

1 Evaluation of the Operational MODIS Cloud Mask product for Detecting  
2 Cirrus Clouds ~~Effectiveness of Cirrus Detection with MODIS Cloud Mask data~~

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10 **Key points:**

- 11 • The utility of MODIS Cloud Mask products for creating a cirrus mask by validating them  
12 against CALIOP data was assessed
- 13 • To assess data accuracy, we computed the probability of detection, false alarm rate,  
14 overall accuracy, and Cohen's kappa statistic to evaluate nine tests for cirrus detection
- 15 • The most effective method for detecting high-level clouds among the available options  
16 was self-developed ATC test
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## Abstract

All clouds influence the Earth's radiative budget, with their net radiative forcing being negative. However, high-level clouds warrant special attention due to their atmospheric warming effects. A comprehensive characterization of cirrus clouds requires information on their coverage, which can be obtained from various data types. Active satellite sensors ([lidars](#)) are presently the most accurate source for cirrus data, but their usefulness in climatological studies is limited (the narrow view and 16-day repeat cycle yield only ~20 observations per year per region, often insufficient for climatological studies). On the contrary, passive data, which has been available for the past 40 years with sufficient temporal resolution for climatological research, are less effective at detecting cirrus clouds compared to active vertical profiling sensors. In this study, we assessed the utility of [Moderate Resolution Imaging Spectroradiometer \(MODIS\)](#) standard products for creating a cirrus mask by validating them against CALIOP data. ~~Our objective was to determine how well the operational cloud mask from the MODIS Science Team can be used to infer the presence of cirrus clouds relative to data products derived from the highly sensitive Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) instrument by the Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observations (CALIPSO) Science Team. Our objective was to determine if a MODIS product exists that detects cirrus with the same accuracy as CALIOP.~~

Using CALIOP data as the reference, we evaluated six tests for cirrus [and high clouds](#) detection considered in MODIS cloud masking algorithm and their combination (~~All all Tests~~ [Consolidation](#), ATC). Additionally we applied ~~two ISCCP-originating tests that originated in the International Satellite Cloud Climatology Project (ISCCP) definition of Cirrus: ISCCP3.6 and ISCCP23 tests~~. All tests have been applied to MODIS radiances.

Study revealed that the ATC test was the most effective resulting with the overall accuracy of 72.98% ([probability of detection 80.9%, false alarm rate 34.9%, Cohen's kappa 0.46](#)) during daytime and 59.50% at night (probability of detection: ~~80.87% and 25.46%~~, false alarm rate ~~of 34.86% and 6.90%~~, ~~and Cohen's kappa coefficient of 0.46 and 0.19 respectively~~). However, its effectiveness was notably reduced during nighttime compared to daytime. We conclude that the [MODIS operational Cloud Mask after being modified into the](#) ATC test is [moderately](#) suitable for creating a mask of high-level clouds, [and only daytime. During the night-time MODIS ATC fails to reliably report the presence of Cirrus.](#)

## 1. Introduction

Clouds are indispensable to Earth's environmental systems and human life, influencing weather, climate, water distribution, ecosystems, and various human activities. They affect the Earth's radiation budget, with a net radiative forcing of approximately  $-20 \text{ Wm}^{-2}$  (Boucher et al., 2013), which results in an overall cooling effect on the planet. Nevertheless, special attention should be paid to high-level clouds - according to the WMO, high-level clouds include Cirrus, Cirrocumulus, and Cirrostratus (WMO, 1977) - commonly referred to as *cirrus*. Those clouds play a complex role in climate regulation. The relation between cirrus particles (size, shape and albedo) and Earth's radiation budget has been examined (Kinne and Liou, 1989; Macke et al., 1998; Mishchenko et al., 1996; Stephens et al., 1990; Zhang et al., 1994, 1999), resulting in a general conclusion that cirrus play an important role and can warm the atmosphere. They typically have a base above about 8,000 m and consist of small ice crystals. Due to their unique properties - such as altitude, temperature, effective particle size, surface thermal contrast, ice water path, and optical depth (Ackerman et al., 1988; Stephens et al., 1990; Stephens & Webster, 1981), they differ from low- and mid-level clouds in their effect on the Earth's radiation budget. Specifically, cirrus clouds allow shortwave radiation to reach the surface while reducing outgoing longwave radiation, thereby contributing to warming. Globally, it's been estimated that cirrus clouds have a net warming effect of~~Recent research estimates that cirrus radiative forcing of cirrus globally to approach~~  $35.5 \text{ Wm}^{-2}$  ~~for cirrus globally~~ (Campbell et al., 2016; Kärcher, 2018; Lolli et al., 2017; Oreopoulos et al., 2017) in part because they trap and reduce outgoing longwave radiation more efficiently than they reflect solar radiation back to space. Furthermore, cirrus clouds can alter the radiative forcing of other cloud types. For example, when medium and low clouds co-occur, their combined radiative effect is  $-18.8 \text{ Wm}^{-2}$ , but the additional presence of cirrus raises this effect to  $50.8 \text{ Wm}^{-2}$  (Oreopoulos et al., 2017).

A description of cirrus cloud properties is incomplete without information about their coverage. Most studies have focused on total cloud cover, but some have also examined high-level cloudiness. The global frequency of cirrus occurrence is estimated to range between 17% and 42%. Research

97 conducted using high-resolution satellite data indicates that global cloud coverage is approximately  
 98 66% to 74%, with 40% of all clouds classified as high-level clouds (Sassen et al., 2008; Stubenrauch et  
 99 al., 2010). Numerous studies have explored changes in high-level cloud coverage. However, those  
 100 relying on satellite data often do not address cirrus clouds over sufficiently long periods—at least 30  
 101 years, as recommended by the WMO. Conducting such long-term studies and identifying suitable data  
 102 sources remain significant challenges.

103 Given the critical role of cloud cover, especially cirrus, observing clouds is of considerable importance.  
 104 Historically first method is visual observation from ground-based meteorological stations, which is  
 105 simple and provides long time series data. However, this method has limitations, including difficulty in  
 106 detecting high-level clouds due overlapping clouds at multiple altitudes, perspective distortions near  
 107 the horizon, and the optical thinness of cirrus clouds. Studies have shown that under optimal  
 108 conditions, the probability of visually detecting cirrus clouds ranges from 44% to 83% during the day  
 109 and from 24% to 42% at night. When clouds at all levels are present, detection probabilities drop to  
 110 47%–71% during the day and 28%–43% at night (Kotarba & Nguyen Huu, 2022).

111 Modern cloud climatologies benefit from satellite remote sensing. Initially, this information was  
 112 obtained from various imagers, sounders, and radiometers, which utilize passive cloud detection  
 113 methods (involving detecting natural radiation emitted or reflected by objects, such as clouds, without  
 114 actively sending out signals). Researchers such as Ackerman et al. (2008); Amato et al. (2008); Chen et  
 115 al. (2002); Frey et al. (2008, 2020); Gu et al. (2011); Kotarba (2016); Y. Liu et al. (2004); Minnis et al.  
 116 (2008); Murino et al. (2014); Musial et al. (2014); Tang et al. (2013) have contributed to these studies.  
 117 An example of passive sensor can be MODIS (Moderate Resolution Imaging Spectroradiometer), which  
 118 is a key instrument aboard the Terra and Aqua satellites.

119 Active remote sensing technology, in contrast, relies on its own signal, directing it at an object and  
 120 analysing the response. This allows active sensors, for instance CALIPSO's (Cloud-Aerosol Lidar and  
 121 Infrared Pathfinder Satellite Observations) lidar, CALIOP (Cloud-Aerosol Lidar with Orthogonal  
 122 Polarization), to operate day and night with similar efficiency in cloud detection. Active profiling  
 123 instruments like CALIOP, which provide high-resolution vertical profiles of aerosols and clouds, have  
 124 limitations, including a narrow field of view. This narrow view, combined with a long 16-day repeat  
 125 cycle, results in only about 20 observations per year of the same region, which is challenging and  
 126 sometimes insufficient for climatological studies (Kotarba, 2022).

127 To standardize cloud classification and ensure consistency, the International Satellite Cloud  
 128 Climatology Project (ISCCP) developed a system based on cloud height and optical thickness, providing  
 129 a systematic framework for studying cloud types and their variability across regions and over time. This  
 130 classification is crucial for advancing climate modelling, weather forecasting, and research on cloud-

climate interactions. The ISCCP classification was applied to MODIS data, and its effectiveness in detecting cirrus clouds was also evaluated.

While active sensors like CALIOP remain the most reliable source of cirrus data (e.g. Heidinger and Pavolonis, 2009), their potential for building long-term climatologies is limited. In contrast, passive data have been available for over 40 years, offering temporal coverage suitable for climatological research. One example of such sensors, although collecting data for over 20 years rather than 40, is MODIS, whose capabilities for detecting cirrus clouds are limited compared to those of active vertical profiling sensors.

In this paper, we use cirrus characterizations from CALIOP data to explore the potential for creating a cirrus mask from the operational MODIS cloud data products. Our objective is to determine how well the MODIS products can be used to identify cirrus clouds compared to CALIPSO. Specifically, we aim to assess whether the existing MODIS cloud detection tests used in the generation of the MYD35 operational data can be re-purposed for cirrus cloud masking without the need to develop a new cirrus detection algorithm. ~~Specifically, we aim to assess whether MODIS cloud detection tests used to generate MYD35 operational data can be re-used for a time-effective masking of cirrus.~~

## 2. Data and methods

In this study, we use active sensor data for validating passive-based information for determining the presence of cirrus (for the sake of clarity, throughout this manuscript, all high-level clouds will be called as cirrus). The active sensor data was collected by the CALIOP lidar aboard the CALIPSO satellite, while the passive data was obtained from the MODIS multi-band radiometer on the Aqua satellite. The concept behind achieving the research objective was based on collocation of those two datasets in time and space. In both instances, cirrus clouds are the same physical phenomenon; however, the distinction arises from the varying sensitivities of the detection instruments employed, with optical thickness serving as a crucial parameter. CALIPSO is capable of identifying cirrus clouds with an optical thickness as low as approximately 0.01, while MODIS generally detects them when the optical thickness is in the range at least of 0.4 to 0.5 (e.g. Menzel et al., 2015). Data for the year 2015 were analyzed on a global scale, comprising 136,272,209 combined-collocated MODIS-CALIPSO observations from the aforementioned satellites. The primary requirement was to obtain a sufficiently large sample of CALIPSO-MODIS match-ups across different seasons and geographic regions, which necessitated one complete year of global observations. Therefore, 2015 was chosen arbitrarily.

### 2.1. MODIS data

MODIS, an advanced instrument aboard NASA's Terra and Aqua satellites, acquires data across 36 spectral bands, spanning wavelengths from visible to thermal infrared (0.4 to 14.4  $\mu\text{m}$ ). Its passive

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sensors rely primarily on naturally available energy: solar energy reflected from objects or absorbed and re-emitted (e.g. Ackerman et al., 1998). MODIS provides data at various spatial resolutions - 250 m, 500 m, and 1 km - with a swath width of 2,330 km, enabling it to observe the entire Earth twice daily, one observation during the day and one at night. Cloud detection results are stored in the 48-bit "Cloud Mask" product, known as MYD35 for Aqua, while corresponding cloud properties can be found in MYD06 dataset. As an imager, MODIS provides column-integrated radiances, which limits its ability to retrieve cirrus-specific information. For this research, we used Collection 061 data, which is available in 5-minute granules at a spatial resolution of 1 km per pixel (at nadir). Each MYD35 and MYD06 file is paired with a MYD03 "Geolocation file" product that contains longitude and latitude information for each individual cloud mask IFOV (instantaneous field of view; Guenther et al., 2002).

