



# A Bias Correction Scheme for FY-3E/ HIRAS-II **Observation Data Assimilation**

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1 ABSTRACT—Meteorological satellite data have been extensively utilized in global numerical weather prediction systems and have a 2 positive impact to improve forecast accuracy. In order to correctly assimilate satellite radiance observations in data assimilation systems, 3 the systematic observation biases must be corrected to conform to a Gaussian normal distribution with a mean of 0. By selecting 4 appropriate air-mass predictors through correlation assessment, a two-step bias correction scheme (namely the scan-angle bias 5 correction and the air-mass bias correction) is established in this paper based on radiation observations of FY-3E/ HIRAS-II from 1 to 6 31 January 2023. The results indicate that FY-3E/HIRAS-II O-B (observation-simulation) bias exhibits scanning angle bias dependence 7 from nadir to limb field of view. Statistics have found that this scanning angle bias does not depend on latitude band. After scan-angle 8 bias correction using statistical scan-angle correction coefficients, the dependence of the O-B biases on the scan angle can be eliminated. 9 The second step is to perform air-mass correction. Our correction scheme is compared with the air-mass bias correction scheme in 10 NCEP-GSI. Although the scan angle influence is also considered in NECP-GSI scheme, it does not account for the water vapor effect in 11 the atmosphere. Consequently, the correction effect is not good for channels with lower peak height of weighting function, resulting in a 12 slightly residual positive bias after correction. The combination of air-mass predictors (model surface skin temperature, model total 13 column water vapor, thickness of 1000-300 hPa, and thickness of 200-50 hPa) selected through importance assessment in this study 14 effectively eliminates the air-mass biases. The systematic biases between observed brightness temperature and background simulated 15 brightness temperature from background atmospheric field for all HIRAS-II channels significantly decrease after bias correction, and 16 the bias distribution essentially follows a Gaussian normal distribution with a mean of 0. The bias correction scheme has a significant 17 improvement for the analysis at upper air and near surface. 18

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Index Terms—FY-3E/HIRAS-II, bias correction, data assimilation, numerical weather prediction (NWP)

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# 1. INTRODUCTION

21 The quality of the numerical weather prediction (NWP) largely depends on the accuracy of the initial atmospheric conditions, 22 provided by the data assimilation system (Auligné et al., 2007b). Satellite data is an important input observation source to data 23 assimilation systems, it is of great significance to correctly assimilate satellite radiation data to improve the accuracy of the 24 numerical weather prediction (Zhang et al. 2023).

25 Satellite observations have the advantages of wide coverage and high temporal and spatial resolution, which greatly 26 complement the data gaps in areas lacking conventional observations worldwide. Moreover, satellite radiations in infrared or 27 microwave bands exhibit strong sensitivity to meteorological elements (e.g., temperature, humidity) within the atmospheric 28 structure (including the Earth's surface) (Li et al., 2019). Notably, satellite-borne infrared hyperspectral atmospheric sounders such 29 as AIRS (Atmospheric Infrared Sounder), CrIS (Cross-track Infrared Sounder) and IASI (Infrared Atmospheric Sounding 30 Interferometer) can obtain global meteorological observations with multiple channels and high vertical and spectral resolution. 31 These observations, particularly three-dimensional atmospheric temperature and humidity profiles, have been extensively utilized 32 in global numerical weather prediction with a significant positive impact. In contrast to other infrared hyperspectral atmospheric 33 sounders, HIRAS-II (Hyperspectral Infrared Atmospheric Sounder-II) is carried on FY-3E, the world's first early morning polar-





orbiting meteorological satellite. The satellite launched in 2021 effectively fills the time gap of satellite observations within a 6hour assimilation window, ensuring 100% coverage of satellite observations within the assimilation time window. As the world's only infrared atmospheric sounder operating in an early morning polar orbit, it is imperative to assimilate its observations into data assimilation systems (Zhang et al., 2024).

