# Development and Comparison of Empirical Models for All-sky Downward Longwave Radiation Estimation at the Ocean Surface Using Long-term Observations

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#### 15 Abstract

- 16 The ocean-surface downward longwave radiation  $(R_1)$  is one of the most fundamental
- 17 components of the radiative energy balance, and it has a remarkable influence on air-sea
- interactions. Because of various shortcomings and limits, a lot of empirical models were
- established for ocean-surface  $R_1$  estimation for practical applications. In this paper, based on
- 20 comprehensive measurements collected from 65 moored buoys distributed across global seas
- from 1988 to 2019, a new model for estimating the all-sky ocean-surface  $R_1$  at both hourly and
- daily scales was built. The ocean-surface  $R_1$  was formulated as a nonlinear function of the
- 23 screen-level air temperature, relative humidity, cloud fraction, total column cloud liquid, and ice
- 24 water. A comprehensive evaluation of this new model relative to eight existing models was
- conducted under clear-sky and all-sky conditions at daytime/nighttime hourly and daily scales.
- The validation results showed that the accuracy of the newly constructed model is superior to
- other models, yielding overall RMSE values of 14.82 and 10.76 W/m<sup>2</sup> under clear-sky
- conditions, and 15.95 and 10.27 W/m<sup>2</sup> under all-sky conditions, at hourly and daily scales,
- respectively. Our analysis indicates that the effects of the total column cloud liquid and ice water
- 30 on the ocean-surface  $R_1$  also need to be considered besides cloud cover. Overall, the newly
- 31 developed model has strong potential to be widely used.
- 32 Keywords: Ocean surface, longwave radiation, empirical model, buoy

#### 33 **1 Introduction**

The downward longwave radiation ( $R_1$ ) at the ocean surface is the thermal infrared (4– 100 µm) radiative flux emitted by the entire atmospheric column over the ocean surface (Yu et al., 2018).The ocean-surface  $R_1$  is among the most important components of the heat flux across the ocean-atmosphere interface, shaping the climate state of both the atmosphere and the ocean (Caniaux, 2005; Fasullo et al., 2009; Fung et al., 1984). Accurate estimates of  $R_1$  are essential for studying air-sea interactions and for improving our understanding of climate and oceanic systems.

Although the ocean-surface R<sub>1</sub> is measured at most buoy sites, the available ocean-surface 41 R<sub>1</sub> measurements can not meet the needs of various applications because of the small number of 42 buoys currently employed (especially moored buoys) and their sparse distribution across global 43 oceans. Another way to get the R<sub>1</sub> at the ocean surface is by using satellite-based or model 44 reanalysis products. The ocean-surface R<sub>1</sub> from satellite-derived products, such as the 45 International Satellite Cloud Climatology Project (ISCCP) (Rossow & Zhang, 1995; Young et al., 46 2018) and Clouds and the Earth's Radiant Energy System Synoptic Radiative Fluxes and Clouds 47 (CERES/SYN1deg) (Doelling et al., 2013; Rutan et al., 2015) is usually generated using these 48 49 satellite data and a radiative transfer model, which simulates the radiative transfer interactions of light absorption, scattering, and emission through the atmosphere with the input of given 50 atmospheric parameters. However, radiative transfer models are not widely used in practice 51 because of their complexity and the difficulties associated with collecting all essential inputs. 52 The ocean-surface  $R_1$  provided in model reanalysis products, such as the fifth generation of the 53 European Centre for Medium-Range Weather Forecasts atmospheric reanalysis of the global 54 55 climate (ERA5) (Hersbach et al., 2020) and the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA2) (Gelaro et al., 2017), is produced by assimilating 56 various observations into an atmospheric model to get the optimal estimates of the state of the 57

atmosphere and the surface (Gelaro et al., 2017). Previous studies indicated that R<sub>1</sub> estimates

59 from satellite-based products are generally in better agreement with buoy measurements than

60 those obtained from reanalysis products (Pinker et al., 2014; Pinker et al., 2018; Thandlam &

Rahaman, 2019). However, applications of the ocean-surface  $R_1$  from these two kinds of products

are limited due to their coarse spatial resolutions (most of them are coarser than 1°), limited

63 periods (especially satellite-based products) (Xu et al., 2023; Zeng et al., 2020), and

discrepancies in accuracy and consistency (Cronin et al., 2019).

To overcome these limitations,, many parameterization and empirical models for 65 estimating ocean-surface R1 that can easily be implemented in practical use have been established 66 during the past few decades (Bignami et al., 1995; Josey, 2003; Zapadka et al., 2001). Most of 67 the commonly used R<sub>1</sub> estimation models were established using the relationship between R<sub>1</sub> and 68 the relevant meteorological variables (i.e., air temperature, humidity, column integrated water 69 vapor (IWV), and cloud parameters) or oceanic parameters (i.e., bulk sea surface temperature), 70 which are usually obtained from in situ measurements or model simulations (Li & Coimbra, 71 2019; Li et al., 2017; Paul, 2021). However, a significant limitation is that many of these models 72 were originally developed for land surfaces and applied directly to the ocean, assuming similar 73 atmospheric conditions. (Bignami et al., 1995; Clark et al., 1974; Frouin et al., 1988; Josey, 74 2003). This assumption introduces the uncertainty in R<sub>1</sub> estimates, as water vapor profiles differ 75 76 significantly between land and ocean surfaces (Bignami et al., 1995). Even those models specifically designed for ocean surfaces are often based on limited regional data, raising 77 concerns about their robustness when applied globally. (Bignami et al., 1995; Josey, 2003; 78 Zapadka et al., 2001). For example, Josey (2003) proposed a model for R1 estimation at mid-to-79 high latitude seas with a satisfactory validation accuracy, but this new model performed worse 80 over tropical seas with a tendency to underestimate  $R_1$  by up to 10–15 W/m<sup>2</sup>. Furthermore, most 81 of the existing R<sub>1</sub> estimation models only work under clear-sky conditions, which are especially 82 rare over ocean surfaces. Additionally, most of these models only derive R<sub>1</sub> at instantaneous 83 scales, yet the R<sub>1</sub> at the daily scale is more preferred across a range of applications. 84

Given these challenges, there is a clear need for a new, easily implemented model capable of providing accurate R<sub>1</sub> estimates at the global ocean surface. Such a model should function effectively under all-sky conditions, offer flexibility in temporal scales (e.g., instantaneous and daily), and be robust enough for global application. Addressing these gaps would provide a valuable tool for improving our understanding of air–sea interactions and contribute to more accurate climate and oceanic models. More details about the existing Rl estimation models are given in Section 2.

92 In addition, according to W Wang and Liang (2009b), the uncertainty of the ocean-surface  $R_1$  estimation should be less than 10 W/m<sup>2</sup> for climate diagnostic studies. However, the 93 performances of the most commonly used R<sub>1</sub> estimation models at the global ocean surface were 94 not thoroughly evaluated in previous studies because of the few available in situ measurements. 95 Fortunately, being aware of the significance of the energy budget in air-sea interactions 96 (Centurioni et al., 2019), more and more platforms for radiative measuring have been built across 97 global ocean surfaces during the past decades, so relatively comprehensive ocean-surface R<sub>1</sub> 98 measurements can be collected today, which provide a good opportunity for modeling and 99 comprehensive evaluations. 100

101 Overall, the main goal of this research is to establish a new empirical model for 102 calculating the all-sky ocean-surface  $R_1$  at instantaneous and daily scales based on globally 103 distributed moored buoy measurements and other ancillary information. A comprehensive

104 evaluation is conducted on the newly developed model relative to eight commonly used models

105 for ocean-surface  $R_1$  estimation under clear- and all-sky conditions at hourly and daily scales.

The organization of this paper is as follows. A review of the eight commonly used  $R_1$  estimation

107 models is presented in Section 2. Section 3 introduces the data sets used in this research and the 108 methods, including the new model development and model evaluation. Section 4 shows the

108 methods, including the new model development and model evaluation. Section 4 shows the 109 results of the model validation, comparison, and analysis. The key conclusions and discussions

110 are provided in Section 5.

#### 111 **2 Review of Previous Models**

112 Many models were proposed for  $R_1$  calculation under various sky conditions at different 113 temporal scales in previous studies. In this study, eight widely used models were selected for 114 evaluation and Table 1 shows their basic information. According to the sky conditions under 115 which these models could be used, the eight  $R_1$  estimation models were divided into two classes: 116  $R_1$  models under clear-sky conditions and under all-sky conditions, respectively. Details of the 117 eight models are provided one by one in the following section. Note that the downward direction

is defined as positive in this study.

#### 119 **Table 1**

120 Eight Existing Models for Ocean-surface R<sub>1</sub> Estimation, with Variables Explained in Table 2.

Sky Condition	Model	Abbr	Designed temporal scale	Reference
	$R_l = a\sigma T_a^4 (1 + b\sqrt{e})$	Mod1	Monthly	Brunt (1932)
	$R_1 = \sigma T_a^4 \{ 1 - \alpha exp(-b(273 - T_a)^2) \}$	Mod2	5–15 minute	Idso and Jackson (1969)
Clear-sky	$R_l = a\sigma T_a^4 (e/T_a)^{1/7}$	Mod3	Instantaneous	Brutsaert (1975)
	$R_{l} = a\sigma T_{a}^{4} [1 - exp(-e^{T_{a}/2016})]$	Mod4	Daily	Satterlund (1979)
	$R_{l} = \sigma T_{a}^{4} \left[ 1 - (1 + \varepsilon) \exp\{-(1.2 + 3\varepsilon)^{1/2} \} \right]$ $\varepsilon = 46.5 \left(\frac{e}{T_{a}}\right)$	Mod5	Instantaneous	Prata (1996)
	$R_{l} = \frac{\varepsilon \sigma T_{s}^{4} - \varepsilon \sigma T_{s}^{4} (a + b \sqrt{e}) (1 - \lambda C^{2}) + 4\varepsilon \sigma T_{s}^{3} (T_{s} - T_{a})}{1 - \alpha_{l}}$	Mod6	Daily	Clark et al (1974)
All-sky	$R_{l} = \sigma T_{a}^{4}(a+be)(1+dC^{2})$	Mod7	Hourly	Bignami e al. (1995)
	$R_{l}=\sigma\left\{T_{a}+aC^{2}+bC-d+g(D+f)\right\}^{4}$	Mod8	Hourly	Josey (2003)

#### 121 2.1 Under clear-sky condition

122 Among the eight models, there are five  $R_1$  estimation models that could only be used 123 under clear-sky conditions.

