 Chen, C., Dubovik, O., Schuster, G.L., Chin, M., Henze, D.K., Lapyonok, T., Li, Z., Derimian, Y., and Zhang, Y.: Multi-angular polarimetric remote sensing to pinpoint global aerosol absorption and direct radiative forcing, *Nat. Commun.*, 13, 7459, <https://doi.org/10.1038/s41467-022-35147-y>, 2022.
- 525 Cochran, S.P., Schmidt, K.S., Chen, H., Pilewskie, P., Kittelman, S., Redemann, J., LeBlanc, S., Pistone, K., Segal Rozenhaimer, M., Kacenelenbogen, M., Shinozuka, Y., Flynn, C., Ferrare, R., Burton, S., Hostetler, C., Mallet, M., and

- Zuidema, P.: Biomass burning aerosol heating rates from the ORACLES (ObseRVations of Aerosols above CLouds and their intERactionS) 2016 and 2017 experiments, *Atmos. Meas. Tech.*, 15, 61–77, <https://doi.org/10.5194/amt-15-61-2022>, 2022.
- 530 Coddington, O., Schmidt, K.S., Pilewskie, P., Gore, W.J., Bergstrom, R.W., Roma´n, M., Redemann, J., Russell, P.B., Liu, J., and Schaaf, C.C.: Aircraft measurements of spectral surface albedo and its consistency with ground-based and space-borne observations, *J. Geophys. Res.*, 113, D17209, doi:10.1029/2008JD010089, 2008.
- 535 Corr, C.A., Krotkov, N., Madronich, S., Slusser, J. R., Holben, B., Gao, W., Flynn, J., Lefer, B., and Kreidenweis, S. M.: Retrieval of aerosol single scattering albedo at ultraviolet wavelengths at the T1 site during MILAGRO, *Atmos. Chem. Phys.*, 9, 5813–5827, <https://doi.org/10.5194/acp-9-5813-2009>, 2009. Dunagan, S.E., Johnson, R., Zavaleta, J., Russell, P.B., Schmid, B., Flynn, C., Redemann, J., Shinozuka, Y., Livingston, J., and Segal-Rosenhaimer, M.: Spectrometer for Sky-Scanning Sun-Tracking Atmospheric Research (4STAR): Instrument technology. *Remote Sens.*, 5, 3872–3895. <https://doi.org/10.3390/rs5083872>, 2013.
- 540 Flynn, C. J.: Shortwave Array Spectroradiometer–Hemispheric (SASHe) Instrument Handbook. Ed. by Robert Stafford, DOE ARM Climate Research Facility. DOE/SC-ARM-TR-172. <https://doi.org/10.2172/1251414>. 2016, 2016.
- Ge, J.M., Su, J., Ackerman, T.P., Fu, Q., Huang, J.P., and Shi, J.S.: Dust aerosol optical properties retrieval and radiative forcing over Northwestern China during the 2008 China-US joint field experiment, *J. Geophys. Res.*, 115, D00K12, <https://doi.org/10.1029/2009JD013263>, 2010.
- 545 Giles, D.M., Sinyuk, A., Sorokin, M.G., Schafer, J.S., Smirnov, A., Slutsker, I., Eck, T.F., Holben, B.N., Lewis, J.R., Campbell, J.R., Welton, E.J., Korkin, S.V., and Lyapustin, A.I.: Advancements in the Aerosol Robotic Network (AERONET) Version 3 database – automated near-real-time quality control algorithm with improved cloud screening for Sun photometer aerosol optical depth (AOD) measurements, *Atmos. Meas. Tech.*, 12, 169–209, <https://doi.org/10.5194/amt-12-169-2019>, 2019.
- 550 Gupta, P., Levy, R.C., Mattoo, S., Remer, L., Zhang, Z., Sawyer, V., Wei, J., Zhao, S., Oo, M., Kiliyanpilakkil, V. P., and Pan, X.: Increasing aerosol optical depth spatial and temporal availability by merging datasets from geostationary and sun-synchronous satellites, *Atmos. Meas. Tech. Discussions*, (in review), 2024.
- Gumber, A., Reid, J.S., Holz, R. E., Eck, T.F., Hsu, N.C., Levy, R.C., Zhang, J., and Veglio, P.: Assessment of severe aerosol events from NASA MODIS and VIIRS aerosol products for data assimilation and climate continuity, *Atmos. Meas. Tech.*, 555 16, 2547–2573, <https://doi.org/10.5194/amt-16-2547-2023>, 2023.