- 38 One of the most important steps in data assimilation is bias correction, especially for the bias correction of satellite observation 39 (Yin et al., 2020). Satellite observation currently account for the vast majority of all assimilated atmospheric observations, and 40 satellite observations have a strong influence on the quality of the main output meteorological parameters (e.g., temperature, winds 41 and humidity) from the analysis (including the reanalysis), as well as the additional information generated by the assimilating 42 forecast models (e.g., precipitation, cloudiness and radiative fluxes). During assimilating the meteorological satellite-observed 43 radiation data, the target functional atmospheric data assimilation method based on statistical optimal estimation requires the errors 44 of satellite observations (O) and background simulations (B) all to be an unbiased Gaussian distribution. Therefore, if uncorrected 45 satellite observations are directly absorbed by the data assimilation system, the accuracy of the analyzed fields will be affected, 46 thus destroying the global NWP system in a very short time (Dee., 2004). However, satellite observations (O) and background (B) 47 in fact are always systematically biased. The systematic bias between O and B may originate from observation errors of the 48 instrument itself, simulation errors of the fast radiative transfer model, forecast errors of the NWP system (as the input atmospheric 49 state parameters to radiative transfer models), errors introduced during data preprocessing steps, among others. The sources of 50 systematic bias are complex, but the bias can be quantitatively estimated by statistical O - B. If the unbiased assumption is not valid, the deviation of the observation error  $\mu_o = \overline{O-T}$  and the deviation of the simulation error  $\mu_b = \overline{B-T}$  must be subtracted 51 52 from the observation and simulation brightness temperature, respectively. T represents the true value of brightness temperature. In the presence of errors in observation and background,  $\mu_{O-B} = \overline{O-B}$ ,  $\mu_{O-B}$  is the deviation between observed and simulated 53 54 brightness temperatures. This expression provides a basis for bias estimation and bias correction, so the value of  $\mu_{0-B}$  can be 55 estimated even in the absence of the true value based on the statistical samples of O - B. In order to properly use satellite 56 observations in the assimilation system, the O-B must first be corrected to produce an unbiased analysis (Zou., 2023). 57 McMillin et al. (1989) first proposed a bias correction scheme for the TIROS Operational Vertical Sounder (TOVS) using the
- 58 observed radiation from MSU (Microwave Sounder Unit) channels 2, 3 and 4 as air-mass predictors. Eyre (1992) adjusted the 59 scheme by incorporating cloud radiation, but the air-mass biases still remained. It is worth mentioning that Harris and Kelly (2001) 60 proposed a revolutionary bias correction scheme that divides the correction into two parts: the scan angle bias correction and the 61 air-mass bias correction. On one hand, the scheme incorporated the latitude dependency into scan angle bias correction, and on the 62 other hand, it replaced observation-based predictors variables with model background-based predictors. Although the scheme has 63 achieved remarkable progress, it is a static off-line scheme that relies on bias correction coefficients calculated in advance based 64 on historical samples and does not account for the evolution of biases with time and with weather systems. Subsequently, many 65 researchers developed variational bias correction schemes. Dee (2004) proposed a variational scheme for adaptive radiation bias 66 estimation and correction based on the ECMWF (European Centre for Medium-Range Weather Forecasts) assimilation system. 67 Zhu et al. (2014) objectively evaluated the effect of the variational correction scheme in NCEP (the National Centers for 68 Environmental Prediction) - GSI (Gridpoint Statistical Interpolation).
- Due to the dependence of systematic biases on instruments (or sensors), many scholars have developed specific bias correction 69 70 schemes suitable for respective satellite instruments. Liu et al. (2007) proposed a bias correction scheme based on the radiation 71 data from the ATOVS (Advanced TIROS Operational Vertical Sounder) instruments onboard NOAA-15/16/17 polar-orbiting 72 meteorological satellites. Li et al. (2016) proposed a bias correction scheme for IASI (Infrared Atmospheric Sounding 73 Interferometer) suitable for the GRAPES assimilation system, based on the approaches of Harris and Kelly. Li et al. (2019) assessed 74 the capability of air-mass predictors for bias correction in the NCEP GSI assimilation system using CrIS radiance data and proposed 75 an improved bias correction scheme based on the periodic characteristics of observation minus background biases. Yin et al. (2020) 76 evaluated the observation quality of the FY-4A/GIIRS (Geostationary Interferometric Infrared Sounder) longwave infrared





channels using GRAPES 4D-Var assimilation system and applied an off-line bias correction scheme to correct the O-B biases of
 these channels.

Most of the above radiation bias correction studies mainly focus on spaceborne microwave radiometers, and there is limited research on observation bias in infrared hyperspectral atmospheric sounders, particularly for the HIRAS-II onboard the early morning polar-orbiting satellite FY-3E launched recently. Therefore, a bias correction scheme suitable for FY-3E/HIRAS-II is established in this paper based on the selection of the optimal air-mass correction predictor combination using its radiation observation from 1 to 31 January 2023. In addition, the scheme is compared with the air-mass correction scheme in NECP-GSI to quantitatively evaluate its bias correction effect. Finally, the potential positive impact of the scheme to enhance the accuracy of data assimilation is check based on a one-month assimilation experiment.

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# 2. DATA AND MODEL

87 This article investigates a bias correction study on the radiation observations from the hyperspectral infrared atmospheric 88 sounder HIRAS-II aboard the Fengyun-3E satellite. HIRAS-II is an interferometer Fourier transform spectrometer with continuous 89 coverage of infrared wavelength from 3.92 to 15.38 µm, consisting of 3041 channels with a spectral resolution of 0.625 cm<sup>-1</sup>. 90 HIRAS-II measures Earth and atmosphere in the conventional mode through a cross-track rotary scan mirror that provides the scan 91 angles vary from  $-50.4^{\circ}$  to  $+50.4^{\circ}$ . Each scan line observes 32 fields of regard (FOR), including 28 contiguous ground targets, 92 2 cold spaces and 2 onboard blackbody targets. Each FOR consists of a 3×3 array of fields of view (FOVs) and the approximate 93 resolution for the nadir FOV is 14 km. A total of 31 days' HIRAS-II Level 1 radiation data from 1 to 31 January 2023 is used in 94 our research as the satellite observations (O). The HIRAS-II Level 1 radiation data obtained from the Fengyun Satellite Data 95 Center: http://data.nsmc.org.cn.

The National Centers for Environmental Prediction (NCEP) Global Forecast System (GFS) 6h forecast filed valid at 0000, 0600, 1200, and 1800 UTC, are used as input to the fast radiative transfer model. (Data are available at <u>https://rda.ucar.edu/datasets/ds0.841/</u>). GFS data is a regular latitude-longitude grid data with a spatial resolution of 0.25°×0.25° and the atmosphere is divided into 41 vertical layers from 1000 hPa to 0.01 hPa. The atmospheric state parameters include the profile of temperature, humidity and ozone, et al.

101 The GFS data are spatialy-temporaly matched to HIRAS-II FOVs as follows: for each HIRAS-II field of view (FOV), perform 102 bilinear interpolation on GFS forecast value by selecting the 4 closest grid points. Since the samples collected in this study are 103 clear-sky observations over sea, the clear-sky atmosphere does not change much within 0 to 3 hours, so based on the observation 104 time of each HIRAS-II FOV, select the two spatially matched GFS data that are closest to HIRAS-II's observation time for linear 105 interpolation.

The fast radiative transfer model employed in this study is RTTOV v12.3. RTTOV is a widely used fast radiative transfer model, suitable for satellite radiometers, spectrometers, and interferometers in visible, infrared and microwave bands. It can simulate satellite-observed radiation based on the atmospheric and surface state vectors input by users (Saunders et al., 2018) The spatially and temporally matched GFS data are used as input to calculate simulated radiation (B) for FY-3E/HIRAS-II.

