Development and Comparison of Empirical Models for All-sky Downward Longwave Radiation Estimation at the Ocean Surface Using Long-term Observations

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15 Abstract

- 16 The ocean-surface downward longwave radiation (R_1) is one of the most fundamental
- 17 components of the radiative energy balance, and it has a remarkable influence on air-sea
- interactions. Because of various shortcomings and limits, a lot of empirical models were
- established for ocean-surface R_1 estimation for practical applications. In this paper, based on
- 20 comprehensive measurements collected from 65 moored buoys distributed across global seas
- from 1988 to 2019, a new model for estimating the all-sky ocean-surface R_1 at both hourly and
- daily scales was built. The ocean-surface R_1 was formulated as a nonlinear function of the
- 23 screen-level air temperature, relative humidity, cloud fraction, total column cloud liquid, and ice
- 24 water. A comprehensive evaluation of this new model relative to eight existing models was
- conducted under clear-sky and all-sky conditions at daytime/nighttime hourly and daily scales.
- The validation results showed that the accuracy of the newly constructed model is superior to other models, yielding overall RMSE values of 13.44 and 8.34 W/m^2 under clear-sky conditions,
- other models, yielding overall RMSE values of 13.44 and 8.34 W/m^2 under clear-sky conditions, and 15.64 and 10.27 W/m^2 under all-sky conditions, at hourly and daily scales, respectively. Our
- analysis indicates that the effects of the total column cloud liquid and ice water on the ocean-
- 30 surface R₁ also need to be considered besides cloud cover. Overall, the newly developed model
- 31 has strong potential to be widely used.
- 32 *Keywords*: Ocean surface, longwave radiation, empirical model, buoy

33 **1 Introduction**

The downward longwave radiation (R_1) at the ocean surface is the thermal infrared (4– 100 µm) radiative flux emitted by the entire atmospheric column over the ocean surface (Yu et al., 2018). The ocean-surface R_1 is among the most important components of the heat flux across the ocean-atmosphere interface, which, in turn, shapes the climate state of both the atmosphere and ocean (Caniaux, 2005; Fasullo et al., 2009; Fung et al., 1984). Therefore, an accurate estimate of the ocean-surface R_1 is crucial for studies of air-sea interactions and the climate and oceanic systems.

Although the ocean-surface R₁ is measured at most buoy sites, the available ocean-surface 41 R₁ measurements can not meet the needs of various applications because of the small number of 42 buoys currently employed (especially moored buoys) and their sparse distribution across global 43 oceans. Another way to get the R₁ at the ocean surface is by using satellite-based or model 44 reanalysis products. The ocean-surface R₁ from satellite-derived products, such as the 45 International Satellite Cloud Climatology Project (ISCCP) (Rossow & Zhang, 1995; Young et al., 46 2018) and Clouds and the Earth's Radiant Energy System Synoptic Radiative Fluxes and Clouds 47 (CERES/SYN1deg) (Doelling et al., 2013; Rutan et al., 2015) is usually generated using these 48 49 satellite data and a radiative transfer model, which simulates the radiative transfer interactions of light absorption, scattering, and emission through the atmosphere with the input of given 50 atmospheric parameters. However, radiative transfer models are not widely used in practice 51 because of their complexity and the difficulties associated with collecting all essential inputs. 52 The ocean-surface R₁ provided in model reanalysis products, such as the fifth generation of the 53 European Centre for Medium-Range Weather Forecasts atmospheric reanalysis of the global 54 55 climate (ERA5) (Hersbach et al., 2020) and the Modern-Era Retrospective analysis for Research and Applications, Version 2 (MERRA2) (Gelaro et al., 2017), is produced by assimilating 56 various observations into an atmospheric model to get the optimal estimates of the state of the 57

atmosphere and the surface (Gelaro et al., 2017). Previous studies indicated that R₁ estimates 58 from satellite-based products are generally in better agreement with buoy measurements than 59 those obtained from reanalysis products (Pinker et al., 2014; Pinker et al., 2018; Thandlam & 60 Rahaman, 2019). However, applications of the ocean-surface R_1 from these two kinds of products 61 are limited due to their coarse spatial resolutions (most of them are coarser than 1°), limited 62 periods (especially satellite-based products), and discrepancies in accuracy and consistency 63 (Cronin et al., 2019). Hence, many parameterization and empirical models for estimating ocean-64 surface R₁ that can easily be implemented in practical use have been established during the past 65 few decades (Bignami et al., 1995; Josey, 2003; Zapadka et al., 2001). Most of the commonly 66 used R₁ estimation models were established using the relationship between R₁ and the relevant 67 meteorological variables (i.e., air temperature, humidity, column integrated water vapor (IWV), 68 and cloud parameters) or oceanic parameters (i.e., bulk sea surface temperature), which are 69 usually obtained from in situ measurements or model simulations (Li & Coimbra, 2019; Li et al., 70 2017; Paul, 2021). It is known that most R₁ estimation models were originally developed for the 71 land surface and were applied to the ocean surface directly without any alterations by assuming 72 the atmospheric conditions are nearly the same over ocean and land surfaces (Bignami et al., 73 74 1995; Clark et al., 1974; Frouin et al., 1988; Josey, 2003). However, this assumption increases the uncertainty in R₁ estimates because of the significantly different water vapor profiles over 75 ocean and land surfaces (Bignami et al., 1995). A few models built specifically for R₁ estimation 76 77 at the ocean surface (Bignami et al., 1995; Josey, 2003; Zapadka et al., 2001) were usually developed using limited observations collected from buoy sites or cruise ships distributed within 78 a specific region; hence, the robustness of these models were in doubt when applied globally. For 79 80 example, Josey (2003) proposed a model for R₁ estimation at mid-to- high latitude seas with a satisfactory validation accuracy, but this new model performed worse over tropical seas with a 81 tendency to underestimate R_1 by up to 10–15 W/m². Moreover, most of the existing R_1 estimation 82 83 models only work under clear-sky conditions, which are especially rare over ocean surfaces. Furthermore, most of these models only derive R1 at instantaneous scales, yet the R1 at the daily 84 scale is more preferred across a range of applications. Therefore, a new, easily implemented 85 model that can derive accurate and robust R₁ estimates at the global ocean surface under all-sky 86 conditions at various temporal scales (e.g., instantaneous and daily) is required. More details 87 about the existing R_1 estimation models are given in Section 2. 88

In addition, according to W Wang and Liang (2009b), the uncertainty of the ocean-surface 89 R_1 estimation should be less than 10 W/m² for climate diagnostic studies. However, the 90 performances of the most commonly used R₁ estimation models at the global ocean surface were 91 not thoroughly evaluated in previous studies because of the few available in situ measurements. 92 Fortunately, being aware of the significance of the energy budget in air-sea interactions 93 (Centurioni et al., 2019), more and more platforms for radiative measuring have been built across 94 global ocean surfaces during the past decades, so relatively comprehensive ocean-surface R₁ 95 measurements can be collected today, which provide a good opportunity for modeling and 96 comprehensive evaluations. 97

Overall, the main goal of this research is to establish a new empirical model for
calculating the all-sky ocean-surface R₁ at instantaneous and daily scales based on globally
distributed moored buoy measurements and other ancillary information. A comprehensive
evaluation is conducted on the newly developed model relative to eight commonly used models
for ocean-surface R₁ estimation under clear- and all-sky conditions at hourly and daily scales.
The organization of this paper is as follows. A review of the eight commonly used R₁ estimation

models is presented in Section 2. Section 3 introduces the data sets used in this research and the 104

methods, including the new model development and model evaluation. Section 4 shows the 105

results of the model validation, comparison, and analysis. The key conclusions and discussions 106

are provided in Section 5. 107

2 Review of Previous Models 108

Many models were proposed for R_1 calculation under various sky conditions at different 109 110 temporal scales in previous studies. In this study, eight widely used models were selected for evaluation and Table 1 shows their basic information. According to the sky conditions under 111 which these models could be used, the eight R_1 estimation models were divided into two classes: 112 R₁ models under clear-sky conditions and under all-sky conditions, respectively. Details of the 113 eight models are provided one by one in the following section. Note that the downward direction 114 is defined as positive in this study. 115