#### 2.1.1. The MODIS Cloud Mask product

The MODIS Cloud Mask product is a Level 2 dataset produced at spatial resolutions of 1 km and 250 m (at nadir). The cloud masking procedure was described in details by Ackerman et al. (1998), Frey et al. (2008), and Baum et al. (2012). The algorithm utilizes a sequence of visible and infrared threshold and consistency tests to determine the confidence level that an unobstructed view of the Earth's surface is achieved.

The primary MODIS routine for identifying clouds is the MODIS Cloud Mask (product MOD35), which applies a series of spectral threshold tests to each pixel. The cloud mask algorithm does not explicitly label cloud type (no specific "cirrus" output flag); instead, it provides a confidence level that the pixel is cloudy vs. or clear.

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However, certain tests within the algorithm are specifically designed to detect high thin clouds like cirrus. It particularly applies to

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tests using the spectral band centred at 1.38µm, a MODIS-introduced wavelength for cirrus detection (Bo-Cai Gao and Kaufman, 1995) (Gao and Kaufman 1995). In this research, we analyzed-considered 6 individual ready-to-use MODIS cloud detection tests of MODIOS cloud mask, which – according to the cloud mask detection algorithm (Ackerman et al., 1998) - have a potential for cirrus or high cloud detection:- Individual tests were described by Ackerman et al. (1998):

- Thin Cirrus test (SOLAR) – the solar channels in MODIS cover a range of wavelengths primarily in the visible and near-infrared spectrum (0.4 to 2.5  $\mu\text{m}$ ). Test is only useful-applied during daytime.
- Thin Cirrus test (IR) – the purpose of this test is detecting thin cirrus clouds. Channels used for this test are 11  $\mu\text{m}$  and 12  $\mu\text{m}$  (infrared (IR) range), incorporated to the split window technique. This test leverages the fact that cirrus clouds, composed of ice, are more transparent at 11  $\mu\text{m}$  than at 12  $\mu\text{m}$ , resulting in a positive BTD signature.
- High Cloud Test (BT13.9) – applying CO<sub>2</sub> absorption channels (around 14  $\mu\text{m}$ ) is a simple technique got from the CO<sub>2</sub> slicing method (suitable for determining middle and upper troposphere ice clouds heights and effective amounts). This test is useful for high-level cloud detection, while it can reveal clouds above 500 hPa – it helps identify high clouds by detecting colder cloud tops using the CO<sub>2</sub> absorption band.
- High Cloud Test (BT6.7) – test designed for detecting thick high clouds. Starting from the ground level, the 6.7  $\mu\text{m}$  radiation emitted by the surface or low clouds is absorbed in the atmosphere, therefore the signal is not received by an instrument. Water vapor in the atmospheric layer between 200 hPa and 500 hPa is the only source of the 6.7  $\mu\text{m}$  radiation in clear-sky observation. Thick clouds placed above or near the 200 hPa level can be distinguish from clear sky or lower clouds.
- High Cloud Test (BT1.38) – the 1.38  $\mu\text{m}$  channel lies in the strong water vapor absorption region. That results in obscuration of the most of Earth's surfaces, as well as attenuation of reflectance from low- and mid-level clouds. Pixels subjected to this test reveal high-level thin clouds as brighter. Unfortunately, the test has certain limitations, including its applicability to nighttime conditions, polar regions, midlatitude winters, and high elevations..
- High Cloud Test (BT3.9-12.0) – the 3.9-12.0  $\mu\text{m}$  BTD (Brightness Temperatures Difference) test is specifically designed for nighttime observations over land and polar snow/ice surfaces. It effectively distinguishes thin cirrus clouds from cloud-free conditions and cloud-free conditions and exhibits relative insensitivity to the atmospheric water vapor content (e.g. Hutchinson and Hardy, 1995).

Additionally, we independently developed a unified approach to combine all tests, which we termed **All Tests Consolidation (ATC)**. If any ( $\exists$  - there is at least one) of the nine tests (t) detected cirrus clouds, the output flag (OF) was set to indicate the presence of cirrus.

$\text{if } \exists t \in \{1, 2, \dots, 9\} (t_i = 1), \text{ then } \text{ATC}_{OF} = 1$

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Conversely, if no cirrus clouds were detected by any of the tests ( $\forall$  - for every), provided they were all conducted, no cirrus flag was set. In cases where all nine tests returned a value of 9, indicating missing or unavailable data, the output flag was also set to 9, to explicitly represent the absence of valid input across all tests. This allows the ATC approach to distinguish between a confirmed absence of cirrus and a lack of information.

~~if  $\forall_{i \in \{1, 2, \dots, 9\}} (t_i = 0)$ , then  $ATC_{OF} = 0$~~

$\mathrm{ATC}_{\mathrm{OF}} = \begin{cases}$

$1, & \text{if } \exists i \in \{1, \dots, 9\} \text{ such that } t_i = 1 \setminus$

$0, & \text{if } \forall i, t_i \in \{0, 9\} \text{ and } \exists j, t_j = 0 \setminus$

$9, & \text{if } \forall i, t_i = 9$

$\end{cases}$

ATC is essentially an adaptation of the MYD35 approach, but it is limited to tests that specifically provide insights about cirrus clouds.

### 2.1.2. The MODIS Cloud Product

As described by Menzel et al. (2015) the MODIS Cloud Product uses a combination of infrared and visible techniques to determine cloud physical and radiative properties. It derives cloud-particle phase, effective particle radius, and optical thickness from visible and near-infrared radiances, and indicates cloud shadows. Infrared methods provide cloud-top temperature, height, effective emissivity, phase, and cloud fraction, both day and night, at 1-km-pixel resolution. ~~Additionally, the product includes cirrus reflectance at 1 km resolution, used to correct for cirrus scattering in land surface reflectance.~~ For Aqua satellite, dataset is called MYD06.

In addition to the ready-to-use MODIS tests (Section 2.2.1), other criteria can be applied using data available from MODIS and CALIOP. For instance, the ISCCP's definition of cloud types. By examining visible and infrared radiances from geostationary and polar-orbiting meteorological satellites and making assumptions about cloud layering, thermodynamic phases, and properties, ISCCP characterizes a cloudy satellite pixel using the column visible optical depth (COT) and the cloud-top pressure (CTP)



of the highest cloud layer. This information is used to classify different cloud types as shown in the figure 1 (Rossow and Schiffer, 1999).

COT and CTP are also available for MODIS, within MYD06 standard product, and we used them to generate cirrus masks based on the ISCCP definition. We considered two variants of the mask, defining cirrus as:

- a cloud with an optical thickness less than 3.6 and a top pressure below 440 hPa (hereinafter ISCCP3.6 test),

- a cloud with an optical thickness less than 23 and a top pressure below 440 hPa (hereinafter ISCCP23 test).

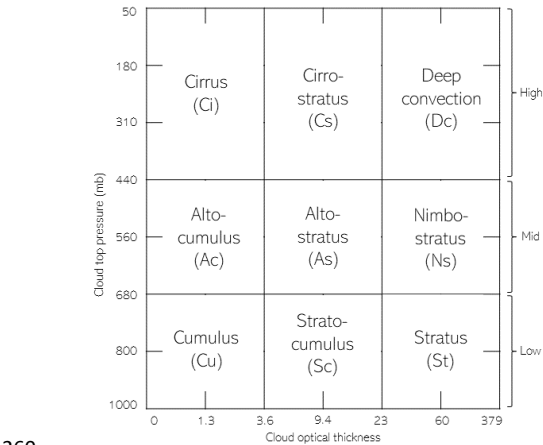


Fig. 1. Cloud-type definitions used in the ISCCP D-series

**2.2. CALIOP data**

CALIOP provides atmospheric profiles with vertical resolutions ranging from 30 m below 8.2 km to 180 m above 20.1 km, and 60 m between these altitudes (Winker et al., 2006). This capability allows for clear distinction between cirrus and lower cloud layers, making CALIOP excellent for cirrus detection. Furthermore, lidar can detect cirrus clouds with an optical depth as low as 0.01 (Vaughan et al., 2009), a capability beyond the reach of other-passive imagers (Ackerman et al., 2008). Being an active sensor, lidar offers similar effectiveness in cloud detection both daytime and nighttime, or even higher during night, when backscattered light does not interfere with diffused solar radiation (McGill et al., 2007).

271 In this research, the lidar level-2 cloud layer at 5-km horizontal resolution, version 4.20  
 272 (CAL\_LID\_L2\_05kmCLay-Standard-V4-20) product was used. As described by Liu et al. (2009) and  
 273 Vaughan et al. (2009) this product reports cloud layers and cloud type information, with cirrus as a  
 274 separate class (categorized as type 6). In the CALIPSO system, cirrus clouds are detected using the SIBYL  
 275 and CAD algorithms. The SIBYL algorithm identifies cloud layers based on enhanced backscatter signals  
 276 in CALIOP lidar profiles. Subsequently, using a probabilistic approach, the CAD (Cloud-Aerosol  
 277 Discrimination) algorithm differentiates between clouds (including cirrus) and aerosols.~~the CAD~~  
 278 ~~(Cloud-Aerosol Discrimination) algorithm differentiates between clouds (including cirrus) and aerosols~~  
 279 ~~using a probabilistic approach. The detection of cirrus clouds is based on the analysis of the backscatter~~  
 280 ~~coefficient and the lidar signal's depolarization ratio, which differentiates ice particles, characteristic~~  
 281 ~~of cirrus clouds, from water droplets.~~ The depolarization ratios for Cirrus clouds are higher than those  
 282 for water-based clouds, enabling their identification. Additionally, CALIOP provides an information  
 283 about the cloud base and top altitudes, allowing for determination of their position in the atmosphere.  
 284 In CALIOP data, cirrus clouds are identified as high-altitude (cloud-top pressure < 440 hPa) and  
 285 transparent layers—meaning the lidar can detect the surface or a lower atmospheric layer beneath. If  
 286 a layer is opaque, even at high altitude, it is not classified as cirrus. This ensures that only optically thin,  
 287 high-level ice clouds are labeled as cirrus. Clouds above the tropopause, such as polar stratospheric  
 288 clouds, were excluded as they constitute a separate feature type in CALIPSO data. The quality of  
 289 CALIOP's detection is reflected in ~~cloud-aerosol discrimination (CAD)~~ score, which ranges from -100 to  
 290 100. Value -100 indicates high confidence of aerosol detection, while a value of 100 indicates high  
 291 confidence in cloud detection. A medium value (0) signifies equal probability that the feature is a cloud  
 292 or aerosol. To mitigate misclassification, particularly over dust regions (e.g., the Sahara), the CAD  
 293 algorithm dynamically adjusts depolarization thresholds (Liu et al., 2009; Vaughan et al., 2009). In this  
 294 study, we used only observations with a CAD score higher than 80. The optical depth is also provided  
 295 in this (CAL\_LID\_L2\_05kmCLay-Standard-V4-20) CALIOP product.  
 296 For the purpose of this research, we consider CALIPSO as the reference for cirrus clouds detection.

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### 2.3. Matching datasets

299 In order to achieve the goal of this study, MODIS and CALIOP data were collocated in space and time.  
 300 It was possible because Aqua and CALIPSO operated for 12 years (2006-2018) as a part of satellite  
 301 constellation commonly known as the Afternoon Constellation. Members of the constellation used  
 302 sun-synchronous polar orbits ~~of-with~~ 16-day revisit cycle, and with equatorial crossing time at 13:30  
 303 local solar time (ascending node). CALIPSO followed the Aqua spacecraft by approximately one minute  
 304 (e.g. Stephens et al., 2018), enabling quasi-simultaneous observation of the same part of the  
 305 atmosphere, as 1 km ground track of CALIOP always overlapped with 2330 km wide imagery of MODIS.