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# 3. QUALITY CONTROL

111 To ensure the rationality and effectiveness of the HIRAS-II data used for statistics, a quality control process is performed 112 before calculating the bias correction coefficients. The following steps are included:

113 1) Cloud detection

114 Cloud detection is a crucial step before satellite data assimilation. Since the infrared hyperspectral atmospheric sounder cannot 115 penetrate clouds during the measurement, its observations are highly susceptible to the influence of clouds and precipitation, and 116 simulations of the fast radiative transfer modes under cloudy conditions have considerable uncertainty, so selecting the clear-sky





117 field of view with high confidence can greatly reduce simulation errors in the fast radiative transfer models. In this study, the 118 temporally and spatially matched FY-4A/AGRI (Advanced Geostationary Radiation Imager) cloud mask products are employed 119 for FY-3E/HIRAS-II FOV cloud detection. The HIRAS-II has a coarse spatial resolution of 14 km at nadir, whereas the AGRI 120 cloud mask product has a higher spatial resolution of 4 km. Therefore, approximately 4×4 AGRI pixels are co-located within each 121 HIRAS-II FOV. These clear HIRAS-II FOVs are retained during statistics when all the spatially matched AGRI pixels within the 122 HIRAS-II FOV are identified as clear. The FY-4A/AGRI Level 24 km cloud mask product with 4 km resolution can be downloaded 123 from the Fengyun Satellite Data Center: http://data.nsmc.org.cn. 124 Surface type detection 2) 125 The surface emissivity calculated by the fast radiative transfer model is relatively accurate when the underlying surface within 126 a satellite field of view is more uniform. The underlying surface over land is relatively complex, leading to some errors during 127 simulation, while ocean surfaces are relatively uniform. The surface type of each FOV is determined based on the FY-3E/HIRAS-128 II Level 1 LSM (Land Sea Mask) product. Only these ocean satellite-observed scenes are kept during statistics. 129 3) Data Thinning 130 Each field of regard (FOR) of FY-3E/HIRAS-II consists of 3 × 3 arranged FOVs with 14 km spatial resolution. Only the 131 observations from the central fifth FOV within each FOR are retained, so the spatial resolution is approximately 45 km after 132 thinning. 133 4) Clear-sky detection using window channels 134 To further eliminate the influence of clouds, a threshold test based on the O-B biases at these window channels 925 cm<sup>-1</sup>, 970 cm<sup>-1</sup>, and 1111 cm<sup>-1</sup> is performed for each thinned FOV. The FOV with O-B bias exceeds -4 to 4 K at any channel is discarded. 135 136 5) Outlier detection 137 The FOV with observed brightness temperature in any channel exceeding the value range (150-350 K) will be discarded. If 138 the O-B biases in any channels exceed three times its standard deviation, the FOV is also discarded. 139 After the above quality control steps, a total of 67534 samples were counted. 34844 samples from 1 to 14 January 2023 are 140 used as the training data for fitting bias correction coefficients, and 32690 samples from 15 to 31 January 2023 are used as the 141 testing data to examine the correction effect. 142 4. BIAS CORRECTION SCHEME 143 HIRAS-II radiation bias correction is divided into two steps referring to the bias correction method of Harris and Kelly (2001) 144 in this study. 145 4.1 Scan-angle bias correction 146 The field of view is susceptible to deformation as the scan angle increases when the satellite sensor performs a cross-track 147 scanning to both sides of scan lines, which lead to unavoidable observation biases relative to the nadir FOV. For each channel, 148 calculate the global or regional mean bias of every scan position (angle) relative to the central nadir position: 149  $S(\theta) = \overline{R}(\theta) - \overline{R}(\theta = 0)$ (1)150 Where  $\bar{R}$  refers to the mean radiation at different scan angles,  $\theta$  represents the scan position (scan angle) and S denotes 151 the scan bias. A significant improvement made by Harris and Kelly (2001) to this scheme is incorporating the dependency of biases 152 on latitude band. The Earth is divided into 18 latitude bands with 10 degrees interval and the correction coefficient is computed 153 respectively. After a long period of lager sample size statistics, it has been shown that the scan biases of HIRAS-II do not exhibit 154 a pronounced dependence on latitude band as found in microwave instruments. Therefore, the influence of latitude is not considered

155 for the scan bias correction in this study.





#### 156 4.2 Air-mass bias correction

157 The O-B biases generally exhibit variations associated with the properties of air-mass (and the surface) due to the inaccuracies 158 in the radiation calibration of satellite instruments, the error from the fast radiative transfer models and NWP systems. The air-159 mass bias correction scheme primarily involves establishing a multivariate linear regression equation that relates the air-mass predictors  $x_i$  (i = 1,2,...,n) to the air-mass biases, the air-mass biases  $r_i$  for each channel j can be calculated: 160 161  $r_i = \sum_{i=1}^n a_{ii} x_i + c_i$ (2) 162 163 Where  $a_{ii}$  and  $c_i$  are calculated by least square fitting using a large number of samples. 164  $a_{ji} = \sum_{k=1}^{n} \langle D_j, x_k \rangle [\langle X, X \rangle]_{ki}^{-1}$ (3) 165 166 Here,  $\langle ..., ... \rangle$  represents covariance, k is the sample number, X is the vector of  $x_k$ , and  $D_j$  denotes the O-B bias in 167 channel j. 168 The success of air-mass bias correction depends on the selection of air-mass predictors. The commonly used air-mass 169 predictors in the ECMWF and NCEP-GSI assimilation systems are shown in Table 1. Here, p represents the air-mass predictors 170 in the ECMWF assimilation system and p' represents the air-mass predictors in GSI. The predictors  $p_1$ - $p_7$  are related to the atmospheric conditions within the satellite-observed scenes. These predictors primarily reflect the systematic errors in fast radiative 171 172 transfer models and NWP models. The predictors  $p_8$ - $p_{10}$  are employed to correct residual biases after scan bias correction (Dee 173 and Uppala., 2009). The Parameter thickness is calculated as  $Pred_{thickness} = kth \times \sum_{i}^{N} tv(i) \times \ln P(i)$ 174 (4)Here,  $kth = gas_{constant}/gravity(gas_{constant} = 287.0 K, gravity = 9.81 N/kg)$ , N is atmosphere layers, P is 175

atmospheric pressure, tv is the parameter characterizing atmospheric temperature and humidity and calculated as