Table 1 116

110	Table 1				
117	Eight Existin	ng Models for Ocean-surface R _l Estimation, with	Variables	Explained in T	Table 2.
	Sky	Model	Abbr	Designed	Reference
	Condition			temporal	
				scale	
		$R_l = a\sigma T_a^4 (1 + b\sqrt{e})$	Mod1	Monthly	Brunt
			Mada	5 15 minuto	(1932) Ideo and
		$D = -T^4 (1 - c_{max} (h(272 - T))^2))$	WI0d2	5–15 minute	Idso and
		$R_1 = \sigma_1 \{1 - \alpha \exp(-b(2/3 - 1_a))\}$			(1969)
	Clear-sky	$R_{1} = a\sigma T^{4} (e/T_{1})^{1/7}$	Mod3	Instantaneous	Brutsaert
	2				(1975)
		$R_1 = a\sigma T_a^4 [1 - exp(-e^{T_a/2016})]$	Mod4	Daily	Satterlund
					(1979)
		$R_{l} = \sigma T_{a}^{4} \left[1 - (1 + \varepsilon) \exp\{-(1.2 + 3\varepsilon)^{1/2} \} \right]$	Mod5	Instantaneous	Prata
		$\epsilon = 46.5(\frac{e}{T_c})$			(1996)
		$\frac{1}{1-\lambda c^2} + 4\varepsilon\sigma T_s^4(a+b\sqrt{e})(1-\lambda c^2) + 4\varepsilon\sigma T_s^3(T_s-T_a)$	Mod6	Daily	Clark et al.
		$R_{l} = \frac{1-\alpha_{l}}{1-\alpha_{l}}$			(1974)
	All-sky	$R_1 = \sigma T_a^4(a+be)(1+dC^2)$	Mod7	Hourly	Bignami et

Josey (2003)

al. (1995)

2.1 Under clear-sky condition

 $R_1 = \sigma \{T_a + aC^2 + bC - d + g(D + f)\}^4$

Among the eight models, there are five R₁ estimation models that could only be used 119 120 under clear-sky conditions.

Brunt (1932) developed the first R₁ estimation model (named Mod1) for land surfaces, 121

which relates the monthly mean R₁ to the screen-level water vapor and air temperature, as 122 Equation (1) shows:

123

118

124
$$R_{l} = a_{1} \sigma T_{a}^{4} (1 + b_{1} \sqrt{e})$$
(1)

where a_1 and b_1 are empirical coefficients, T_a is the monthly mean screen-level air 125 temperature (K), e is the monthly mean screen-level water vapor pressure (mbar), and σ is the 126

Mod8

Hourly

- 127 Stefan–Boltzmann constant, defined as 5.67×10^{-8} W/(m²·K⁴). In the study of Brunt (1932), the
- 128 two coefficients a_1 and b_1 were suggested as 0.52 and 0.125 based on observations collected from
- Benson, South Oxfordshire, England. The validation results of Mod1 showed a correlation
- 130 coefficient as high as 0.97 based on the collected samples. However, Swinbank (1963) pointed
- out that the validation results of Mod1 for other regions where variations in the humidity and T_a
- were different from those in Benson were worse. Despite these limitations, as the first empirical
- R₁ estimation model in a simple format, Mod1 has been widely used to construct the coupling
 between hydrological and atmospheric models (Habets et al., 1999; Lohmann et al., 1998).

Different from Mod1, the model developed by Idso and Jackson (1969) (named Mod2) was based on the theoretical consideration that the effective emittance of an atmosphere is solely temperature-dependent; hence, the screen-level T_a is the only input of Mod2 for calculating R₁:

138 $R_{1} = \sigma T_{a}^{4} \{ 1 - a_{2} \exp(-b_{2} (273 - T_{a})^{2}) \}$ (2)

where a_2 and b_2 are empirical coefficients, which were defined as 0.261 and 7.770×10^{-4} , respectively, by Idso and Jackson (1969) based on experimental data at four sites located in Arizona, Alaska, Australia, and the Indian Ocean, obtained at intervals of 5 to 15 minutes. Idso and Jackson (1969) thought that Mod2 might be efficient at all latitudes for different seasons, as it has been developed by using observations from diverse locations. Since publication, Mod2 has been employed in relevant researches like evaporation estimation (Cleugh et al., 2007; Vertessy et al., 1993) and ocean-ice modeling (Saucier et al., 2003).

- Afterwards, Brutsaert (1975) proposed a simple model for computing R₁ by directly
 solving the Schwarzschild's transfer equation (Schwarzschild, 1914) under clear skies and
 standard atmospheric conditions (i.e., the U.S. 1962 standard atmosphere). This model is denoted
 as Mod3, and is described as follows:
- 150 $R_1 = a_3 \sigma T_a^4 (e/T_a)^{1/7}$ (3)

where a_3 is defined as a constant equal to 1.24, as determined during the Schwarzschild's 151 transfer equation solving process. Explicit physical theory is reflected in Mod3. The term 152 $(e/T_a)^{1/7}$, regarded as the atmospheric emissivity, tends to zero when the water vapor content is 153 very little. However, Prata (1996) indicated that the atmospheric emissivity tends to a certain 154 constant value even without water vapor, such as values from 0.17 to 0.19 when only CO_2 is 155 present (Staley & Jurica, 1972). The estimates from Mod3 are usually used as the necessary 156 inputs of hydrological models (Pauwels et al., 2007; Rigon et al., 2006) and climate models 157 (Mills, 1997). 158

Aase and Idso (1978) found that Mod2 and Mod3 performed poor when T_a was below
 freezing. To address this issue, Satterlund (1979) proposed a model (named Mod4) to compute R₁
 by reformatting T_a and e, as follows:

162

$$R_{l} = a_{4} \sigma T_{a}^{4} \left[1 - \exp(-e^{T_{a}/2016}) \right]$$
(4)

where a_4 is an empirical coefficient and defined as 1.08 by Satterlund (1979) based on collected daily R₁ measurements at one site in Sidney, Montana, USA. After validation and comparison, Satterlund (1979) concluded that Mod4 outperformed Mod2 and Mod3 under extreme conditions in terms of temperature and humidity and performed comparably with the two models for other cases. As such, the R₁ estimates from Mod4 have been used in studies such as snow pack evolution (Douville et al., 1995) and hydrological models (Schlosser et al., 1997). However, because the model does not contain a constant term, the application of Mod4 should bedone with caution if the surface water vapor pressure is very close to zero.

With the development of radiation measuring instruments and technology, several new R₁
estimation models have been proposed, such as the model proposed by Prata (1996) (named
Mod5), as follows:

174
$$R_{l} = \sigma T_{a}^{4} \left[1 - (1 + 46.5(\frac{e}{T_{a}})) \exp \left\{ - \left(a_{5} + 46.5b_{5}(\frac{e}{T_{a}}) \right)^{1/2} \right\} \right]$$
(5)

where a_5 and b_5 are empirical coefficients, defined as 1.2 and 3.0 in the study of Prata 175 (1996) and Robinson (1947; 1950). As with Mod1–Mod4, Mod5 is also dependent on T_a and e 176 but contains a majorly revised right term (in the square brackets), which is regarded as the 177 emissivity. After extensive validation and comparison, Prata (1996) claimed Mod5 outperformed 178 or performed similar to other R₁ estimation models, including Mod1–Mod4, in areas within the 179 180 polar region, mid-latitudes, and tropical regions. Hence, Mod5 has been applied widely, from studies of snowmelt modeling (Jost et al., 2009) to urban energy budget (Nice et al., 2018; 181 Oleson et al., 2008). 182

182 Oleson et al., 2008).

To sum up, all five R_1 estimation models (Mod1–Mod5) that only work under clear-sky 183 conditions take T_a and/or e as inputs. Such an approach is in agreement with the research of 184 Kjaersgaard et al. (2007) who found that R₁ is mainly emanated from the low-level atmosphere 185 that can be adequately characterized in terms of T_a and humidity under clear-sky conditions 186 (Diak et al., 2000; Ellingson, 1995; Prata, 1996). Moreover, the five models were all established 187 188 by using measurements from different regions at various timescales, and they can be employed at any timescale (see Table 1) regardless of the temporal resolution of the original measurements 189 used for modeling. 190

191 2.2 Under all-sky condition

Three R_1 estimation models that can work under all-sky conditions were evaluated in this paper. Comparing to the above five models, ancillary information (e.g., clouds) should be taken into account in addition to T_a and e in the three models, and the three models were developed specifically for ocean surfaces.