Collocating MODIS with CALIOP has been frequently used to validate reliability of MODIS datasets, or to develop a new, joint imager-lidar atmospheric products (e.g. Baum et al., 2012; Holz et al., 2009; Kotarba, 2020; Sun-Mack et al., 2014; Wang et al., 2016; Xie et al., 2010). Either 333 m, 1 km, or 5 km lidar data may be considered, however only 1 km and 5 km products offer cloud type classification. Additionally, only the 5 km product informs about cloud optical thickness per cloud layer, and provides superior cirrus detection due to higher sensitivity (noise level decreases as more profiles are integrated into retrieval).

From the geometry point of view, a 5 km profile is an aggregation of five consecutive 1 km profiles, and the geo-coordinates of the central one are saved as representative for 5 km profile. It poses a challenge when MODIS and CALIOP are to be matched: one 5 km profile of CALIOP only can be accurately matched to one 1 km MODIS pixel, while 5 km data actually covers five MODIS pixels. To overcome this problem we matched CALIOP with MODIS using non-aggregated, 1 km data, and only then assigned 5 km data to already collocated MODIS-CALIOP pairs. As a result, one 5 km profile of CALIOP was used to characterize five MODIS pixels.

Aqua and CALIPSO ground tracks are offset by 100-120 km at the equator (decreasing towards the poles). It means, that they observe the atmosphere from slightly different angles, causing a parallax shift. We did not correct the data for parallax, as its impact only would be observed close to the edges of clouds, which are a small fraction of all observations, or for investigating dynamically-changing cloud top properties (Wang et al., 2011) which was not the case of our investigation.

This study relied on MODIS-CALIOP observations for 2015, and the year was selected arbitrary, as the only requirement was to consider a relatively large (year-long) sample of global observations of clouds. Eventually, our database consisted of 136,272,209 paired MODIS-CALIOP observations; the average spatial distance between geometrical centers of matched MODIS pixel and CALIOP profile was 444 m (std. dev. = 231 m), while the average temporal separation reached 84 seconds (std. dev. = 12 seconds).

The final, aggregated MODIS-CALIOP statistics were compiled into global maps, each with a spatial resolution of 5° in both longitude and latitude.

#### 2.4. Evaluation of MODIS data

~~We consider a test as a useful for cirrus detection whenever it results with a high agreement with the reference data (CALIOP). The degree of agreement was calculated. The comparison was conducted at the pixel level, using a confusion matrix approach for a binary classifier ('cirrus' and 'no cirrus' classes) as the basis for calculations. This The approach provides a detailed comparison of compares the model's predictions (MODIS performance) against the actual results (CALIPSO detections of cirrus). For~~

339 clarity, Table 1 provides [list of an explanation of abbreviations related to statistical measures/indices](#)  
 340 [we used as a measure for MODIS-CALIPSO agreement in cirrus detection.](#)

341 [Table 14. Confusion matrix-based Abbreviations measures used for assessing MODIS agreement with CALIPSO in this study.](#)

Abbreviation	DefinitionFull name
TP	True Positives
FP	False Positives
TN	True Negatives
FN	False Negatives
ROP	Rate of Observations Performed
POD	Probability of Detection
FAR	False Alarm Rate
OA	Overall Accuracy
kappa	Cohen's kappa k coefficient
PE	Expected agreement
n	Number of elements in the set

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342  
 343 The structure of confusion matrix is presented in Table 2. and includes the following elements:

- 344 – True Positives (TP): The number of cases where MODIS accurately identified the existing  
 345 (according to CALIOP) cirrus.
- 346 – False Positives (FP): The number of cases where MODIS incorrectly identified the high-level  
 347 cloud, meaning it detected cirrus presence when it was actually absent.
- 348 – True Negatives (TN): The number of cases where MODIS correctly did not detect the presence  
 349 of the cloud.
- 350 – False Negatives (FN): The number of cases where MODIS overlooked the cirrus occurrence.

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351 Table 2. Confusion matrix

		CALIPSO (REFERENCE DATA)	
MODIS		Cirrus	No Cirrus
	Cirrus	True positive (TP)	False positive (FP)
	No Cirrus	False negative (FN)	True negative (TN)

352 Every result undergoes thorough validation through different parameter estimation using feature-  
 353 based statistics (Stanski et al., 1989). To describe the data accuracy, the probability of detection (POD)  
 354 [1] and false alarm rate (FAR) [2] metrics were calculated:  
 355 Probability of detection (POD) – is a metric used to assess the effectiveness of a detection system. In  
 356 the context of cloud detection, POD indicates how well the detection algorithm correctly identifies the

357 presence of clouds when they are actually present. A higher POD value signifies better performance of  
358 the detection system.

359  
360  $POD = TP / (TP + FN)$  [1]

361 False alarm rate (FAR) – is a metric that measures the frequency of incorrect positive detections by a  
362 system. In the context of cloud detection, a lower FAR indicates a more accurate system, with fewer  
363 instances of falsely identifying clouds when they are not present.

364  $FAR = FP / (FP + TN)$  [2]

365 The incident frequencies within the matrix enabled the identification of two more diagnostic  
366 measures:

367 Overall accuracy (OA) – is a metric that measures the proportion of correct predictions made by a  
368 detection system out of all predictions. In cloud detection, higher overall accuracy indicates that the  
369 system effectively identifies both the presence and absence of clouds correctly.

370  $OA = (TP + TN) / n$  [3]

371 Cohen's kappa k – is a statistical metric used to assess the degree of agreement between two raters or  
372 classification methods. Its scale ranges from -1 to 1, where a value of 1 represents perfect agreement,  
373 0 indicates agreement no better than chance, and negative values indicate agreement worse than  
374 chance. In cloud detection, a higher kappa value indicates stronger agreement between the detected  
375 presence of clouds and their actual presence, while considering the possibility of random agreement.

376  $k = (OA - PE) / (1 - PE)$  [4]

377 where

378 PE – expected agreement

379  $PE = [(TP + FP)(TP + FN) + (TN + FP)(TN + FN)] / n^2$  [5]

380  $n = TP + FP + FN + TN$  [6]

381  
382 The accuracy of high-level cloud detection was evaluated using the aforementioned metrics,  
383 differentiated by day and night, latitude, cloud optical depth, the number of detected cloud layers, and  
384 land classification. This assessment was conducted for the entire year 2015, as well as specifically for  
385 January and July (those two months are presented to exemplify the characteristics of two distinct  
386 seasons).

387

## 388 **2.5. Bootstrap sampling**

389 Due to the nature of cirrus cloud occurrences (18.7% in 2015, see Section 3), we can assume that the  
390 data sample will be imbalanced and one class (without cirrus) significantly outnumbers the other.

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391 Therefore, for such data, the appropriate statistical method to apply is bootstrap sampling (Efron,  
392 1980).

393 The balancing of the sample stems from the issue of class imbalance, potentially skewing the statistical  
394 analysis and leading to biased results. To mitigate this, the bootstrap method is employed to artificially  
395 balance the dataset. This involves resampling the data with replacement, to ensure that each class has  
396 a comparable number of instances. By doing so, the analysis can yield more accurate results~~more~~  
397 ~~reliable~~, rather than being dominated by the majority class. When a sample is drawn from a population,  
398 the statistical measures derived from that exhibit sampling variability. The fundamental concept of  
399 bootstrap revolves around resampling the original dataset with replacement to generate multiple  
400 bootstrap samples. In our study, for 1000 iterations, we selected a sample with replacement that  
401 included all observations indicating the presence of cirrus clouds (according to CALIPSO), as well as an  
402 equal number randomly drawn from the remaining observations. Each time, the previously described  
403 measures were calculated. After performing these calculations 1000 times, the average of these  
404 measures was computed.

405 To demonstrate the concept of bootstrap sampling, we conducted a simple experiment using a dataset  
406 consisting of 100 observations. Of these, 15 correspond to cirrus clouds (positive class), and 85  
407 correspond to non-cirrus clouds (negative class). Given the significant class imbalance, many models  
408 tend to favor the majority class, leading to overly optimistic accuracy metrics. For example, a naive  
409 model that predicts "non-cirrus" for all observations achieves an overall accuracy (OA) of 85%,  
410 correctly classifying all negative instances while entirely disregarding the minority class:

411 
$$OA = (TP+TN)/n = (0+85)/100=0.85 \text{ (85\%)}$$

412 To mitigate this imbalance, we applied bootstrap sampling to generate a balanced dataset through  
413 resampling with replacement, ensuring an equal number of positive and negative instances (e.g., 15  
414 cirrus and 15 non-cirrus cases). When the same naive model was applied to the balanced dataset, the  
415 overall accuracy dropped to 50%, highlighting the model's inability to correctly classify the minority  
416 class:

417 
$$OA = (TP+TN)/n = (0+15)/30=0.50 \text{ (50\%)}$$

418 This experiment illustrates how bootstrap sampling can reveal the shortcomings of models trained on  
419 imbalanced datasets, offering a more accurate and realistic assessment of model performance.

420 The bootstrap has been already widely used among climatological studies. It has been employed to,  
421 among others, estimate confidence interval (Jolliffe, 2007), forecast storm track (Wilks et al., 2009),  
422 project future climate (Orlowsky et al., 2010), verify potential predictability of seasonal mean

temperature and precipitation (Feng et al., 2011), study seasonal prediction of drought (Behrangi et al., 2015), inspect macrophysical properties of tropical cirrus clouds (Thorsen et al., 2013), evaluate sampling error in TRMM/PR rainfall products (Iida et al., 2010).

### 3. Cirrus ~~distribution clouds~~ in 2015 according to CALIOP

Before conducting an analysis to assess the agreement in high-level cloud detection between CALIOP and MODIS data, we examined the cirrus coverage in 2015 according to reference data (CALIOP). The Cirrus cloud mask was generated by applying a condition that classified each 5-degree ~~latitude-longitude grid cell~~~~pixel~~ based on the proportion of observations identified as Cirrus. Specifically, the number of Cirrus observations and non-Cirrus observations within each ~~pixel~~~~5-degree grid cell~~ were counted. The percentage of Cirrus observations for a given ~~5-degree grid cell~~~~pixel~~ was a fraction of observations with cirrus detected to all observations.

This approach ensures that the mask reflects the relative frequency of Cirrus clouds within each 5-degree ~~grid cell~~~~pixel~~, providing a spatially resolved representation of their distribution.

The distribution of cirrus clouds (Fig. Fig-2.) varies globally and is affected by factors such as latitude and atmospheric dynamics. According to Sassen et al. (2008), the total frequency of cirrus clouds from 15 June 2006 to 15 June 2007 was reported as 16.7%, compared to 18.7% observed in our study for 2015. However, according to the research by Kotarba (2022), annual mean values of cloud amount, derived from CALIPSO, can vary significantly (over 10 p.p. ~~(percentage points)~~) between years due to sampling frequency.

Cirrus clouds are more frequently observed at night, particularly in tropical and mid-latitude regions, with their occurrence peaking around midnight and reducing during the day also according to Noel et al. (2018). Moreover, frequencies of stratospheric cirrus clouds measured by CALIPSO from 2006 to 2012 ~~are detected at nighttime are 2-3 times higher those detected during daytime 2-3 times higher are detected at night time rather than at daytime~~ (Zou et al., 2020). Nevertheless, the day-night difference observed in Sassen's (2008) study was smaller than in ours, with values of 15.2% during the day and 18.3% at night, compared to 13.2% (Fig. 2a.) and 23.3% (Fig. 2b.), respectively, in our analysis. These differences may stem from the use of different ~~(earlier)~~ versions of source datasets ~~under~~ the application of ~~different criteria for selecting and screening cloud layer data~~~~varying data quality filtering criteria~~. The higher detectability of nighttime cirrus clouds may also be attributed to reduced noise in lidar signals under nighttime conditions ~~(the lack of solar background)~~. Additionally, the differences might also reflect more intense convective activity and increased formation of cirrus clouds during the night. ~~However, diurnal differences in cirrus occurrence are complex, the artificial~~

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diurnal difference, driven by the varying levels of background noise during the day and night, likely outweighs the actual diurnal variations.