177  $tv(i) = \frac{T(i)}{2} \times \left[ 1.0 + 0.608 \times \frac{q(i)}{2} \right]$ (5)

178 Where, T and q represent RTM level temperatures and moistures, respectively.

179 In the NECP-GSI assimilation system, the predictor  $p'_0$  represents a global constant offset. The predictor  $p'_1$  is a function of 180 the satellite scan angle  $\theta$  and is primarily used to correct residual scan biases. The predictor  $p'_2$  is only used for the correction of 181 clear-sky microwave instrument radiation over the ocean to eliminate residual cloud interference. For non-microwave instruments, 182 the value of the predictor is set to 0.  $p'_3$  and  $p'_4$  is the predictor of "temperature lapse rate",  $\Delta \tau$  and  $\Delta T$  represents the vertical 183 variation rate of transmittance and temperature, respectively. The predictor  $p'_4$  represents the convolution of  $\Delta \tau$  and  $\Delta T$ , and 184  $p'_3$  is the square of the former. The predictor  $p'_3$  and  $p'_4$  reflect instrument and RTM errors. When the frequency of channel is 185 shifted or the spectral settings in the RTM are inaccurate, the calculated transmittance profile will move up/down in the atmosphere 186 (if the atmosphere is not isothermal). If the transmittance is moved up slightly, the weight function of the channel is shifted upwards 187 and the brightness temperature should be decreased if the temperature decreases with height. Conversely, the brightness 188 temperature will increase in the case of a temperature inversion. (Zhu et al., 2014).

189 Harris and Kelly (2001) as well as Liu et al. (2007) used four predictors (model surface skin temperature, model total column 190 water vapor, thickness of 1000-300 hPa and thickness of 200-50 hPa) as the optimal combination to the TOVS's air-mass bias 191 correction. Auligné et al. (2007a) employed a predictor combination of thickness of 1000-300 hPa, thickness of 200-50 hPa, 192 thickness of 50-5 hPa and thickness of 10-1 hPa to correct the air-mass biases in ATOVS. The predictor combinations used in the 193 above-mentioned studies are primarily designed for microwave instruments. The predictors used in the ECMWF for all infrared 194 hyperspectral instruments operating on polar-orbiting platforms are summarized in Table 2 (Auligné et al., 2007a; Collard and 195 McNally., 2009; Eresmaa et al., 2017). In order to evaluate the optimal combination for FY-3E/HIRAS-II, several typical channels 196 (737.5cm<sup>-1</sup>, 900cm<sup>-1</sup>, 1040cm<sup>-1</sup>, 1279.375cm<sup>-1</sup>, 1476.25cm<sup>-1</sup> and 1809.375cm<sup>-1</sup>) are taken as examples in our study. The importance





197 of predictors  $p_1$ - $p_6$  for FY-3E/HIRAS-II is evaluated based on the diagnostic scheme proposed by Auligné (2007a). Since we use 198 a two-step bias correction scheme, angle-related predictors are not involved in the assessment. This diagnostic scheme evaluates 199 the bias correction effect based on the ability of each predictor to reduce the root mean square error of radiation biases. The results 200 are shown in Figure 1. Figure 1(a) and (b) show the spectral positions and the weighting functions (WF) of each selected channel. 201 The wavenumber of HIRAS-II channel No.141, 401, 626, 1008, 1323 and 1855 are 737.5cm<sup>-1</sup>, 900cm<sup>-1</sup>, 1040cm<sup>-1</sup>, 1279.375cm<sup>-1</sup>, 202 1476.25cm<sup>-1</sup> and 1809.375cm<sup>-1</sup>, respectively. The peak heights of weighting function are 535.2 hPa, 1070.9 hPa, 29.1 hPa, 852.8 203 hPa, 300 hPa and 500.2 hPa in sequence. The six channels correspond to the long-wave CO<sub>2</sub> absorption band, the long-wave 204 window channel, the O<sub>3</sub> absorption band, and the water vapor absorption bands at three different heights in turn. Figure 1(c) 205 indicates the importance assessment of different predictors in different channels, where the horizontal axis indicates the channel 206 No. and the vertical axis is the diagnostic coefficients. A higher coefficient indicates a stronger correlation. The different colored 207 bars represent different predictors. It can be seen from Figure 1 (c) that the O<sub>3</sub> channel 626 and the water vapor channel 1323 with 208 higher height of weight functions have a higher correlation in predictors thickness of 10-1 hPa and 50-5 hPa. However, other four 209 channels commonly used for data assimilation systems are strongly correlated with the model background surface skin temperature, 210 model total column water vapor, thickness of 1000-300 hPa, and thickness of 200-50 hPa. Data assimilation systems generally do 211 not assimilate strong O<sub>3</sub> absorption channels at present and the water vapor content in upper atmosphere is scarce, so a predictor 212 combination including model surface skin temperature, model total column water vapor, thickness of 1000-300 hPa and thickness 213 of 200-50 hPa is selected to correct the air-mass biases for HIRAS-II in this research. Furthermore, to assess the effectiveness of 214 our bias correction scheme a comparison is made in this study between the correction results of the NCEP-GSI predictor 215 combination  $(p'_0 - p'_4)$  and the proposed method. 216