124 Brunt (1932) developed the first R<sub>1</sub> estimation model (named Mod1) for land surfaces,

which relates the monthly mean  $R_1$  to the screen-level water vapor and air temperature, as

126 Equation (1) shows:

127  $\mathbf{R}_{\mathrm{I}} = a_1 \sigma \mathrm{T}_{\mathrm{a}}^4 (1 + b_1 \sqrt{\mathrm{e}}) \tag{1}$ 

where  $a_1$  and  $b_1$  are empirical coefficients,  $T_a$  is the monthly mean screen-level air 128 temperature (K), e is the monthly mean screen-level water vapor pressure (mbar), and  $\sigma$  is the 129 Stefan–Boltzmann constant, defined as  $5.67 \times 10^{-8}$  W/(m<sup>2</sup>·K<sup>4</sup>). In the study of Brunt (1932), the 130 two coefficients a<sub>1</sub> and b<sub>1</sub> were suggested as 0.52 and 0.125 based on observations collected from 131 Benson, South Oxfordshire, England. The validation results of Mod1 showed a correlation 132 coefficient as high as 0.97 based on the collected samples. However, Swinbank (1963) pointed 133 out that the validation results of Mod1 for other regions where variations in the humidity and T<sub>a</sub> 134 were different from those in Benson were worse. Despite these limitations, as the first empirical 135 R<sub>1</sub> estimation model in a simple format, Mod1 has been widely used to construct the coupling 136

between hydrological and atmospheric models (Habets et al., 1999; Lohmann et al., 1998).
Different from Mod1, the model developed by Idso and Jackson (1969) (named Mod2)

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was based on the theoretical consideration that the effective emittance of an atmosphere is solely temperature-dependent; hence, the screen-level  $T_a$  is the only input of Mod2 for calculating  $R_1$ :

 $R_{\rm I} = \sigma T_{\rm a}^4 \{ 1 - a_2 \exp(-b_2 (273 - T_{\rm a})^2) \}$ (2)

where  $a_2$  and  $b_2$  are empirical coefficients, which were defined as 0.261 and 7.770×10<sup>-4</sup>, respectively, by Idso and Jackson (1969) based on experimental data at four sites located in Arizona, Alaska, Australia, and the Indian Ocean, obtained at intervals of 5 to 15 minutes. Idso and Jackson (1969) thought that Mod2 might be efficient at all latitudes for different seasons, as it has been developed by using observations from diverse locations. Since publication, Mod2 has been employed in relevant researches like evaporation estimation (Cleugh et al., 2007; Vertessy et al., 1993) and ocean-ice modeling (Saucier et al., 2003).

Afterwards, Brutsaert (1975) proposed a simple model for computing R<sub>1</sub> by directly solving the Schwarzschild's transfer equation (Schwarzschild, 1914) under clear skies and standard atmospheric conditions (i.e., the U.S. 1962 standard atmosphere). This model is denoted as Mod3, and is described as follows:

(3)

(4)

153  $R_1 = a_3 \sigma T_a^4 (e/T_a)^{1/7}$ 

where  $a_3$  is defined as a constant equal to 1.24, as determined during the Schwarzschild's 154 transfer equation solving process. Explicit physical theory is reflected in Mod3. The term 155  $(e/T_a)^{1/7}$ , regarded as the atmospheric emissivity, tends to zero when the water vapor content is 156 very little. However, Prata (1996) indicated that the atmospheric emissivity tends to a certain 157 constant value even without water vapor, such as values from 0.17 to 0.19 when only  $CO_2$  is 158 present (Staley & Jurica, 1972). The estimates from Mod3 are usually used as the necessary 159 inputs of hydrological models (Pauwels et al., 2007; Rigon et al., 2006) and climate models 160 (Mills, 1997). 161

- Aase and Idso (1978) found that Mod2 and Mod3 performed poor when T<sub>a</sub> was below
   freezing. To address this issue, Satterlund (1979) proposed a model (named Mod4) to compute R<sub>1</sub>
   by reformatting T<sub>a</sub> and e, as follows:
- 165  $R_1 = a_4 \sigma T_a^4 [1 \exp(-e^{T_a/2016})]$

where  $a_4$  is an empirical coefficient and defined as 1.08 by Satterlund (1979) based on collected daily R<sub>1</sub> measurements at one site in Sidney, Montana, USA. After validation and comparison, Satterlund (1979) concluded that Mod4 outperformed Mod2 and Mod3 under

169 extreme conditions in terms of temperature and humidity and performed comparably with the

two models for other cases. As such, the  $R_1$  estimates from Mod4 have been used in studies such

- as snow pack evolution (Douville et al., 1995) and hydrological models (Schlosser et al., 1997).
- 172 However, because the model does not contain a constant term, the application of Mod4 should be

done with caution if the surface water vapor pressure is very close to zero.

With the development of radiation measuring instruments and technology, several new R<sub>1</sub>
estimation models have been proposed, such as the model proposed by Prata (1996) (named
Mod5), as follows:

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$$R_{l} = \sigma T_{a}^{4} \left[ 1 - (1 + 46.5(\frac{e}{T_{a}})) \exp\left\{ - \left( a_{5} + 46.5b_{5}(\frac{e}{T_{a}}) \right)^{1/2} \right\} \right]$$
(5)

where  $a_5$  and  $b_5$  are empirical coefficients, defined as 1.2 and 3.0 in the study of Prata 178 (1996) and Robinson (1947; 1950). As with Mod1–Mod4, Mod5 is also dependent on T<sub>a</sub> and e 179 but contains a majorly revised right term (in the square brackets), which is regarded as the 180 emissivity. After extensive validation and comparison, Prata (1996) claimed Mod5 outperformed 181 or performed similar to other R<sub>1</sub> estimation models, including Mod1–Mod4, in areas within the 182 polar region, mid-latitudes, and tropical regions. Hence, Mod5 has been applied widely, from 183 studies of snowmelt modeling (Jost et al., 2009) to urban energy budget (Nice et al., 2018; 184 185 Oleson et al., 2008).

To sum up, all five  $R_1$  estimation models (Mod1–Mod5) that only work under clear-sky 186 187 conditions take T<sub>a</sub> and/or e as inputs. Such an approach is in agreement with the research of Kjaersgaard et al. (2007) who found that  $R_1$  is mainly emanated from the low-level atmosphere 188 that can be adequately characterized in terms of T<sub>a</sub> and humidity under clear-sky conditions 189 (Diak et al., 2000; Ellingson, 1995; Prata, 1996). Moreover, the five models were all established 190 by using measurements from different regions at various timescales, and they can be employed at 191 any timescale (see Table 1) regardless of the temporal resolution of the original measurements 192 193 used for modeling.

194 2.2 Under all-sky condition

Three  $R_1$  estimation models that can work under all-sky conditions were evaluated in this paper. Comparing to the above five models, ancillary information (e.g., clouds) should be taken into account in addition to  $T_a$  and e in the three models, and the three models were developed specifically for ocean surfaces.

Based on the model developed by Clark et al. (1974) for the all-sky net longwave radiation at the ocean surface ( $R_{lnet}$ , the difference between the downward and upward longwave radiation) calculation, Josey (2003) proposed a revised model (named Mod6) to estimate the allsky ocean-surface  $R_l$  by getting rid of the ocean-surface upward longwave radiation as:

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$$R_{l} = \frac{\varepsilon_{s} \sigma SST^{4} - \varepsilon_{s} \sigma SST^{4} (a_{6} + b_{6} \sqrt{e}) (1 - \lambda C^{2}) - 4\varepsilon_{s} \sigma SST^{3} (SST - T_{a})}{1 - \alpha_{s}}$$
(6)

where  $\varepsilon_s$  is the sea surface emissivity, defined as a constant value of 0.98, and SST is the sea surface temperature (K); hence, the term  $\varepsilon_s \sigma SST^4$  is the upward longwave radiation at the ocean surface.  $\alpha_s$  is the sea surface longwave radiation reflectivity, defined as a constant value of 0.045, C is the cloud cover (0–1; dimensionless),  $\lambda$  is a latitude-dependent coefficient that represents the cloud amount, and a<sub>6</sub> and b<sub>6</sub> are empirical coefficients. Based on measurements

- 209 (i.e., R<sub>1</sub>, T<sub>s</sub>, and C) collected from the Chemical and Hydrographic Atlantic Ocean Section
- 210 (CHAOS) in the northeast Atlantic in 1998, a<sub>6</sub> and b<sub>6</sub> were determined as 0.39 and -0.05 (Clark et
- al., 1974; Josey, 2003), and  $\lambda$  at a given latitude can be taken from Josey et al. (1997). Josey
- 212 (2003) validated Mod6 and the results showed that Mod6 tended to overestimate the
- 213 instantaneous  $R_1$  measurements from CHAOS by 11.70 W/m<sup>2</sup>. The estimates from Mod6 have
- been applied in hydrodynamic models (Grayek et al., 2011) and atmospheric boundary layer models (Deremble et al., 2013)
- 215 models (Deremble et al., 2013).
- Based on hourly cruise measurements (i.e.,  $R_1$ ,  $T_a$ , and C) collected in the Mediterranean Sea during the period from 1989 to 1992, Bignami et al. (1995) proposed an empirical model to calculate the ocean-surface all-sky  $R_1$  (named Mod7) as follows:
- 219  $R_1 = \sigma T_a^4 (a_7 + b_7 e)(1 + c_7 C^2)$  (7)
- 220 where  $a_7$ ,  $b_7$ , and  $c_7$  are empirical coefficients defined as 0.684, 0.0056, and 0.1762, 221 respectively. Bignami et al. (1995) presented validated RMSE values for Mod7 which ranged 222 from ~14 W/m<sup>2</sup> at the hourly scale to ~9 W/m<sup>2</sup> at the daily scale. Mod7 has been utilized by the 223 Mediterranean Forecasting System for predictions of currents and biochemical parameters
- (Pinardi et al., 2003), coupled ocean–atmosphere climate models (Dubois et al., 2012) as well as
   generation of the Atlantic Ocean heat flux climatology (Lindau, 2012).
- Also based on the measurements collected from CHAOS, Josey (2003) assessed the accuracy of Mod7 and found that this model tended to underestimate the all-sky  $R_1$  by 12.10 W/m<sup>2</sup> at the instantaneous scale. After analyzing the shortcomings of Mod6 and Mod7, Josey (2003) proposed a new model (named Mod8) for all-sky ocean-surface  $R_1$  calculation through a revision of  $T_a$  by using the same samples:
- 231

$$R_{l} = \sigma \left\{ T_{a} + a_{8}C^{2} + b_{8}C - c_{8} + d_{1}(D + e_{1}) \right\}^{4}$$
(8)

where  $a_8$ ,  $b_8$ ,  $c_8$ ,  $d_1$ , and  $e_1$  are empirical coefficients determined as 10.77, 2.34, 18.44, 0.84, and 4.01, respectively, D is the dew point depression, and T<sub>a</sub> is the temperature (K) (see Equation (11)). Estimates of R<sub>1</sub> obtained with Mod8 agreed to within 2 W/m<sup>2</sup> in the mean bias of 10 minute measurements at middle-high latitudes. The estimates from Mod8 have been used as essential input in simulations of ocean–atmosphere interactions in the Arctic shelf (Cottier et al., 2007).