In our study, near the equator, especially within the tropical belt, cirrus cloud cover exhibits peak values throughout the year, reaching approximately 35% during nighttime and 20% during daytime. In certain locations, particularly during nighttime, the high-level cloudiness has been observed to exceed 50%. In the mid-latitudes of both hemispheres, the distribution of clouds varies, generally showing lower coverage compared to low latitudes, with approximately 10% during daytime and 20% at night. In polar regions, particularly above approximately 60° latitude, cirrus cloud cover tends to be higher than in mid-latitudes, with nighttime coverage generally higher than daytime (Fig. 3.).



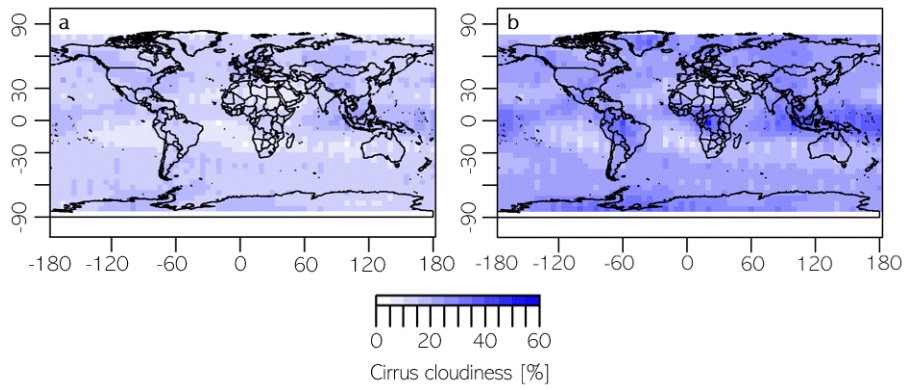


Fig. 2. CALIOP-based cirrus cloud coverage in 2015- daytime (a) and nighttime (b)

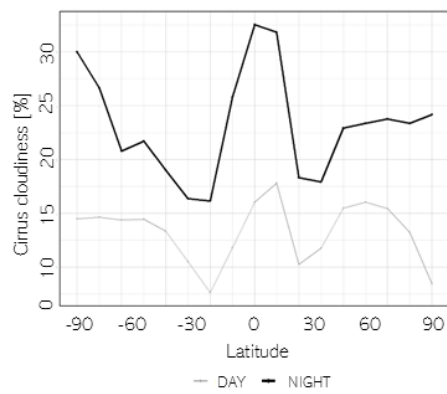


Fig. 3. Cirrus coverage as a function of latitude (CALOP data)

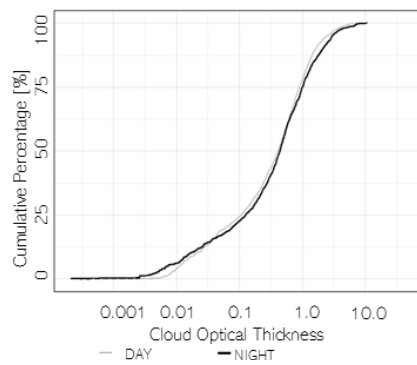


Fig. 4. Cumulative ratio of cirrus clouds with respect to COT (CALIOP data)

466

467 Additionally, CALIOP ~~observations allows for measures-retrieving~~ the cloud optical thickness for  
468 individual layers as well as for the entire atmospheric column (Fig. 4.). When CALIOP detects multiple  
469 cirrus cloud layers, the COT values for all layers flagged as Cirrus are summed. The mean cirrus COT  
470 was observed to be 0.72 during daytime and 0.84 at nighttime, indicating a notable increase in optical  
471 thickness at night. This can raise important question about the underlying cause of this difference. One  
472 possible explanation is that the increased nighttime COT enhances the likelihood of cirrus cloud  
473 detection, as lidar systems like CALIPSO have greater sensitivity to optically thicker clouds, ~~and even~~  
474 ~~more so at night due to the absence of solar background and a higher signal-to-noise ratio.~~  
475 Consequently, this could lead to a higher observed cloud cover at night simply due to improved  
476 detectability rather than actual physical differences in cloud properties. ~~However, this argument may~~  
477 ~~not fully align with the observed data. Given that CALIOP is more sensitive at night, it could be expected~~  
478 ~~to detect more thin clouds, potentially lowering the average COT compared to daytime. The observed~~  
479 ~~increase in nighttime cirrus COT could stem from multiple sources, including genuine diurnal~~  
480 ~~variability, retrieval algorithm behavior, or screening-induced bias. Further investigation would be~~  
481 ~~needed to isolate their individual contributions.~~ Alternatively, data filtering processes might contribute  
482 to the observed disparity.

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#### 483 4. Evaluation of MODIS data

484 Using CALIPSO data as the reference, nine methods for detecting cirrus clouds with MODIS data were  
485 evaluated. All tests were applicable during daytime, whereas only five could be utilized at nighttime  
486 due to the requirement of solar illumination.

487 The measures described in section 2 are presented in Table 3. The parameters that, in our opinion,  
488 precluded the use of the test are highlighted in bold. Additionally, they are preceded by the rate of  
489 observations performed (ROP) parameter, which is ~~fraction of all observations under test~~  
490 ~~the fraction of total observations for which the specific test could be conducted.~~

491 During daytime, the first four methods (SOLAR, IR, BT13.9, BT6.7) exhibited notably low detection  
492 effectiveness (with POD ranging between 0.33 and 15.79%), as well as low kappa coefficients (0.01-  
493 0.48). Although the test was performed on a relatively high proportion of observations (78.37% -  
494 97.59%), with a low number of false alarms (FAR between 1.23% and 13.16%) and good overall  
495 accuracy (OA ranging between 48.61% and 53.80%), the poor detection capabilities (indicated by POD)  
496 rendered these data inadequate as reliable sources of information on the occurrence of Ci clouds. The  
497 differing parameters excluded tests BT3.9-12.0 and those with ISCCP criteria from consideration. The

498 limited number of observations with available results from these tests rendered them impractical for  
 499 use.

500 The two tests most effective globally were BT1.38 and ATC. With very similar parameters (POD, FAR,  
 501 OA and kappa) the ATC test demonstrated superiority due to a significantly higher number of available  
 502 observations (78.37% vs 98.67%, respectively).

503 Among the night tests, IR, BT13.9, and BT6.7 exhibited low detection capabilities (POD 0.60% - 10.59%),  
 504 whereas the BT3.9-12.0 test was performed only on 38.09% of the observations. As with the daytime  
 505 tests, the ATC test proved to be the most suitable for detection.

506 Considering that global statistics for January and July were not markedly different from the yearly  
 507 averages (Table 3.), subsequent analyses were conducted using data from the entire year.

508 *Table 3. Goodness-of-fit of cloud detection between MODIS and CALIOP. Bold - parameters that precluded the use of the*  
 509 *test*

Daytime						Nighttime				
Accuracy measures										
	ROP [%]	POD	FAR	OA	k	ROP [%]	POD	FAR	OA	k
2015										
SOLAR	78.37	15.79	13.16	51.66	0.03	0.00	NA	NA	NA	NA
IR	83.32	12.56	4.37	53.80	0.48	73.98	10.59	3.27	54.94	0.52
BT13.9	65.52	1.35	3.59	48.61	-0.02	71.02	2.13	3.42	50.67	-0.01
BT6.7	97.59	0.33	1.23	49.92	-0.01	91.44	0.60	1.58	50.23	-0.01
BT1.38	78.37	77.76	28.28	74.71	0.49	0.00	NA	NA	NA	NA
BT3.9-12.0	7.39	64.48	15.36	72.41	0.46	38.09	39.09	5.46	65.26	0.33
ATC	98.67	80.87	34.86	72.98	0.46	94.84	25.46	6.90	59.50	0.19
ISCCP23	37.97	84.16	72.00	61.26	0.13	0.00	NA	NA	NA	NA
ISCCP3.6	37.97	33.30	16.54	58.96	0.17	0.00	NA	NA	NA	NA
January 2015										
SOLAR	74.84	15.08	13.50	49.28	0.02	0.00	NA	NA	NA	NA
IR	78.95	12.47	4.54	51.81	0.46	72.30	10.53	3.46	54.07	0.51
BT13.9	67.59	1.66	3.66	46.28	-0.02	72.26	2.36	3.32	49.65	-0.01
BT6.7	97.95	0.23	1.09	49.68	-0.01	99.97	0.59	1.43	49.59	-0.01
BT1.38	74.84	79.65	31.69	74.22	0.48	0.00	NA	NA	NA	NA
BT3.9-12.0	7.02	56.89	13.50	69.48	0.41	41.19	35.00	3.80	64.37	0.30
ATC	98.98	80.23	34.17	73.03	0.46	99.98	23.38	6.12	58.63	99.98
ISCCP23	38.55	84.27	68.88	64.10	0.17	0.00	NA	NA	NA	NA

ISCCP3.6	<b>38.55</b>	33.38	14.58	59.27	<b>0.19</b>	<b>0.00</b>	NA	NA	NA	NA
July 2015										
SOLAR	84.32	<b>16.57</b>	11.58	53.99	<b>0.05</b>	<b>0.00</b>	NA	NA	NA	NA
IR	92.26	<b>11.99</b>	3.76	54.17	0.49	68.77	<b>10.02</b>	2.61	57.81	0.56
BT13.9	65.65	<b>1.89</b>	3.72	49.61	<b>-0.02</b>	67.48	<b>2.62</b>	3.93	53.88	<b>-0.01</b>
BT6.7	99.69	<b>0.15</b>	1.06	49.63	<b>-0.01</b>	81.30	<b>0.84</b>	1.96	52.06	<b>-0.01</b>
BT1.38	84.32	74.97	22.06	76.52	0.53	<b>0.00</b>	NA	NA	NA	NA
BT3.9-12.0	<b>7.67</b>	72.20	21.54	74.30	0.47	<b>37.58</b>	47.02	7.95	67.82	0.38
ATC	99.96	83.14	31.76	75.69	0.51	88.61	30.47	7.99	62.05	0.23
ISCCP23	<b>36.57</b>	85.54	74.77	61.16	<b>0.12</b>	<b>0.00</b>	NA	NA	NA	NA
ISCCP3.6	<b>36.57</b>	32.84	16.26	58.67	<b>0.17</b>	<b>0.00</b>	NA	NA	NA	NA

As previously mentioned, all statistical measures also were calculated for different latitudes (Fig. 5.).

The observed latitudinal variability can be attributed to the physical properties of the different radiation wavelengths used by each channel, as well as their specific functions. Additionally, this variability is influenced by factors such as the spatial distribution of Cirrus clouds and the varying illumination conditions across latitudes. For almost all of the tests we observe the ROP (Fig. 5a. & Fig. 5b.) decrease with the latitude increase. This is related to presence of solar illumination. The exception is ROP according to BT3.9-12.0 (which increase from 0% in tropics to almost 30% in polar region) and was specifically designed for nighttime observations over land and polar snow/ice surfaces. ROP for both tests using ISCCP criteria is equal.