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Table 1 Bias predictors implemented in ECMWF and GSI			
ECMWF	NCEP-GSI		
$p_0:1$ (constant)	<i>p</i> ' <sub>0</sub> : 1		
<i>p</i> <sub>1</sub> : 1000-300 hPa thickness			
p <sub>2</sub> : 200-50 hPa thickness	$p'_1: \frac{1}{10} \times (\frac{1}{\cos \theta} - 1)^2 - 0.015$		
p <sub>3</sub> : skin temperature (K)			
$p_4$ : total column water vapor (kg/kg)			
p <sub>5</sub> : 10-1 hPa thickness	$p'_2:clw \times (\cos \theta)^2$		
$p_6$ : 50-5 hPa thickness			
$p_7$ : surface wind speed (m/s)	$p'_3: (\sum \Delta \tau \times \Delta T)^2$		
$p_8$ : viewing angle			
$p_9$ : (viewing angle) <sup>2</sup>	$p'_4: \sum \Delta \tau \times \Delta T$		
$p_{10}$ : (viewing angle) <sup>3</sup>			

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Table 2 Predictors used in bias correction of different infrared hyperspectral instruments for ECMWF. The  $p_x$  in the table correspond to Table 1

Instrument	Channel	Predictors
AIRS	All channels	$p_1 \ p_2 \ p_5 \ p_6$
CrIS	Channels below the mid-troposphere	$p_8 p_9 p_{10}$
	Channels above the mid-troposphere	$p_1 p_2 p_5 p_6 p_8 p_9 p_{10}$
IASI	All channels	$p_1 \ p_2 \ p_8 \ p_9 \ p_{10}$

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223 Fig. 1. (a) Simulated HIRAS-II brightness temperature spectrum (black curve) by the RTTOV using the US76 standard atmospheric 224 profile, the selected 6 typical channels (red dots) and the assimilated 485 channels (black dots). (b) weighting function of the 225 selected channels. (c) relevance diagnostics of bias-correction.

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227 As we discussed earlier, model surface skin temperature, model total column water vapor, thickness of 1000-300 hPa and 228 thickness of 200-50 hPa are selected as predictors for bias correction. The multiple linear regression model requires a linear 229 relationship between continuous independent variables and dependent variables. Therefore, we examine the correlation between 230 HIRAS-II O-B bias and predictors  $p_1$ - $p_6$  based on samples from 1 to 31 January 2023. Figure 2 (a) ~ (f) show the distribution of 231 a typical HIRAS-II channel 1855 (1809.375 cm<sup>-1</sup>, the peak height of weight function is 500 hPa) O-B bias with predictors  $p_1$ - $p_6$ , 232 respectively. In order to obtain a correct analysis of the relationship between the O-B bias and predictors  $p_1$ - $p_6$ , the O-B biases 233 eliminate the influence of scan bias. The horizontal coordinate of each subgraph is the value of each predictor, the vertical 234 coordinate is the O-B bias and the color represents the number of samples. The black solid line in figure shows the first-order 235 polynomial fit of the O-B bias and predictors, the correlation coefficients are given in the subtitles of each figure. From Figure 2 236 (a) ~ (f), there is a certain linear correlation between the O-B bias and predictors  $p_1$ - $p_4$ , with the highest correlation coefficient of

237 0.49, and there is no obvious linear relationship between the predictors  $p_5$ - $p_6$ .







Fig. 2 Scatterplots of (a) skin temperature, (b) total column water vapor, (c) thickness of 1000-300 hPa, (d) thickness of 200-50
 hPa, (e) thickness of 50-20 hPa and (f) thickness of 10-1 hPa with respect to HIRAS-II O-B for channel 1855 (1809.375cm<sup>-1</sup>, 500
 hPa). Black curves show the first-order polynomial fitting. Color shade represents data number.



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# 5. DATA ASSIMILATION SYSTEM AND EXPERIMENTAL DESIGN

This study uses WRFDA V4.4 to validate the effects of different bias correction schemes on NWP. The WRFDA model developed by the National Center for Atmospheric Research (NCAR). It provides different methods of data assimilation and can assimilate a wide range of observations. The WRF three-dimensional variational data assimilation system (WRF-3DVar) minimizes the so-called variational cost function I(x) as Equation (6) (Barker et al., 2004).

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$$J(x) = \frac{1}{2}(x - x_b)^T B^{-1}(x - x_b) + \frac{1}{2} \times (y - H(x))^T R^{-1}(y - H(x))$$
(6)

250 Where x is the atmospheric state vectors,  $x_b$  is the first guess (background), B is the background error covariance, y is 251 the observation vector, R is the observation error covariance and H stands for the observation operator by using RTTOV v12.3. A new HIRAS-II data assimilation module is created in WRFDA by adding the reading and QC (The QC steps as described 252 253 in Section 3, but the MR method (Eyre and Menzel., 1989) was used for cloud detection) interfaces. A total of 485 channels are 254 selected for data assimilation, with specific positions in the spectrum shown as black dots in Fig. 1(a). Three parallel experiments 255 are designed to assess the effects of different bias correction on analysis. These experiments differed in predictors and their detailed 256 configurations are shown in Table 3. The initial and boundary conditions for all experiments are provided by the NECP GFS 6-h 257 forecast data. The simulation domain of all experiments is approximately from 0°N to 60°N and from 70°E to 150°E, with a 9 km 258 grid spacing on 889 × 828 horizontal grids and 60 vertical levels up to 1 hPa. The bias correction coefficients used for experiments are fitted by the predictors and HIRAS-II O-B biases obtained from 17 to 31 July 2023, and the fitted coefficients are used in 1-259 260 month assimilation experiments from 17 to 31 August 2023.