Overall, it was thought that variations in the all-sky ocean-surface  $R_1$  were related to  $T_a$ , e, and cloud information (e.g., cloud cover and cloud amount) in previous studies. However, Fung et al. (1984) pointed out that other relevant cloud information, such as the cloud base height (CBH) and cloud optical thickness, also have a significant influence on ocean-surface longwave radiation. Therefore, more efforts should be made to increase the  $R_1$  estimation accuracy under all-sky conditions.

#### 244 **3 Data and Methodology**

In order to develop a new all-sky ocean-surface R<sub>1</sub> estimation model, the meteorological
 and radiative observations from 65 moored buoys and the cloud parameters from the ERA5
 reanalysis product from 1988 to 2019 were applied. Afterwards, the newly developed model and

the eight commonly used models (Mod1–Mod8) were evaluated against the moored  $R_1$ 248

measurements under clear- and all-sky conditions at hourly/daily scales 249

3.1 Data and pre-processing 250

Table 2 lists all the variables employed in this paper and their information. The 251 instantaneous timescale can be defined as timescales ranging from a 3 minute average to hourly 252 average (Bignami et al. (1995); K Wang and Liang (2009a); hence, two timescales, hourly and 253 daily, were considered in this study for model evaluation as in previous studies (Bilbao & de 254 Miguel, 2007; Kjaersgaard et al., 2007; Sridhar & Elliott, 2002). Note that Mod1 was also used 255 at the two timescales (Guo et al., 2019) though it was originally established with monthly 256 samples. More details about the data are given below. 257

#### 258 Table 2

Variables: Expl	anations and Sources			
Abbreviation	Full name	Time scales	Unit	Source
RH	Relative humidity	Daily/hourly	%	In situ
e	Water vapor	Daily/hourly	hPa	Calculated
Ta	2-m air temperature	Daily/hourly	Κ	In situ
Ts	Sea surface temperature	Daily/hourly	Κ	In situ
D	Dew point depression	Daily/hourly	Κ	Calculated
CI	Clearness index	Daily/hourly	0-1	Calculated
С	Fractional cloud cover	Daily/hourly	0-1	Calculated
clw	Total column cloud liquid water	Daily/hourly	g/m <sup>2</sup>	ERA5
ciw	Total column cloud ice water	Daily/hourly	g/m <sup>2</sup>	ERA5
R <sub>1</sub>	Downward longwave radiation	Daily/hourly	W/m <sup>2</sup>	In situ
$R_g$	Downward shortwave radiation	Daily/hourly	W/m <sup>2</sup>	In situ
DSR <sub>toa</sub>	Extraterrestrial solar radiation (DSR <sub>toa</sub> )	Daily/hourly	W/m <sup>2</sup>	Modeled

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#### 3.1.1 Measurements from moored buoys 260

All measurements were collected from 65 moored buoy sites, whose latitudes range from 261 47°S to 59.5°N, as shown in Figure 1. The majority of moored buoy sites were located in 262 tropicial seas (23.5°S–23.5°N), and relatively few buoys were in the high-latitude seas of the 263 264 Northern Hemisphere (>50°N) and the mid-high latitude seas of the Southern Hemisphere (>30°S). 265

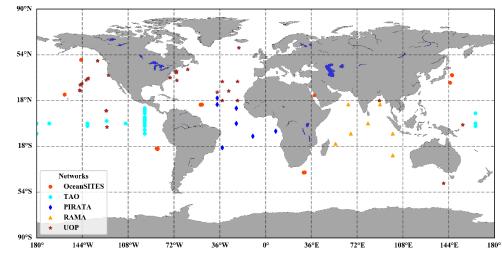


Figure 1. Spatial distribution of the 65 moored buoys.

The moored buoy sites in this study belong to five well-known observation 268 network/programs, including the Upper Ocean Processes Group (UOP), Tropical Atmosphere 269 Ocean/Triangle Trans-Ocean Buoy Network (TAO/TRITON), Pilot Research Moored Array in 270 the Tropical Atlantic (PIRATA), Research Moored Array for African-Asian-Australian Monsoon 271 Analysis and Prediction (RAMA), and OceanSITES. Launched by the Woods Hole 272 273 Oceanographic Institution (WHOI), UOP mainly focuses on studying the physical processes of the air-sea interface and the epipelagic, and its buoys are equipped with oceanographic and 274 meteorological sensors. The UOP measurements accurately quantify annual cycles of wind stress 275 and net air-sea heat exchange in the Southern Ocean (Schulz et al., 2012). Twenty-two sites form 276 the UOP, and data from all were used in this study. TAO/TRITON (McPhaden et al., 1998) in the 277 tropical Pacific, PIRATA (Bourlès et al., 2008) in the tropical Atlantic, and RAMA in the tropical 278 279 Indian Ocean (McPhaden et al., 2009) are all part of the Global Tropical Moored Buoy Array (GTMBA) program (McPhaden et al., 2010). Extensive quality control was done by GTMBA 280 prior to dissemination of the data (Freitag, 1999; 2001; Lake, 2003; Medovaya et al., 2002), and 281 they have been used for monitoring, understanding, and forecasting the El Niño-Southern 282 Oscillation (ENSO) and monsoon variability (McPhaden et al., 2009). Data from 35 GTMBA 283 sites (TAO, 21; PIRATA, 7; RAMA, 7) were used in this study. The OceanSITES network is 284 composed of buoys funded by oceanographic researchers across the globe. The goal of the 285 OceanSITES program is to facilitate the use of high-quality multidisciplinary data from fixed 286 sites in the open ocean (Cronin et al., 2019). Eight sites from OceanSITES were utilized, 287 specifically: OS PAPA, OS KAUST, OS NTAS, OS KEO, OS ARC, OS JKEO, 288 OS STRATUS, and OS WHOTS. In this study, the routine measurements made at moored 289 buoys, including radiative measurements (e.g., ocean-surface downward shortwave radiation R<sub>g</sub>) 290 and meteorological measurements (e.g., T<sub>a</sub> and RH) were collected and used; other variables 291 (e.g., e, D, and CI) were calculated from these measurements. More information regarding these 292 data sets is found in Table 3. 293

#### 294 **Table 3**

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295 Descriptions of Different Networks

Network/Progra	No. of	Period	Observation	Variables	URL
m	sites		frequency		

22	1988-	1 hour	$R_l, R_g,$	http://uop.whoi.edu/index.htm
	2017		$T_a$ ,RH	<u>1</u>
21	2000-	10 min	$R_1, R_g,$	https://www.pmel.noaa.gov/ta
	2019		T <sub>a</sub> ,RH	o/drupal/disdel/
7	2004-	10 min	$R_1, R_g,$	https://www.pmel.noaa.gov/ta
	2019		$T_a, RH$	o/drupal/disdel/
7	2006-	10 min	$R_1, R_g,$	https://www.pmel.noaa.gov/ta
	2019		$T_a, RH$	o/drupal/disdel/
8	2000-	1 hour	$R_1, R_g,$	http://www.oceansites.org/
	2018		$T_a, RH$	
	21 7 7	2017 21 2000- 2019 7 2004- 2019 7 2006- 2019 8 2000-	2017         21       2000-       10 min         2019         7       2004-       10 min         2019         7       2006-       10 min         2019         8       2000-       1 hour	$\begin{array}{cccccccccccccccccccccccccccccccccccc$

296

#### 3.1.1.1 Radiative measurements

At each moored buoy,  $R_1$  is routinely measured by an Eppley Precision Infrared 297 Radiometer (PIR) with a nominal accuracy of  $\pm 1\%$  (Richard E. Payne & Anderson, 1999), and 298 R<sub>g</sub> is routinely measured by an Eppley Laboratory precision spectral pyranometer (PSP) with a 299 calibration accuracy of  $\pm 2\%$  (Freitag, 1994). The PIR and PSP are deployed approximately 3 m 300 above sea level. All measurements are quality controlled by their providers. To ensure data 301 quality, a two step approach was implemented; 1) only observations flagged as 'high quality' by 302 the data providers were considered, and 2) data was manually inspected by the authors for any 303 irregularities. Additionally, the R<sub>1</sub> measurements above 450 W/m<sup>2</sup> were removed, as suggested 304 by Josey (2003). 305

As pointed out by Pascal and Josey (2000), the main errors in measuring  $R_1$  are from the shortwave leakage and differential heating of the sensor. Therefore, the errors ( $\Delta R_1$ ) in  $R_1$ observations were corrected according to Pascal and Josey (2000) as:

309

$$\Delta R_{l} = (a + \lambda) R_{g} + b R_{g}^{2}$$
<sup>(9)</sup>

where a =  $4.34 \times 10^{-3}$ ,  $\lambda = 0.011$ , and b =  $1.72 \times 10^{-6}$ . The differences between  $\lambda = 0.007$ , 310 0.011, and 0.024 resulted in minimal changes to  $R_1$ , with 78% and 72% of samples showing 311 deviations of less than 1 W/m<sup>2</sup> and 4 W/m<sup>2</sup>, respectively. These values are below the sensors' 312 uncertainty. Therefore, we used 0.011 for  $\lambda$ . Hence, the R<sub>1</sub> measurements at a sampling frequency 313 less than one hour were first corrected. After that, selected measurements whose sampling 314 frequency was less than one hour were aggregated into hourly means as long as 80% of the 315 measurements in one hour were available, and the hourly data were aggregated into daily means 316 as long as 24 hourly data in one day were available. 317

Note that the errors of the measured  $R_g$  induced by buoy rocking motions, sensor tilting, and aerosol accumulation (Medovaya et al., 2002) were too small to be considered here. In total, 47,266 samples at the daily scale and 1,275,308 samples at the hourly scale during the period from 1988 to 2019 were used in this study. For better comparison, the hourly samples used for independent validation were further divided into daytime ( $R_g > 120 \text{ W/m}^2$ ) and nighttime conditions ( $R_g \le 120 \text{ W/m}^2$ ), with 147,981 samples in daytime and 210,057 in nighttime.