- Hansen, J.E. and Travis, L.D.: Light scattering in planetary atmospheres, *Space Sci. Rev.*, 16, 527–610, 1974.
- Harrison, L., Joseph Michalsky, J., and Berndt, J.: Automated multifilter rotating shadow-band radiometer: an instrument for optical depth and radiation measurements, *Appl. Opt.*, 33, 5118–5125, 1994.
- 560 Hodges, G.B. and Michalsky, J.J.: Multifilter Rotating Shadowband Radiometer Instrument Handbook with subsections for derivative instruments: Multifilter Radiometer (MFR) Normal Incidence Multifilter Radiometer (NIMFR). Preprint at [https://www.arm.gov/publications/tech\\_reports/handbooks/mfrsr\\_handbook.pdf](https://www.arm.gov/publications/tech_reports/handbooks/mfrsr_handbook.pdf), 2016.
- Holben, B.N., Eck, T.F., Slutsker, I., Tanre, D., Buis, J.P., Setzer, A., Vermote, E., Reagan, J.A., Kaufman, Y., Nakajima, T., Lavenue, F., Jankowiak, I., and Smirnov, A.: AERONET – A federated instrument network and data archive for aerosol characterization, *Remote Sens. Environ.*, 66, 1–16, [https://doi.org/10.1016/S0034-4257\(98\)00031-5](https://doi.org/10.1016/S0034-4257(98)00031-5), 1998.
- 565 Jensen, M.P., Flynn, J.H., Judd, L.M., Kollias, P., Kuang, C., Mcfarquhar, G., Nadkarni, R., Powers, H., Sullivan, J.: A succession of cloud, precipitation, aerosol, and air quality field experiments in the coastal urban environment, *B. Am. Meteorol. Soc.*, 103(2), 103–105. <https://doi.org/10.1175/bams-d-21-0104.1>, 2022.
- Kassianov, E., Flynn, C. J., Ackerman, T. P., and Barnard, J. C.: Aerosol single-scattering albedo and asymmetry parameter from MFRSR observations during the ARM Aerosol IOP 2003, *Atmos. Chem. Phys.*, 7, 3341–3351, <https://doi.org/10.5194/acp-7-3341-2007>, 2007.
- 570 Kassianov, E., Pekour, M., Barnard, J., Flynn, C.J., Mei, F., and Berg, L.K.: Estimation of aerosol columnar size distribution from spectral extinction data in coastal and maritime environment, *Atmosphere*, 12, 1412, <https://doi.org/10.3390/atmos12111412>, 2021.
- Kassianov, E., Barnard, J., Berg, L.K., Long, C.N., and Flynn, C.: Shortwave spectral radiative forcing of cumulus clouds from surface observations, *Geophys. Res. Lett.*, 38, L07801, <https://doi.org/10.1029/2010GL046282>, 2011.
- 575 Kindel, B.C., Pilewskie, P., Schmidt, K.S., Coddington, O., and King, M.D.: Solar spectral absorption by marine stratus clouds: Measurements and modeling, *J. Geophys. Res.*, 116, D10203, doi:10.1029/2010JD015071, 2011.



- Kindel, B.C., Qu Z., and Goetz A.F.: Direct solar spectral irradiance and transmittance measurements from 350 to 2500 nm, *Appl. Opt.*, 40(21), 3483–3494, doi: 10.1364/ao.40.003483, 2001.
- 580 King, M.D., Byrne, D.M., Herman, B.M., and Reagan, J.A.: Aerosol size distributions obtained by inversions of spectral optical depth measurements, *J. Atmos. Sci.*, 35, 2153–2167, 1978.
- Kokhanovsky, A.A.: Optical properties of terrestrial clouds, *Earth Sci. Rev.*, 64, 189–241, 2004.
- Krotkov, N. A., Bhartia, P. K., Herman, J., Slusser, J., Scott, G., Labow, G., Vasilkov, A. P., Eck, T. F., Dubovik, O., and  
585 Holben, B. N.: Aerosol ultraviolet absorption experiment (2002 to 2004), part 2: Absorption optical thickness, refractive index, and single scattering albedo, *Opt. Eng.*, 44, 1–17, 2005.