Based on the model developed by Clark et al. (1974) for the all-sky net longwave radiation at the ocean surface (R_{lnet} , the difference between the downward and upward longwave radiation) calculation, Josey (2003) proposed a revised model (named Mod6) to estimate the allsky ocean-surface R_l by getting rid of the ocean-surface upward longwave radiation as:

200
$$R_{l} = \frac{\varepsilon_{s} \sigma SST^{4} - \varepsilon_{s} \sigma SST^{4} (a_{6} + b_{6} \sqrt{e}) (1 - \lambda C^{2}) - 4\varepsilon_{s} \sigma SST^{3} (SST - T_{a})}{1 - \alpha_{s}}$$
(6)

where ε_s is the sea surface emissivity, defined as a constant value of 0.98, and SST is the 201 sea surface temperature (K); hence, the term $\varepsilon_s \sigma SST^4$ is the upward longwave radiation at the 202 ocean surface. α_s is the sea surface longwave radiation reflectivity, defined as a constant value of 203 0.045, C is the cloud cover (0–1; dimensionless), λ is a latitude-dependent coefficient that 204 represents the cloud amount, and a_6 and b_6 are empirical coefficients. Based on measurements 205 (i.e., R₁, T_s, and C) collected from the Chemical and Hydrographic Atlantic Ocean Section 206 (CHAOS) in the northeast Atlantic in 1998, a6 and b6 were determined as 0.39 and -0.05 (Clark et 207 al., 1974; Josey, 2003), and λ at a given latitude can be taken from Josey et al. (1997). Josey 208

- 209 (2003) validated Mod6 and the results showed that Mod6 tended to overestimate the
- 210 instantaneous R_1 measurements from CHAOS by 11.70 W/m². The estimates from Mod6 have
- been applied in hydrodynamic models (Grayek et al., 2011) and atmospheric boundary layer
- 212 models (Deremble et al., 2013).

Based on hourly cruise measurements (i.e., R_1 , T_a , and C) collected in the Mediterranean Sea during the period from 1989 to 1992, Bignami et al. (1995) proposed an empirical model to calculate the ocean-surface all-sky R_1 (named Mod7) as follows:

216 $R_1 = \sigma T_a^4(a_7 + b_7 e)(1 + c_7 C^2)$ (7)

where a_7 , b_7 , and c_7 are empirical coefficients defined as 0.684, 0.0056, and 0.1762, respectively. Bignami et al. (1995) presented validated RMSE values for Mod7 which ranged from ~14 W/m² at the hourly scale to ~9 W/m² at the daily scale. Mod7 has been utilized by the Mediterranean Forecasting System for predictions of currents and biochemical parameters

(Pinardi et al., 2003), coupled ocean–atmosphere climate models (Dubois et al., 2012) as well as

generation of the Atlantic Ocean heat flux climatology (Lindau, 2012).

Also based on the measurements collected from CHAOS, Josey (2003) assessed the accuracy of Mod7 and found that this model tended to underestimate the all-sky R_1 by 12.10 W/m² at the instantaneous scale. After analyzing the shortcomings of Mod6 and Mod7, Josey (2003) proposed a new model (named Mod8) for all-sky ocean-surface R_1 calculation through a revision of T_a by using the same samples:

228 $R_{l} = \sigma \{T_{a} + a_{8}C^{2} + b_{8}C - c_{8} + d_{1}(D + e_{1})\}^{4}$ (8)

229 where a_{δ} , b_{δ} , c_{δ} , d_{I} , and e_{I} are empirical coefficients determined as 10.77, 2.34, 18.44, 230 0.84, and 4.01, respectively, D is the dew point depression, and T_a is the temperature (K) (see 231 Equation (11)). Estimates of R_I obtained with Mod8 agreed to within 2 W/m² in the mean bias of 232 10 minute measurements at middle-high latitudes. The estimates from Mod8 have been used as 233 essential input in simulations of ocean–atmosphere interactions in the Arctic shelf (Cottier et al., 234 2007).

Overall, it was thought that variations in the all-sky ocean-surface R_1 were related to T_a , e, and cloud information (e.g., cloud cover and cloud amount) in previous studies. However, Fung et al. (1984) pointed out that other relevant cloud information, such as the cloud base height (CBH) and cloud optical thickness, also have a significant influence on ocean-surface longwave radiation. Therefore, more efforts should be made to increase the R_1 estimation accuracy under all-sky conditions.

241 **3 Data and Methodology**

In order to develop a new all-sky ocean-surface R_1 estimation model, the meteorological and radiative observations from 65 moored buoys and the cloud parameters from the ERA5 reanalysis product from 1988 to 2019 were applied. Afterwards, the newly developed model and the eight commonly used models (Mod1–Mod8) were evaluated against the moored R_1 measurements under clear- and all-sky conditions at hourly/daily scales

247 3.1 Data and pre-processing

Table 2 lists all the variables employed in this paper and their information. The instantaneous timescale can be defined as timescales ranging from a 3 minute average to hourly average (Bignami et al. (1995); K Wang and Liang (2009a); hence, two timescales, hourly and daily, were considered in this study for model evaluation as in previous studies (Bilbao & de Miguel, 2007; Kjaersgaard et al., 2007; Sridhar & Elliott, 2002). Note that Mod1 was also used at the two timescales (Guo et al., 2019) though it was originally established with monthly samples. More details about the data are given below.

255 **Table 2**

Abbreviation	Full name	Time scales	Unit	Source
RH	Relative humidity	Daily/hourly	%	In situ
e	Water vapor	Daily/hourly	hPa	Calculated
T _a	2-m air temperature	Daily/hourly	Κ	In situ
Ts	Sea surface temperature	Daily/hourly	Κ	In situ
D	Dew point depression	Daily/hourly	Κ	Calculated
CI	Clearness index	Daily/hourly	0-1	Calculated
С	Fractional cloud cover	Daily/hourly	0-1	Calculated
clw	Total column cloud liquid water	Daily/hourly	g/m ²	ERA5
ciw	Total column cloud ice water	Daily/hourly	g/m ²	ERA5
R ₁	Downward longwave	Daily/hourly	W/m^2	In situ
	radiation			
R _a	Downward shortwave	Daily/hourly	W/m^2	In situ
9	radiation	· -		
DSR _{toa}	Extraterrestrial solar	Daily/hourly	W/m^2	Modeled
	radiation (DSR _{toa})			

256 Variables: Explanations and Sources

257 3.1.1 Measurements from moored buoys

All measurements were collected from 65 moored buoy sites, whose latitudes range from 47°S to 59.5°N, as shown in Figure 1. The majority of moored buoy sites were located in tropicial seas ($23.5^{\circ}S-23.5^{\circ}N$), and relatively few buoys were in the high-latitude seas of the Northern Hemisphere (>50°N) and the mid-high latitude seas of the Southern Hemisphere (>30°S).





Figure 1. Spatial distribution of the 65 moored buoys.

The moored buoy sites in this study belong to five well-known observation 265 network/programs, including the Upper Ocean Processes Group (UOP), Tropical Atmosphere 266 Ocean/Triangle Trans-Ocean Buoy Network (TAO/TRITON), Pilot Research Moored Array in 267 the Tropical Atlantic (PIRATA), Research Moored Array for African-Asian-Australian Monsoon 268 Analysis and Prediction (RAMA), and OceanSITES. Launched by the Woods Hole 269 Oceanographic Institution (WHOI), UOP mainly focuses on studying the physical processes of 270 the air-sea interface and the epipelagic, and its buoys are equipped with oceanographic and 271 meteorological sensors. The UOP measurements accurately quantify annual cycles of wind stress 272 and net air-sea heat exchange in the Southern Ocean (Schulz et al., 2012). Twenty-two sites form 273 the UOP, and data from all were used in this study. TAO/TRITON (McPhaden et al., 1998) in the 274 tropical Pacific, PIRATA (Bourlès et al., 2008) in the tropical Atlantic, and RAMA in the tropical 275 Indian Ocean (McPhaden et al., 2009) are all part of the Global Tropical Moored Buoy Array 276 (GTMBA) program (McPhaden et al., 2010). Extensive quality control was done by GTMBA 277 prior to dissemination of the data (Freitag, 1999; 2001; Lake, 2003; Medovaya et al., 2002), and 278 279 they have been used for monitoring, understanding, and forecasting the El Niño-Southern Oscillation (ENSO) and monsoon variability (McPhaden et al., 2009). Data from 35 GTMBA 280 sites (TAO, 21; PIRATA, 7; RAMA, 7) were used in this study. The OceanSITES network is 281 composed of buoys funded by oceanographic researchers across the globe. The goal of the 282 OceanSITES program is to facilitate the use of high-quality multidisciplinary data from fixed 283 sites in the open ocean (Cronin et al., 2019). Eight sites from OceanSITES were utilized, 284 285 specifically: OS PAPA, OS KAUST, OS NTAS, OS KEO, OS ARC, OS JKEO, OS STRATUS, and OS WHOTS. In this study, the routine measurements made at moored 286 buoys, including radiative measurements (e.g., ocean-surface downward shortwave radiation R_g) 287 and meteorological measurements (e.g., T_a and RH) were collected and used; other variables 288 (e.g., e, D, and CI) were calculated from these measurements. More information regarding these 289 data sets is found in Table 3. 290