324 3.1.1.2 Meteorological and oceanic variables

Two meteorological measurements, RH and  $T_a$ , were collected at the moored buoy sites. The instrument used for measuring RH and  $T_a$  is a Rotronic MP-100F, deployed about 3 m above the sea level. The instrument produced accuracies of 2.7% and 0.2 K (Lake, 2003) for RH and

T<sub>a</sub>, respectively, which are also too small to influence the accuracy of the R<sub>1</sub> estimation. Similar

to the radiative measurements, RH and  $T_a$  were both strictly screened and then aggregated into hourly and daily means.

On the other hand, the sea surface temperature (SST) was measured at about 1 m below the sea level using a high-accuracy conductivity and temperature recorder (SBE37/39; Sea Bird Electronics) with an accuracy of 0.002 K. According to Donlon et al. (2002), there is a strong correlation between body SST and skin SST. Although wind speed has a significant effect on this relationship, a constant correction offset can be applied when the wind speed exceeds 6 m/s (Alappattu et al., 2017). In fact, 83% of the samples had wind speeds above 4 m/s, and as suggested by Vanhellemont (2020), the bulk SST measured at moored buoys can be adjusted to

the skin SST by using a correction offset of 0.17 K.

#### 339 3.1.1.3 Calculation of other variables

340 Three variables, including e, D, and CI, were calculated with the RH,  $T_a$ , and  $R_g$ ,

341 measurements separately. Therefore, these three variables at hourly and daily scales were

obtained from the corresponding measurements. Specifically, the daily (hourly) mean e wascalculated from the daily (hourly) RH using the following equation:

344  $e=6.1121 \frac{RH}{100} \exp(\frac{17.502T_a}{T_a+240.97})$  (10)

Note that Equation (10) only works when  $T_a$  is in the range -30–50 °C (Buck, 1981), and  $T_a$  should be in items of °C.

The daily (hourly) dew point depression D was calculated according to Josey (2003) and Henderson-Sellers (1984) as:

349

$$D = 34.07 + 4157/\ln(2.1718 \times 10^8/e) - T_a$$
(11)

The clearness index (CI) is calculated as the ratio of the surface R<sub>g</sub> to the extraterrestrial solar radiation (DSR<sub>toa</sub>) (Ogunjobi & Kim, 2004). CI generally represents the atmospheric transmissivity affected by permanent gases, aerosols, and the optical thickness of the clouds (Alados et al., 2012; Flerchinger et al., 2009; Gubler et al., 2012; Jiang et al., 2015; Meyers & Dale, 1983), and it is widely used in radiation related researches (Iziomon et al., 2003; Jiang et al., 2016; Jiang et al., 2015; Richard E Payne, 1972). The value of CI is between 0 and 1, where a larger CI value represents a clearer sky. The hourly CI can be calculated as follows:

$$CI = \frac{R_g}{DSR_{tra}}$$
(12)

However, during nighttime, the hourly CI cannot be calculated by Equation (12) directly because of a lack of  $R_g$  values; hence, it was calculated based on a 24-hour solar radiation window centered on the hourly observation as suggested by Flerchinger et al. (2009). The daily CI was calculated as the average of all hourly CI values in a day for the sake of considering atmosphere variations at nighttime.

In this paper, CI was utilized to determine the condition as clear-sky when its value was greater than 0.7 at both hourly and daily scales. Additionally, it was found that the cloud cover derived from CI would help to improve the model performance after multiple experiments, especially at nighttime. Therefore, CI was also used to calculate the cloud cover. Specifically, the cloud fraction was linearly interpolated between C = 1.0 at a CI value of 0.4 for complete cloud cover to C = 0.0 at a CI value of 0.7 for cloudless, both at daily and hourly scales according to

- <sup>369</sup> Flerchinger et al. (2009). Because of the different calculation of CI during daytime and
- nighttime, the uncertainty in the calculated cloud cover was different; hence, the R<sub>1</sub> estimates at
- the hourly scale were further examined at daytime and nighttime. Therefore, all meteorological
- factors (RH,  $T_a$ , e, and D) at daily and at hourly scales were respectively prepared accordingly.
- 373 3.1.2 Cloud parameters from the ERA5 reanalysis data set

As described above, the cloud cover represented by the fraction (C) is usually taken into 374 375 account when estimating R1 affected by clouds. However, in this study, two more cloud-related parameters, including clw and ciw (see Table 2), from the ERA5 reanalysis product were also 376 considered in the modeling. The total amount of liquid water per unit area in the air column from 377 the base to the top of the cloud is called the total column cloud liquid water (clw), and its chilled 378 counterpart (ice) is called the total column cloud ice water (ciw) (Nandan et al., 2022). ERA5 is 379 the fifth generation atmospheric reanalysis product, and it was produced based on 4D-Var data 380 381 assimilation using the Integrated Forecasting System (IFS) with an enhanced spatial resolution (0.25°) and time resolution (hourly) compared to its previous version ERA-interim (Hoffmann et 382 al., 2019) from 1979 to present. Clouds in ERA5 are represented by a fully prognostic cloud 383 scheme, in which cloud fractions and cloud condensates obey mass balance equations (Tiedtke, 384 1993). The ERA5 clw values are in good agreement with those obtained from radiosonde 385 observations (Nandan et al., 2022). Overall, relative to ERA-interim, ERA5 shows reduced 386 biases in the total ice water path versus other satellite-based observational products. Therefore, 387 the two cloud parameters were extracted from the locations of the 65 moored buoy sites directly 388 389 at the hourly scale, and then their daily means were calculated by averaging the 24 valid hourly values. ERA5 cloud product is available on the Climate Data Store (CDS) cloud server 390 (https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset). 391

Overall, 70% of the samples at each moored buoy site, including 33,151 daily samples and 917,270 hourly samples, were randomly selected for new model training and calibration of the eight previous models (Mod1– Mod8). The other 30% of the data at each site, including 14,115 daily samples and 358,038 hourly samples (daytime: 147,981; nighttime: 210,057), were used for model validation.

397 3.2. Methodology

A new model that could estimate ocean-surface R<sub>1</sub> under all-sky conditions at both hourly and daily scales was developed based on the moored measurements and ERA5 cloud parameters. Moreover, the eight evaluated R<sub>1</sub> models were all recalibrated so as to evaluate the model's accuracy objectively. Based on the corresponding validation samples, the R<sub>1</sub> values produced by the nine models were compared under clear-sky and all-sky conditions at hourly and daily scales, where the comparison at the hourly scale was further divided into daytime and nighttime values.

404 3.2.1 New R<sub>1</sub> estimation model development

As mentioned above,  $T_a$  and the humidity-related factors (e.g., RH) were enough to characterize the variations in R<sub>1</sub> under clear-sky conditions. However, for cloudy skies, R<sub>1</sub> is enhanced by the cloud base emitting (T Wang et al., 2020; Yang & Cheng, 2020). Cloud cover is one of the most commonly used cloud-related parameters. In addition, theoretically, the cloudysky R<sub>1</sub> is significantly influenced by the cloud's base temperature, which is determined by the CBH; hence, CBH is thought to be necessary in determining R<sub>1</sub> under cloudy-sky conditions 411 (Viúdez-Mora et al., 2015). However, it is difficult to obtain the CBH accurately, especially for

- 412 partly cloudy skies (Zhou & Cess, 2001) because of the unavailability of the cloud's geometrical
- 413 thickness (Yang & Cheng, 2020). Therefore, other parameters that could provide information on 414 the CBH were explored. In the study of Hack (1998), a physical correlation between clw and
- the CBH were explored. In the study of Hack (1998), a physical correlation between clw and
   CBH was revealed for most cases, while clw was successfully used as an effective surrogate of
- the CBH in the study of Zhou and Cess (2001). However, Zhou et al. (2007) pointed out that the
- effects of ice clouds on  $R_1$  should also be considered when the atmospheric water vapor is low or
- at high latitudes, which means that ciw also needs to be taken into account. Inspired by these
- studies, clw and ciw, both in logarithmic form, were introduced in the development of a new
- 420 model named Modnew, in which R<sub>1</sub> under all-sky conditions at the ocean surface was related to
- 421 five parameters including T<sub>a</sub>, RH, clw, ciw, and C. Modnew was trained by the corresponding
- training samples at hourly and daily scales. Details of the development of the new model
- presented in the present study are given in Section 4.1.
- 424 3.2.2 Model performances evaluation

Table 4 lists the different cases for the  $R_1$  model comparison. As shown in Table 4, the nine evaluated models (Mod1–Mod8 and Modnew) were all used for clear-sky  $R_1$  estimation at both hourly and daily scales, while only four models (Mod6–Mod8 and Modnew) were evaluated under all-sky conditions. Three metrics were employed to present the model accuracy:  $R^2$ , the

429 root-mean-square error (RMSE), and bias. Generally, all three statistics were calculated to

430 evaluate the accuracy of different models, but the RMSE values had larger weights.

## 431 **Table 4**

432 Detailed Information of the Six Cases Considered in the Model Evaluation

Case			Training samples	Validation samples	Evaluated model
	Hourly	Daytime	176,510	40,805	Mod1-Mod8, Modnew
Clear-sky	-	Nighttime		35,125	Mod1-Mod8, Modnew
	Daily		3,443	1,447	Mod1-Mod8, Modnew
	Hourly	Daytime	917,270	147,981	Mod6-8, Modnew
All-sky	-	Nighttime		210,057	Mod6-8, Modnew
2	Daily		33,151	14,115	Mod6-8, Modnew

## 433 **4 Results and Analysis**

In this section, Modnew is introduced first, and then the validation results of the nine evaluated models under various cases are compared and analyzed. Lastly, further analyses are conducted on Modnew.