- LeBlanc, S. E., Pilewskie, P., Schmidt, K. S., and Coddington O.: A spectral method for discriminating thermodynamic phase and retrieving cloud optical thickness and effective radius using transmitted solar radiance spectra, *Atmos. Meas. Tech.*, 8, 1361–1383, <https://doi.org/10.5194/amt-8-1361-2015>, 2015.
- 590 LeBlanc, S.E., Redemann, J., Flynn, C., Pistone, K., Kacenelenbogen, M., Segal-Rosenheimer, M., Shinozuka, Y., Dunagan, S., Dählgren, R. P., Meyer, K., Podolske, J., Howell, S. G., Freitag, S., Small-Griswold, J., Holben, B., Diamond, M., Wood, R., Formenti, P., Piketh, S., Maggs-Kölling, G., Gerber, M., and Namwoonde, A.: Above-cloud aerosol optical depth from airborne observations in the southeast Atlantic, *Atmos. Chem. Phys.*, 20, 1565–1590, <https://doi.org/10.5194/acp-20-1565-2020>, 2020.
- Li, J., Carlson, B.E., Yung, Y.L., Lv, D., Hansen, J., Penner, J.E., Liao, H., Ramaswamy, V., Kahn, R.A., Zhang, P., Dubovik, O., Ding, A., Lacis, A.A., Zhang, L., and Dong, Y.: Scattering and absorbing aerosols in the climate system, *Nat. Rev. Earth Environ.*, 3, 363–379, <https://doi.org/10.1038/s43017-022-00296-7>, 2022.
- 595 Matar, C., Cornet, C., Parol, F., C.-Labonnote, L., Auriol, F., and Nicolas, M.: Liquid cloud optical property retrieval and associated uncertainties using multi-angular and bispectral measurements of the airborne radiometer OSIRIS, *Atmos. Meas. Tech.*, 16, 3221–3243, <https://doi.org/10.5194/amt-16-3221-2023>, 2023.
- 600 Michalsky, J.J. and Kiedron, P.W.: Moderate spectral resolution solar irradiance measurements, aerosol optical depth, and solar transmission, from 360 to 1070 nm, using the refurbished rotating shadow band spectroradiometer (RSS), *Atmos. Meas. Tech.*, 15, 353–364, <https://doi.org/10.5194/amt-15-353-2022>, 2022.
- Miller, M.A., Nitschke, K., Ackerman, T.P., Ferrell, W., Hickmon, N., Ivey, M.: The Atmospheric Radiation Measurement Mobile Facility, The Atmospheric Radiation Measurement (ARM) Program: AMS Monograph, The first 20 years of ARM, *Am. Meteorol. Soc.*, doi:[10.1175/AMSMONOGRAPHS-D-15-0051.1](https://doi.org/10.1175/AMSMONOGRAPHS-D-15-0051.1), 2016.
- 605 Mok, J., Krotkov, N., Arola, A., Torres, O., Jethva, H., Andrade, M., Labow, G., Eck, T.F., Li, Z., Dickerson, R.R., Stenchikov, G.L., Osipov, S., Ren, X.: Impacts of brown carbon from biomass burning on surface UV and ozone photochemistry in the Amazon Basin. *Sci. Rep.* 6, 36940, <https://doi.org/10.1038/srep36940>, 2016.
- Mok, J., Krotkov, N. A., Torres, O., Jethva, H., Li, Z., Kim, J., Koo, J.-H., Go, S., Irie, H., Labow, G., Eck, T. F., Holben, B. N., Herman, J., Loughman, R. P., Spinei, E., Lee, S. S., Khatri, P., and Campanelli, M.: Comparisons of spectral aerosol single scattering albedo in Seoul, South Korea, *Atmos. Meas. Tech.*, 11, 2295–2311, <https://doi.org/10.5194/amt-11-2295-2018>, 2018.
- 610 Platnick, S., Meyer, K.G., King, M.D., Wind, G., Amarasinghe, N., Marchant, B., Arnold, G.T., Zhang, Z., Hubanks, P.A., Holz, R.E., Yang, P., Ridgway, W.L., and Riedi, J.: The MODIS cloud optical and microphysical products: Collection 6 updates and examples from Terra and Aqua, *IEEE Transactions on Geoscience and Remote Sensing*, 55(1), 502–525, 10.1109/tgrs.2016.2610522, 2017.