The latitudinal distribution of POD during the day (Fig. 5c.) shows that ISCCP criteria most accurately detected cirrus clouds in the tropical regions (up to 75% for ISCCP23 and almost 100% for ISCCP3.6), with POD reduction with latitude decrease (to about 10% and 40% respectively). A similar pattern was observed i.e. for BT13.9 method, but with cirrus detection capabilities about 3 times inferior. Depending on the test, latitudinal variability of POD could be also higher for mid-latitudes (ATC), low latitudes (test utilizing the solar radiation range), or remained relatively unchanged. There is no clear trend of increasing/decreasing POD with latitude during the night (Fig. 5d.; slightly more cirrus correctly detected for polar regions by IR, BT13.9 and BT3.9-12.0 tests). The mid-latitudes exhibit POD drop for BT6.7 test, and consequently ATC test.

Figure 5 (Fig. 5e. & Fig. 5f.) shows also the latitudinal variability of FAR. In the tropical regions most of the tests show peak of falsely reported cirrus clouds during daytime in equatorial region (with maximum exceeding 90% for ISCCP23 and 50% for ISCCP3.6). Additionally, BT1.38 test falsely detects

532 cirrus more often with increasing latitude, which results in 'bimodal' FAR distribution with peaks in  
533 tropics (about 35%) and midlatitudes (75% for northern hemisphere and 30% for southern). A  
534 distribution resembling BT1.38 exhibited test ATC, but with an upward shift of about 10 percentage  
535 points. Relatively few falsely observed cloud cases, with similar to the daytime distribution, were  
536 detected at night.

537 No significant differences were found between the equatorial and polar regions for all the tests for OA.  
538 For daytime the latitudinal variation was more readily observable and varied (Fig. 5g. & 5i. vs Fig. 5h.  
539 & 5j.).

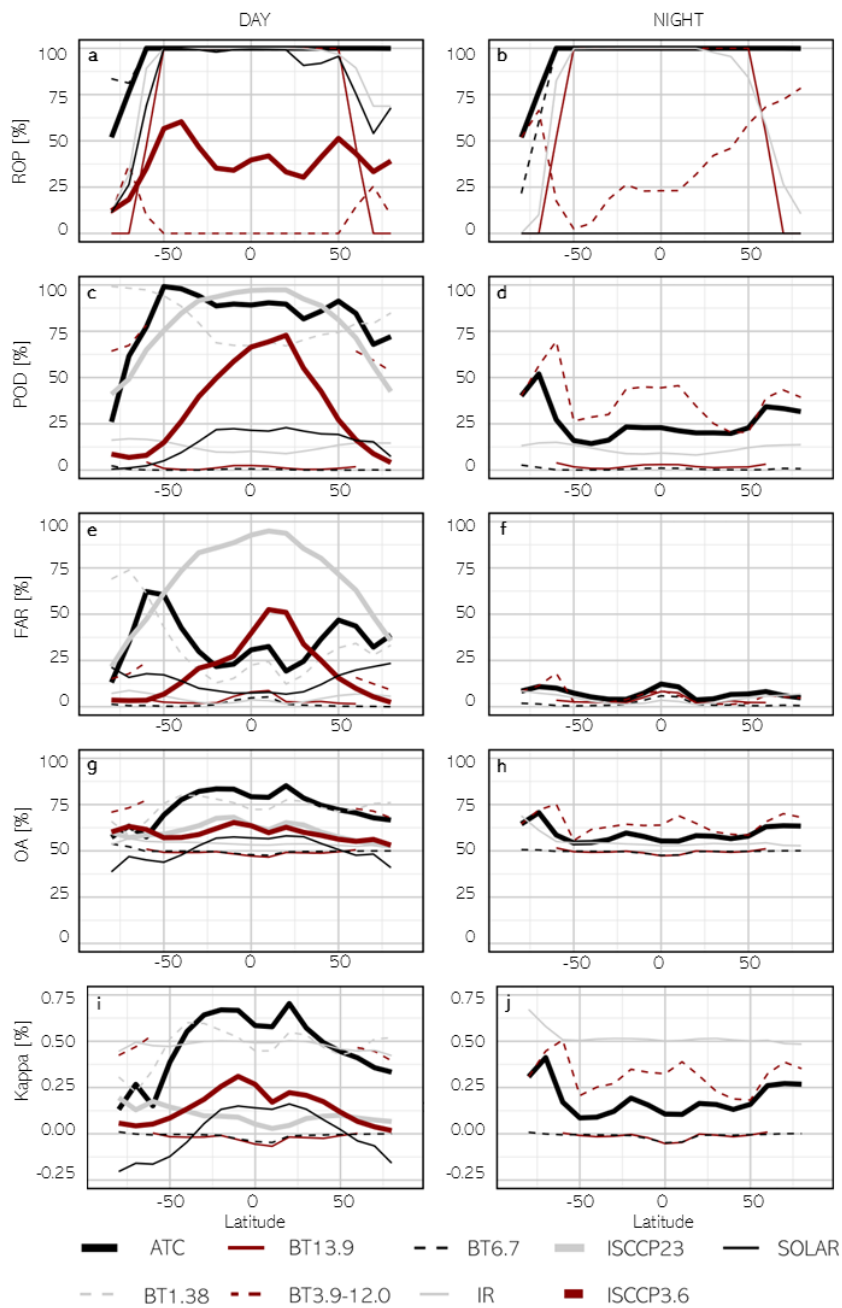
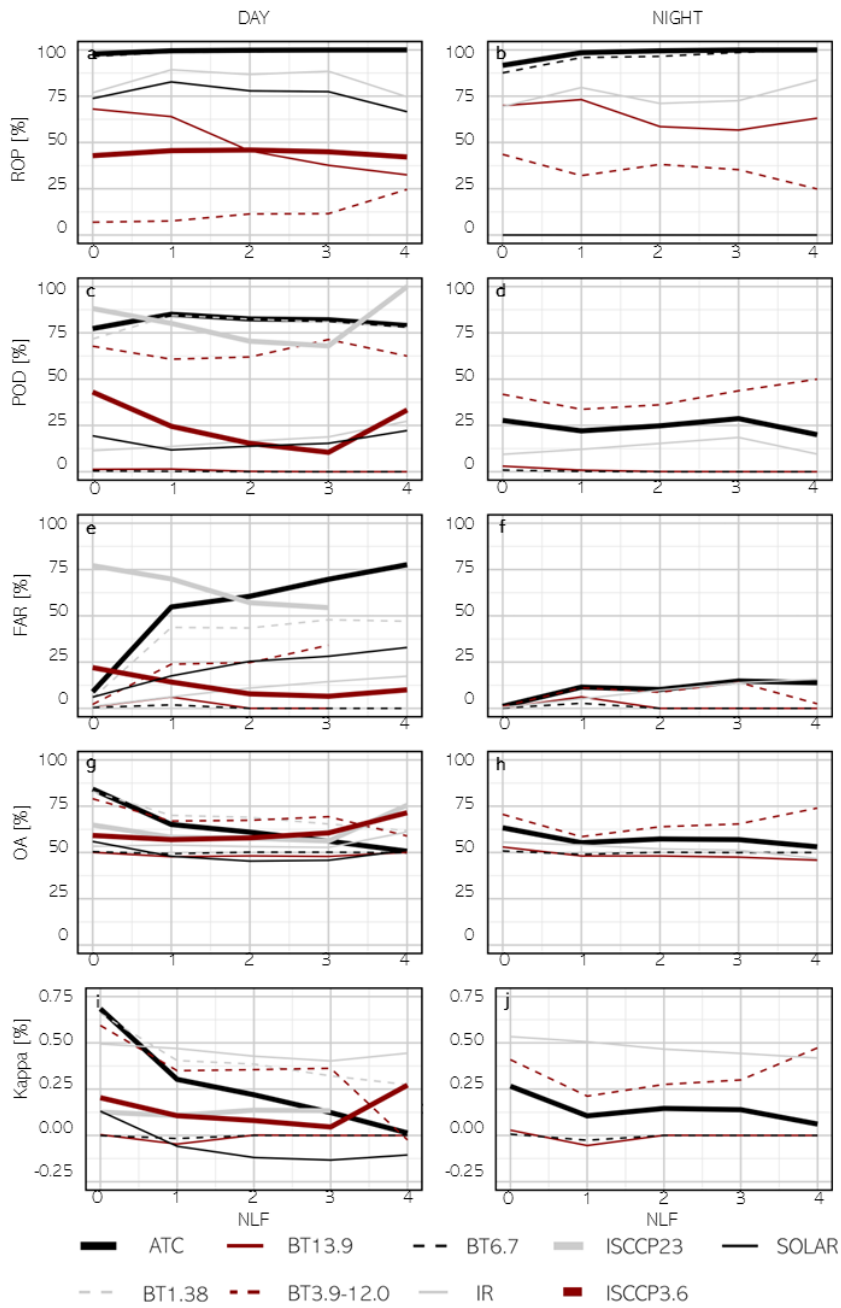


Fig. 5. Cirrus detection accuracy with respect to the latitude (letters (a, ..., j) used to facilitate reference in the text)

542 Considering the very high proportion of correctly detected cirrus clouds, the high overall accuracy and  
 543 kappa coefficient (degree of agreement between two classification methods), ATC test showed the  
 544 highest agreement with CALIOP data. Additionally, it covers nearly all observations in the test (96.7%)  
 545 and shows relatively low variability of statistical measures across different latitudes. Therefore, it can  
 546 be used as a basis for studies evaluating cirrus cloud coverage in long term perspective.  
 547 To ensure the ATC test performs optimally under various conditions and to provide a comprehensive  
 548 analysis, fit measures were additionally evaluated for “number of layers found” (NLF, Fig. 6.) and IGBP  
 549 (The International Geosphere–Biosphere Programme, Table 4).  
 550 CALIOP data products allow to report up to 10 cloud layers within a profile. When multiple cloud layers  
 551 overlap, the lidar signal may be attenuated, potentially leading to underestimation of cloud detection.  
 552 Our research evaluated the collocation of MODIS data to the reference CALIOP data, segmented by  
 553 the number of detected cloud layers excluding cirrus clouds. A zero indicated that no other cloud layers  
 554 were detected besides possible cirrus in a given profile. Both day and night observations revealed a  
 555 maximum of four additional cloud layers. Based on the test conducted, ROP either decreased (i.e.  
 556 BT13.9 70% to 30% at daytime or BT3.9-12.0 at nighttime), increased (7% to 25% at daytime for BT3.9-  
 557 12.0), or remained stable with an increasing number of cloud layers (Fig. 6a. & Fig. 6b.). For ATC test,  
 558 no discernible trend was identified. No clear trend could be observed for POD, both day and night (Fig.  
 559 6c. & Fig. 6d.). However, the distribution of the FAR parameter exhibited a different pattern. In several  
 560 tests, particularly the ATC test, the FAR value (Fig. 6e & Fig. 6f) significantly increased with the number  
 561 of detected cloud layers (from 9% to 78% during the day and from 1% to 15% at night for the ATC test).  
 562 This pattern suggests that for clouds with significant vertical development (i.e., those containing  
 563 multiple layers), MODIS tended to identify only the uppermost layer, mistakenly classifying it as the  
 564 entire cloud profile. As a result, the increasing number of falsely detected cirrus clouds, particularly in  
 565 cases of non-cirrus layers (NLF), is reflected in the distributions of OA and kappa. Specifically, as the  
 566 number of non-cirrus layers increases, both OA and kappa values decrease, for both day and night  
 567 observations (Fig. 6g., Fig. 6h., Fig. 6i. & Fig. 6j.).  
 568 The International Geosphere–Biosphere Programme defines ecosystem surface classifications. For  
 569 purpose of this study, 17 IGBP groups were aggregated to 3 classes: water, land and snow (goodness-  
 570 of-fit with respect to land classification is presented in Table 4.). Bright surfaces like snow, ice deserts,  
 571 or complex terrain with varying surface types can make it challenging to distinguish clouds from the  
 572 ground. The first noticeable aspect is the significantly lower ROP for snow compared to other classes.  
 573 Generally, the fit measures are similar to those in previous analyses. During the day, ATC test performs  
 574 better over water, whereas SOLAR test performs better over land. On the contrary, during nighttime,  
 575 BT3.9-12.0 test performs better over water, whereas ATC test performs better over land.