## 437 4.1 Modnew development

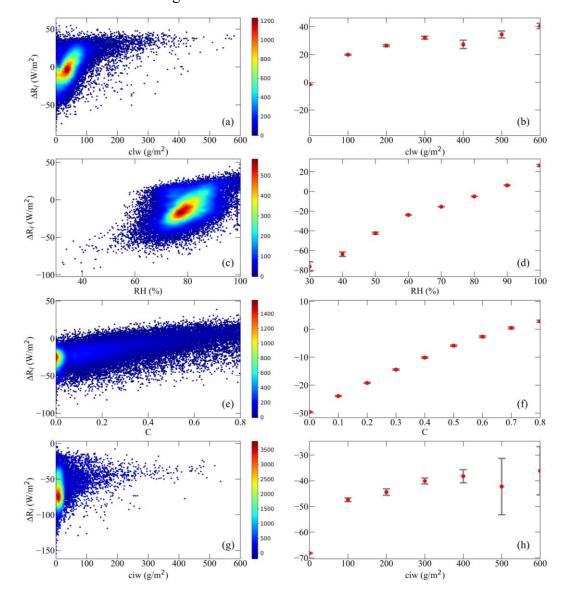
As mentioned above, the ocean-surface  $R_1$  in Modnew is related to five parameters ( $T_a$ , clw, RH, C, and ciw) for hourly and daily scales under all-sky conditions. To understand better the contribution made by each variable on  $R_1$ , the five parameters were introduced into Modnew gradually. Taking the daily all-sky  $R_1$  as an example,  $R_1$  was first only characterized by the fourth power of  $T_a$  based on the Stefan–Boltzmann law as follows:

 $R_1 = a_{new} \sigma T_a^4 + b_{new}$ (13)

444 where  $a_{new}$  and  $b_{new}$  are empirical coefficients, determined as 0.85 and 14.96, respectively,

- based on the daily training samples. Then, the correlations between the model residuals in  $R_1$
- (referred to as  $\Delta R_1$ ) that define the difference between the in situ  $R_1$  measurements and the  $R_1$ estimates from Equation (13) and other four parameters (clw, RH, C, and ciw) were explored one

448 by one. The results are found in Figure 2.



449

Figure 2. The scatter plots between the model residuals,  $\Delta R_1$ , from Equation (13) and (a) clw, (c) RH, (e) C, and (g) ciw. Panels (b), (d), (f), and (h) are their corresponding box plots. In the left column, the color bar represents points per unit area. In the right column, the dots indicate the mean value of the  $\Delta R_1$  (ME), while the vertical lines represent the standard error of the mean (SEM).

Figures 2(a), 2(c), 2(e), and 2(g) present scatter plots between  $\Delta R_1$  and clw, RH, C, and ciw, respectively. In order to show their relationships better, the corresponding box plots, in which the mean of  $\Delta R_1$  and its standard error (SEM) for each bin of the four parameters (in 10% increments) were calculated and presented in Figures 2(b), 2(d), 2(f), and 2(h), respectively. Specifically,  $\Delta R_1$  varied with clw and ciw in a logarithmic relationship (Figures 2(b) and 2(h), respectively), and with RH (Figure 2(d)) and C (Figure 2(f)) in approximately linear
relationships. Wefound that by introducing the C, RH, clw and ciw in Equation (13) gradually,
the RMSE error was reduced from 17.48 W/m<sup>2</sup> with Equation (13) to 12.61 W/m<sup>2</sup>, 10.92 W/m<sup>2</sup>,
10.11 W/m<sup>2</sup> and 9.87 W/m<sup>2</sup>, and the level of R<sup>2</sup> increased accordingly from 0.64 to 0.81, 0.86,

464 0.88 and 0.89, respectively. Hence, clw, RH, C, and ciw were introduced into Equation (13) in

their appropriate forms and the final equation was taken as Modnew:

466 
$$R_{l} = a_{new}\sigma T_{a}^{4} + b_{new}C + c_{new}\ln(1 + clw) + d_{new}\ln(1 + ciw) + e_{new}RH + f_{new}$$
467 (14)

where anew, bnew, cnew, dnew, enew, and fnew are empirical coefficients. In this study, these 468 coefficients were determined as 1.06, 42.18, 4.90, -1.97, 0.89, and -178.28 respectively. Figure 469 3(a) shows that the overall training accuracy of the estimated all-sky ocean-surface R<sub>1</sub> from 470 Modnew was satisfactory, yielding an  $R^2$  of 0.89, RMSE of 9.87 W/m<sup>2</sup>, and nearly no bias. 471 Afterwards, Equation (14) was used to determine the hourly ocean-surface R<sub>1</sub> based on the 472 corresponding hourly training samples (see Table 4). The hourly results shown in Figure 3(b) 473 were satisfactory, with an  $R^2$  of 0.78, RMSE of 15.44 W/m<sup>2</sup>, and nearly no bias. Note that the  $R_1$ 474 measurements whose values were larger than  $450 \text{ W/m}^2$  were thought to be unreasonable and 475

476 were manually removed (see Section 3.1).

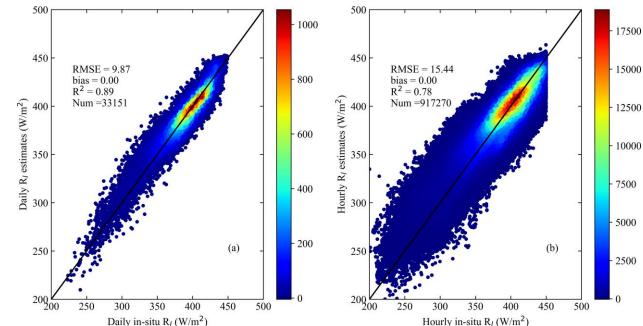




Figure 3. Overall training accuracy of the all-sky daily  $R_1$  at (a) daily and (b) hourly scales. In panels a and b, the color bar represents points per unit area.

480

By considering the influence of the calculated cloud cover on the  $R_1$  estimates, the hourly results were separated into daytime and nighttime, respectively, as shown in Figure 4. The training accuracy of the daytime sample was higher than that at nighttime, with  $R^2$  values of 0.82 and 0.79 and RMSE values of 13.18 and 16.24 W/m<sup>2</sup>, respectively. It was assumed that the larger uncertainties in the hourly ocean-surface  $R_1$  at nighttime were possibly owing to the estimated cloud cover, which might have an influence on Modnew in the form of overestimating  $R_1$ .

- 487 Overall, the performance of Modnew was very good, both at daily and hourly scales for all-sky
- 488  $R_1$  estimation at the ocean surface.

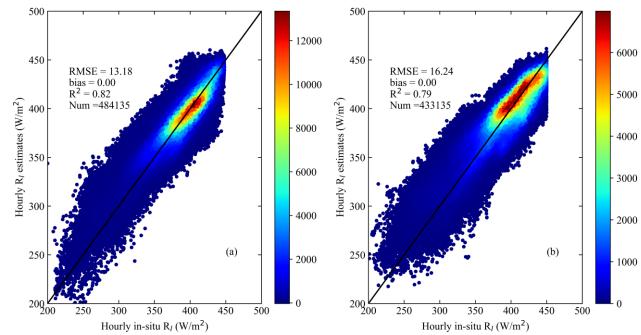




Figure 4. Overall training accuracy of the all-sky hourly  $R_1$  during (a) daytime and (b) nighttime. The color bars represent points per unit area.

492 4.2 Model comparison results

Based on the independent validation samples, Mod1-Mod8 and Modnew were validated 493 one by one and compared for various cases (Table 4). Before that, the eight existing models were 494 calibrated using the corresponding training samples, which means that Mod1-Mod5 were 495 calibrated with the clear-sky training hourly/daily samples, while Mod6-Mod8 were calibrated 496 with the all-sky training hourly/daily samples, i.e., the same as Modnew. Afterwards, these 497 models were validated against the matched validation samples for each case. The updated 498 coefficients of Mod1-Mod8 and the coefficients of Modnew for hourly and daily scales are 499 given in Table 5. For better illustration, the comparison results are presented for clear- and all-500 sky conditions in the following paragraphs. 501

#### 502 **Table 5**

Coefficients of the Nine Models Used for Hourly/Daily Ocean-surface R<sub>l</sub> Estimation. The Values
 in Parentheses are the Uncertainties of the Fitted Parameters

Models	а	b	c	d	e	f	
Hourly							
Mod1	0.675(±6 ×10 <sup>-4</sup> )	0.052(±3 ×10 <sup>-4</sup> )	/	/	/	/	
Mod2	0.246(±1 ×10-4)	7.77×10 <sup>-4</sup> (±0.03)	/	/	/	/	
Mod3	1.21(±9×10 <sup>-5</sup> )	/	/	/	/	/	
Mod4	1.056(±8 ×10 <sup>-5</sup> )	/	/	/	/	/	
Mod5	7.48(±0.01)	1.28(±0.003)	0.5(±0.005)	/	/	/	
Mod6	0.229(±4 ×10 <sup>-4</sup> )	-0.006(±8 ×10 <sup>-5</sup> )	/	/	/	/	

Mod7	0.812(±2 ×10 <sup>-4</sup> )	0.001(±7 ×10 <sup>-6</sup> )	0.121(±1 ×10 <sup>-</sup> <sup>4</sup> )	/	/	/
Mod8	-5.557(±0.38)	13.378(±0.35)	82.43(±1.21)	0.85(±0.02)	85.33(±0.60)	/
Modnew	0.986(±6 ×10 <sup>-4</sup> )	40.991(±0.05)	3.116(±0.01)	-2.478(±0.01)	0.921(±0.02)	$-144.62(\pm 0.30)$
Daily						
Mod1	$0.65(\pm 0.004)$	0.06(±0.001)	/	/	/	/
Mod2	$0.25(\pm 0.003)$	7.77×10 <sup>-4</sup> (±0.18)	/	/	/	/
Mod3	1.21(±5 ×10 <sup>-4</sup> )		/	/	/	/
Mod4	$1.061(\pm 5 \times 10^{-4})$	/	/	/	/	/
Mod5	$1.69(\pm 0.09)$	2.67(±0.25)	$0.5(\pm 0.02)$	/	/	/
Mod6	0.286(±0.002)	-0.03(±3 ×10 <sup>-4</sup> )	/	/	/	/
Mod7	0.805(±0.002)	0.002(±8 ×10 <sup>-5</sup> )	0.133(±0.01)	/	/	/
Mod8	$-0.34(\pm 0.02)$	8.545(±0.19)	-12.19(±0.59)	0.08(±0.009)	0.08(±0.006)	/
Modnew	1.06(±0.002)	42.18(±0.22)	4.90(±0.06)	-1.97(±0.04)	0.89(±0.008)	-178.28(±1.15)

#### 505 4.2.1 Clear sky

All models, including the eight previous models (Mod1–Mod8), and the newly developed model (Modnew), could be used under clear-sky conditions at both hourly and daily scales with the updated coefficients given in Table 5.