291 Table 3

Network/Progra	No. of	Period	Observation	Variables	URL
m	sites		frequency		
UOP	22	1988-	1 hour	$R_1, R_g,$	http://uop.whoi.edu/index.htm
		2017		T_a, RH	1
TAO/TRITON	21	2000-	10 min	$R_1, R_g,$	https://www.pmel.noaa.gov/ta
		2019		T_a, RH	o/drupal/disdel/
RAMA	7	2004-	10 min	$R_1, R_g,$	https://www.pmel.noaa.gov/ta
		2019		T _a ,RH	o/drupal/disdel/
PIRATA	7	2006-	10 min	$R_1, R_g,$	https://www.pmel.noaa.gov/ta
		2019		T_a, RH	o/drupal/disdel/
OceanSITES	8	2000-	1 hour	$R_l, R_g,$	http://www.oceansites.org/
		2018		T_a, RH	-

292 Descriptions of Different Networks

293

3.1.1.1 Radiative measurements

At each moored buoy, R₁ is routinely measured by an Eppley Precision Infrared 294 295 Radiometer (PIR) with a nominal accuracy of $\pm 1\%$ (Richard E. Payne & Anderson, 1999), and R_g is routinely measured by an Eppley Laboratory precision spectral pyranometer (PSP) with a 296 calibration accuracy of $\pm 2\%$ (Freitag, 1994). The PIR and PSP are deployed approximately 3 m 297 above sea level. All measurements are quality controlled by their providers. To ensure data 298 quality, a two step approach was implemented; 1) only observations flagged as 'high quality' by 299 the data providers were considered, and 2) data was manually inspected by the authors for any 300 301 irregularities. Additionally, the R₁ measurements above 450 W/m² were removed, as suggested by Josey (2003). 302

As pointed out by Pascal and Josey (2000), the main errors in measuring R_1 are from the shortwave leakage and differential heating of the sensor. These errors (ΔR_1) in R_1 observations can be corrected according to Pascal and Josey (2000). However, this correction was not applied in our study, as (a) differential heating corrections had already been performed by the data providers, and (b) the R_1 spikes associated with sensor degradation were not present across all deployments, making a universal correction inappropriate. We also compared the results with and without the correction and found that the conclusions remained unchanged.

All R₁ measurements whose sampling frequency was less than one hour were aggregated into hourly means as long as 80% of the measurements in one hour were available, and the hourly data were aggregated into daily means as long as 24 hourly data in one day were available.

Note that the errors of the measured R_g induced by buoy rocking motions, sensor tilting, and aerosol accumulation (Medovaya et al., 2002) were too small to be considered here. In total, 47,266 samples at the daily scale and 1,275,308 samples at the hourly scale during the period from 1988 to 2019 were used in this study. For better comparison, the hourly samples used for independent validation were further divided into daytime ($R_g > 120 \text{ W/m}^2$) and nighttime conditions ($R_g \le 120 \text{ W/m}^2$), with 147,981 samples in daytime and 210,057 in nighttime.

320 3.1.1.2 Meteorological and oceanic variables

Two meteorological measurements, RH and T_a , were collected at the moored buoy sites. The instrument used for measuring RH and T_a is a Rotronic MP-100F, deployed about 3 m above the sea level. The instrument produced accuracies of 2.7% and 0.2 K (Lake, 2003) for RH and T_a , respectively, which are also too small to influence the accuracy of the R_1 estimation. Similar

to the radiative measurements, RH and T_a were both strictly screened and then aggregated into hourly and daily means.

On the other hand, the sea surface temperature (SST) was measured at about 1 m below 327 the sea level using a high-accuracy conductivity and temperature recorder (SBE37/39; Sea Bird 328 Electronics) with an accuracy of 0.002 K. According to Donlon et al. (2002), there is a strong 329 correlation between body SST and skin SST. Although wind speed has a significant effect on this 330 relationship, a constant correction offset can be applied when the wind speed exceeds 6 m/s 331 (Alappattu et al., 2017). In fact, 83% of the samples had wind speeds above 4 m/s, and as 332 suggested by Vanhellemont (2020), the bulk SST measured at moored buoys can be adjusted to 333 the skin SST by using a correction offset of 0.17 K. 334

335 3.1.1.3 Calculation of other variables

Three variables, including e, D, and CI, were calculated with the RH, T_a, and R_g, measurements separately. Therefore, these three variables at hourly and daily scales were obtained from the corresponding measurements. Specifically, the daily (hourly) mean e was calculated from the daily (hourly) RH using the following equation:

$$e = 6.1121 \frac{RH}{100} \exp(\frac{17.502T_a}{T_a + 240.97})$$
(10)

Note that Equation (10) only works when T_a is in the range -30–50 °C (Buck, 1981), and T_a should be in items of °C.

The daily (hourly) dew point depression D was calculated according to Josey (2003) and Henderson-Sellers (1984) as:

345

340

$$D = 34.07 + 4157/\ln(2.1718*10^8/e) - T_a$$
(11)

The clearness index (CI) is calculated as the ratio of the surface R_g to the extraterrestrial solar radiation (DSR_{toa}) (Ogunjobi & Kim, 2004). CI generally represents the atmospheric transmissivity affected by permanent gases, aerosols, and the optical thickness of the clouds (Alados et al., 2012; Flerchinger et al., 2009; Gubler et al., 2012; Jiang et al., 2015; Meyers & Dale, 1983), and it is widely used in radiation related researches (Iziomon et al., 2003; Jiang et al., 2016; Jiang et al., 2015; Richard E Payne, 1972). The value of CI is between 0 and 1, where a larger CI value represents a clearer sky. The hourly CI can be calculated as follows:

$$CI = \frac{R_g}{DSR_{toa}}$$
(12)

However, during nighttime, the hourly CI cannot be calculated by Equation (12) directly because of a lack of R_g values; hence, it was calculated based on a 24-hour solar radiation window centered on the hourly observation as suggested by Flerchinger et al. (2009). The daily CI was calculated as the average of all hourly CI values in a day for the sake of considering atmosphere variations at nighttime.

In this paper, CI was utilized to determine the condition as clear-sky when its value was greater than 0.7 at both hourly and daily scales. Additionally, it was found that the cloud cover derived from CI would help to improve the model performance after multiple experiments, especially at nighttime. Therefore, CI was also used to calculate the cloud cover. Specifically, the cloud fraction was linearly interpolated between C = 1.0 at a CI value of 0.4 for complete cloud cover to C = 0.0 at a CI value of 0.7 for cloudless, both at daily and hourly scales according to Flerchinger et al. (2009). Because of the different calculation of CI during daytime and nighttime, the uncertainty in the calculated cloud cover was different; hence, the R₁ estimates at the hourly scale were further examined at daytime and nighttime. Therefore, all meteorological factors (RH, T_a, e, and D) at daily and at hourly scales were respectively prepared accordingly.