576

577 Fig. 6. Cirrus detection accuracy with respect to the NLF (letters (a, ..., j) used to facilitate reference in the text)



Daytime						Nighttime					
Accuracy measures											
	ROP [%]	POD	FAR	OA	k	ROP [%]	POD	FAR	OA	k	
WATER											
SOLAR	88.95	11.40	13.05	49.55	-0.02	0.00	NA	NA	NA	NA	
IR	92.44	12.93	4.24	54.23	0.48	85.56	11.10	3.36	54.35	0.51	
BT13.9	74.18	1.32	3.66	48.21	-0.02	79.41	1.98	3.37	49.90	-0.01	
BT6.7	99.99	0.20	1.25	49.48	-0.01	99.98	0.52	1.57	49.48	-0.01	
BT1.38	88.95	84.91	30.78	76.99	0.54	0.00	NA	NA	NA	NA	
BT3.9-12.0	5.45	67.67	16.17	74.23	0.49	14.64	51.57	8.69	70.13	0.42	
ATC	100.00	90.10	40.63	74.73	0.49	99.99	18.94	6.62	56.16	0.12	
ISCCP23	29.32	86.27	73.48	62.45	0.14	0.00	NA	NA	NA	NA	
ISCCP3.6	29.32	34.69	16.22	59.89	0.19	0.00	NA	NA	NA	NA	
LAND											
SOLAR	84.11	27.39	12.70	57.53	0.15	0.00	NA	NA	NA	NA	
IR	93.02	11.42	4.47	52.87	0.48	80.87	9.16	2.92	53.14	0.51	
BT13.9	77.48	1.41	3.40	49.65	-0.02	86.30	2.49	3.58	49.46	-0.01	
BT6.7	100.00	0.22	1.32	49.45	-0.01	100.00	0.49	1.64	49.42	-0.01	
BT1.38	88.95	84.91	30.78	76.99	0.54	0.00	NA	NA	NA	NA	
BT3.9-12.0	8.09	62.80	14.99	71.91	0.45	97.78	33.85	3.61	65.15	0.30	
ATC	100.00	79.62	29.87	74.88	0.50	100.00	39.34	7.80	65.77	0.32	
ISCCP23	45.98	83.88	76.09	58.95	0.08	0.00	NA	NA	NA	NA	
ISCCP3.6	45.98	35.73	22.99	55.46	0.12	0.00	NA	NA	NA	NA	
SNOW											
SOLAR	10.35	6.01	20.12	41.56	-0.14	0.00	NA	NA	NA	NA	
IR	13.98	15.12	7.27	50.73	0.43	1.12	13.76	5.52	56.13	0.52	
BT13.9	0.16	0.72	5.12	47.19	-0.04	0.16	2.59	5.06	49.70	-0.03	
BT6.7	78.83	1.70	1.04	54.07	0.01	27.05	2.48	1.86	49.83	0.01	
BT1.38	10.35	90.90	53.45	69.55	0.38	0.00	NA	NA	NA	NA	
BT3.9-12.0	13.95	61.99	15.30	69.73	0.41	47.02	39.67	7.85	65.31	0.31	
ATC	88.29	27.48	10.83	59.27	0.17	55.73	33.67	7.17	62.25	0.26	
ISCCP23	11.34	46.54	31.07	57.85	0.16	0.00	NA	NA	NA	NA	
ISCCP3.6	11.34	8.00	3.64	59.62	0.05	0.00	NA	NA	NA	NA	

580

581 The analysis with respect to NLF and land cover types confirmed that ATC test is best suited for  
582 achieving the objective of this study. Therefore, the spatial distribution of the individual fit measures  
583 for this test was examined (Fig. 7).

584 The spatial distribution reveals a very high ROP for both: daytime (Fig. 7a.) and nighttime (Fig. 7b.) for  
585 the entire Earth. The southernmost regions of the Southern Hemisphere are an exception, exhibiting  
586 lower values.

587 Spatial variations in correctly detected cirrus highlight differences between daytime and nighttime  
588 POD distribution (Fig. 7c. & Fig. 7d.). During daytime, high values are observed over nearly the entire  
589 Earth's surface, with exceptions in Antarctica, Greenland and the Himalayas ( $\geq 80\%$  vs  $\leq 20\%$   
590 respectively), which are regions covered by snow and ice. However, at night, the highest difference is  
591 between land and water ( $\geq 50\%$  vs approximately  $20\%$ ). Similar patterns to the POD distribution for  
592 day and night can be observed in the OA results (Fig. 7g. - Fig. 7h.). On both sides of the equator, FAR  
593 reaches the lowest values, being slightly higher during the day than at night (around  $20\%$  and  $\leq 5\%$ )  
594 and increasing with latitude. However, there is a decrease in FAR observed in regions covered by snow  
595 and ice (Fig. 7e. & Fig. 7f.). In regions with the highest rate of correctly detected and the lowest rate of  
596 falsely reported cirrus, the general accuracy of classification (OA) exceeded  $80\%$  during daytime and  
597  $50\%$  at night. Similar to OA, kappa was higher during the day. During the day, kappa values ranged  
598 from  $0.5$  to  $1.0$  in regions at low latitudes. In contrast, at mid and high latitudes, kappa values were  
599 between  $0.0$  and  $0.5$ , remaining positive (Fig. 7i.). At night (Fig. 7j.), nearly the entire Earth's surface  
600 exhibited kappa values between  $0.0$  and  $0.5$ , with negative kappa values observed near Micronesia.

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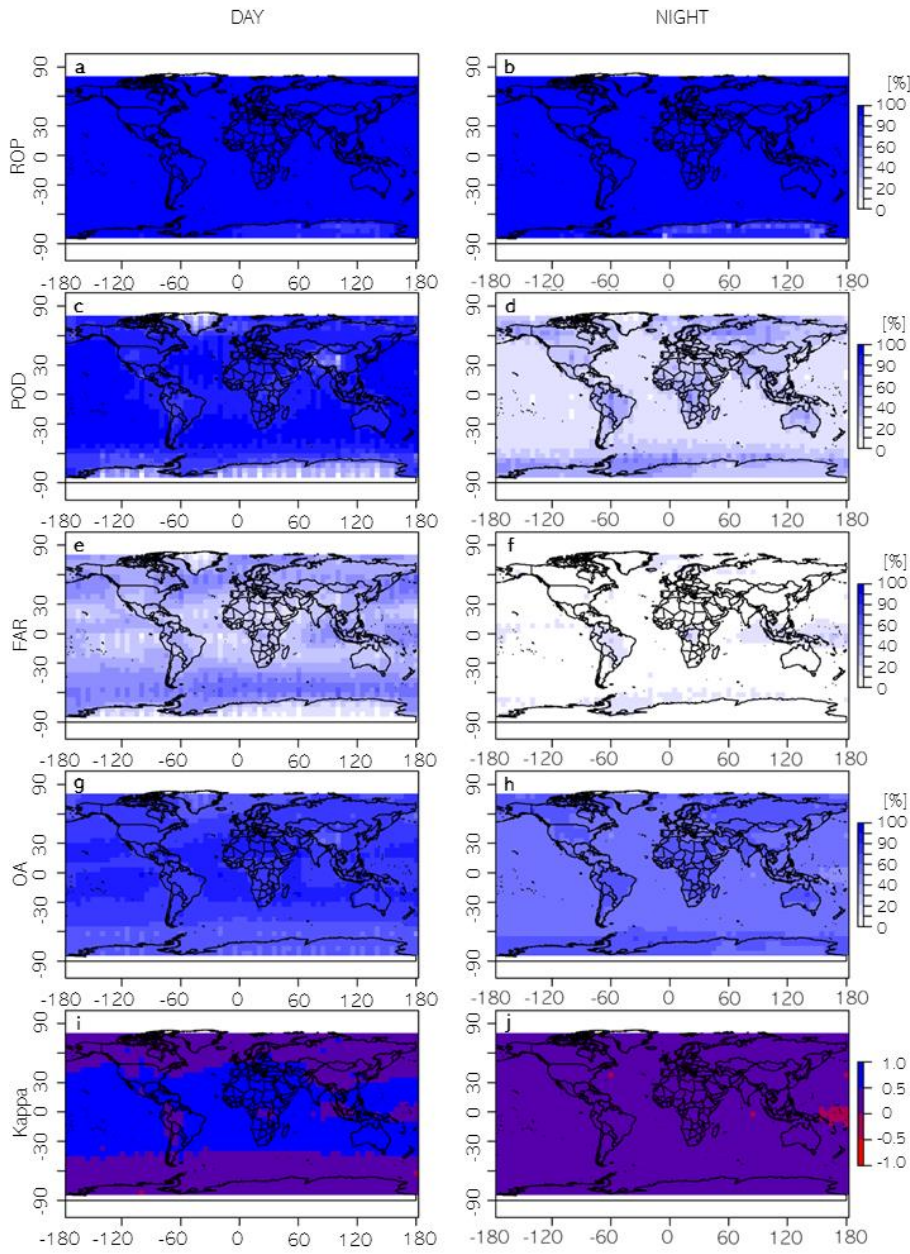


Fig. 7. Spatial distribution of the accuracy detection of cirrus using ATC test (letters (a, ..., j) used to facilitate reference in the text)

## 5. Discussion

~~This study demonstrated that MODIS operational cloud mask product can be used for producing a relatively accurate cirrus mask daytime (73% of overall accuracy, kappa =0.46), and a questionable quality cirrus mask night-time (60% with kappa of only 0.2). This study proved that MODIS ready-to-use cloud mask product can be used for producing a reliable cirrus mask.~~ We suggested the best approach to achieve such a goal, and reported related limitations, specifically for nighttime conditions.

During daytime, the two most effective tests were BT1.38 and ATC. With very similar parameters (POD, FAR, OA and kappa) the ATC test demonstrated superiority due to a significantly higher number of available observations. Among the nighttime tests the ATC test proved to be the most suitable for cirrus detection.

Additionally, the ATC test covers nearly all observations in the test (96.7%) and shows relatively low variability of statistical measures across different latitudes. Spatial analysis indicates a very high level of ROP for both: day and night for the entire Earth. Spatial variations observed in correctly detected cirrus highlight differences between daytime and nighttime POD distribution. During the daytime, high values of POD are observed over nearly the entire Earth's surface, with exceptions in the polar regions and Himalayas. However, at night, land regions display higher POD values compared to the surrounding areas.

The International Satellite Cloud Climatology Project (ISCCP) has long provided a framework for cloud classification and detection, offering standardized methods to analyse cloud properties on a global scale. Within this framework, the ISCCP3.6 and ISCCP23 tests were applied in this study to evaluate their performance in detecting cirrus clouds using MODIS data.