#### 509 4.2.1.1 Hourly scale

510 Table 6 shows the validation results of the nine models under clear-sky conditions at the

511 hourly scale. Meanwhile, the validation results of Mod1–Mod8 with their original coefficients

512 (see Section 2) are also presented in Table 6, using the same validation samples for comparison.

#### 513 **Table 6**

514 Overall Validation Accuracy of the Nine Ocean-surface R<sub>1</sub> Models under Clear-sky Conditions at

the Hourly Scale. The Values in Parentheses for Mod1–Mod8 are the Validation Results Found
 Using Their Original Coefficients

Models	$\mathbb{R}^2$	$RMSE(W/m^2)$	bias(W/m <sup>2</sup> )	
Mod1	0.77 (0.78)	14.69 (15.43)	-0.42 (-0.88)	
Mod2	0.71 (0.71)	16.37 (16.61)	-0.31 (-2.80)	
Mod3	0.77 (0.77)	14.77 (17.87)	-0.53 (9.84)	
Mod4	0.74 (0.74)	15.53 (17.11)	-0.22 (7.33)	
Mod5	0.77 (0.77)	14.62 (26.90)	-0.44 (-19.56)	
Mod6	0.75 (0.77)	16.87 (21.51)	7.33 (15.28)	
Mod7	0.74 (0.77)	18.37 (17.52)	9.27 (-9.57)	
Mod8	0.78 (0.78)	15.59 (37.00)	2.45 (-33.27)	
Modnew	0.79	14.82	4.40	

517 The validation results illustrate that most models estimated the clear-sky hourly ocean-

surface  $R_1$  with a similar accuracy, with  $R^2$  values ranging from 0.74 to 0.79, RMSE values

ranging from 14.62 to 18.37 W/m<sup>2</sup>, and bias values ranging from -0.53 to 9.27 W/m<sup>2</sup> (Table 6).

All eight existing models with the calibrated coefficients had a higher accuracy than those with

the original coefficients except Mod7; in particular, the RMSE of Mod8 decreased by  $\sim 21 \text{ W/m}^2$ .

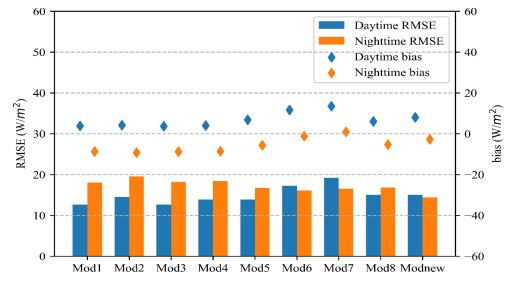
522 The magnitude of the bias of Mod1–Mod8 also decreased after recalibration, with the

523 magnitudes of the biases of Mod1–Mod5 being much smaller than those of Mod6–Mod8 and

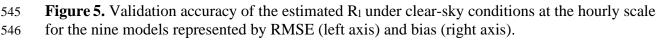
- 524 Modnew, which were trained with the all-sky hourly samples. Among the four all-sky models,
- the newly developed Modnew performed the best, with the largest  $R^2$  of 0.79, the smallest RMSE of 14.82 W/m<sup>2</sup>.

Then, the hourly validation results of the nine models were further examined using the 527 daytime and nighttime values separately, which are shown in Figure 5. The performance of most 528 models, including the five clear-sky models (Mod1-Mod5) and one all-sky model (Mod8), in 529 estimating the hourly clear-sky R<sub>1</sub> during the daytime was much better than that at nighttime, 530 with RMSE values at daytime and nighttime ranging from  $\sim 12.50$  to 15.06 W/m<sup>2</sup> and 16.80 to 531 19.50 W/m<sup>2</sup>, respectively. In contrast, the performances of Mod6–Mod7 and Modnew were 532 better at nighttime than that at daytime, with RMSE values at daytime and nighttime ranging 533 from ~15.00 to 19.20 W/m<sup>2</sup> and 14.40 to 16.60 W/m<sup>2</sup>, respectively. Regarding the bias values, at 534 nighttime, all five clear-sky models had a significant underestimation problem (negative biases), 535 while the all-sky models had smaller bias values. This may be due to the uncertainty in the 536 calculated CI at nighttime, which could influence the cloud determination and then R<sub>1</sub>. In 537 addition, among the five clear-sky models, Mod2 based only on air temperature shows the lowest 538 accuracy in terms of RMSE during both daytime and nighttime. Among the nine models, 539 Modnew had the most stable performance in hourly R<sub>1</sub> estimation under clear-sky conditions 540

- during both daytime and nighttime with similar RMSE values of 15.03 and 14.38  $W/m^2$ ,
- respectively, where in particular its nighttime  $R_1$  estimation accuracy was the best among the nine
- 543 models.



544



Furthermore, the four all-sky R<sub>1</sub> estimation models (Mod6–Mod8 and Modnew) were also 547 trained using the clear-sky hourly samples, and their outputs were validated against the in situ 548 observations. The estimation accuracy of the four all-sky models all improved after calibration: 549 their overall validated RMSE values decreased to  $\sim 13.40$  to 15.40 W/m<sup>2</sup> and  $\sim 12.01$  to 14.29550  $W/m^2$  during the daytime, slight decreases (~1  $W/m^2$ ) at nighttime, and their biases values tended 551 552 to 0. This indicates that the ability of the four all-sky models in estimating clear-sky hourly R<sub>1</sub> was comparable with or even better than the other five models which only work for clear-sky 553 conditions. Indeed, Modnew performed the best of all models during either daytime or nighttime, 554

- with corresponding validated RMSE values of 12.01 and 16.00 W/m<sup>2</sup>, respectively.
- 556 4.2.1.2 Daily scale

As for the results at the daily scale, the nine evaluated models were trained with the 557 corresponding daily training samples (see Table 4) and validated against the in situ 558 measurements. As shown in Table 7, the estimation accuracy of the daily clear-sky ocean-surface 559 R<sub>1</sub> from nearly all previous models improved significantly after recalibration, where the RMSE 560 values and the magnitudes of the bias decreased by up to  $\sim 4 \text{ W/m}^2$  and  $\sim 9 \text{ W/m}^2$ , respectively, 561 except for Mod7. The five clear-sky models (Mod1–Mod5) performed much better than the three 562 previous all-sky models (Mod6–Mod8), with RMSE values ranging from 9.58 to 11.43 W/m<sup>2</sup> and 563 14.02 to 15.69 W/m<sup>2</sup>, and biases values ranging from 0.11 to 0.57 W/m<sup>2</sup> and 4.99 to 9.53 W/m<sup>2</sup>, 564 respectively. Besides, the Mod2 still exhibited lower accuracy than the other four clear-sky 565 models, with the highest validated RMSE value of  $11.43 \text{ W/m}^2$ . The performance of Modnew 566 567 was the best among the four all-sky models, with the smallest validated RMSE value of 10.76  $W/m^2$  and bias of 3.53  $W/m^2$ . Similar to the hourly results under the clear-sky conditions, the 568 validation results improved considerably if all four all-sky models were trained using the clear-569 sky daily samples: their RMSE values and biases decreased to  $\sim 8-13$  W/m<sup>2</sup> and were nearly 570 zero, respectively, which were even better than the corresponding decreases measured for Mod1 571 to Mod5. Modnew was the best in comparison to the other three all-sky models, in this case 572 yielding an RMSE of 8.36 W/m<sup>2</sup>. 573

#### 574 **Table 7**

575 Overall Validation Accuracy of the Nine Ocean-surface R<sub>1</sub> Models under Clear-sky Conditions at

the Daily Scale. The Values in Parentheses for Mod1–Mod8 are the Validation Results Found
 Using Their Original Coefficients

Models	$\mathbb{R}^2$	$RMSE(W/m^2)$	bias(W/m <sup>2</sup> )	
Mod1	0.89 (0.90)	9.66 (11.16)	0.38 (-2.00)	
Mod2	0.85(0.85)	11.43 (11.91)	0.45 (-3.35)	
Mod3	0.90(0.90)	9.87 (13.57)	0.11 (9.06)	
Mod4	0.88(0.88)	10.50 (12.62)	0.57 (7.16)	
Mod5	0.89 (0.89)	9.58 (11.92)	0.39 (6.97)	
Mod6	0.87 (0.88)	14.32 (18.43)	9.53 (15.26)	
Mod7	0.87 (0.88)	14.02 (13.67)	8.15 (-9.18)	
Mod8	0.80 (0.81)	15.69 (19.63)	4.99 (-12.56)	
Modnew	0.89	10.76	3.53	

In summary, for the ocean-surface R<sub>1</sub> estimation under clear-sky conditions, the use of an all-sky model trained with the clear-sky samples is recommended at both hourly and daily scales. Modnew performed the best of all nine models when trained with the clear-sky samples, and was comparable with the other five clear-sky models when trained with the all-sky samples.

- 584 4.2.2 All sky
- 585 4.2.2.1Hourly scale

Table 8 gives the overall validation results of the all-sky hourly scale ocean-surface  $R_1$ from the four models against the independent validation samples with the updated and original

<sup>582</sup> Furthermore, our validation results show that the accuracy of Mod2 is not as high as that of other 583 clear-sky models that include water vapor variable in terms of RMSE.

588 coefficients, respectively.