369 3.1.2 Cloud parameters from the ERA5 reanalysis data set

370 As described above, the cloud cover represented by the fraction (C) is usually taken into account when estimating R₁ affected by clouds. However, in this study, two more cloud-related 371 parameters, including clw and ciw (see Table 2), from the ERA5 reanalysis product were also 372 considered in the modeling. The total amount of liquid water per unit area in the air column from 373 the base to the top of the cloud is called the total column cloud liquid water (clw), and its chilled 374 counterpart (ice) is called the total column cloud ice water (ciw) (Nandan et al., 2022). ERA5 is 375 376 the fifth generation atmospheric reanalysis product, and it was produced based on 4D-Var data assimilation using the Integrated Forecasting System (IFS) with an enhanced spatial resolution 377 (0.25°) and time resolution (hourly) compared to its previous version ERA-interim (Hoffmann et 378 al., 2019) from 1979 to present. Clouds in ERA5 are represented by a fully prognostic cloud 379 scheme, in which cloud fractions and cloud condensates obey mass balance equations (Tiedtke, 380 1993). The ERA5 clw values are in good agreement with those obtained from radiosonde 381 observations (Nandan et al., 2022). Overall, relative to ERA-interim, ERA5 shows reduced 382 biases in the total ice water path versus other satellite-based observational products. Therefore, 383 the two cloud parameters were extracted from the locations of the 65 moored buoy sites directly 384 at the hourly scale, and then their daily means were calculated by averaging the 24 valid hourly 385 values. ERA5 cloud product is available on the Climate Data Store (CDS) cloud server 386 (https://cds.climate.copernicus.eu/cdsapp#!/search?type=dataset). 387

Overall, 70% of the samples at each moored buoy site, including 33,151 daily samples and 917,270 hourly samples, were randomly selected for new model training and calibration of the eight previous models (Mod1– Mod8). The other 30% of the data at each site, including 14,115 daily samples and 358,038 hourly samples (daytime: 147,981; nighttime: 210,057), were used for model validation.

393 3.2. Methodology

A new model that could estimate ocean-surface R₁ under all-sky conditions at both hourly and daily scales was developed based on the moored measurements and ERA5 cloud parameters. Moreover, the eight evaluated R₁ models were all recalibrated so as to evaluate the model's accuracy objectively. Based on the corresponding validation samples, the R₁ values produced by the nine models were compared under clear-sky and all-sky conditions at hourly and daily scales, where the comparison at the hourly scale was further divided into daytime and nighttime values.

400 3.2.1 New R₁ estimation model development

401 As mentioned above, T_a and the humidity-related factors (e.g., RH) were enough to 402 characterize the variations in R₁ under clear-sky conditions. However, for cloudy skies, R₁ is 403 enhanced by the cloud base emitting (T Wang et al., 2020; Yang & Cheng, 2020). Cloud cover is 404 one of the most commonly used cloud-related parameters. In addition, theoretically, the cloudy-405 sky R₁ is significantly influenced by the cloud's base temperature, which is determined by the 406 CBH; hence, CBH is thought to be necessary in determining R₁ under cloudy-sky conditions

- 407 (Viúdez-Mora et al., 2015). However, it is difficult to obtain the CBH accurately, especially for
- 408 partly cloudy skies (Zhou & Cess, 2001) because of the unavailability of the cloud's geometrical
- thickness (Yang & Cheng, 2020). Therefore, other parameters that could provide information on
 the CBH were explored. In the study of Hack (1998), a physical correlation between clw and
- 410 GBH was revealed for most cases, while clw was successfully used as an effective surrogate of
- the CBH in the study of Zhou and Cess (2001). However, Zhou et al. (2007) pointed out that the
- 413 effects of ice clouds on R₁ should also be considered when the atmospheric water vapor is low or
- at high latitudes, which means that ciw also needs to be taken into account. Inspired by these
- studies, clw and ciw, both in logarithmic form, were introduced in the development of a new

416 model named Modnew, in which R_1 under all-sky conditions at the ocean surface was related to

- five parameters including T_a , RH, clw, ciw, and C. Modnew was trained by the corresponding
- training samples at hourly and daily scales. Details of the development of the new modelpresented in the present study are given in Section 4.1.
- ⁴¹⁹ presented in the present study are given in Section
- 420 3.2.2 Model performances evaluation

Table 4 lists the different cases for the R_1 model comparison. As shown in Table 4, the nine evaluated models (Mod1–Mod8 and Modnew) were all used for clear-sky R_1 estimation at both hourly and daily scales, while only four models (Mod6–Mod8 and Modnew) were evaluated under all-sky conditions. Three metrics were employed to present the model accuracy: R^2 , the root-mean-square error (RMSE), and bias. Generally, all three statistics were calculated to evaluate the accuracy of different models, but the RMSE values had larger weights.

427 **Table 4**

428	Detailed .	Information	of the	Six Cases	Considered	in the	Model	Evaluation

Case	, 	0	Training	Validation	Evaluated model
			samples	samples	
	Hourly	Daytime	176,510	40,805	Mod1-Mod8, Modnew
Clear-sky		Nighttime		35,125	Mod1-Mod8, Modnew
	Daily		3,443	1,447	Mod1-Mod8, Modnew
	Hourly	Daytime	917,270	147,981	Mod6-8, Modnew
All-skv		Nighttime		210,057	Mod6-8, Modnew
2	Daily		33,151	14,115	Mod6-8, Modnew

429 4 Results and Analysis

In this section, Modnew is introduced first, and then the validation results of the nine
evaluated models under various cases are compared and analyzed. Lastly, further analyses are
conducted on Modnew.

433 4.1 Modnew development

As mentioned above, the ocean-surface R_1 in Modnew is related to five parameters (T_a , clw, RH, C, and ciw) for hourly and daily scales under all-sky conditions. To understand better the contribution made by each variable on R_1 , the five parameters were introduced into Modnew gradually. Taking the daily all-sky R_1 as an example, R_1 was first only characterized by the fourth power of T_a based on the Stefan–Boltzmann law as follows:

$$R_{l} = a_{new}\sigma T_{a}^{4} + b_{new}$$

(13)

440 where a_{new} and b_{new} are empirical coefficients, determined as 0.85 and 14.96, respectively,

based on the daily training samples. Then, the correlations between the model residuals in R_1

(referred to as ΔR_1) that define the difference between the in situ R_1 measurements and the R_1

estimates from Equation (13) and other four parameters (clw, RH, C, and ciw) were explored one

444 by one. The results are found in Figure 2.



445

Figure 2. The scatter plots between the model residuals, ΔR_1 , from Equation (13) and (a) clw, (c) RH, (e) C, and (g) ciw. Panels (b), (d), (f), and (h) are their corresponding box plots. In the left column, the color bar represents points per unit area. In the right column, the dots indicate the mean value of the ΔR_1 (ME), while the vertical lines represent the standard error of the mean (SEM).

Figures 2(a), 2(c), 2(e), and 2(g) present scatter plots between ΔR_1 and clw, RH, C, and ciw, respectively. In order to show their relationships better, the corresponding box plots, in which the mean of ΔR_1 and its standard error (SEM) for each bin of the four parameters (in 10% increments) were calculated and presented in Figures 2(b), 2(d), 2(f), and 2(h), respectively.

- 455 Specifically, ΔR_1 varied with clw and ciw in a logarithmic relationship (Figures 2(b) and 2(h),
- 456 respectively), and with RH (Figure 2(d)) and C (Figure 2(f)) in approximately linear
- 457 relationships. We found that by introducing the C, RH, clw and ciw in Equation (13) gradually,
- the RMSE error was reduced from 17.48 W/m² with Equation (13) to 12.61 W/m², 10.92 W/m²,
- 459 10.11 W/m² and 9.87 W/m², and the level of R^2 increased accordingly from 0.64 to 0.81, 0.86,
- 460 0.88 and 0.89, respectively. Hence, clw, RH, C, and ciw were introduced into Equation (13) in
- their appropriate forms and the final equation was taken as Modnew:

462
$$R_{l} = a_{new}\sigma T_{a}^{4} + b_{new}C + c_{new}\ln(1 + clw) + d_{new}\ln(1 + ciw) + e_{new}RH + f_{new}$$
463 (14)

where a_{new}, b_{new}, c_{new}, d_{new}, e_{new}, and f_{new} are empirical coefficients. In this study, these 464 coefficients were determined as 1.06, 39.05, 4.91, -2.06, 0.91, and -177.53 respectively. Figure 465 3(a) shows that the overall training accuracy of the estimated all-sky ocean-surface R₁ from 466 Modnew was satisfactory, yielding an R^2 of 0.89, RMSE of 9.99 W/m², and nearly no bias. 467 Afterwards, Equation (14) was used to determine the hourly ocean-surface R₁ based on the 468 corresponding hourly training samples (see Table 4). The hourly results shown in Figure 3(b) 469 were satisfactory, with an R^2 of 0.78, RMSE of 15.72 W/m², and nearly no bias. Note that the R_1 470 measurements whose values were larger than 450 W/m² were thought to be unreasonable and 471 were manually removed (see Section 3.1). 472

473





Figure 3. Overall training accuracy of the all-sky daily R_1 at (a) daily and (b) hourly scales. In panels a and b, the color bar represents points per unit area.