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Table 3 The setting of three experiments Predictors Used in BC Scheme Description Experiment NO BC GFS data + conventional data + HIRAS-II data (without BC) EXP-GSI GFS data + conventional data + HIRAS-II data (with  $p_1': \frac{1}{10} \times (\frac{1}{\cos \theta} - 1)^2 - 0.015$ BC)  $p_2': (\sum \Delta \tau \times \Delta T)^2$  $p'_3: \Sigma \vartriangle \tau \times \bigtriangleup T$ EXP-2 GFS data + conventional data + HIRAS-II data (with  $p_0:1$  (constant) BC) p2: 200-50 hPa thickness p1: 1000-300 hPa thickness p3: skin temperature p4: total column water vapor

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# 6. Result

The bias correction coefficients are calculated using above method based on FY-3E/HIRAS-II observations from January 1 to January 14, 2023. Subsequently, the FY-3E/HIRAS-II O-B biases in all channels from January 15 to January 31 2023 are corrected based on the statistical coefficients. The correction results of the selected six typical channels mentioned above are

analyzed in detail.





## 269 6.1 The result of scan bias correction

270 The variation of mean biases with the scan angles before and after scan-angle correction for the six typical channels is 271 illustrated in Fig. 3. The dot-dash line represents the bias distribution before correction and the dashed and solid lines represent the 272 bias distribution after correction for scan angle and air-mass, respectively. The vertical axis is the mean O-B biases and the 273 horizontal axis is the positions of the field of regards (FORs) for each scan line (i.e., the scan angle) with the nadir between FOR14 274 and FOR15. It is can be seen from Fig. 3 that the biases change with the scan angles for all channels before correction. The bias 275 increases as the scan angle increases, especially for the lower tropospheric water vapor channel No.1008 with value up to 1.5 K 276 caused by the larger scan angle with respect to nadir. Additionally, there is an asymmetrical bias distribution on both sides of the 277 nadir in all channels, particularly for the lower height channels 141 and 1008. This is primarily caused by the non-90° inclination 278 angle of the polar orbit satellite, leading to inconsistent latitude of the field of view on both sides of the scan line. The phenomenon 279 resulted in the HIRAS-II observation being higher on one side and a lower on the other side, which in turn causes an asymmetric 280 distribution of O-B bias. After the scan-angle bias correction, the mentioned biases from the limb FOR relative to nadir and the 281 asymmetrical biases on both sides have been eliminated and the mean bias of each scan position is basically consistent. However, 282 there are still some residual biases (dotted line) in the properties of air mass caused by inaccurate simulation (some channels 283 reaching 0.5-1 K). It can be clearly seen from the black solid line in Figure 3 that the mean biases of all scan positions in all

284 channels approach 0 K after the air-mass correction.





Fig. 3 The mean O-B bias of HIRAS- II varies with the scan position before and after the bias correction. The subplots are channel
(a) No. 141, (b) 401, (c) 626, (d) 1008, (e) 1323 and (f) 1855 in turn. (The dot-dashed line represents the O-B bias before bias
correction, while the dotted line and the solid line are the results after the scan-angle and air-mass correction, respectively.)

289 6.2 Comparison with GSI's air-mass bias correction scheme

Based on the O-B biases of each HIRAS-II channel after scan-angle bias correction from 1 to 14 January 2023 and corresponding air-mass predictors (model surface skin temperature, model total column water vapor, thickness of 1000-300 hPa and thickness of 200-50 hPa) data, the air-mass correction coefficients are fitted using Equation (3). Then the coefficients are applied for air-mass bias correction (referred to as EXP-2). Figures 4 (a) to (f) and (g) to (l) show the scatterplots of observed





294 brightness temperature (O) and background simulated brightness temperature (B) for the six representative channels before and 295 after the air-mass bias correction. The dashed line represents the y=x contour and the color shade represent the density of the 296 radiation data number. The values in each subplot are the mean value and standard deviation of O-B. From Figure 4 (a), (b) and 297 (d), it is evident that significant negative biases are exhibited in channels 141, 401, and 1008 (with a lower height of weight function) 298 when the scene temperature is high before the EXP-2 correction, while water vapor channels with a higher height of weight function 299 show a relatively warm bias compared to the background simulation (with mean biases ranging from 0.2 to 0.4 K). The scatters of 300 these channels are all concentrated and evenly distributed near the y=x contour after air-mass correction, with mean bias close to 301 0 K and a significantly reduced standard deviation.



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Fig. 4 The scatterplots of observed versus RTTOV simulated brightness temperature (a) before and (b) after air-mass bias
 correction for HIRAS-II channel No. 141, 401, 626, 1008, 1323 and 1855. Color shade is the data number.

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307 The bias correction scheme proposed in this paper has been compared with the air-mass bias correction scheme of NCEP-GSI to further evaluate its correction effectiveness. The air-mass predictors used in GSI for infrared instruments are  $p'_0$ ,  $p'_1$ ,  $p'_3$ , and 308  $p'_4$  listed in Table 1 (hereafter referred to as EXP-GSI). Figure 5 illustrates the histogram of O-B biases before and after correction 309 310 using two different schemes. The x-axis corresponds to the O-B bias and y-axis is the probability density function (PDF) of O-B. 311 The black curves in the figure represents the observation residuals O-B before correction and the red and blue curves are the O-B 312 residuals after correction using the EXP-2 and EXP-GSI schemes, respectively. The EXP-GSI scheme still displays notable positive 313 biases in most channels after correction, especially in the channels with a height of weight function below 500 hPa. Considering 314 that ninety percent of atmospheric moisture is confined below 500 hPa, the omission of water vapor as a predictor in the EXP-GSI 315 scheme could potentially explain the suboptimal correction results. In contrast, the biases in all channels of the EXP-2 scheme are 316 distributed close to a Gaussian distribution centered at zero, with the most significant correction effect in channel 1008. However, 317 the corrected bias distribution of window channel 401 still exhibits a long tail on the left side, which may be attributed to the 318 incomplete removal of cloud contamination scene during quality control.