#### 589 **Table 8**

590 *Overall Validation Accuracy of Four Ocean-surface R*<sub>l</sub> Models under All-sky Conditions at the

591 Hourly Scale. The Values in Parentheses for Mod6–Mod8 are the Validation Results Found 592 Using Their Original Coefficients

Models	$\mathbb{R}^2$	$RMSE(W/m^2)$	bias(W/m <sup>2</sup> )	
Mod6	0.67 (0.65)	18.53 (19.84)	0.05 (3.83)	
Mod7	0.66 (0.64)	19.06 (26.10)	-0.14 (-10.27)	
Mod8	0.74 (0.51)	16.91 (37.33)	-0.41 (-28.47)	
Modnew	0.76	15.95	-0.04	

593 Compared to the results in Table 6, the estimation accuracies under all-sky conditions 594 shown in Table 8 were generally worse, with lower  $R^2$  values (0.66–0.76) and bigger RMSE 595 values (15.95–19.06 W/m<sup>2</sup>), which indicates that the uncertainty in the cloud information was the 596 major reason for the increased uncertainty in the  $R_1$  estimation. As in previous results, the three 597 previous models, Mod6–Mod8, performed much better after recalibration, with decreased RMSE

- values up to  $\sim 20 \text{ W/m}^2$  and their bias values tended to 0; Mod7 still performed the worse.
- Modnew performed the best, with an RMSE of 15.95  $W/m^2$  and a bias of -0.04 $W/m^2$ , followed
- 600 by Mod8.

601

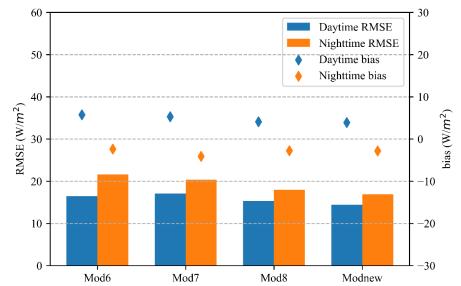


Figure 6. Validation accuracy of the estimated Rl under all-sky conditions at the hourly scale for
 Mod6-Mod8 and Modnew represented by RMSE (left axis) and bias (right axis).

The hourly results in Table 8 were examined for daytime and nighttime values, as shown in Figure 6. The results show that the estimation accuracies of the four models were overall

better during the daytime than at nighttime, with smaller RMSE values for the former.

- 607 Specifically, during daytime hours, the accuracy of Modnew was similar to that of Mod8, with
- $RMSEs of 14.43 and 15.33 W/m^2$ , respectively, which were better than those of Mod6 and
- Mod7, which yielded RMSEs of 16.46 and 17.09 W/m<sup>2</sup>, respectively. However, Mod7 performed

a little bit better than Mod6 during the nighttime, although its overall performance was the worst.

611 It is speculated that the larger uncertainties in the all-sky ocean-surface  $R_1$  values at nighttime

- can possibly be attributed to the cloud information at nighttime, which was difficult to estimate
- accurately compared to the daytime cloud information.

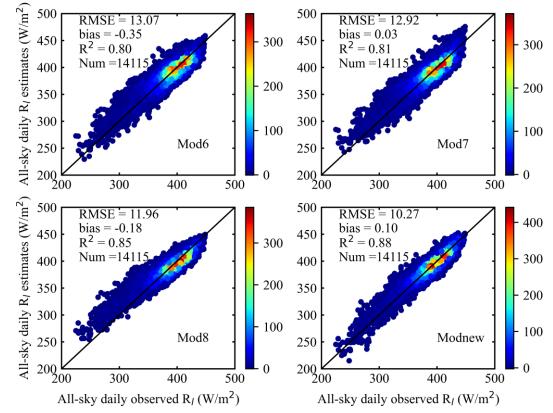
614 4.2.2.2 Daily scale

Figure 7 shows the overall validation accuracies of the all-sky daily ocean-surface  $R_1$ values from the four models. Compared with Mod6–Mod8, Modnew had the best performance, with an validated RMSE of 10.27 W/m<sup>2</sup>, a bias of 0.10 W/m<sup>2</sup>, and an R<sup>2</sup> of 0.88, followed by

with an validated RMSE of 10.27 W/m<sup>2</sup>, a bias of 0.10 W/m<sup>2</sup>, and an R<sup>2</sup> of 0.88, followe Mod8, which yielded an RMSE of 11.96 W/m<sup>2</sup>, a bias of -0.18 W/m<sup>2</sup>, and an R<sup>2</sup> of 0.85.

618 Mod8, which yielded an RMSE of 11.96  $W/m^2$ , a bias of -0.18  $W/m^2$ , and an  $R^2$  of 0.85. 619 However, Mod8 had a tendency to overestimate low values (<300  $W/m^2$ ), as did Mod6 and

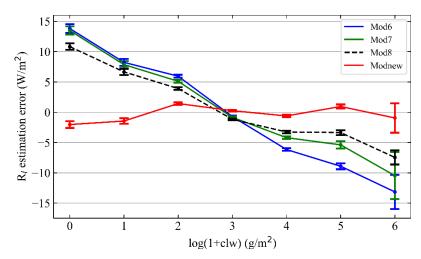
620 Mod7.



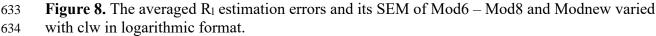
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Figure 7. Overall validation result of the calculated all-sky daily ocean-surface  $R_1$  from the four models against the independent moored measurements. The color bars represent points per unit area.

Overall, it is speculated that Modnew performed better than Mod6–Mod8 because of the introduction of two cloud-related parameters (clw and ciw) into the model in addition to the cloud fraction. In order to demonstrate this speculation better, the relationship between the estimation errors in the daily all-sky ocean-surface  $R_1$  of the four models and clw, which was used to represent the CBH, was further analyzed. The corresponding mean of the estimation errors in the daily all-sky ocean-surface  $R_1$  and its SEM for each bin of clw in logarithmic format (in 10% increments) were calculated, as presented in Figure 8.



632



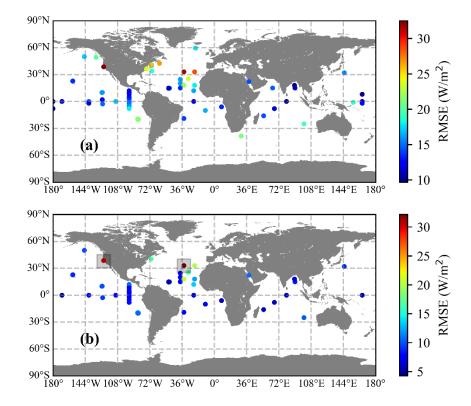
From the results in Figure 8 it can be seen that the R<sub>1</sub> estimation errors of Mod6–Mod8 635 were negative linearly related to increasing log(1+clw); such behavior is not seen for Modnew. 636 This indicates that the cloud information related to the variations in daily ocean-surface R<sub>1</sub> are 637 not fully characterized by only the cloud fraction. Although Mod8 performed better than Mod6 638 and Mod7 because of the introduction of the dew point depression to compensate for the 639 difference between the surface temperature and cloud base temperature, the contributions of the 640 cloud base emission to R<sub>1</sub> still cannot be thoroughly expressed over the ocean surface. Hence, 641 Modnew performed superior to other models because it also takes clw as input. Moreover, ciw 642 643 was also introduced in Modnew to ensure its robust performance at high latitudes.

644 4.3 Further analysis on Modnew

Based on the direct validation results described above, Modnew satisfactorily estimated the ocean-surface R<sub>1</sub> under both clear- and all-sky conditions at both hourly and daily scales. Hence, further analysis of this new model, such as testing its performance robustness and a sensitivity analysis, was conducted, and the results are given below.

649 4.3.1 Modnew performance analysis

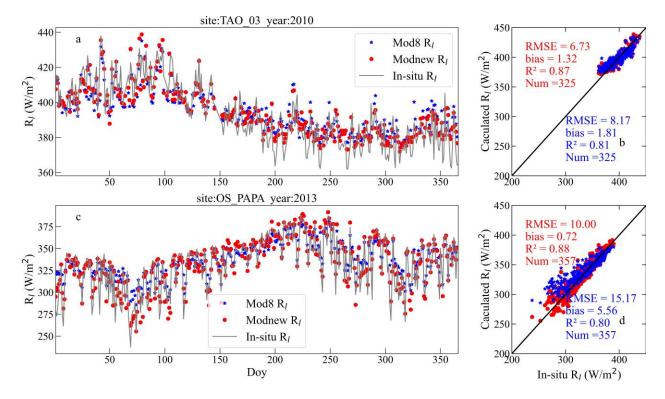
In order to examine the robustness of its performance, the spatial distributions of the validation accuracies of the all-sky  $R_1$  estimates from Modnew at the moored buoy sites are presented in Figures 9(a–b) for hourly and daily scales, respectively. Note that the moored buoy data from which the number of provided validation samples were less than 50 were excluded to provide a more objective comparison.



655

Figure 9. Validation accuracies of Modnew on the hourly scale (a) and daily scale (b) at different
sites represented by the RMSE values. The two moored buoys in the shaded boxes in (b) are
UOP SMILE88 (38°N, 123.5°W) and UOP SUB NW (33°N, 34°W).

The spatial distribution of the validation accuracy (represented by RMSE) of the  $R_1$ 659 estimates from Modnew was similar for the hourly and daily data. Their RMSE values got larger 660 from tropical to the high latitude seas, although the daily  $R_1$  estimates were generally more 661 accurate than the hourly ones, and the validation accuracy for sites at open seas was more 662 accurate than that within coastal seas. For a better illustration, two time series of the estimated 663 daily ocean-surface R<sub>1</sub> from Modnew at two sites were randomly selected and shown in Figure 664 10, and the one from Mod8 was added for comparison, as well as the corresponding scatter plots. 665 The two buoys, TAO 03 (0°N, 140°W) and OS PAPA (50°N, 145°W), are in equatorial and 666 mid-high latitude seas, respectively. The temporal variations in the all-sky daily R<sub>1</sub> estimates 667 from the two models both captured the variations in the moored  $R_1$  measurements very well, but 668 the ones from Modnew were closer to the measurements at high values and low values. 669 especially at the OS PAPA site. The validation accuracy of Modnew was higher than that of 670 Mod8 at both sites, and Modnew performed better for tropical seas, with validated RMSE values 671 of 6.73 and 10.00  $W/m^2$ , respectively, which was assumed that more samples used for modeling 672 were collected at tropical seas and this would influence the model performance at mid-high 673 674 latitude seas.