477

By considering the influence of the calculated cloud cover on the R_1 estimates, the hourly results were separated into daytime and nighttime, respectively, as shown in Figure 4. The training accuracy of the daytime sample was higher than that at nighttime, with R^2 values of 0.89 and 0.78 and RMSE values of 13.88 and 16.28 W/m², respectively. It was assumed that the larger 482 uncertainties in the hourly ocean-surface R₁ at nighttime were possibly owing to the estimated

- $_{483}$ cloud cover, which might have an influence on Modnew in the form of overestimating R_1 .
- 484 Overall, the performance of Modnew was very good, both at daily and hourly scales for all-sky
- 485 R_1 estimation at the ocean surface.



486

Figure 4. Overall training accuracy of the all-sky hourly R_1 during (a) daytime and (b) nighttime. The color bars represent points per unit area.

489 4.2 Model comparison results

Based on the independent validation samples, Mod1-Mod8 and Modnew were validated 490 one by one and compared for various cases (Table 4). Before that, the eight existing models were 491 calibrated using the corresponding training samples, which means that Mod1-Mod5 were 492 calibrated with the clear-sky training hourly/daily samples, while Mod6-Mod8 were calibrated 493 with the all-sky training hourly/daily samples, i.e., the same as Modnew. Afterwards, these 494 models were validated against the matched validation samples for each case. The updated 495 coefficients of Mod1–Mod8 and the coefficients of Modnew for hourly and daily scales are 496 given in Table 5. For better illustration, the comparison results are presented for clear- and all-497 sky conditions in the following paragraphs. 498

- 499 **Table 5**
- 500 *Coefficients of the Nine Models Used for Hourly/Daily Ocean-surface R_l Estimation. The Values* 501 *in Parentheses are the Uncertainties of the Fitted Parameters*

Models	а	b	c	d	e	f	
Hourly							
Mod1	0.64(±3.7 ×10 ⁻⁴)	$0.07(\pm 1.5 \times 10^{-4})$	/	/	/	/	
Mod2	0.226(±2.12 ×10 ⁻ ⁴)	8.25×10 ⁻⁴ (±0.01)	/	/	/	/	
Mod3	1.23(±7.68 ×10 ⁻ ⁵)	/	/	/	/	/	

Mod4	1.08(±6.6 ×10 ⁻⁵)	/	/	/	/	/
Mod5	$1.35(\pm 0.02)$	2.73(±0.006)	$0.5(\pm 0.004)$	/	/	/
Mod6	$0.287(\pm 1.4 \times 10^{-4})$	$-0.028(\pm 2.85 \times 10^{-5})$	/	/	/	/
Mod7	$0.829(\pm 2 \times 10^{-4})$) 0.002(±1.05 ×10 ⁻⁶)	0.066(±5.87 ×10 ⁻⁴)	/	/	/
Mod8	$-3.81(\pm 0.083)$	7.73(±0.081)	-261.68(± 0.32)	0.99(±0.01)	256.67(± 0.032)	/
Modnew	1(±3 ×10-4)	30(±0.06)	3.99(±0.007)	$-1.08(\pm 0.003)$	$0.95(\pm 0.01)$	-145.96(±0.14)
Daily						
Mod1	$0.66(\pm 0.004)$	$0.06(\pm 0.001)$	/	/	/	/
Mod2	0.22(±0.003)	7.32×10 ⁻⁴ (±0.18)	/	/	/	/
Mod3	1.23(±5 ×10 ⁻⁴)		/	/	/	/
Mod4	1.074(±5 ×10 ⁻⁴)	/	/	/	/	/
Mod5	1.95(±0.09)	2.02(±0.25)	0.5(±0.02)	/	/	/
Mod6	0.36(±0.002)	-0.04(±3 ×10 ⁻⁴)	/	/	/	/
Mod7	0.742(±0.002)	$0.004(\pm 8 \times 10^{-5})$	0.1(±0.01)	/	/	/
Mod8	$-0.15(\pm 0.02)$	7.5(±0.19)	-11(±0.59)	0.05(±0.009)	0.05(±0.006)	/
Modnew	1.06(±0.002)	39.05(±0.17)	4.91(±0.05)	$-2.06(\pm 0.04)$	0.91(±0.008)	-177.53(±1.15)

502 4.2.1 Clear sky

All models, including the eight previous models (Mod1–Mod8), and the newly developed model (Modnew), could be used under clear-sky conditions at both hourly and daily scales with the updated coefficients given in Table 5.

506 4.2.1.1 Hourly scale

Table 6 shows the validation results of the nine models under clear-sky conditions at the hourly scale. Meanwhile, the validation results of Mod1–Mod8 with their original coefficients (see Section 2) are also presented in Table 6, using the same validation samples for comparison.

510 **Table 6**

513

- 511 Overall Validation Accuracy of the Nine Ocean-surface R₁ Models under Clear-sky Conditions at
- 512 the Hourly Scale. The Values in Parentheses for Mod1–Mod8 are the Validation Results Found

Using The	ir Original Coefficie	nts		
Models	\mathbb{R}^2	RMSE(W/m ²)	bias(W/m ²)	
Mod1	0.80 (0.80)	13.57 (17.01)	-0.43 (-9.49)	
Mod2	0.74 (0.74)	15.38 (19.03)	-0.41 (-11.21)	
Mod3	0.80 (0.80)	13.65 (13.74)	-0.60 (1.34)	
Mod4	0.77 (0.77)	14.46 (14.51)	-0.26 (-1.09)	
Mod5	0.79 (0.80)	13.66 (15.41)	-0.52 (6.76)	
Mod6	0.80 (0.67)	13.58 (19.93)	-0.45 (3.42)	
Mod7	0.80 (0.80)	13.46 (22.59)	-0.42 (-18.11)	
Mod8	0.80 (0.81)	14.69 (44.52)	-0.06 (-41.74)	
Modnew	0.82	13.44	-1.90	

514 The validation results illustrate that most models estimated the clear-sky hourly ocean-515 surface R_1 with a similar accuracy, with R^2 values ranging from 0.74 to 0.82, RMSE values

17

- ranging from 13.44 to 15.38 W/m², and bias values ranging from -1.9 to -0.06 W/m² (Table 6).
- 517 All eight existing models with the calibrated coefficients had a higher accuracy than those with
- the original coefficients; in particular, the RMSE of Mod8 decreased by $\sim 30 \text{ W/m}^2$. The
- magnitude of the bias of Mod1–Mod8 also decreased after recalibration, with the magnitudes of
- the biases of Mod1–Mod8 being much smaller than that of Modnew, which was trained with the
- s21 all-sky hourly samples. Among the nine models, the newly developed Modnew performed the
- best, with the largest R^2 of 0.82, the smallest RMSE of 13.44 W/m².

Then, the hourly validation results of the nine models were further examined using the 523 daytime and nighttime values separately, which are shown in Figure 5. The performance of all 524 modelsin estimating the hourly clear-sky R₁ during the daytime was much better than that at 525 nighttime, with RMSE values at daytime and nighttime ranging from ~ 12.02 to 14.86 W/m² and 526 14.39 to 17.49 W/m², respectively. In addition, among the five clear-sky models, Mod2 based 527 only on air temperature shows the lowest accuracy in terms of RMSE during both daytime and 528 nighttime. Among the nine models, Modnew had the most stable performance in hourly R₁ 529 estimation under clear-sky conditions during both daytime and nighttime with similar RMSE 530 values of 12.99 and 14.39 W/m^2 , respectively, where in particular its nighttime R₁ estimation 531 accuracy was the best among the nine models. However, no accuracy improvement was found 532 when training Mod6 through Modnew using only clear-sky hourly samples. 533



534

Figure 5. Validation accuracy of the estimated R_1 under clear-sky conditions at the hourly scale for the nine models represented by RMSE (left axis) and bias (right axis).

537 4.2.1.2 Daily scale

As for the results at the daily scale, the nine evaluated models were trained with the corresponding daily training samples (see Table 4) and validated against the in situ measurements. As shown in Table 7, the estimation accuracy of the daily clear-sky ocean-surface

541 R₁ from nearly all previous models improved significantly after recalibration, where the RMSE

- values and the magnitudes of the bias decreased by up to $\sim 4 \text{ W/m}^2$ and $\sim 10 \text{ W/m}^2$, respectively,
- except for Mod7. The Mod2 still exhibited lower accuracy than the other four clear-sky models,
- with the highest validated RMSE value of 11.57 W/m^2 . The performance of Modnew was the
- best among the nine models, with the smallest validated RMSE value of 8.34 W/m^2 and bias of
- 0.59 W/m^2 . Similar to the results at the hourly scale, we did not observe accuracy improvements
- 547 for Mod6 to Modnew when trained using only clear-sky daily samples.