- 615 Puthukkudy, A., Martins, J.V., Remer, L.A., Xu, X., Dubovik, O., Litvinov, P., McBride, B., Burton, S., and Barbosa, H. M. J.: Retrieval of aerosol properties from Airborne Hyper-Angular Rainbow Polarimeter (AirHARP) observations during ACEPOL 2017, *Atmos. Meas. Tech.*, 13, 5207–5236, <https://doi.org/10.5194/amt-13-5207-2020>, 2020.
- 620 Remer, L.A., Levy, R.C., and Martins, J.V.: Opinion: Aerosol remote sensing over the next twenty years, *EGU sphere* [preprint], <https://doi.org/10.5194/egusphere-2023-1221>, 2023.
- Riihimäki, L.D., Flynn, C., McComiskey, A., Lubin, D., Blanchard, Y., Chiu, J.C., Feingold, G., Feldman, D.R., Gristey, J.J., Herrera, C., Hodges, H., Kassianov, E., LeBlanc, S.E., Marshak, A., Michalsky, J.J., Pilewskie, P., Schmidt, S., Scott, R.C., Shea, Y., Thome, K., Wagener, R., and Wielicki, B.: The shortwave spectral radiometer for atmospheric science: Capabilities and applications from the ARM user facility, *B. Am. Meteorol. Soc.*, 102(3), E539–E554, <https://doi.org/10.1175/BAMS-D-19-0227.1>, 2021.
- 625

- Russell, L.M., Lubin, D., Silber, I., Eloranta, E., Muelmenstaedt, J., Aiken, A., Wang, D., Petters, M., Miller, M., Ackerman, A., Fridlind, A., Witte, M., Lebsock, M., Painemal, D., Chang, R., Liggio, J., and Wheeler, M.: Eastern Pacific Cloud Aerosol Precipitation Experiment (EPCAPE) Science Plan. DOE/SC-ARM-21-009. U.S. Department of Energy, Office of Science, Office of Biological and Environmental Research, doi:10.2172/1804710, 2021.
- 630 Sayer, A.M., Smirnov, A., Hsu, N.C., Munchak, L.A., and Holben, B.N.: Estimating marine aerosol particle volume and number from Maritime Aerosol Network data, *Atmos. Chem. Phys.*, 12, 8889–8909, <https://doi.org/10.5194/acp-12-8889-2012>, 2012.
- 635 Shinozuka, Y., Johnson, R. R., Flynn, C. J., Russell, P. B., Schmid, B., Redemann, J., Dunagan, S. E., Kluzek, C. D., Hubbe, J. M., Segal-Rosenheimer, M., Livingston, J. M., Eck, T. F., Wagener, R., Gregory, L., Chand, D., Berg, L. K., Rogers, R. R., Ferrare, R. A., Hair, J. W., Hostetler, C. A., and Burton, S. P.: Hyperspectral aerosol optical depths from TCAP flights, *J. Geophys. Res.-Atmos.*, 118, 12,180–12,194, <https://doi.org/10.1002/2013jd020596>, 2013.
- Sisterson, D.L., Pepler, R.A., Cress, T.S., Lamb, P.J., and Turner, D.D.: In *The Atmospheric Radiation Measurement (ARM) Program: The First 20 Years*. The ARM Southern Great Plains (SGP) site, *Amer. Meteor. Soc.* 57, 6.1–6.14, 2016.
- 640 Torres, B., and Fuertes, D.: Characterization of aerosol size properties from measurements of spectral optical depth: A global validation of the GRASP-AOD code using long-term AERONET data, *Atmos. Meas. Tech.*, 14, 4471–4506, 2021.
- Voigt, A, Albern, N, Ceppi, P, Grise, K, Li, Y, and Medeiros, B.: Clouds, radiation, and atmospheric circulation in the present-day climate and under climate change, *WIREs Clim. Change*, 12, e694, <https://doi.org/10.1002/wcc.694>, 2021.
- 645 Wesely, M., Simplified techniques to study components of solar radiation under haze and clouds, *J. Appl. Meteorol.*, 21, 373–383, 1982.
- Yang, P., Bi, L., Baum, B.A., Liou, K.-N., Kattawar, G.W., Mishchenko, M.I., and Cole, B.: Spectrally consistent scattering, absorption, and polarization properties of atmospheric ice crystals at wavelengths from 0.2 to 100  $\mu\text{m}$ , *J. Atmos. Sci.*, 70, 330-347, doi:10.1175/JAS-D-12-039.1, 2013.