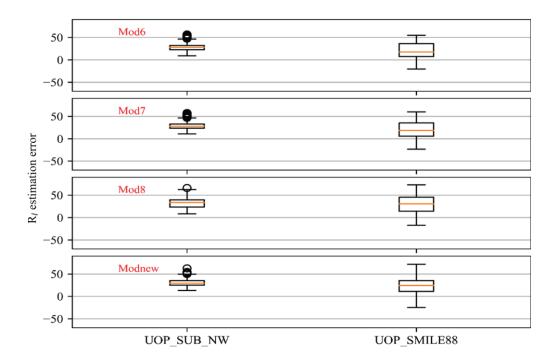


**Figure 10.** Time series and scatter plots of the  $R_1$  estimates and the moored  $R_1$  measurements at the (a–b) TAO\_03 (0°N, 140°W) and (c–d) OS\_PAPA (50°N, 145°W) sites. The red points and blue points represent Modnew and Mod8, respectively.

However, it was noted that Modnew performed poor at some sites, such as 679 UOP SMILE88 (38°N, 123.5°W) and UOP SUB NW (33°N, 34°W) (see the shaded boxes in 680 Figure 9). The estimation errors in the daily R<sub>1</sub> from Modnew at the two moored buoys were 681 calculated, as shown in Figure 11, and the ones from the other three all-sky models, Mod6-682 Mod8, are shown for comparison. It can be seen that the four evaluated all-sky models all 683 worked poorly at the two sites, all giving overestimations. A possible explanation may be 684 attributed to the differences in the characteristics of the atmospheric boundary layer over the two 685 686 sites relative to the open sea. Specifically, UOP SMILE88 is deployed on the northern California shelf, which is influenced by air temperature inversions (ATIs) (Dorman et al., 1995), and 687 UOP SUB NW is deployed near the eastern flank of the Azores anticyclone system (Moyer & 688 Weller, 1997). As such, the atmospheric conditions of the two sites are different from those over 689 the open sea, which would affect the estimation of R<sub>1</sub> made with models whose coefficients were 690 determined by samples collected mostly from sites located in the open sea. Therefore, more 691 692 samples should be collected within these seas to help to improve the ocean-surface R<sub>1</sub> estimation accuracy in these areas. 693

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675



695

Figure 11. Box plots of the R<sub>1</sub> estimation errors from models Mod6, Mod7, Mod8, and Modnew
at UOP\_SMILE88 (38°N, 123.5°W) and UOP\_SUB\_NW (33°N, 34°W). The top edge, center,
and bottom edge of the box represent the 75th, 50th (median), and 25th percentiles, respectively.
The whiskers indicate the maximum and minimum values within 1.5 times the interquartile range
(IQR), and the circles denote outliers.

7014.3.2 Sensitivity analysis

In order to quantify the impact of each parameter on the calculated  $R_1$  in Modnew, the 702 SimLab software (http://simlab.jrc.ec.europa.eu) was used to conduct a global sensitivity 703 analysis. All inputs in Modnew (Ta, RH, C, clw, and ciw) were entered into the software 704 separately, and then 2,000 ocean-surface R<sub>1</sub> values were calculated using Modnew by taking 705 2,000 combinations of these parameters as inputs. Afterwards, the Fourier amplitude sensitivity 706 707 test (FAST) method (Saltelli et al., 1999) in the SimLab software was employed to conduct a sensitivity analysis based on the inputs, and the corresponding estimated  $R_1$  values were used for 708 a sensitivity analysis using the total sensitivity index (TSI). The TSI indicates each parameter's 709 total contribution to the output variance when the interactions of other parameters are also 710 considered, and was used to quantify the sensitivity of each parameter. Table 9 shows the TSI of 711 each parameter in Modnew. Specifically, T<sub>a</sub> had the most important effect on R<sub>1</sub> with the largest 712 713 TSI of 41.26%, followed by C (25.6%) and RH (21%). Therefore, the performance of Modnew mainly depended on the accuracy of the T<sub>a</sub>, C, and RH. The TSI of clw was the fourth highest 714 with 8%, but it is essential to supplement cloud information that cloud cover alone cannot 715 provide, especially for cloud-sky conditions. In terms of ciw, its TSI was just 0.008, which was 716 possibly because only a few samples at high-latitudes were used in this study. 717

#### 718 **Table 9**

#### 719 FAST Sensitivity Indices of the First Order for Each Input Variable in Modnew

Ta	RH	С	Clw	ciw	
0.4126	0.21	0.256	0.08	0.008	

720

#### 721 **5 Conclusions**

722 Due to the significance of R<sub>1</sub> at the ocean surface, many empirical models have been established for ocean-surface R<sub>1</sub> calculation based on observations by relating R<sub>1</sub> to some climatic 723 factors, such as T<sub>a</sub>, RH, and so on. However, most models were developed only for clear days, 724 and for those models that can calculate the all-sky R<sub>1</sub>, only the cloud cover is taken into account, 725 which is thought to be insufficient for characterizing the influence of clouds on R<sub>1</sub>, especially for 726 ocean surfaces where cloudy skies are common. Indeed, most previous R1 estimation models 727 728 were developed only within a specific region based on limited observations, and some for just land surfaces. Consequently, there was a need to perform comprehensive evaluations of these 729 models, including their ability to predict R<sub>1</sub> over global seas. 730

In this study, the newly developed Modnew model estimates all-sky ocean-surface 731 downward longwave radiation (R<sub>1</sub>) by incorporating key atmospheric and cloud parameters: 732 screen-level air temperature (Ta), relative humidity (RH), fractional cloud cover (C), total 733 column cloud liquid water (clw), and total column cloud ice water (ciw). Ta governs the thermal 734 radiation emitted by the atmosphere, as described by the Stefan-Boltzmann law. RH modifies the 735 atmospheric emissivity by representing the water vapor content. C quantifies the cloud's overall 736 presence, while clw and ciw capture the thermal contributions of liquid and ice clouds, 737 respectively, enabling a more accurate characterization of cloud radiative effects. The Modnew 738 model relies on specific atmospheric and cloud-related parameters for accurate R<sub>1</sub> estimation. 739 While inputs such as Ta and RH are commonly obtained from in situ measurements, critical 740 cloud-related parameters (i.e. clw and ciw) are typically derived from satellite products or 741 reanalysis datasets, such as ERA5. These parameters are essential for capturing the radiative 742 743 properties of clouds, which in situ measurements alone cannot reliably provide. Therefore, satellite data or reanalysis products are indispensable for supplying these inputs. This model, as 744 well as eight comparison models, was used to estimate the all-sky ocean-surface R<sub>1</sub> at both 745 hourly and daily scales based on comprehensive observations collected from 65 globally 746 distributed moored buoys from 1988 to 2019. In contrast to previous models, Modnew 747 incorporates more cloud-related parameters (i.e., clw and ciw) into the model besides just cloud 748 749 cover. Modnew and the eight previous R1 models were assessed against the moored values for various cases, including clear- and all-sky conditions at daytime and nighttime and at hourly and 750 daily scales. After careful analysis, several major conclusions could be drawn, as follows: 751

(1) The eight previous models performed much better after calibration of their
 coefficients with the global observations for almost all cases, except Mod7 in some situations.

(2) For the clear-sky ocean-surface R1 estimation, the four all-sky models (Mod6–Mod8 754 and Modnew) could work comparably to or even better than the five clear-sky models (Mod1-755 Mod5) if their coefficients were calibrated by the clear-sky samples, yielding overall validated 756 RMSE values ranging from 13.40 to 15.40 W/m<sup>2</sup> at the hourly scale and 8.00–13.00 W/m<sup>2</sup> at the 757 daily scale. In terms of daytime and nighttime, all five clear-sky models (Mod1–Mod5) 758 performed better at daytime than that at nighttime, and vice versa for the four all-sky models 759 except Mod7. Mod1–Mod5 generally had the tendency to underestimate R<sub>1</sub> at nighttime because 760 they do not consider the influence of clouds. Among all models, Modnew was the most robust, 761

yielding RMSE values of 15.03 W/m<sup>2</sup> and 14.38 W/m<sup>2</sup> at daytime and nighttime for the hourly scale, respectively.

(3) For the all-sky ocean-surface  $R_1$  estimation, the performance of the four evaluated 764 models was generally worse compared to that under clear-sky conditions, which further 765 demonstrated that the uncertainty in the all-sky R<sub>1</sub> estimation was highly dependent on accurate 766 cloud information. Specifically, at the hourly scale, the validated RMSE values of the four 767 models ranged from 15.95 to 19.06  $W/m^2$ , with better performance at daytime. At the daily scale, 768 the RMSE values ranged from 10.27 to 13.07 W/m<sup>2</sup>. Modnew also performed the best in these 769 cases, with an overall validated RMSE of 15.95 and 10.27  $W/m^2$  and bias values of -0.04 and 770  $0.10 \text{ W/m}^2$ , respectively. It is worth noting that Modnew performed similarly during both 771 daytime and nighttime at the hourly scale. 772

In summary, the performance of Modnew was superior to other previous models for 773 ocean-surface R<sub>1</sub> estimation for any case, which was mainly because of the introduction of more 774 cloud-related information (clw and ciw). Further analysis of Modnew illustrated the significance 775 of the two parameters as well as cloud cover. However, all results again emphasized that the 776 accuracy of nearly all the empirical models was highly dependent on the spatial distribution, 777 quality, and quantity of the samples used for modeling. For instance, Modnew worked better at 778 open seas in tropical regions where more samples were available compared to other regions. 779 Therefore, many more samples at different regions, such as in coastal regions and high-latitude 780 seas, should be collected in the future to improve model performance. Moreover, more accurate 781 782 cloud information especially at nighttime is essential to decrease the uncertainty in the estimated  $R_1$  at the ocean surface. 783

#### 784 **Competing interests**

The contact author has declared that none of the authors has any competing interests.

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## 793 Data availability

All data sets used in this research, including the moored buoy observations and satellite and reanalysis data are publicly available. Detailed information on these data sets, including citations and web links, is presented in Section 3.

#### 797 Author contributions.

PJH and BJ designed and performed the study. All authors contributed to the analysis ofresults and final version of the paper.

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