548 **Table 7**

- 549 Overall Validation Accuracy of the Nine Ocean-surface R₁ Models under Clear-sky Conditions at
- the Daily Scale. The Values in Parentheses for Mod1–Mod8 are the Validation Results Found
- 551 Using Their Original Coefficients

Models	\mathbb{R}^2	$RMSE(W/m^2)$	bias(W/m ²)	
Mod1	0.89 (0.90)	9.75 (12.78)	0.31 (-6.69)	
Mod2	0.85(0.85)	11.57 (14.01)	0.36 (-8.04)	
Mod3	0.90(0.90)	9.97 (10.98)	0.04 (4.36)	
Mod4	0.88(0.88)	10.58 (10.85)	0.48 (2.45)	
Mod5	0.89 (0.89)	9.68 (9.97)	0.33 (2.27)	
Mod6	0.88 (0.88)	10.16 (14.81)	0.41 (10.63)	
Mod7	0.88 (0.88)	10.00 (17.15)	0.34 (-13.81)	
Mod8	0.90 (0.87)	10.56 (37.48)	0.68 (-34.95)	
Modnew	0.92	8.34	0.59	

552 4.2.2 All sky

553 4.2.2.1Hourly scale

Table 8 gives the overall validation results of the all-sky hourly scale ocean-surface R₁ from the four models against the independent validation samples with the updated and original coefficients, respectively.

557 **Table 8**

558 Overall Validation Accuracy of Four Ocean-surface R₁ Models under All-sky Conditions at the

Hourly Scale. The Values in Parentheses for Mod6–Mod8 are the Validation Results Found

560 Using Their Original Coefficients

0	0 33			
Models	\mathbb{R}^2	RMSE(W/m ²)	bias(W/m ²)	
Mod6	0.66 (0.63)	19.07 (27.94)	1.17 (-14.05)	
Mod7	0.68 (0.68)	18.39 (19.80)	-0.13 (3.45)	
Mod8	0.74 (0.48)	16.66 (40.74)	0.11 (-32.25)	
Modnew	0.77	15.64	-0.04	

Compared to the results in Table 6, the estimation accuracies under all-sky conditions shown in Table 8 were generally worse, with lower R^2 values (0.66–0.77) and bigger RMSE values (15.64–19.07 W/m²), which indicates that the uncertainty in the cloud information was the major reason for the increased uncertainty in the R₁ estimation. As in previous results, the three previous models, Mod6–Mod8, performed much better after recalibration, with decreased RMSE values up to ~24 W/m² and their bias values tended to 0. Modnew performed the best, with an RMSE of 15.64 W/m² and a bias of -0.04W/m², followed by Mod8.



568

Figure 6. Validation accuracy of the estimated Rl under all-sky conditions at the hourly scale for
 Mod6-Mod8 and Modnew represented by RMSE (left axis) and bias (right axis).

The hourly results in Table 8 were examined for daytime and nighttime values, as shown 571 in Figure 6. The results show that the estimation accuracies of the four models were overall 572 better during the daytime than at nighttime, with smaller RMSE values for the former. 573 Specifically, during daytime hours, the accuracy of Modnew was similar to that of Mod8, with 574 RMSEs of 14.34 and 15.29 W/m², respectively, which were better than those of Mod6 and 575 Mod7, which yielded RMSEs of 15.85 and 16.30 W/m², respectively. However, Mod7 performed 576 a little bit better than Mod6 during the nighttime, although its overall performance was the worst. 577 It is speculated that the larger uncertainties in the all-sky ocean-surface R₁ values at nighttime 578 can possibly be attributed to the cloud information at nighttime, which was difficult to estimate 579 accurately compared to the daytime cloud information. 580

581 4.2.2.2 Daily scale

Figure 7 shows the overall validation accuracies of the all-sky daily ocean-surface R_1 values from the four models. Compared with Mod6–Mod8, Modnew had the best performance, with an validated RMSE of 10.27 W/m², a bias of 0.10 W/m², and an R² of 0.88, followed by Mod8, which yielded an RMSE of 11.96 W/m², a bias of -0.18 W/m², and an R² of 0.85. However, Mod8 had a tendency to overestimate low values (<300 W/m²), as did Mod6 and Mod7.



588

Figure 7. Overall validation result of the calculated all-sky daily ocean-surface R_1 from the four models against the independent moored measurements. The color bars represent points per unit area.

592 Overall, it is speculated that Modnew performed better than Mod6–Mod8 because of the 593 introduction of two cloud-related parameters (clw and ciw) into the model in addition to the 594 cloud fraction. In order to demonstrate this speculation better, the relationship between the 595 estimation errors in the daily all-sky ocean-surface R_1 of the four models and clw, which was 596 used to represent the CBH, was further analyzed. The corresponding mean of the estimation 597 errors in the daily all-sky ocean-surface R_1 and its SEM for each bin of clw in logarithmic format 598 (in 10% increments) were calculated, as presented in Figure 8.



599

Figure 8. The averaged R_1 estimation errors and its SEM of Mod6 – Mod8 and Modnew varied with clw in logarithmic format.

From the results in Figure 8 it can be seen that the R₁ estimation errors of Mod6–Mod8 602 were negative linearly related to increasing log(1+clw); such behavior is not seen for Modnew. 603 This indicates that the cloud information related to the variations in daily ocean-surface R₁ are 604 not fully characterized by only the cloud fraction. Although Mod8 performed better than Mod6 605 and Mod7 because of the introduction of the dew point depression to compensate for the 606 difference between the surface temperature and cloud base temperature, the contributions of the 607 cloud base emission to R₁ still cannot be thoroughly expressed over the ocean surface. Hence, 608 Modnew performed superior to other models because it also takes clw as input. Moreover, ciw 609 was also introduced in Modnew to ensure its robust performance at high latitudes. 610

611 4.3 Further analysis on Modnew

Based on the direct validation results described above, Modnew satisfactorily estimated the ocean-surface R₁ under both clear- and all-sky conditions at both hourly and daily scales. Hence, further analysis of this new model, such as testing its performance robustness and a sensitivity analysis, was conducted, and the results are given below.

4.3.1 Modnew performance analysis

In order to examine the robustness of its performance, the spatial distributions of the validation accuracies of the all-sky R_1 estimates from Modnew at the moored buoy sites are presented in Figures 9(a–b) for hourly and daily scales, respectively. Note that the moored buoy data from which the number of provided validation samples were less than 50 were excluded to provide a more objective comparison.





Figure 9. Validation accuracies of Modnew on the hourly scale (a) and daily scale (b) at different
sites represented by the RMSE values. The two moored buoys in the shaded boxes in (b) are
UOP SMILE88 (38°N, 123.5°W) and UOP SUB NW (33°N, 34°W).

The spatial distribution of the validation accuracy (represented by RMSE) of the R_1 627 estimates from Modnew was similar for the hourly and daily data. Their RMSE values got larger 628 from tropical to the high latitude seas, although the daily R_1 estimates were generally more 629 accurate than the hourly ones, and the validation accuracy for sites at open seas was more 630 accurate than that within coastal seas. For a better illustration, two time series of the estimated 631 daily ocean-surface R₁ from Modnew at two sites were randomly selected and shown in Figure 632 10, and the one from Mod8 was added for comparison, as well as the corresponding scatter plots. 633 The two buoys, TAO 03 (0°N, 140°W) and OS PAPA (50°N, 145°W), are in equatorial and 634 mid-high latitude seas, respectively. The temporal variations in the all-sky daily R₁ estimates 635 from the two models both captured the variations in the moored R₁ measurements very well, but 636

the ones from Modnew were closer to the measurements at high values and low values,

especially at the OS_PAPA site. The validation accuracy of Modnew was higher than that of

Mod8 at both sites, and Modnew performed better for tropical seas, with validated RMSE values

of 6.76 and 10.21 W/m², respectively, which was assumed that more samples used for modeling

641 were collected at tropical seas and this would influence the model performance at mid-high

642 latitude seas.