The results of the ISCCP3.6 and ISCCP23 tests highlight their respective strengths and limitations. The ISCCP3.6 test defines cirrus clouds as having an optical thickness below 3.6 and cloud-top pressure below 440 hPa. It demonstrated moderate detection performance during daytime. However, its use is limited to daytime observations, and it achieves a relatively low Rate of Observations Performed (ROP) at 37.97%. Conversely, the ISCCP23 test, which broadens the definition of cirrus to include clouds with optical thicknesses below 23, achieved a significantly higher POD of 84.16% but at the expense of a much higher FAR of 72.00%, resulting in a slightly better OA of 61.26%. Like ISCCP3.6, the ISCCP23 test was also restricted to daytime observations and exhibited the same ROP of 37.97%. When compared to the ATC test, both ISCCP-based tests exhibit notable limitations. Moreover, the ISCCP statistics presented in this study do not reflect the characteristics of the early years of the ISCCP climatology,

641 which primarily utilized data from satellites equipped with AVHRR sensors, rather than the more recent  
642 observations from MODIS.

643 Considering all mentioned above, the ATC test is proved to be the best among the available methods  
644 for detecting high-level clouds. However, it is evident that its utility during nighttime is limited  
645 compared to daytime. A notable factor contributing to this is the sensitivity of CALIOP. Lidar is known  
646 to have significantly greater sensitivity at night, which explains its ability to detect nearly twice as many  
647 cirrus clouds globally at night compared to daytime. This diurnal pattern in CALIOP data, while  
648 highlighting the sensor's advantages in nighttime detection, should not be misinterpreted as a  
649 definitive indicator of diurnal differences in cirrus cloud occurrence. Instead, it reflects the increased  
650 detection capabilities of CALIOP at night.

651 Additionally, MODIS faces further limitations at night due to the unavailability of the 1.38  $\mu\text{m}$  band ([a](#)  
652 [‘cirrus band’, introduced specifically to detect high, ice clouds;](#) (Bo-Cai Gao and Kaufman, 1995), which  
653 is highly effective for detecting cirrus clouds during the day. As shown in the statistical analysis,  
654 alternative tests exhibit significantly lower performance compared to the 1.38  $\mu\text{m}$  band, emphasizing  
655 its critical role in daytime cirrus cloud detection. This limitation further impacts the [low](#) effectiveness  
656 of MODIS-based cirrus detection during nighttime observations.

657 Consequently, we have determined that the ATC test may be suitable for creating a [high-level cirrus](#)  
658 cloud mask and conducting a long-term climatological analysis of cirrus cloud coverage. This approach  
659 simultaneously allows us to address the second research gap mentioned in this paragraph, which  
660 concerns our lack of knowledge regarding the long-term variability of high-level cloud coverage.  
661 Obtained from the CALIOP data, the cirrus mask mentioned in Section 3 allows us to investigate the  
662 distribution of cirrus clouds (Fig. 2.) in 2015. Based on the CALIOP dataset, cirrus cloud coverage  
663 reached 18.7% in 2015, daytime coverage of high-level clouds in 2015 was recorded at 13.2%, whereas  
664 nighttime coverage was higher, measured at 23.3%. The day-night differences result from CALIOP's  
665 higher nighttime sensitivity, reduced lidar signal noise, and increased nocturnal convective activity  
666 leading to more cirrus formation. Additionally, annual variations in cloud amount (over 10 percentage  
667 points) may occur due to CALIPSO's sampling frequency, as noted by Kotarba (2022).

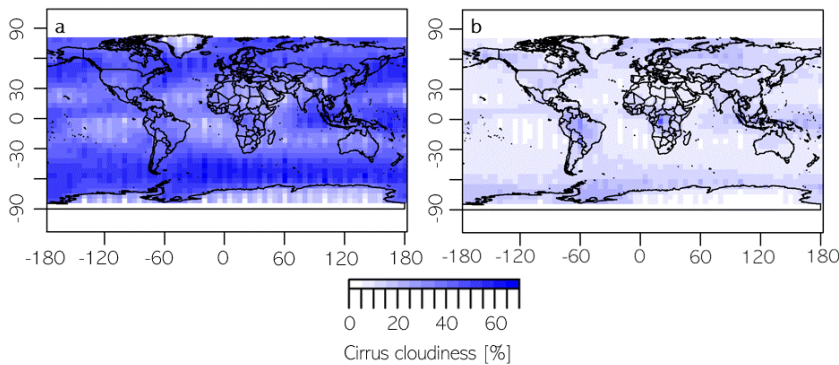
668 Similarly, a cirrus mask was generated based on the MODIS data using the ATC test. Derived from this  
669 data, cirrus cloud coverage (Fig. 8a.) showed daytime coverage of high-level clouds at 41.0%, while  
670 nighttime coverage was lower, measured at 10.9% (Fig. 8b.).

671 We also compared cirrus cloud coverage in 2015 obtained from CALIOP and MODIS data (Fig. 9.). [Each](#)  
672 [point in Figure 9 represents mean annual cirrus cloud amount within 5-deg grid box, calculated from](#)  
673 [all available observations within that grid cell.](#) The mean difference between cirrus coverage derived  
674 from CALIOP and MODIS was -27.71 p.p. for daytime observations (Fig. 9a.), with MODIS generally  
675 indicated higher cloud cover compared to CALIOP. On the contrary, the mean difference between

676 cirrus coverage derived from CALIOP and MODIS was -12.31 p.p. for the nighttime observations (Fig.  
677 9b.). A linear regression was performed to evaluate how well MODIS-derived cirrus coverage  
678 corresponds to CALIOP values. While the relationship between MODIS and CALIOP is statistically  
679 significant ( $p < 0.001$ ), the  $R^2$  value of 0.165 indicates that MODIS captures only 16.5% of the variability.  
680 In the nighttime dataset, the  $R^2$  improves to 0.422, meaning MODIS cloud coverage aligns better with  
681 CALIOP at night. Additionally, we highlighted in blue the grid cells where the agreement between  
682 MODIS and CALIOP cloud classification was moderate or higher, i.e., Cohen's kappa  $\geq 0.5$ . During the  
683 day, high-kappa points tend to cluster in regions with low to moderate cirrus amounts. At night, the  
684 distribution of high-kappa points is more dispersed, indicating that even without the 1.38  $\mu\text{m}$  band,  
685 MODIS can achieve strong agreement with CALIOP in selected regions.  
686 The divergence between pixel-level classification metrics and the aggregated cirrus cloud cover  
687 comparisons arises from differences in MODIS detection performance between daytime and nighttime  
688 conditions. During daytime, MODIS exhibits higher pixel-level agreement with CALIOP, as indicated by  
689 elevated kappa and POD values. However, this is accompanied by a substantial false alarm rate, largely  
690 attributable to inherited detections from the 1.38  $\mu\text{m}$  cirrus test within the MODIS ATC. This spectral  
691 test, while highly sensitive to high-level clouds, is prone to overestimations during daytime due to  
692 factors such as surface reflection and sun-glint. Consequently, MODIS systematically overestimates  
693 cirrus cover relative to CALIOP in daytime aggregated statistics. At night, the absence of the 1.38  $\mu\text{m}$   
694 channel reduces the occurrence of false alarms, leading to a closer agreement in total cirrus cover  
695 between MODIS and CALIOP, despite the weaker agreement observed at the pixel scale. Although the  
696 majority of fit metrics show improved performance during the day, the high number of false alarms  
697 ultimately results in the nighttime fit being more accurate when cirrus coverage is examined in the  
698 subsequent analysis.

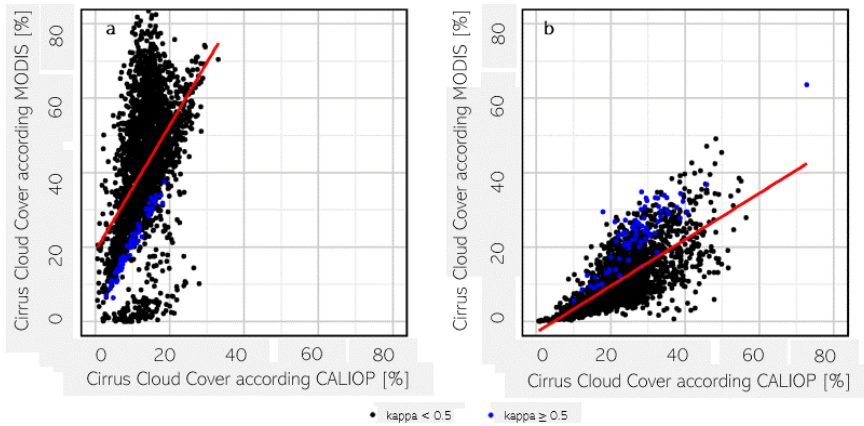
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699  
700 Fig. 8. MODIS-based cirrus cloud coverage in 2015- daytime (a) and nighttime (b) derived with ATC approach of this study

701



702

703 Fig. 9. CALIOP-MODIS cirrus cloud coverage comparison in 2015- daytime (a) and nighttime (b)

704 Our goal was to assess the extent to which [selected tests of MODIS cloud mask can be used for](#)  
 705 [producing a cirrus mask, detects cirrus clouds in comparison to CALIPSO, while](#) acknowledging that  
 706 MODIS will [inevitably](#) miss a significant portion of cirrus [clouds](#) due to [its the sensor's](#) lower sensitivity  
 707 [to optically thin clouds](#). This comparison offers valuable insights into the practical efficiency of the  
 708 MODIS instrument. We accepted MODIS data as it is; however, [In order to discuss how the sensitivity](#)  
 709 [possibly impacted our results](#) we examined the [fit MODIS-CALIOP agreement measures](#) as a function  
 710 of COT. [Information on the cirrus optical thickness was obtained from CALIOP data, and the results are](#)  
 711 [shown in \(from CALIPSO satellite; Fig. 10.\)](#) as this primarily explains the differences between MODIS  
 712 and CALIOP measurements.

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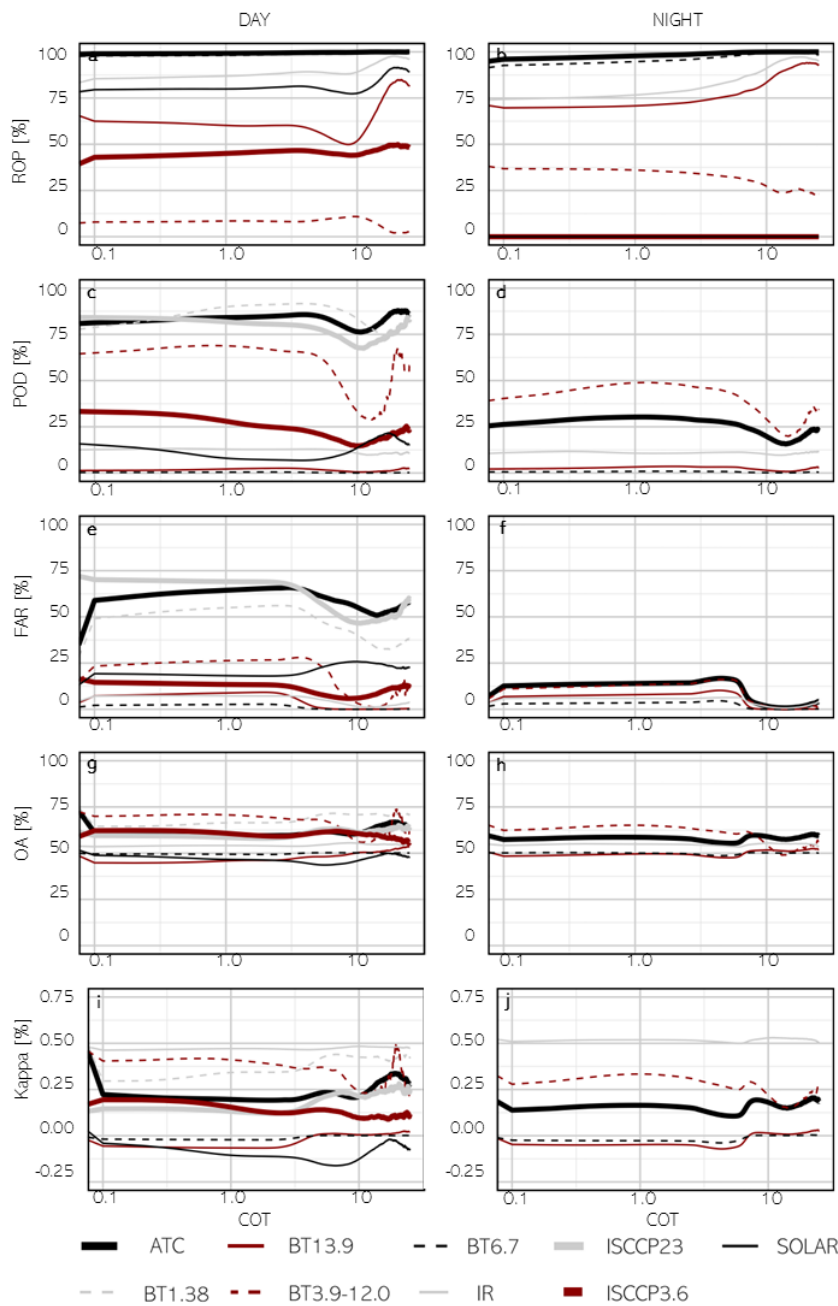