Fig. 5 The probability density function of O-B bias before (the black curves) and after bias correction for HIRAS-2 channel (a) No. 141, (b) 401, (c) 626, (d) 1008, (e) 1323 and (f) 1855. (the red curves for EXP-2 BC and blue curves for EXP-GSI).

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323 In order to examine whether the distribution of O-B biases after correction satisfies a normal distribution with a mean of 0, 324 Table 4 presents the values before and after correction for these two schemes. Mean represents the mean value, STD represents 325 the standard deviation, Kurtosis value indicates the steepness of the sample distribution and Skewness is the asymmetry of the 326 sample distribution. Kurtosis of 3 and skewness of 0 indicate a normal distribution. It can be seen that the kurtosis and skewness 327 values in all channels after EXP-GSI correction have not changed significantly, while both the observation residuals and standard deviations in all channels show a significant reduction after correction by EXP-2. The O-B bias of all other channels expect for 328 329 channel 141 with higher kurtosis 5.4 are close to a normal distribution with kurtosis value 3 and skewness value 0. This indicates 330 a significant improvement in the correction effect for EXP-2.

331332

Table 4 The statistics	before and after	bias correction.
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Channels	Experiments	Mean	STD	Kurtosis	Skewness
141	No BC	-0.6328	0.7300	23.3652	-3.6373
	EXP-2	-0.0107	0.2997	5.4265	-0.8891
	EXP-GSI	0.1281	0.8424	22.9113	-1.9624
401	NO BC	-0.2491	1.0602	16.3578	-2.6633
	EXP-2	-0.0081	0.6433	3.8705	-0.7459
	EXP-GSI	0.2039	1.1362	17.9520	-1.8301
626	NO BC	-0.6068	0.6372	5.8011	-0.7712
	EXP-2	-0.0089	0.4400	3.3943	0.1547





	EXP-GSI	0.0815	0.6713	7.0669	-0.5527
	NO BC	-0.8681	0.6615	13.0067	-1.9956
1008	EXP-2	-0.0087	0.3590	3.6878	0.2906
	EXP-GSI	0.1052	0.7070	16.5003	-1.2353
	NO BC	0.2155	1.2594	6.5173	0.4414
1323	EXP-2	-0.0127	1.0272	3.5891	0.1700
	EXP-GSI	0.2904	1.3913	7.1306	0.8153
	NO BC	0.3160	1.2226	7.3852	0.4690
1885	EXP-2	-0.0215	1.0176	3.7267	-0.0030
	EXP-GSI	0.1112	1.3188	6.4132	0.4703

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# 334 6.3 Analysis results from 1-month experiments

335 It is important to verify the potential impact of different BC methods on HIRAS-II radiation data assimilation. The analyzed 336 fields will deviate from the true state if the model first guess or observation contain systematic errors. This study assesses the effect of different bias correction schemes on NWP based on 1-month assimilation experiments from 1 to 31 August, 2023. The mean 337 338 O-B bias and mean O-A (observation minus analysis) bias at 485 assimilated channels during the 1-month experiments period after 339 QC are plotted in Figure 6 (a) and (b), respectively. The horizontal coordinate is the ordinal numbers of the assimilation channels, 340 arranged from longwave to shortwave. The vertical coordinate is the mean bias. The black curves, blue curves and red curves in 341 figures represent the results of experiment NO BC, experiment EXP-GSI and experiment EXP-2, respectively. As shown in Figure 342 6 (a), the majority of the HIRAS channels without bias correction exhibit significant negative biases up to -2.5 K. The O3 absorption 343 bands from channel NO.161 to NO.182 with an average bias of 5 K due to the fixed default O3 profiles used in RTTOV. Although 344 the EXP-GSI scheme can reduce the absolute deviation of most channels, it still shows a certain positive deviation. It is consistent 345 with the results shown in Figure 5. After EXP-2 bias correction, the average biases of almost all channels are around 0 and only 346 channel NO.183 to NO.223 and channel NO.452 to NO.472 still have a small negative bias (maximum not exceeding -0.32 K). 347 This indicates that EXP-2 scheme is effective in the bias correction for HIRAS-II assimilated channels. From Fig. 6 (b), there is 348 obvious difference in O-A biases with and without bias correction. The mean O-A bias of the experiment without bias correction 349 (NO BC) shows large deviations in the O3 absorption band (channel NO.161 to NO.182), the near-surface water vapor absorption 350 band (channel NO.189 to NO.297) and the shortwave CO2 absorption band (channel NO.452 to NO.485). There is a significant 351 improvement in O-A for all channels relative to O-B after bias correction (both for EXP- GSI and EXP-2). The mean O-A of EXP-352 2 (red curve) is essentially 0 for all channels except the O3 absorption band and the shortwave CO2 absorption band. It shows that









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356 Fig. 6 (a) Mean O-B biases for 485 assimilation channels without BC (black curve), with the EXP-GSI BC (blue curve) and with 357 the EXP-2 BC (red curve), (b) Mean O-A biases for 485 assimilation channels without BC (black curve), with the EXP-GSI BC 358 (blue curve) and with the EXP-2 BC (red curve). The results are sampled from the 1-month assimilation experiments from 1 to 31 359 August, 2023. Only data that passed the QC process are used.