643

Figure 10. Time series and scatter plots of the R₁ estimates and the moored R₁ measurements at the (a–b) TAO_03 (0°N, 140°W) and (c–d) OS_PAPA (50°N, 145°W) sites. The red points and blue points represent Modnew and Mod8, respectively.

However, it was noted that Modnew performed poor at some sites, such as 647 UOP SMILE88 (38°N, 123.5°W) and UOP SUB NW (33°N, 34°W) (see the shaded boxes in 648 Figure 9). The estimation errors in the daily R₁ from Modnew at the two moored buoys were 649 calculated, as shown in Figure 11, and the ones from the other three all-sky models, Mod6-650 Mod8, are shown for comparison. It can be seen that the four evaluated all-sky models all 651 worked poorly at the two sites, all giving overestimations. A possible explanation may be 652 attributed to the differences in the characteristics of the atmospheric boundary layer over the two 653 sites relative to the open sea. Specifically, UOP SMILE88 is deployed on the northern California 654 shelf, which is influenced by air temperature inversions (ATIs) (Dorman et al., 1995), and 655 UOP SUB NW is deployed near the eastern flank of the Azores anticyclone system (Moyer & 656 Weller, 1997). As such, the atmospheric conditions of the two sites are different from those over 657 the open sea, which would affect the estimation of R_1 made with models whose coefficients were 658 determined by samples collected mostly from sites located in the open sea. Therefore, more 659 660 samples should be collected within these seas to help to improve the ocean-surface R_1 estimation accuracy in these areas. 661





662

Figure 11. Box plots of the R₁ estimation errors from models Mod6, Mod7, Mod8, and Modnew
at UOP_SMILE88 (38°N, 123.5°W) and UOP_SUB_NW (33°N, 34°W). The top edge, center,
and bottom edge of the box represent the 75th, 50th (median), and 25th percentiles, respectively.
The whiskers indicate the maximum and minimum values within 1.5 times the interquartile range
(IQR), and the circles denote outliers.

669 4.3.2 Sensitivity analysis

In order to quantify the impact of each parameter on the calculated R₁ in Modnew, the 670 SimLab software (http://simlab.jrc.ec.europa.eu) was used to conduct a global sensitivity 671 analysis. All inputs in Modnew (T_a, RH, C, clw, and ciw) were entered into the software 672 separately, and then 2,000 ocean-surface R₁ values were calculated using Modnew by taking 673 2,000 combinations of these parameters as inputs. Afterwards, the Fourier amplitude sensitivity 674 test (FAST) method (Saltelli et al., 1999) in the SimLab software was employed to conduct a 675 sensitivity analysis based on the inputs, and the corresponding estimated R₁ values were used for 676 a sensitivity analysis using the total sensitivity index (TSI). The TSI indicates each parameter's 677 total contribution to the output variance when the interactions of other parameters are also 678 considered, and was used to quantify the sensitivity of each parameter. Table 9 shows the TSI of 679 each parameter in Modnew. Specifically, T_a had the most important effect on R₁ with the largest 680 TSI of 41.26%, followed by C (25.6%) and RH (21%). Therefore, the performance of Modnew 681 mainly depended on the accuracy of the T_a, C, and RH. The TSI of clw was the fourth highest 682 with 8%, but it is essential to supplement cloud information that cloud cover alone cannot 683 provide, especially for cloud-sky conditions. In terms of ciw, its TSI was just 0.008, which was 684 possibly because only a few samples at high-latitudes were used in this study. 685

686 **Table 9**

1 1101 Densiti	viry marces of the I	iisi Oraci jor Lach	input variable in i	nounew	
Ta	RH	С	Clw	ciw	
0.4126	0.21	0.256	0.08	0.008	

FAST Sensitivity Indices of the First Order for Each Input Variable in Modnew 687

688

5 Conclusions 689

Due to the significance of R_1 at the ocean surface, many empirical models have been 690 established for ocean-surface R₁ calculation based on observations by relating R₁ to some climatic 691 factors, such as T_a, RH, and so on. However, most models were developed only for clear days, 692 and for those models that can calculate the all-sky R₁, only the cloud cover is taken into account, 693 which is thought to be insufficient for characterizing the influence of clouds on R₁, especially for 694 ocean surfaces where cloudy skies are common. Indeed, most previous R₁ estimation models 695 were developed only within a specific region based on limited observations, and some for just 696 land surfaces. Consequently, there was a need to perform comprehensive evaluations of these 697 models, including their ability to predict R₁ over global seas. 698

In this study, the newly developed Modnew model estimates all-sky ocean-surface 699 downward longwave radiation (R_1) by incorporating key atmospheric and cloud parameters: 700 screen-level air temperature (Ta), relative humidity (RH), fractional cloud cover (C), total 701 column cloud liquid water (clw), and total column cloud ice water (ciw). Ta governs the thermal 702 radiation emitted by the atmosphere, as described by the Stefan-Boltzmann law. RH modifies the 703 atmospheric emissivity by representing the water vapor content. C quantifies the cloud's overall 704 presence, while clw and ciw capture the thermal contributions of liquid and ice clouds, 705 respectively, enabling a more accurate characterization of cloud radiative effects. The Modnew 706 model relies on specific atmospheric and cloud-related parameters for accurate Rl estimation. 707 While inputs such as Ta and RH are commonly obtained from in situ measurements, critical 708 cloud-related parameters (i.e. clw and ciw) are typically derived from satellite products or 709 710 reanalysis datasets, such as ERA5. These parameters are essential for capturing the radiative properties of clouds, which in situ measurements alone cannot reliably provide. Therefore, 711 satellite data or reanalysis products are indispensable for supplying these inputs. This model, as 712 well as eight comparison models, was used to estimate the all-sky ocean-surface R₁ at both 713 hourly and daily scales based on comprehensive observations collected from 65 globally 714 distributed moored buoys from 1988 to 2019. In contrast to previous models, Modnew 715 716 incorporates more cloud-related parameters (i.e., clw and ciw) into the model besides just cloud cover. Modnew and the eight previous R1 models were assessed against the moored values for 717 various cases, including clear- and all-sky conditions at daytime and nighttime and at hourly and 718 719 daily scales. After careful analysis, several major conclusions could be drawn, as follows: (1) The eight previous models performed much better after calibration of their 720

coefficients with the global observations for almost all cases, except Mod7 in some situations. 721

(2) For the clear-sky ocean-surface R₁ estimation, all models performed better at daytime 722 than that at nighttime. Among all models, Modnew was the most robust, yielding RMSE values 723 of 12.99 W/m² and 14.39 W/m² at daytime and nighttime for the hourly scale, respectively. 724

(3) For the all-sky ocean-surface R_1 estimation, the performance of the four evaluated 725 models was generally worse compared to that under clear-sky conditions, which further 726 demonstrated that the uncertainty in the all-sky R₁ estimation was highly dependent on accurate 727

- cloud information. Specifically, at the hourly scale, the validated RMSE values of the four
- models ranged from 15.64 to 19.07 W/m^2 , with better performance at daytime. At the daily scale,
- the RMSE values ranged from 10.27 to 13.09 W/m^2 . Modnew also performed the best in these
- cases, with an overall validated RMSE of 15.64 and 10.27 W/m^2 and bias values of -0.04 and
- 0.10 W/m^2 , respectively. It is worth noting that Modnew performed similarly during both
- daytime and nighttime at the hourly scale.

In summary, the performance of Modnew was superior to other previous models for 734 ocean-surface R₁ estimation for any case, which was mainly because of the introduction of more 735 cloud-related information (clw and ciw). Further analysis of Modnew illustrated the significance 736 of the two parameters as well as cloud cover. However, all results again emphasized that the 737 accuracy of nearly all the empirical models was highly dependent on the spatial distribution, 738 quality, and quantity of the samples used for modeling. For instance, Modnew worked better at 739 open seas in tropical regions where more samples were available compared to other regions. 740 Therefore, many more samples at different regions, such as in coastal regions and high-latitude 741 seas, should be collected in the future to improve model performance. Moreover, more accurate 742 cloud information especially at nighttime is essential to decrease the uncertainty in the estimated 743

 R_1 at the ocean surface.

745 **Competing interests**

The contact author has declared that none of the authors has any competing interests.

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754 Data availability

All data sets used in this research, including the moored buoy observations and satellite and reanalysis data are publicly available. Detailed information on these data sets, including citations and web links, is presented in Section 3.

758 Author contributions.

PJH and BJ designed and performed the study. All authors contributed to the analysis ofresults and final version of the paper.

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