Figure 10. Cirrus detection accuracy with respect to the COT (0-25) (letters (a, ..., j) used to facilitate reference in the text)



As observed in [the graph](#) (Fig. 10.), there are no significant changes within the [COT](#) range of 0.1 to 1.0, and even up to 10.0. The most noticeable changes occur at COT values close to 10, though these may be influenced by the sample size, as the occurrence of cirrus clouds with a COT near 10 is limited or may represent a misclassification by CALIOP. At such high COT values, the lidar signal tends to be significantly attenuated, making accurate retrievals increasingly uncertain. This is because as the optical thickness increases, the lidar backscatter signal becomes weaker and may become too weak for precise measurements. Therefore, clouds with such high optical thickness may not be reliably detected by CALIOP, leading to potential misclassifications or missing data (Winker et al., 2024). In some cases, high COT values assigned to cirrus clouds may actually correspond to the cirrus-like top of a strong cumulonimbus cloud, which can be misclassified as cirrus due to the limitations of the CALIOP classification algorithm under conditions of strong signal attenuation. Notably, differences in parameter values are apparent between a COT of 0 (indicating no cirrus according to CALIOP, at the start of the graph) and 0.1. Upon examining the ATC test results, FAR increases from approximately 30 to 60 during the day, with a similar rise observed at night. The reduced sensitivity of MODIS is reflected in a small but observable increase in POD values as COT increases. Additionally, as thin cirrus clouds become more prevalent, both OA and kappa values decrease.

As mentioned earlier, CALIPSO can detect cirrus clouds with an optical thickness as low as 0.01, whereas MODIS typically detects them when COT ranges between 0.4 and 0.5. Therefore, we analysed the changes in fit measure as a function of COT within the range of 0 to 1, using a finer step size of 0.01 instead of 0.1 as in previous analyses (Fig. 11.).

During the daytime, most methods show a steady increase in POD as COT rises, while at night, POD also improves significantly with increasing COT, with ATC outperforming other tests. When solar radiation is present, FAR increases with higher COT for most methods, indicating more false positives as clouds become optically thicker. At night, FAR remains relatively low but shows a slight upward trend with increasing COT. OA remains stable during both day and night. Kappa improves at night for all methods as COT increases but remains lower than daytime values. For daytime, Kappa is highest for ATC and gradually decreases as COT rises.

Given that MODIS inevitably misses a significant portion of cirrus clouds due to its lower sensitivity, we conducted a detailed analysis for COT values between 0 and 10 and between 0 and 1 with a finer step. The results reveal that fit measures change noticeably with increasing COT for small COT values (<1), a trend that stabilizes for higher COT values. Although it is certain that the issue of thin cirrus clouds generally lowers the fit measures of MODIS to CALIOP, it cannot be said that this is the sole reason for the imperfect fit, as at higher COT values (>1) it also deviates from the full fit. Despite MODIS's limited ability to detect thin cirrus clouds, we do not dismiss its utility. Notably, the ATC method consistently

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750 outperforms other approaches across all evaluated metrics, making it a reliable choice for cirrus  
751 detection.

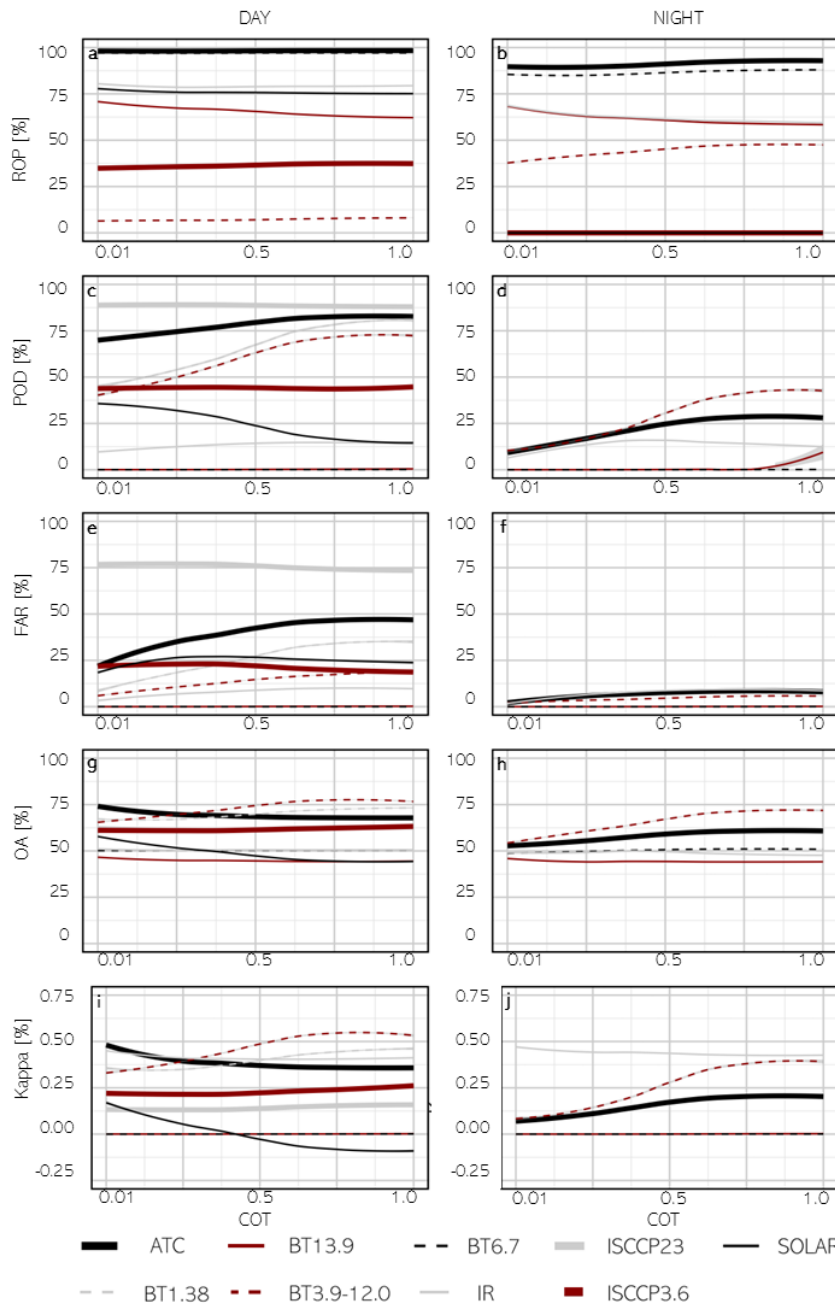


Figure 11. Cirrus detection accuracy with respect to the COT (0-1) (letters (a, ..., j) used to facilitate reference in the text)

## 6. Summary

This study assessed the applicability of the MODIS operational cloud mask product (MYD35) for generating a cirrus cloud mask. To evaluate the accuracy of cirrus detection using the cloud mask tests, we employed a dataset comprising 136 million CALIOP lidar observations from the year 2015 as a reference. This study evaluates the utility of MODIS data (Aqua satellite) for detecting cirrus clouds by comparing it to CALIOP, a lidar instrument (CALIPSO satellite). Cirrus clouds, located above 6,000-8,000 meters and composed of ice crystals, play a significant role in Earth's radiative budget due to their warming effects. In both sensor's data, cirrus clouds are the same physical phenomenon; however, the distinction arises from the varying sensitivities of the detection instruments employed, with optical thickness serving as a crucial parameter. The research aims to determine how well the MODIS products can be used to identify cirrus clouds compared to CALIPSO.

The study The analysis considered: ~~assessed~~ six existing MODIS cloud tests (already reported in the Cloud Mask product), ~~their combination~~ (the ATC test, introduced by this study), and two methods originating from the ISCCP cloud classification scheme, using 136 million observations from 2015.

The key findings ~~was reveal~~ that the ATC test ~~was is~~ the most effective for detecting cirrus clouds:

- ~~d~~During daytime, it achieved ~~a moderate reliability, confirmed by~~ an overall accuracy of 72.98%, with a probability of detection (POD) of 80.87%, a false alarm rate (FAR) of 34.86%, and a Cohen's kappa coefficient of 0.46.
- ~~a~~At nighttime, its ~~showed a low reliability, as proved by an overall accuracy of overall accuracy dropped to~~ 59.50%, with a POD of 25.46%, FAR of 6.90%, and ~~low~~ kappa coefficient of 0.19.

The CALIOP-based cirrus mask revealed a global cirrus cloud coverage of 18.7% in 2015, with higher nighttime coverage (23.3%) compared to daytime (13.2%) due to CALIOP's enhanced nighttime sensitivity. In contrast, the MODIS-based ATC test estimated daytime cirrus coverage at 41.0%, but significantly lower nighttime coverage at 10.9%. Equatorial regions exhibited the highest cirrus frequencies, particularly at night.

Although this study is based on one year of data, the large sample size ensures statistical relevance. The ATC test demonstrates relatively high detection capability during daytime and acceptable agreement with CALIOP, but with noted limitations at night and for optically thin cirrus. While MODIS data are often used in cirrus climatologies due to their long-term consistency and global coverage, our findings suggest that cirrus detection within the MODIS cloud mask should be used with caution. The accuracy and reliability observed in this study indicate that the product's applicability to long-term

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788 trend analysis may be limited, depending on the specific requirements of the study. In the context of  
789 climate studies, the key consideration is not **only** the absolute accuracy of individual detections, but  
790 **also** the consistency of detection biases over time. Despite its limitations, **for the daytime** the ATC test  
791 shows promise for creating a high-level cloud mask and conducting long-term climatological studies.

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792 This study represents a **critical** step toward leveraging MODIS data for understanding high-level cloud  
793 coverage and its climatic impacts.

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794

795 **Acknowledgements**

796 The research has been supported by the National Science Center of Poland [grant number  
797 2021/41/N/ST10/02274] and a grant from the Priority Research Area (“Anthropocene”) under the  
798 Strategic Programme Excellence Initiative at Jagiellonian University.

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799 ~~This work was supported by the National Science Center of Poland [grant number~~  
800 ~~2021/41/N/ST10/02274].~~

801 We gratefully acknowledge Poland's high-performance Infrastructure PLGrid ACK Cyfronet AGH for  
802 providing computer facilities and support within computational grant no PLG/2024/016949.

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