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361 In order to specifically analyze the improvement of the analysis by different scheme, a data assimilation experiment at 0000 362 UTC 7 August 2023 was selected for analysis. Figure 7 shows the spatial distribution of HIRAS-II O-B biases. The colors in figures represent the values of the O-B bias, with colder colors being negative bias and warmer colors being positive bias. The 363 364 shading indicates the observed FY-4A AGRI brightness temperature of the window channel 13 at a central wavelength of 12 µm. Figures 7 (a)~(c) show the distribution of O-B biases passed QC in 900 cm<sup>-1</sup> channel (1000 hPa) for the NOBC, EXP-GSI and 365 366 EXP-2, respectively. Figures 7 (d)~(f) are as above but for 1476 cm<sup>-1</sup> channel (300 hPa). It can be seen that the O-B biases without 367 BC have either cold or warm bias (Fig. 7 (a) and (d)). The most O-B biases after EXP-GSI BC are slightly warmer (Fig. 7 (b) and 368 (e)), especially in the region from 0°N to 30°N. The overall O-B biases after EXP-2 BC is near 0 (Fig. 7 (c) and (f)) and the

369 correction effect is a significant.





370 To further validate DA results, the analysis is verified against the ERA5 (ECMWF Reanalysis version 5)  $0.25^{\circ} \times 0.25^{\circ}$ 371 reanalysis data for each experiment. Figure 8 (a)~(d) give the Root Mean Squared Errors (RMSE) vertical profiles of the 372 temperature, specific humidity, U-wind and V-wind for the analysis and ERA5 at 0000 UTC 7 August 2023, respectively. The 373 dotted line represents experiment NOBC, the dashed line represents experiment EXP-GSI and the solid line represents experiment 374 EXP-2. The result shows that the RMSE of all variables after BC is significantly smaller than NO BC from the surface to the 375 troposphere. It indicates that bias correction can improve the quality of the atmospheric variables obtained from the analysis. In 376 the comparison of the two bias correction schemes, it can be seen that the RMSEs of all variables of EXP-2 are better than those 377 of EXP-GSI in the near surface (below 850 hPa) and troposphere (from 200 to 400 hPa), especially in temperature. This may be 378 due to the warmer O-B biases after the EXP-GSI correction.



Fig. 7 Spatial distributions of the HIRAS-II O-B biases without BC (left), with the EXP-GSI BC (middle) and with the EXP-2 BC
(right) for (a)~(c) the 900 cm<sup>-1</sup> channel (1000 hPa) and (d)~(f) 1476 cm<sup>-1</sup> channel (300 hPa) at 0000 UTC 7 August 2023. The
shading indicates the observed FY-4A AGRI brightness temperature of the window channel 13 at a central wavelength of 12 μm.







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Fig. 8 The RMSE vertical profiles of the analyzed fields from DA without BC (dotted lines), with the EXP-GSI BC (dash lines)
and with the EXP-2 BC (solid lines), respectively, verified against the ERA5 for (a) temperature, (b) specific humidity, (c) U-wind
and (d) V-wind valid at 0000 UTC 7 August 2023.

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# 7. Conclusions

This paper establishes a two-step bias correction scheme for the innovation vector (O-B) based on the FY-3E/HIRAS-II radiations data from January 1 to January 31, 2023. Furthermore, a cross-comparison is conducted with the NCEP-GSI's air-mass bias correction scheme to objectively evaluate the effectiveness of the scheme. In addition, we briefly investigate the effectiveness of this scheme in the data assimilation system. The main conclusions are as follows:

(1) Due to the high sensitivity of the FY-3E/HIRAS-II innovation vector (O-B) to instrument scan angles, it is imperative
 to perform a scan bias correction. The distribution of scan biases is independent of latitude, so the division of the latitude band





is not necessary during scan correction. The biases of limb FOV with respect to nadir and the asymmetry in biases on the two sides of the scan lines have been eliminated after scan-angle correction.

(2) The air-mass biases can be effectively eliminated by selecting the optimal combination of air-mass predictors in this
 study based on correlation evaluation. The systematic biases between the observed brightness temperature and the simulated
 brightness temperature in all channels are reduced and the standard deviation is also significantly decreased after correction.
 Additionally, the O-B biases basically follow a Gaussian distribution with a mean of 0.

- 402 (3) The correction effect of the NCEP-GSI's air-mass bias correction scheme to these channels mid-lower tropospheric layer
   403 channels (with a height of weight function below 500 hPa) is unsatisfactory. This could be attributed to the omission of total
   404 column water vapor as a predictor in this scheme.
- 405 (4) Bias correction can significantly improve the quality of the analysis. The EXP-2 scheme has a significant improvement406 for the analysis at upper air and near surface.

This bias correction scheme is just a preliminary experiment for the FY-3E/HIRAS-II data assimilation. At present, the offline static correction is adopted and the variation of O-B bias with time and weather system is not considered. The upcoming step will involve implementing a variational bias correction scheme, the bias correction coefficient will change with time and weather system. In addition, this study only validates the effectiveness of the scheme for HIRAS-II and will continue to validate its applicability for similar infrared instruments in the future. Finally, this study only briefly evaluates the impact of the bias correction scheme on data assimilation system. The impact on NWP will be further evaluated in the future in actual extreme weather individual

- 413 cases (e.g., convective precipitation and typhoons).
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# Acknowledgment

# The authors gratefully acknowledge the financial supports by the National Natural Science Foundation of China under Grant numbers 41975028 and Postgraduate Research & Practice Innovation Program of Jiangsu Province under Grant numbers 1064052301022.

419

# Author contributions

- LG planned the campaign; HC performed the measurements; HC analyzed the data; LG and HC wrote the manuscript draft;
   LG reviewed and edited the manuscript.
   Competing interests
- 422 Competing interests423 The authors declare that they have no conflict of interest.
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